ENHANCED SIX OPERATIONS AND BASE CHANGE THEOREM FOR HIGHER ARTIN STACKS

YIFENG LIU AND WEIZHE ZHENG

ABSTRACT. In this article, we develop a theory of Grothendieck's six operations for derived categories in étale cohomology of Artin stacks, for both torsion and adic coefficients. We prove several desired properties of the operations, including the base change theorem in derived categories. This extends many previous theories on this subject, including the one developed by Laszlo and Olsson, in which the operations are subject to more assumptions and the base change isomorphism is only constructed on the level of sheaves. Moreover, our theory works for higher Artin stacks as well. In addition, we define perverse t-structures on higher Artin stacks for general perversity, extending Gabber's work on schemes.

Our method differs from previous approaches, as we exploit the theory of stable ∞ -categories developed by Lurie. We enhance derived categories, functors, and natural isomorphisms to the level of ∞ -categories and introduce ∞ -categorical (co)homological descent. To handle the issue of "homotopy coherence", we develop a general technique for gluing subcategories of ∞ -categories and several other ∞ -categorical techniques. We obtain categorical equivalences between simplicial sets associated to certain multisimplicial sets. Such equivalences can be used to construct functors in different contexts. One of our category-theoretical results generalizes Deligne's gluing theory developed in the construction of the extraordinary pushforward operation in étale cohomology of schemes.

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# INTRODUCTION

This article is an amalgamation, with minor improvements, of the following three preprints we previously posted on the arXiv:

- Gluing restricted nerves of  $\infty$ -categories, arXiv:1211.5294,
- Enhanced six operations and base change theorem for higher Artin stacks, arXiv:1211.5948,

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• Enhanced adic formalism and perverse t-structures for higher Artin stacks, arXiv:1404.1128.

Derived categories in étale cohomology on Artin stacks and Grothendieck's six operations (also known as six-functors) between such categories have been developed by many authors including [67] (for Deligne–Mumford stacks), [50], [5], [57] and [47]. These theories all have some restrictions. In the most recent and general one [47] by Laszlo and Olsson on Artin stacks, a technical condition was imposed on the base scheme which excludes, for example, the spectra of certain fields.¹ More importantly, the base change isomorphism was constructed only on the level of (usual) cohomology sheaves [47, §5]. The Base Change theorem is fundamental in many applications. In the Geometric Langlands Program for example, the theorem has already been used on the level of perverse cohomology. It is thus necessary to construct the Base Change isomorphism not just on the level of cohomology, but also in the derived category. Another limitation of most previous works is that they dealt only with constructible sheaves. When working with morphisms *locally* of finite type, it is desirable to have the six operations for more general sheaves.

In this article, we develop a theory that provides the desired extensions of previous works. Instead of the usual unbounded derived category, we work with its enhancement, which is a stable  $\infty$ -category in the sense of Lurie [53, Definition 1.1.1.9]. This makes our approach different from previous ones. We construct functors and produce relations in the world of  $\infty$ -categories, which themselves form an  $\infty$ -category. We start by upgrading the known theory of six operations for (coproducts of) quasi-compact and separated schemes to  $\infty$ -categories. The coherence of the construction is carefully recorded. This enables us to apply  $\infty$ -categorical descent to carry over the theory of six operations, including the Base Change theorem, to algebraic spaces, higher Deligne–Mumford stacks and higher Artin stacks.

0.1. Results for torsion coefficients. In this section, we will state our results only in the classical setting of Artin stacks on the level of usual derived categories (which are homotopy categories of the derived  $\infty$ -categories), among other simplifications. We refer the reader to Chapter 6 for a list of complete results for higher Deligne–Mumford stacks and higher Artin stacks, stated on the level of stable  $\infty$ -categories.

By an *algebraic space*, we mean a sheaf in the big fppf site satisfying the usual axioms [1, 025Y]: its diagonal is representable (by schemes); and it admits an étale and surjective map from a scheme (in  $Sch_{11}$ ; see §0.7).

By an Artin stack, we mean an algebraic stack in the sense of [1, 0260]: it is a stack in (1-)groupoids over  $(Sch_{\mathcal{U}})_{fppf}$ ; its diagonal is representable by algebraic spaces; and it admits a smooth and surjective map from a scheme. In particular, we do not assume that an Artin stack is quasi-separated. Our main results are the construction of the six operations on the derived categories of sheaves in the étale cohomology of Artin stacks and the expected relations among them. In what follows,  $\Lambda$  denotes a (unital commutative) ring, or more generally, a ringed diagram in Definition 3.2.5.

To an Artin stack  $\mathfrak{X}$ , we associate a triangulated category  $D(\mathfrak{X}, \Lambda)$ . If  $\mathfrak{X}$  is Deligne–Mumford, then this is simply the unbounded derived category  $D(\mathfrak{X}_{\acute{e}t}, \Lambda)$  of  $Mod(\mathfrak{X}_{\acute{e}t}, \Lambda)$ , the Abelian category of  $(\mathfrak{X}_{\acute{e}t}, \Lambda)$ -modules, where  $\mathfrak{X}_{\acute{e}t}$  is the étale topos associated to  $\mathfrak{X}$ . In general, although our construction does not make use of the lisse-étale topos,  $D(\mathfrak{X}, \Lambda)$  turns out to be equivalent to a full subcategory of  $D(\mathfrak{X}_{lis-\acute{e}t}, \Lambda)$ , the unbounded derived category of  $(\mathfrak{X}_{lis-\acute{e}t}, \Lambda)$ -modules, where  $\mathfrak{X}_{lis-\acute{e}t}$  is the lisse-étale topos  $\mathfrak{X}_{lis-\acute{e}t}$  associated to  $\mathfrak{X}$ . Recall that an  $(\mathfrak{X}_{lis-\acute{e}t}, \Lambda)$ -module  $\mathscr{F}$  is equivalent to an assignment to each smooth morphism  $v: Y \to \mathfrak{X}$  with Y an algebraic space a

¹For example, the field  $k(x_1, x_2, ...)$  obtained by adjoining countably infinitely many variables to an algebraically closed field k in which  $\ell$  is invertible.

 $(Y_{\text{ét}}, \Lambda)$ -module  $\mathscr{F}_v$  and to each 2-commutative triangle



with v, v' smooth and Y, Y' being algebraic spaces, a morphism  $\tau_{\sigma} \colon f^* \mathscr{F}_v \to \mathscr{F}_{v'}$  that is an isomorphism if f is étale, such that the collection  $\{\tau_{\sigma}\}$  satisfies a natural cocycle condition [50, Lemme 12.2.1]. An  $(\mathfrak{X}_{\text{lis-\acute{e}t}}, \Lambda)$ -module  $\mathscr{F}$  is *Cartesian* if in the above description, all morphisms  $\tau_{\sigma}$  are isomorphisms [50, Définition 12.3]. Let  $D_{\text{cart}}(\mathfrak{X}_{\text{lis-\acute{e}t}}, \Lambda)$  be the full subcategory of  $D(\mathfrak{X}_{\text{lis-\acute{e}t}}, \Lambda)$  spanned by complexes whose cohomology sheaves are all Cartesian. We have an equivalence of categories  $D(\mathfrak{X}, \Lambda) \simeq D_{\text{cart}}(\mathfrak{X}_{\text{lis-\acute{e}t}}, \Lambda)$ .

Let  $f: \mathcal{Y} \to \mathcal{X}$  be a morphism of Artin stacks. We define the following four operations in §6.2:

$$\begin{split} f^* \colon \mathrm{D}(\mathfrak{X},\Lambda) &\to \mathrm{D}(\mathfrak{Y},\Lambda), \\ f_* \colon \mathrm{D}(\mathfrak{Y},\Lambda) &\to \mathrm{D}(\mathfrak{X},\Lambda), \\ - \otimes_{\mathfrak{X}} - \colon \mathrm{D}(\mathfrak{X},\Lambda) \times \mathrm{D}(\mathfrak{X},\Lambda) \to \mathrm{D}(\mathfrak{X},\Lambda), \\ \mathfrak{Hom}_{\mathfrak{X}} \colon \mathrm{D}(\mathfrak{X},\Lambda)^{op} \times \mathrm{D}(\mathfrak{X},\Lambda) \to \mathrm{D}(\mathfrak{X},\Lambda). \end{split}$$

The pairs  $(f^*, f_*)$  and  $(- \otimes_{\mathfrak{X}} \mathsf{K}, \mathfrak{Hom}_{\mathfrak{X}}(\mathsf{K}, -))$  for every  $\mathsf{K} \in \mathcal{D}_{cart}(\mathfrak{X}_{lis-\acute{e}t}, \Lambda)$  are pairs of adjoint functors.

To state the other two operations, we fix a nonempty set  $\Box$  of rational primes. A ring is  $\Box$ torsion [3, Exposé ix, Définition 1.1] if each element of it is killed by an integer that is a product of primes in  $\Box$ . An Artin stack  $\mathfrak{X}$  is  $\Box$ -coprime if there exists a morphism  $\mathfrak{X} \to \operatorname{Spec} \mathbb{Z}[\Box^{-1}]$ . If  $\mathfrak{X}$  and  $\mathfrak{Y}$  are  $\Box$ -coprime (resp. Deligne–Mumford),  $f: \mathfrak{Y} \to \mathfrak{X}$  is locally of finite type, and  $\Lambda$  is  $\Box$ -torsion (resp. torsion), then there is another pair of adjoint functors:

$$f_{!} \colon \mathrm{D}(\mathfrak{Y}, \Lambda) \to \mathrm{D}(\mathfrak{X}, \Lambda),$$
$$f^{!} \colon \mathrm{D}(\mathfrak{X}, \Lambda) \to \mathrm{D}(\mathfrak{Y}, \Lambda).$$

Next we list some properties of the six operations. We refer the reader to §6.2 for a more complete list.

**Theorem 0.1.1** (Künneth Formula, Theorem 6.2.1). Let  $\Lambda$  be a  $\Box$ -torsion (resp. torsion) ring, and

$$\begin{array}{c|c} \mathcal{Y}_1 < \stackrel{q_1}{\longleftarrow} \mathcal{Y} \stackrel{q_2}{\longrightarrow} \mathcal{Y}_2 \\ f_1 & & & & \\ f_1 & & & & \\ \chi_1 < \stackrel{p_1}{\longleftarrow} \chi \stackrel{p_2}{\longrightarrow} \chi_2 \end{array}$$

a diagram of  $\Box$ -coprime Artin stacks (resp. of arbitrary Deligne–Mumford stacks) that exhibits  $\mathcal{Y}$  as the limit  $\mathcal{Y}_1 \times \chi_1 \mathfrak{X} \times \chi_2 \mathcal{Y}_2$ , where  $f_1$  and  $f_2$  are locally of finite type. Then there is a natural isomorphism of functors:

$$f_!(q_1^* - \otimes_{\mathcal{Y}} q_2^* -) \simeq (p_1^* f_{1!} -) \otimes_{\mathcal{X}} (p_2^* f_{2!} -) \colon \mathcal{D}(\mathcal{Y}_1, \Lambda) \times \mathcal{D}(\mathcal{Y}_2, \Lambda) \to \mathcal{D}(\mathcal{X}, \Lambda).$$

**Corollary 0.1.2** (Base Change). Let  $\Lambda$  be a  $\Box$ -torsion (resp. a torsion) ring, and



a Cartesian diagram of  $\Box$ -coprime Artin stacks (resp. of arbitrary Deligne-Mumford stacks) where p is locally of finite type. Then there is a natural isomorphism of functors:

$$f^* \circ p_! \simeq q_! \circ g^* \colon \mathcal{D}(\mathcal{Z}, \Lambda) \to \mathcal{D}(\mathcal{Y}, \Lambda).$$

**Corollary 0.1.3** (Projection Formula). Let  $\Lambda$  be a  $\Box$ -torsion (resp. torsion) ring, and  $f: \mathcal{Y} \to \mathcal{X}$  a morphism locally of finite type of  $\Box$ -coprime Artin stacks (resp. of arbitrary Deligne–Mumford stacks). Then there is a natural isomorphism of functors:

$$f_!(-\otimes_{\mathfrak{Y}} f^*-) \simeq (f_!-) \otimes_{\mathfrak{X}} -: \mathrm{D}(\mathfrak{Y},\Lambda) \times \mathrm{D}(\mathfrak{X},\Lambda) \to \mathrm{D}(\mathfrak{X},\Lambda).$$

**Theorem 0.1.4** (Trace map and Poincaré duality, Theorem 6.2.9). Let  $\Lambda$  be a  $\Box$ -torsion ring, and  $f: \mathcal{Y} \to \mathcal{X}$  a flat morphism locally of finite presentation of  $\Box$ -coprime Artin stacks. Then

(1) There is a functorial trace map

$$\operatorname{Tr}_{f} \colon \tau^{\geq 0} f_! \Lambda_{\mathcal{Y}} \langle d \rangle = \tau^{\geq 0} f_! (f^* \Lambda_{\mathcal{X}}) \langle d \rangle \to \Lambda_{\mathcal{X}}$$

where d is an integer larger than or equal to the dimension of every geometric fiber of f;  $\Lambda_{\mathfrak{X}}$  and  $\Lambda_{\mathfrak{Y}}$  denote the constant sheaves placed in degree 0; and  $\langle d \rangle = [2d](d)$  is the composition of the shift by 2d and the d-th power of Tate's twist.

(2) If f is moreover smooth, then the induced natural transformation

$$u_f \colon f_! \circ f^* \langle \dim f \rangle \to \operatorname{id}_{\mathfrak{X}}$$

is a counit transformation, where  $id_{\mathfrak{X}}$  is the identity functor of  $D(\mathfrak{X}, \Lambda)$ . In other words, there is a natural isomorphism of functors:

$$f^* \langle \dim f \rangle \simeq f^! \colon \mathrm{D}(\mathfrak{X}, \Lambda) \to \mathrm{D}(\mathfrak{Y}, \Lambda).$$

**Corollary 0.1.5** (Smooth Base Change, Corollary 6.2.10). Let  $\Lambda$  of a  $\Box$ -torsion ring, and

$$\begin{array}{c} \mathcal{W} \xrightarrow{g} \mathcal{Z} \\ q \\ \downarrow \\ \mathcal{Y} \xrightarrow{f} \mathcal{X} \end{array}$$

a Cartesian diagram of  $\Box$ -coprime Artin stacks where p is smooth. Then the natural transformation of functors

$$p^*f_* \to g_*q^* \colon \mathcal{D}(\mathcal{Y},\Lambda) \to \mathcal{D}(\mathcal{Z},\Lambda)$$

is a natural isomorphism.

**Theorem 0.1.6** (Descent, Corollary 6.2.14). Let  $\Lambda$  be a ring,  $f: \mathcal{Y} \to \mathcal{X}$  a morphism of Artin stacks, and  $y: \mathcal{Y}_0^+ \to \mathcal{Y}$  a smooth surjective morphism. Let  $\mathcal{Y}_{\bullet}^+$  be the Čech nerve of y with the morphism  $y_n: \mathcal{Y}_n^+ \to \mathcal{Y}_{-1}^+ = \mathcal{Y}$ . Put  $f_n = f \circ y_n: \mathcal{Y}_n^+ \to \mathcal{X}$ .

(1) For every complex  $K \in D^{\geq 0}(\mathcal{Y}, \Lambda)$ , there is a convergent spectral sequence

$$\mathbf{E}_1^{p,q} = \mathbf{H}^q(f_{p*}y_p^*\mathsf{K}) \Rightarrow \mathbf{H}^{p+q}f_*\mathsf{K}.$$

(2) If X is □-coprime; Λ is □-torsion; and f is locally of finite type, then for every complex K ∈ D^{≤0}(Y, Λ), there is a convergent spectral sequence

$$\tilde{\mathbf{E}}_{1}^{p,q} = \mathbf{H}^{q}(f_{-p!}y_{-p}^{!}\mathsf{K}) \Rightarrow \mathbf{H}^{p+q}f_{!}\mathsf{K}.$$

Remark 0.1.7. Note that even in the case of schemes, Theorem 0.1.6(2) seems to be a new result.

To state our results for constructible sheaves, we work over a  $\Box$ -coprime base scheme S that is either quasi-excellent finite-dimensional or regular of dimension  $\leq 1$ . We consider only Artin stacks  $\mathcal{X}$  that are locally of finite type over S. Let  $\Lambda$  be a Noetherian  $\Box$ -torsion ring. We let  $D_{cons}(\mathcal{X},\Lambda) \subseteq D(\mathcal{X},\Lambda)$  denote the full subcategories spanned by those objects whose pullback to every scheme X, of finite type over S, has constructible cohomology sheaves in the usual sense. Let  $D_{cons}^{(+)}(\mathcal{X},\Lambda)$  (resp.  $D_{cons}^{(-)}(\mathcal{X},\Lambda)$ ) be the full subcategory of  $D_{cons}(\mathcal{X},\Lambda)$  spanned by complexes whose cohomology sheaves are locally bounded from below (resp. from above). We show in §6.4 that the six operations mentioned previously restrict to the following ones (see Proposition 6.4.4 and Proposition 6.4.5 for precise statements):

$$\begin{aligned} f^* \colon \mathrm{D}_{\mathrm{cons}}(\mathfrak{X},\Lambda) &\to \mathrm{D}_{\mathrm{cons}}(\mathfrak{Y},\Lambda), \\ f^! \colon \mathrm{D}_{\mathrm{cons}}(\mathfrak{X},\Lambda) &\to \mathrm{D}_{\mathrm{cons}}(\mathfrak{Y},\Lambda), \\ - \otimes_{\mathfrak{X}} - \colon \mathrm{D}_{\mathrm{cons}}^{(-)}(\mathfrak{X},\Lambda) \times \mathrm{D}_{\mathrm{cons}}^{(-)}(\mathfrak{X},\Lambda) \to \mathrm{D}_{\mathrm{cons}}^{(-)}(\mathfrak{X},\Lambda), \\ \mathcal{H}\mathrm{om}_{\mathfrak{X}} \colon \mathrm{D}_{\mathrm{cons}}^{(-)}(\mathfrak{X},\Lambda)^{op} \times \mathrm{D}_{\mathrm{cons}}^{(+)}(\mathfrak{X},\Lambda) \to \mathrm{D}_{\mathrm{cons}}^{(+)}(\mathfrak{X},\Lambda). \end{aligned}$$

If f is quasi-compact and quasi-separated, then there are two more:

(...)

$$f_* \colon \mathcal{D}_{\mathrm{cons}}^{(+)}(\mathcal{Y}, \Lambda) \to \mathcal{D}_{\mathrm{cons}}^{(+)}(\mathcal{X}, \Lambda),$$
  
$$f_! \colon \mathcal{D}_{\mathrm{cons}}^{(-)}(\mathcal{Y}, \Lambda) \to \mathcal{D}_{\mathrm{cons}}^{(-)}(\mathcal{X}, \Lambda).$$

( ) )

We will also show that when the base scheme, the coefficient ring, and the morphism f are all in the range of [47], our operations for constructible complexes are compatible with those constructed by Laszlo and Olsson on the level of usual derived categories. In particular, Corollary 0.1.2 implies that their operations satisfy Base Change in derived categories, which was left open in [47].

0.2. Why  $\infty$ -categories? The  $\infty$ -categories in this article refer to the ones studied by A. Joyal in [42] and [43] (where they are called *quasi-categories*), J. Lurie [52], et al. Namely, an  $\infty$ category is a simplicial set satisfying the right lifting properties with respect to inner horn inclusions [52, Definition 1.1.2.4]. In particular, they are models for  $(\infty, 1)$ -categories, that is, higher categories whose *n*-morphisms are invertible for  $n \ge 2$ . There are also other models for  $(\infty, 1)$ -categories such as topological categories, simplicial categories, complete Segal spaces, Segal categories, model categories, and, in a looser sense, differential graded (DG) categories and  $A_{\infty}$ -categories. We address two questions in this section. First, why do we need  $(\infty, 1)$ categories instead of (usual) derived categories? Second, why do we choose this particular model of  $(\infty, 1)$ -categories?

To answer these questions, let us fix an Artin stack  $\mathcal{X}$  and an atlas  $u: X \to \mathcal{X}$ , that is, a smooth and surjective morphism with X an algebraic space. We denote by  $\operatorname{Mod}_{\operatorname{cart}}(\mathcal{X}_{\operatorname{lis-\acute{e}t}}, \Lambda)$ the Abelian category of Cartesian  $(\mathcal{X}_{\operatorname{lis-\acute{e}t}}, \Lambda)$ -modules. Let  $p_{\alpha}: X \times_{\mathcal{X}} X \to X$  ( $\alpha = 1, 2$ ) be the two projections. We know that for  $\mathscr{F} \in \operatorname{Mod}_{\operatorname{cart}}(\mathcal{X}_{\operatorname{lis-\acute{e}t}}, \Lambda)$ , there is a natural isomorphism  $\sigma: p_1^* u^* \mathscr{F} \xrightarrow{\sim} p_2^* u^* \mathscr{F}$  satisfying a cocycle condition. Conversely, an object  $\mathscr{G} \in \operatorname{Mod}(X_{\acute{e}t}, \Lambda)$ such that there exists an isomorphism  $\sigma: p_1^* \mathscr{G} \xrightarrow{\sim} p_2^* \mathscr{G}$  satisfying the same cocycle condition is isomorphic to  $u^* \mathscr{F}$  for some  $\mathscr{F} \in \operatorname{Mod}_{\operatorname{cart}}(\mathcal{X}_{\operatorname{lis-\acute{e}t}}, \Lambda)$ . More formally,  $\operatorname{Mod}_{\operatorname{cart}}(\mathcal{X}_{\operatorname{lis-\acute{e}t}}, \Lambda)$  is the (2-)limit of the following diagram

$$\operatorname{Mod}(X_{\operatorname{\acute{e}t}}, \Lambda) \xrightarrow{p_1^*} \operatorname{Mod}((X \times_{\mathfrak{X}} X)_{\operatorname{\acute{e}t}}, \Lambda) \xrightarrow{} \operatorname{Mod}((X \times_{\mathfrak{X}} X \times_{\mathfrak{X}} X)_{\operatorname{\acute{e}t}}, \Lambda)$$

in the 2-category of Abelian categories. Therefore, to study  $\operatorname{Mod}_{\operatorname{cart}}(\mathfrak{X}_{\operatorname{lis-\acute{e}t}}, \Lambda)$ , we only need to study  $\operatorname{Mod}(X_{\operatorname{\acute{e}t}}, \Lambda)$  for (all) algebraic spaces X in a "2-coherent way", that is, we need to

track down all the information of natural isomorphisms (2-cells). Such 2-coherence is not more complicated than the one in Grothendieck's theory of descent [33].

One may want to apply the same idea to derived categories. The problem is that the descent property mentioned previously, in its naïve sense, does not hold anymore, since otherwise the classifying stack  $\mathbb{B}\mathbb{G}_{\mathrm{m}}$  over an algebraically closed field would have finite cohomological dimension, which is false. In fact, when forming derived categories, we throw away too much information on the coherence of homotopy equivalences or quasi-isomorphisms, which causes the failure of such descent. A descent theory in a weaker sense, known as cohomological descent [3, Exposé vbis] and due to Deligne, does exist partially on the level of objects. It is one of the main techniques used in Olsson [57] and Laszlo–Olsson [47] for the definition of the six operations on Artin stacks in certain cases. However, it has the following restrictions. First, Deligne's cohomological descent is valid only for complexes bounded from below. Although a theory of cohomological descent for unbounded complexes was developed in [47], it comes at the price of imposing further finiteness conditions and restricting to constructible complexes when defining the remaining operators. Second, relevant spectral sequences suggest that cohomological descent cannot be used directly to define !-pushforward.

A more natural solution can be reached once the derived categories are "enhanced". Roughly speaking (see Proposition 5.3.5 for the precise statement), writing

$$X_n = X \times_{\mathfrak{X}} \cdots \times_{\mathfrak{X}} X \ ((n+1)\text{-fold}),$$

we define  $\mathcal{D}(\mathfrak{X}, \Lambda)$  to be the limit of following cosimplicial diagram

$$\mathcal{D}(X_{0,\text{\acute{e}t}},\Lambda) \xrightarrow{p_1^*} \mathcal{D}(X_{1,\text{\acute{e}t}},\Lambda) \xrightarrow{} \mathcal{D}(X_{2,\text{\acute{e}t}},\Lambda) \xrightarrow{} \cdots$$

in a suitable  $\infty$ -category of presentable stable  $\infty$ -categories. This is completely parallel to the descent property for module categories. Here  $\mathcal{D}(X_{n,\text{ét}},\Lambda)$  is the derived  $\infty$ -category of the Grothendieck Abelian category  $\operatorname{Mod}(X_{n,\text{\acute{et}}},\Lambda)$ . It is a presentable stable  $\infty$ -category that enhances  $D(X_{n,\text{\acute{et}}},\Lambda)$ . We then define  $D(\mathfrak{X},\Lambda)$  to be the homotopy category of  $\mathcal{D}(\mathfrak{X},\Lambda)$ . Strictly speaking, the previous diagram is incomplete in the sense that we do not mark all the higher cells in the diagram, that is, all natural equivalences of functors, "equivalences between natural equivalences", etc. In fact, there is an infinite hierarchy of (homotopy) equivalences hidden behind the limit of the previous diagram, not just the 2-level hierarchy in the classical case. To deal with such kind of "homotopy coherence" is the major difficulty of the work, that is, we need to find a way to encode all such hierarchy simultaneously in order to make the idea of descent work. In other words, we need to work in the totality of all  $\infty$ -categories of concern.

It is possible that such a descent theory (and other relevant higher-categorical techniques introduced below) can be realized by using other models for higher categories. We have chosen the theory developed by Lurie in [52], [53] for its elegance and availability. Precisely, we will use the techniques of the (marked) straightening/unstraightening construction, Adjoint Functor Theorem, and the  $\infty$ -categorical Barr–Beck Theorem. Based on Lurie's theory, we develop further  $\infty$ -categorical techniques to treat the homotopy-coherence problem mentioned as above. These techniques would enable us to, for example,

- find a coherent way to decompose morphisms (§1.4);
- gluing data from Cartesian diagrams to general ones (§1.5);
- take partial adjoints along given directions (§2.2);
- make a coherent choice of descent data (§4.2).

In §0.4, we will have a chance to explain some of them.

We would also like to remark that Lurie's theory has already been used, for example, in [7] to study quasi-coherent sheaves on certain (derived) stacks with many applications. This work, which studies lisse-étale sheaves, is another manifestation of the power of Lurie's theory.

0.3. **Results for adic coefficients.** In this section, we discuss the adic formalism and adic analogues of results in §0.1. This extends many previous theories on the subject, including SGA 5 [32], Deligne [17], Ekedahl [18] (for schemes), Behrend [5] and Laszlo–Olsson [48]. We prove, among other things, the base change theorem in derived categories, which was previous known only on the level of sheaves [48] (and under other restrictions). Another limitation of the existing theories, including those for schemes, is the constructibility assumption. This assumption is not often met, for example, when considering morphisms between Artin stacks that are only locally of finite type. By contrast, the adic formalism developed in this article applies to unrestricted derived categories.

As in §0.1, we will state our constructions and results only in the classical setting of Artin stacks on the level of usual derived categories (which are homotopy categories of the derived  $\infty$ -categories), among other simplifications. See Chapter 7 for the complete results for higher Artin stack higher (and higher Deligne–Mumford stacks), stated on the level of stable  $\infty$ -categories.

Let  $\mathfrak{X}$  be an Artin stack and let  $\lambda = (\Xi, \Lambda)$  be a ringed diagram, that is, a functor  $\Lambda$  from the opposite of a partially ordered set  $\Xi$  to the category of unital commutative rings. A typical example is the projective system

$$\cdots \to \mathbb{Z}/\ell^{n+1}\mathbb{Z} \to \mathbb{Z}/\ell^n\mathbb{Z} \to \cdots \to \mathbb{Z}/\ell\mathbb{Z},$$

where  $\ell$  is a fixed prime number and the transition maps are natural projections. Recall that for every  $\xi \in \Xi$ ,  $D(\mathfrak{X}, \Lambda(\xi))$  has a natural  $\infty$ -categorical enhancement  $\mathcal{D}(\mathfrak{X}, \Lambda(\xi))$ . In fact, there is a functor  $N(\Xi)^{op} \to \mathbb{C}at_{\infty}$  from the nerve of  $\Xi^{op}$  to the  $\infty$ -category of  $\infty$ -categories sending  $\xi$  to  $\mathcal{D}(\mathfrak{X}, \Lambda(\xi))$ , with the transition functors being (derived) extension of scalars. We define

$$\mathcal{D}(\mathfrak{X},\lambda)_{\mathrm{a}}\coloneqq \varprojlim_{\mathrm{N}(\Xi)^{op}} \mathcal{D}(\mathfrak{X},\Lambda(\xi))$$

and let  $D(\mathfrak{X}, \lambda)_a$  be its homotopy category. It is crucial that the limit be taken on the level of  $\infty$ -categories.

Let  $f: \mathcal{Y} \to \mathcal{X}$  be a morphism of Artin stacks. We then define operations:

$$\begin{split} f^{*a} \colon \mathrm{D}(\mathfrak{X},\lambda)_{\mathrm{a}} &\to \mathrm{D}(\mathfrak{Y},\lambda)_{\mathrm{a}}, \\ f_{*a} \colon \mathrm{D}(\mathfrak{Y},\lambda)_{\mathrm{a}} &\to \mathrm{D}(\mathfrak{X},\lambda)_{\mathrm{a}}, \\ - \overset{\mathrm{a}}{\otimes}_{\mathfrak{X}} - \colon \mathrm{D}(\mathfrak{X},\lambda)_{\mathrm{a}} &\times \mathrm{D}(\mathfrak{X},\lambda)_{\mathrm{a}} \to \mathrm{D}(\mathfrak{X},\lambda)_{\mathrm{a}}, \\ \operatorname{Hom}_{\mathfrak{X}}^{\mathrm{a}} \colon \mathrm{D}(\mathfrak{X},\lambda)_{\mathrm{a}}^{op} &\times \mathrm{D}(\mathfrak{X},\lambda)_{\mathrm{a}} \to \mathrm{D}(\mathfrak{X},\lambda)_{\mathrm{a}}. \end{split}$$

The pairs  $(f^{*a}, f_{*a})$  and  $(-\bigotimes_{\mathfrak{X}}^{a} \mathsf{K}, \mathfrak{Hom}_{\mathfrak{X}}^{a}(\mathsf{K}, -))$  for every  $\mathsf{K} \in \mathsf{D}(\mathfrak{X}, \lambda)_{a}$  are pairs of adjoint functors.

To state the other two operations, we fix a nonempty set  $\Box$  of rational primes. If  $\mathfrak{X}$  and  $\mathfrak{Y}$  are  $\Box$ -coprime,  $f: \mathfrak{Y} \to \mathfrak{X}$  is locally of finite type, and  $\lambda$  is a  $\Box$ -torsion ringed diagram, then there is another pair of adjoint functors:

$$\begin{split} f_{!a} \colon \mathrm{D}(\mathfrak{Y},\lambda)_{\mathrm{a}} &\to \mathrm{D}(\mathfrak{X},\lambda)_{\mathrm{a}}, \\ f^{!a} \colon \mathrm{D}(\mathfrak{X},\lambda)_{\mathrm{a}} &\to \mathrm{D}(\mathfrak{Y},\lambda)_{\mathrm{a}}. \end{split}$$

Among these functors,  $f^{*a}$ ,  $f_{!a}$  and  $-\bigotimes_{\mathfrak{X}}^{a}$  – are naturally defined from the limit construction of  $D(-,\lambda)_a$ . These six operations satisfy the similar properties as in the non-adic version as stated in §0.1.

We show that  $D(\mathfrak{X}, \lambda)_a$  is canonically equivalent to the full subcategory of  $D(\mathfrak{X}, \lambda)$  spanned by so-called *adic complexes*, which admits a *colocalization functor*  $\mathfrak{R}_{\mathfrak{X}} : D(\mathfrak{X}, \lambda) \to D(\mathfrak{X}, \lambda)_a$ . Moreover,  $f^{*a}$ ,  $f_{!*}$  and  $-\overset{a}{\otimes}_{\mathfrak{X}}$  – are simply restrictions of  $f^*$ ,  $f_!$  and  $-\otimes_{\mathfrak{X}}$  –, respectively, as they preserve adic complexes. For the other three, we have  $f_{*a} = \mathfrak{R}_{\mathfrak{X}} \circ f_*$ ,  $f^{!a} = \mathfrak{R}_{\mathfrak{Y}} \circ f^!$  and  $\mathcal{H}om_{\mathfrak{X}}^a = \mathfrak{R}_{\mathfrak{X}} \circ \mathcal{H}om_{\mathfrak{X}}$ . We refer the reader to §7.2 and §7.3 for more details.

The adic formalism introduced above does *not* assume the constructibility at the first place. In other words, we are free to talk about adic complexes for any sheaves. In particular, in terms of Grothendieck's fonctions-faisceaux dictionary, we make sense of divergent integrals on stacks over finite fields. Those appear for example in [22].

In §7.6, we study a special setup, the m-adic formalism. Let  $\Lambda$  be a ring and  $\mathfrak{m} \subseteq \Lambda$  a principal ideal generated by a nonzerodivisor. The pair  $(\Lambda, \mathfrak{m})$  gives rise to a ringed diagram  $\Lambda_{\bullet}$  with the underlying category  $\mathbb{N} = \{0 \to 1 \to 2 \to \cdots\}$  and  $\Lambda_n = \Lambda/\mathfrak{m}^{n+1}$ . This setup is sufficient for most applications. The m-adic formalism enjoys very nice properties. For example, the adic complexes in this case are stable under the six operations. In §7.7, we show that our theory of constructible adic formalism coincides with Laszlo–Olsson [49] under their assumptions.

0.4. What do we need to enhance? In Section 0.2, we mentioned the enhancement  $\mathcal{D}(\mathcal{X}, \Lambda)$  of a single triangulated category  $D(X, \Lambda)$ , namely, a stable  $\infty$ -category whose homotopy category (which is an ordinary category) is naturally equivalent to  $D(\mathcal{X}, \Lambda)$ . The enhancement of operations is understood in the similar way. For example, the enhancement of *-pullback for  $f: \mathcal{Y} \to \mathcal{X}$  is an exact functor

(0.1) 
$$f^* \colon \mathcal{D}(\mathfrak{X}, \Lambda) \to \mathcal{D}(\mathfrak{Y}, \Lambda)$$

such that the induced functor

 $hf^*: D(\mathfrak{X}, \Lambda) \to D(\mathfrak{Y}, \Lambda)$ 

is the *-pullback functor of usual derived categories.

However, such enhancement is not enough for us to do descent. The reason is that we need to put all schemes and then algebraic spaces together. Let us denote by Sch^{qc.sep} the category of coproducts of quasi-compact and separated schemes. The enhancement of *-pullback for schemes in the strong sense is a functor:

(0.2) 
$$\Lambda_{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}^* \colon \mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op} \to \mathfrak{Pr}_{\mathrm{st}}^{\mathrm{L}}$$

where N denotes the nerve functor (see the definition following [52, Definition 1.1.2.1]) and  $\mathfrak{Pr}_{st}^{L}$  is a certain  $\infty$ -category of presentable stable  $\infty$ -categories, which will be specified later. Then (0.1) is just the image of the edge  $f: \mathcal{Y} \to \mathcal{X}$  if f belongs to  $\mathrm{Sch}^{qc.sep}$ . The construction of (0.2) (and its right adjoint which is the enhancement of *-pushforward) is not hard, with the help of the general construction in [53]. The difficulty arises in the enhancement of !-pushforward. Namely, we need to construct a functor:

$${}_{\mathrm{Sch}^{\mathrm{qc.sep}}} \stackrel{\Lambda}{\to} \mathrm{EO}_! \colon \mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})_F \to \mathfrak{Pr}^{\mathrm{L}}_{\mathrm{st}}$$

where  $N(\text{Sch}^{qc.sep})_F$  is the subcategory of  $N(\text{Sch}^{qc.sep})$  only allowing morphisms that are locally of finite type. The basic idea is similar to the classical approach: using Nagata compactification theorem. The problem is the following: for a morphism  $f: Y \to X$  in  $\text{Sch}^{qc.sep}$ , locally of finite type, we need to choose (non-canonically!) a relative compactification

$$\begin{array}{c|c} Y & \stackrel{i}{\longrightarrow} \overline{Y} \\ f & & & \\ f & & & \\ X & \stackrel{p}{\longleftarrow} \coprod_{I} X, \end{array}$$

where *i* is an open immersion and  $\overline{f}$  is proper, and define  $f_! = p_! \circ \overline{f}_* \circ i_!$  (in the derived sense). It turns out that the resulting functor of usual derived categories is independent of the choice, up to natural isomorphism. First, we need to upgrade such natural isomorphisms to natural equivalences between  $\infty$ -categories. Second and more importantly, we need to "remember" such natural equivalences for all different compactifications, and even "equivalences among natural equivalences". We immediately find ourselves in the same scenario of an infinite hierarchy of homotopy equivalences again. To handle this kind of homotopy coherence, we develop a technique called *multisimplicial descent* in §1.4, which can be viewed as an  $\infty$ -categorical generalization of [3, Exposé xvii §3.3].

This is not the end of the story since our goal is to prove all expected relations among six operations. To use the same idea of descent, we need to "enhance" not just operations, but also relations as well. To simplify the discussion, let us temporarily ignore the two binary operations ( $\otimes$  and  $\mathcal{H}$ om) and consider how to enhance the "Base Change theorem" which essentially involves *-pullback and !-pushforward. We define a simplicial set  $\delta_{2,\{2\}}^* N(\operatorname{Sch}^{\operatorname{qc.sep}})_{F,\operatorname{all}}^{\operatorname{cart}}$  in the following way:

- The vertices are objects X of  $Sch^{qc.sep}$ .
- The edges are *Cartesian* diagrams

$$\begin{array}{cccc} (0.3) & X_{01} \xrightarrow{g} X_{00} \\ & q \\ & & \downarrow^{p} \\ X_{11} \xrightarrow{f} X_{10} \end{array}$$

with p locally of finite type, whose source is  $X_{00}$  and target is  $X_{11}$ .

• Simplices of higher dimensions are defined in a similar way.

Note that this is *not* an  $\infty$ -category. Assuming that  $\Lambda$  is torsion, the enhancement of the Base Change theorem (for Sch^{qc.sep}) is a functor

(0.4) 
$${}^{\Lambda}_{\mathrm{Sch}^{\mathrm{qc.sep}}\mathrm{EO}_{!}^{*}} \colon \delta^{*}_{2,\{2\}}\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{\mathrm{cart}}_{F,\mathrm{all}} \to \mathfrak{Pr}^{\mathrm{L}}_{\mathrm{st}}$$

sending the edge

$$\begin{array}{cccc} X_{00} & \xrightarrow{\mathrm{id}} & X_{00} & & \mathrm{resp.} & X_{11} \longrightarrow X_{00} \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ X_{11} & \xrightarrow{\mathrm{id}} & X_{11} & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & &$$

to  $p_!: \mathcal{D}(X_{00,\text{\acute{e}t}}, \Lambda) \to \mathcal{D}(X_{11,\text{\acute{e}t}}, \Lambda)$  (resp.  $f^*: \mathcal{D}(X_{00,\text{\acute{e}t}}, \Lambda) \to \mathcal{D}(X_{11,\text{\acute{e}t}}, \Lambda)$ ). The upshot is that the image of the edge (0.3) is a functor  $\mathcal{D}(X_{00,\text{\acute{e}t}}, \Lambda) \to \mathcal{D}(X_{11,\text{\acute{e}t}}, \Lambda)$  which is naturally equivalent to both  $f^* \circ p_!$  and  $q_! \circ g^*$ . In other words, this functor has already encoded the Base Change theorem (for  $\mathrm{Sch}^{\mathrm{qc.sep}}$ ) in a homotopy coherent way. This allows us to apply the descent method to construct the enhancement of the Base Change theorem for Artin stacks, which itself includes the enhancement of the four operations  $f^*$ ,  $f_*$ ,  $f_!$  and  $f^!$  by restriction and adjunction. To deal with the homotopy coherence involved in the construction of  $\mathrm{sch}^{\mathrm{qc.sep}}\mathrm{EO}_!^*$ , we develop another technique called *Cartesian gluing* in §1.5, which can be viewed as an  $\infty$ -categorical variant of [68, §6, §7].

In fact, the source  $\delta_{2,\{2\}}^* N(\operatorname{Sch}^{\operatorname{qc.sep}})_{F,\operatorname{all}}^{\operatorname{cart}}$  of the map  $\operatorname{Sch}^{\operatorname{qc.sep}} EO_!^*$  is categorically equivalent to the (2,1)-category of correspondences  $N(\operatorname{Sch}^{\operatorname{qc.sep}})_{\operatorname{corr}: F,\operatorname{all}}$ .² An object of  $N(\operatorname{Sch}^{\operatorname{qc.sep}})_{\operatorname{corr}: F,\operatorname{all}}$ .

 $^{^{2}}$ See Example 1.4.29 for a precise definition.

is an object of  $\mathrm{Sch}^{\mathrm{qc.sep}}$ . A morphism of  $\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})_{\mathrm{corr:}\ F,\mathrm{all}}$  from X to Y is a correspondence



where g and q are morphisms in Sch^{qc.sep}, with q locally of finite type. The map  ${}_{\text{Sch}^{qc.sep}}\text{EO}_{!}^{*}$  (0.4) encoding the four operations and the base change theorem can be equivalently formulated as a functor

$${}_{\mathrm{Sch}^{\mathrm{qc.sep}}} \mathrm{EO}_{\mathrm{corr}} \colon \mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})_{\mathrm{corr} \colon F,\mathrm{all}} \to \mathcal{P}\mathrm{r}_{\mathrm{st}}^{\mathrm{L}}$$

between  $\infty$ -categories.

We hope that the discussion so far explains the meaning of enhancement to some degree. The actual enhancement (3.13) constructed in the article is more complicated than the ones mentioned previously, since we need to include also the information of binary operations, the projection formula and extension of scalars.

0.5. About this work. As we mentioned at the beginning of the introduction, this article amalgamates and improves three preprints we initially posted on the arXiv in the years 2012 and 2014.

During the preparation of this article, Gaitsgory [25] and Gaitsgory–Rozenblyum studied operations for ind-coherent sheaves on DG schemes and derived stacks in the framework of  $\infty$ -categories, which was later published as the book [27]. Their work bears some similarity to ours, but is in a different setup. In particular, their approach uses ( $\infty$ , 2)-categories (see [27, Chapter V]), while we stay in the world of ( $\infty$ , 1)-categories. We would like to point out that the alternative formulation of our results using the category of correspondences (see Example 1.4.29 and §6.1) was added after we learned this concept, due to Lurie, from [25].

More recently, Mann [55] improved and simplified our formulation of the six operations, while working in the context of rigid-analytic geometry.³ The readers may consult Lecture II in Scholze's notes [64] for a comparison of our work, [27], and [55].

Since the posting of our work, the  $\infty$ -categorical techniques developed in this (series of) work have been extensively used to construct (enhanced) six operations in many other contexts. Here is an incomplete list of such examples:

- [55] in the context of rigid-analytic geometry, which has been mentioned above,
- [46] and [14] in the context of (stable) motivic homotopy category for algebraic stacks,
- [58] in the context of Nisnevich sheaves for divided log spaces,
- [35] in the context of étale sheaves on diamonds and v-stacks,
- [37] in the context of Dirac geometry,
- [38] in the context of representation theory.

On the other hand, the main outcome of this work – the enhanced six operations for étale sheaves on (higher) Artin stacks – has also been found necessary in many works, for example, [8], [2], [39], [61], etc. It is worth mentioning that the recent work [20] on derived special cycles on the moduli of shtukas uses our result for genuinely *higher* Artin stacks.

0.6. Structure of the article. The article has three parts. The first part consists of Chapters 1 and 2, where we focus on the categorical preparation. The second part consists of Chapters 3, 4, 5, and 6, where we develop the theory of enhanced six operations for torsion coefficients. The third part consists of Chapters 7, 8, and 9, where we develop the theory of enhanced six

³His work relies on results from Chapter 1 of our work.

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operations for adic coefficients, introduce perverse t-structures, and prove some hyperdescent properties.

In Chapter 1, we develop a general technique for gluing subcategories of  $\infty$ -categories.

In Chapter 2, we collect further preliminaries on  $\infty$ -categories, including the technique of taking partial adjoints (§2.2).

In Chapter 3, we construct enhanced operation maps for ringed topoi and certain schemes. The enhanced operation maps encode even more information than the enhancement of the Base Change theorem we mentioned in §0.4. We also prove several properties of the maps that are crucial for later constructions.

In Chapter 4, we develop an abstract program which we name DESCENT. The program allows us to extend the existing theory to a larger category. It will be run recursively from schemes to algebraic spaces, then to Artin stacks, and eventually to higher Artin or Deligne–Mumford stacks.

In Chapter 5, we run the program DESCENT, and prove certain compatibility between our theory and existing ones.

In Chapter 6, we write down the resulting six operations for the most general situations and summarize their properties. We also develop a theory of constructible complexes, based on finiteness results of Deligne [16, Th. finitude] and Gabber [41, Exposé XIII]. Finally, we show that our theory is compatible with the work of Laszlo and Olsson [47].

In Chapter 7, we develop the adic formalism for Grothendieck's six operations, which includes the most common application, namely, the  $\ell$ -adic one.

In Chapter 8, we study perverse t-structures for stacks for both torsion and adic coefficients.

In Chapter 9, we study hyperdescent properties for certain operations on stacks for both torsion and adic coefficients.

For more detailed descriptions of the individual chapters, we refer to the beginning of these chapters.

We assume that the reader has some knowledge of Lurie's theory of  $\infty$ -categories, especially Chapters 1 through 5 of [52], and Chapters 1 through 4 of [53]. In particular, we assume that the reader is familiar with basic concepts of simplicial sets [52, §A.2.7]. However, an effort has been made to provide precise references for notation, concepts, constructions, and results used in this article, (at least) at their first appearance.

### 0.7. Conventions and notation.

• All rings are assumed to be commutative with unity; and ring homomorphisms are assumed to preserve unity.

For *set-theoretical issues*:

- We fix two (Grothendieck) universes U and V such that U belongs to V. The adjective small means U-small. In particular, Grothendieck Abelian categories and presentable ∞-categories are relative to U. A topos means a U-topos.
- All rings are assumed to be U-small. We denote by Ring the category of rings in U. By the usual abuse of language, we call Ring the category of U-small rings.
- All schemes are assumed to be U-small. We denote by Sch the category of schemes belonging to U and by Sch^{aff} the full subcategory consisting of affine schemes belonging to U. There is an equivalence of categories Spec: (Ring)^{op} → Sch^{aff}. The big fppf site on Sch^{aff} is not a U-site, so that we need to consider prestacks with values in V. More precisely, for W = U or V, let S_W [52, Definition 1.2.16.1] be the ∞-category of spaces in W. We define the ∞-category of prestacks to be Fun(N(Sch^{aff})^{op}, S_V) [52, Notation 1.2.7.2]. However, a (higher) Artin stack is assumed to be contained in the essential image of the full subcategory Fun(N(Sch^{aff})^{op}, S₁). See §5.4 for more details.

The (small) étale site of an algebraic scheme and the lisse-étale site of an Artin stack are  $\mathcal{U}$ -sites.

• For every  $\mathcal{V}$ -small set I, we denote by  $\operatorname{Set}_{I\Delta}$  the category of I-simplicial sets in  $\mathcal{V}$ . See also variants in §1.3. We denote by  $\operatorname{Cat}_{\infty}$  the (non  $\mathcal{V}$ -small)  $\infty$ -category of  $\infty$ -categories in  $\mathcal{V}$  [52, Definition 3.0.0.1].⁴ (Multi)simplicial sets and  $\infty$ -categories are usually tacitly assumed to be  $\mathcal{V}$ -small.

For *lower categories*:

- Unless otherwise specified, a category will be understood as an ordinary category. A (2, 1)-category C is a (strict) 2-category in which all 2-cells are invertible, or, equivalently, a category enriched in the category of groupoids. We regard C as a simplicial category by taking N(Map_C(X, Y)) for all objects X and Y of C.
- Let  $\mathcal{C}, \mathcal{D}$  be two categories. We denote by Fun( $\mathcal{C}, \mathcal{D}$ ) the *category of functors* from  $\mathcal{C}$  to  $\mathcal{D}$ , whose objects are functors and morphisms are natural transformations.
- Let  $\mathcal{A}$  be an additive category. We denote by  $Ch(\mathcal{A})$  the category of cochain complexes of  $\mathcal{A}$ .
- Recall that a *partially ordered set* P is an (ordinary) category such that there is at most one arrow (usual denoted as  $\leq$ ) between each pair of objects. For every element  $p \in P$ , we identify the overcategory  $P_{/p}$  (resp. undercategory  $P_{p/}$ ) with the full partially ordered subset of P consisting of elements  $\leq p$  (resp.  $\geq p$ ). For  $p, p' \in P$ , we identify  $P_{p//p'}$  with the full partially ordered subset of P consisting of elements both  $\geq p$  and  $\leq p'$ , which is empty unless  $p \leq p'$ .
- Let [n] be the ordered set  $\{0, \ldots, n\}$  for  $n \ge 0$ , and put  $[-1] = \emptyset$ . Let us recall the *category* of combinatorial simplices  $\Delta$  (resp.  $\Delta^{\leqslant n}, \Delta_+, \Delta_+^{\leqslant n}$ ). Its objects are the linearly ordered sets [i] for  $i \ge 0$  (resp.  $0 \le i \le n, i \ge -1, -1 \le i \le n$ ) and its morphisms are given by (nonstrictly) order-preserving maps. In particular, for every  $n \ge 0$  and  $0 \le k \le n$ , there is the face map  $d_k^n \colon [n-1] \to [n]$  that is the unique injective map with k not in the image; and the degeneration map  $s_k^n \colon [n+1] \to [n]$  that is the unique surjective map such that  $s_k^n(k+1) = s_k^n(k)$ .

For higher categories:

- As we have mentioned, the word ∞-category refers to the one defined in [52, Definition 1.1.2.4]. Throughout the article, an effort has been made to keep our notation consistent with those in [52] and [53].
- For C a category, a (2, 1)-category, a simplicial category, or an ∞-category, we denote by id_C the identity functor of C. We denote by N(C) the (simplicial) nerve of a (simplicial) category C [52, Definition 1.1.5.5]. We identify Ar(C) (the set of arrows of C) with N(C)₁ (the set of edges of N(C)) if C is a category. Usually, we will not distinguish between N(C^{op}) and N(C)^{op} for C a category, a (2, 1)-category or a simplicial category.
- We denote the homotopy category [52, Definition 1.1.3.2, Proposition 1.2.3.1] of an ∞category C by hC and we view it as an ordinary category. In other words, we ignore the ℋ-enrichment of hC.
- Let  $\mathcal{C}$  be an  $\infty$ -category, and  $c^{\bullet} \colon \mathcal{N}(\Delta) \to \mathcal{C}$  (resp.  $c_{\bullet} \colon \mathcal{N}(\Delta)^{op} \to \mathcal{C}$ ) a cosimplicial (resp. simplicial) object of  $\mathcal{C}$ . Then the limit [52, Definition 1.2.13.4]  $\lim_{n \in \Delta} (c^{\bullet})$  (resp. colimit or geometric realization  $\varinjlim_{n \in \Delta} (c_{\bullet})$ ), if it exists, is denoted by  $\varinjlim_{n \in \Delta} c^{\acute{h}}$  (resp.  $\varinjlim_{n \in \Delta^{op}} c_n$ ). It is viewed as an object (up to equivalences parameterized by a contractible Kan complex) of  $\mathcal{C}$ .

⁴In [52],  $\operatorname{Cat}_{\infty}$  denotes the category of small  $\infty$ -categories. Thus, our  $\operatorname{Cat}_{\infty}$  corresponds more closely to the notation  $\operatorname{Cat}_{\infty}$  in [52, Remark 3.0.0.5], where the extension of universes is tacit.

• Let  $\mathcal{C}$  be an  $(\infty$ -)category, and  $\mathcal{C}' \subseteq \mathcal{C}$  a full subcategory. We say that a morphism  $f: y \to x$  in  $\mathcal{C}$  is representable in  $\mathcal{C}'$  if for every Cartesian diagram [52, §4.4.2]



such that z is an object of  $\mathcal{C}'$ , w is equivalent to an object of  $\mathcal{C}'$ .

- We refer the reader to the beginning of [52, §2.3.3] for the terminology homotopic relative to A over S. We say that f and f' are homotopic over S (resp. homotopic relative to A) if  $A = \emptyset$  (resp. S = *).
- Recall that  $\operatorname{Cat}_{\infty}$  is the  $\infty$ -category of  $\mathcal{V}$ -small  $\infty$ -categories. In [52, Definition 5.5.3.1], the subcategories  $\operatorname{Pr}^{\mathrm{L}}_{*}, \operatorname{Pr}^{\mathrm{R}}_{*} \subseteq \operatorname{Cat}_{\infty}$  are defined.⁵ We define subcategories  $\operatorname{Pr}^{\mathrm{L}}_{\mathrm{st}}, \operatorname{Pr}^{\mathrm{R}}_{\mathrm{st}} \subseteq \operatorname{Cat}_{\infty}$  as follows:
  - The objects of both  $\mathfrak{Pr}_{st}^{L}$  and  $\mathfrak{Pr}_{st}^{R}$  are the  $\mathfrak{U}$ -presentable stable  $\infty$ -categories in  $\mathcal{V}$  [52, Definition 5.5.0.1], [53, Definition 1.1.1.9].
  - A functor  $F: \mathcal{C} \to \mathcal{D}$  of presentable stable  $\infty$ -categories is a morphism of  $\mathfrak{Pr}_{st}^{L}$  if and only if F preserves small colimits, or, equivalently, F is a left adjoint functor [52, Definition 5.2.2.1, Corollary 5.5.2.9(1)].
  - A functor  $G: \mathcal{C} \to \mathcal{D}$  of presentable stable  $\infty$ -categories is a morphism of  $\mathfrak{Pr}_{\mathrm{st}}^{\mathrm{R}}$  if and only if G is accessible and preserves small limits, or, equivalently, G is a right adjoint functor [52, Corollary 5.5.2.9(2)].

We adopt the notation of [52, Definition 5.2.6.1]: for  $\infty$ -categories  $\mathcal{C}$  and  $\mathcal{D}$ , we denote by  $\operatorname{Fun}^{\mathrm{L}}(\mathcal{C}, \mathcal{D})$  (resp.  $\operatorname{Fun}^{\mathrm{R}}(\mathcal{C}, \mathcal{D})$ ) the full subcategory of  $\operatorname{Fun}(\mathcal{C}, \mathcal{D})$  [52, Notation 1.2.7.2] spanned by left (resp. right) adjoint functors. Small limits exist in  $\operatorname{Cat}_{\infty}$ ,  $\operatorname{Pr}^{\mathrm{L}}$ ,  $\operatorname{Pr}^{\mathrm{R}}$ ,  $\operatorname{Pr}^{\mathrm{L}}_{\mathrm{st}}$  and  $\operatorname{Pr}^{\mathrm{R}}_{\mathrm{st}}$ . Such limits are preserved by the natural inclusions  $\operatorname{Pr}^{\mathrm{L}}_{\mathrm{st}} \subseteq \operatorname{Pr}^{\mathrm{L}} \subseteq \operatorname{Cat}_{\infty}$  and  $\operatorname{Pr}^{\mathrm{R}}_{\mathrm{st}} \subseteq \operatorname{Pr}^{\mathrm{R}} \subseteq \operatorname{Cat}_{\infty}$  by [52, Proposition 5.5.3.13, Theorem 5.5.3.18] and [53, Theorem 1.1.4.4].

- For a simplicial model category  $\mathbf{A}$ , we denote by  $\mathbf{A}^{\circ}$  the subcategory spanned by fibrantcofibrant objects.
- For the simplicial model category  $\operatorname{Set}_{\Delta}^+$  of marked simplicial sets in  $\mathcal{V}$  [52, Notation 3.1.0.2] with respect to the Cartesian model structure [52, Proposition 3.1.3.7, Corollary 3.1.4.4], we fix a *fibrant replacement simplicial functor*

Fibr: 
$$\operatorname{Set}_{\Delta}^+ \to (\operatorname{Set}_{\Delta}^+)^\circ$$

via the Small Object Argument [52, Proposition A.1.2.5, Remark A.1.2.6]. By construction, it commutes with finite products. If  $\mathcal{C}$  is a  $\mathcal{V}$ -small simplicial category [52, Definition 1.1.4.1], we let  $\operatorname{Fibr}^{\mathcal{C}} : (\operatorname{Set}^+_{\Delta})^{\mathcal{C}} \to ((\operatorname{Set}^+_{\Delta})^{\circ})^{\mathcal{C}} \subseteq (\operatorname{Set}^+_{\Delta})^{\mathcal{C}}$  be the induced fibrant replacement simplicial functor with respect to the projective model structure [52, Remark A.3.3.1].

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⁵Under our convention, the objects of  $\Pr^{L}$  and  $\Pr^{R}$  are the  $\mathcal{U}$ -presentable  $\infty$ -categories in  $\mathcal{V}$ .

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#### 1. Gluing restricted nerves of $\infty$ -categories

The extraordinary pushforward, one of Grothendieck's six operations, in étale cohomology of schemes was constructed in [3, Exposé xvii]. Let Sch' be the category of quasi-compact and quasi-separated schemes, with morphisms being separated of finite type, and let  $\Lambda$  be a fixed torsion ring. For a morphism  $f: Y \to X$  in Sch', the extraordinary pushforward by f is a functor

$$f_! \colon \mathrm{D}(Y, \Lambda) \to \mathrm{D}(X, \Lambda),$$

between unbounded derived categories of  $\Lambda$ -modules in the étale topoi. The functoriality of this operation is encoded by a pseudofunctor

$$F \colon \mathrm{Sch}' \to \mathrm{Cat}_1$$

sending a scheme X in Sch' to  $D(X, \Lambda)$  and a morphism  $f: Y \to X$  in Sch' to the functor  $f_!$ . Here Cat₁ denotes the (2, 1)-category of categories.⁶ There are obvious candidates for the restrictions  $F_P$  and  $F_J$  of F to the subcategories Sch'_P and Sch'_J of Sch' spanned respectively by proper morphisms and open immersions. The construction of F thus amounts to gluing the two pseudofunctors. For this, Deligne developed a general theory for gluing two pseudofunctors of target Cat₁ [3, Exposé xvii, §3]. Deligne's gluing theory, together with its variants ([4, §1.3], [68]), have found several other applications ([4], [13] and [67]).

In this chapter, we study the problem of gluing in higher categories. The technique developed here can be used to construct Grothendieck's six operations in different contexts (see, for example, [62]). In later chapters, we use the gluing technique to construct higher categorical six operations in étale cohomology of higher Artin stacks and prove the base change theorem. Even for 1-Artin stacks and ordinary six operations, this theorem was previously only established on the level of sheaves (and subject to other restrictions) ([47] and [48]). Our construction of the six operations makes essential use of higher categorical descent, so that even if one is only interested in the six operations and base change in ordinary derived categories, the enhanced version is still an indispensable step of the construction. As a starting point for the descent procedure, we need an enhancement of the pseudofunctor F above. In the language of  $\infty$ -categories developed in [52], such an enhancement is a functor

$$F^{\infty} \colon \mathrm{N}(\mathrm{Sch}') \to \mathrm{Cat}_{\infty}$$

between  $\infty$ -categories, where N(Sch') is the nerve of Sch' and  $\operatorname{Cat}_{\infty}$  denotes the  $\infty$ -category of  $\infty$ -categories. For every scheme X in Sch',  $F^{\infty}(X)$  is an  $\infty$ -category  $\mathcal{D}(X, \Lambda)$ , whose homotopy category is equivalent to  $\mathcal{D}(X, \Lambda)$ . For every morphism  $f: Y \to X$  in Sch', the image  $F^{\infty}(f)$  is a functor

$$f_!^\infty \colon \mathcal{D}(Y,\Lambda) \to \mathcal{D}(X,\Lambda)$$

such that the induced functor  $hf_{!}^{\infty}$  between homotopy categories is equivalent to the classical  $f_{!}$ .

One major difficulty of the construction of  $F^{\infty}$  is the need to keep track of coherence of all levels. By Nagata compactification [11], every morphism f in Sch' can be factorized as  $p \circ j$ , where j is an open immersion and p is proper. One can then define F(f) as  $F_P(p) \circ F_J(j)$ . The issue is that such a factorization is not canonical, so that one needs to include coherence with composition as part of the data. Since the target of F is a (2, 1)-category, in Deligne's theory

 $^{{}^{6}}A$  (2, 1)-category is a 2-category in which all 2-cells are invertible.

coherence up to the level of 2-cells suffices. The target of  $F^{\infty}$  being an  $\infty$ -category, we need to consider coherence of *all* levels.

Another complication is the need to deal with more than two subcategories. This need is already apparent in [67]. We will give another illustration in the proof of Corollary 1.0.4 below.

To handle these complications, we propose the following general framework. Let  $\mathcal{C}$  be an (ordinary) category and let  $k \ge 2$  be an integer. Let  $\mathcal{E}_1, \ldots, \mathcal{E}_k \subseteq \operatorname{Ar}(\mathcal{C})$  be k sets of arrows of  $\mathcal{C}$ , each containing every identity morphism in  $\mathcal{C}$ . In addition to the nerve  $N(\mathcal{C})$  of  $\mathcal{C}$ , we define another simplicial set, which we denote by  $\delta_k^* N(\mathcal{C})_{\mathcal{E}_1,\ldots,\mathcal{E}_k}^{cart}$ . Its *n*-simplices are functors  $[n]^k \to \mathcal{C}$  such that the image of a morphism in the *i*-th direction is in  $\mathcal{E}_i$  for  $1 \le i \le k$ , and the image of every square in direction (i, j) is a Cartesian square (also called pullback square) for  $1 \le i < j \le k$ . For example, when k = 2, the *n*-simplices of  $\delta_2^* N(\mathcal{C})_{\mathcal{E}_1,\mathcal{E}_2}^{cart}$  correspond to diagrams



where vertical (resp. horizontal) arrows are in  $\mathcal{E}_1$  (resp.  $\mathcal{E}_2$ ) and all squares are Cartesian. The face and degeneracy maps are defined in the obvious way. Note that  $\delta_k^* N(\mathcal{C})_{\mathcal{E}_1,\ldots,\mathcal{E}_k}^{cart}$  is seldom an  $\infty$ -category. It is the simplicial set associated to a k-simplicial set  $N(\mathcal{C})_{\mathcal{E}_1,\ldots,\mathcal{E}_k}^{cart}$ . The latter is a special case of what we call the restricted multisimplicial nerve of an  $(\infty$ -)category with extra data (Definition 1.3.14).

Let  $\mathcal{E}_0 \subseteq \operatorname{Ar}(\mathcal{C})$  be a set of arrows stable under composition and containing  $\mathcal{E}_1$  and  $\mathcal{E}_2$ . Then there is a natural map

(1.2) 
$$g: \delta_k^* \mathcal{N}(\mathcal{C})_{\mathcal{E}_1, \mathcal{E}_2, \mathcal{E}_3, \dots, \mathcal{E}_k}^{\operatorname{cart}} \to \delta_{k-1}^* \mathcal{N}(\mathcal{C})_{\mathcal{E}_0, \mathcal{E}_3, \dots, \mathcal{E}_k}^{\operatorname{cart}}$$

of simplicial sets, sending an *n*-simplex of the source corresponding to a functor  $[n]^k \to \mathcal{C}$ , to its partial diagonal

$$[n]^{k-1} = [n] \times [n]^{k-2} \xrightarrow{\operatorname{diag} \times \operatorname{id}_{[n]^{k-2}}} [n]^k = [n]^2 \times [n]^{k-2} \to \mathbb{C},$$

which is an n-simplex of the target.

We say that a subset  $\mathcal{E} \subseteq \operatorname{Ar}(\mathcal{C})$  is *admissible* (Definition 1.3.18) if  $\mathcal{E}$  contains every identity morphism,  $\mathcal{E}$  is stable under pullback, and for every pair of composable morphisms  $p \in \mathcal{E}$  and q in  $\mathcal{C}$ ,  $p \circ q$  is in  $\mathcal{E}$  if and only if  $q \in \mathcal{E}$ . One main result of this chapter is the following.

**Theorem 1.0.1** (Special case of Theorem 1.5.4). Let  $\mathcal{C}$  be a category admitting pullbacks and let  $\mathcal{E}_0, \mathcal{E}_1, \ldots, \mathcal{E}_k \subseteq \operatorname{Ar}(\mathcal{C}), k \ge 2$ , be sets of morphisms containing every identity morphism and satisfying the following conditions:

(1)  $\mathcal{E}_1, \mathcal{E}_2 \subseteq \mathcal{E}_0$ ;  $\mathcal{E}_0$  is stable under composition and  $\mathcal{E}_1, \mathcal{E}_2$  are admissible.

(2) For every morphism f in  $\mathcal{E}_0$ , there exist  $p \in \mathcal{E}_1$  and  $q \in \mathcal{E}_2$  such that  $f = p \circ q$ .

(3) For every  $3 \leq i \leq k$ ,  $\mathcal{E}_i$  is stable under pullback by  $\mathcal{E}_1$ .

Then the natural map (1.2)

 $g \colon \delta_k^* \mathcal{N}(\mathcal{C})_{\mathcal{E}_1, \mathcal{E}_2, \mathcal{E}_3, \dots, \mathcal{E}_k}^{\mathrm{cart}} \to \delta_{k-1}^* \mathcal{N}(\mathcal{C})_{\mathcal{E}_0, \mathcal{E}_3, \dots, \mathcal{E}_k}^{\mathrm{cart}}$ 

is a categorical equivalence (Definition 1.1.7).

Taking k = 2 and  $\mathcal{E}_0 = \operatorname{Ar}(\mathcal{C})$  we obtain the following.

**Corollary 1.0.2.** Let  $\mathcal{C}$  be a category admitting pullbacks. Let  $\mathcal{E}_1, \mathcal{E}_2 \subseteq \operatorname{Ar}(\mathcal{C})$  be admissible subsets. Assume that for every morphism f of  $\mathcal{C}$ , there exist  $p \in \mathcal{E}_1$  and  $q \in \mathcal{E}_2$  such that  $f = p \circ q$ . Then the natural map

$$g: \delta_2^* \mathcal{N}(\mathcal{C})_{\mathcal{E}_1, \mathcal{E}_2}^{\operatorname{cart}} \to \mathcal{N}(\mathcal{C})$$

is a categorical equivalence.

In the situation of Corollary 1.0.2, for every  $\infty$ -category  $\mathcal{D}$ , the functor

$$\operatorname{Fun}(\operatorname{N}(\mathcal{C}), \mathcal{D}) \to \operatorname{Fun}(\delta_2^*\operatorname{N}(\mathcal{C})_{\mathcal{E}_1, \mathcal{E}_2}^{\operatorname{cart}}, \mathcal{D})$$

is an equivalence of  $\infty$ -categories. We remark that such equivalences can be used to construct functors in many different contexts. For instance, we can take  $\mathcal{D}$  to be N( $\operatorname{Cat}_1$ ),⁷  $\operatorname{Cat}_\infty$ , or the  $\infty$ -category of differential graded categories.

In the above discussion, we may replace N( $\mathcal{C}$ ) by an  $\infty$ -category  $\mathcal{C}$  (not necessarily the nerve of an ordinary category), and define the simplicial set  $\delta_k^* \mathcal{C}_{\mathcal{E}_1,\ldots,\mathcal{E}_k}^{cart}$ . Moreover, in later application, we need to encode information such as the Base Change isomorphism, which involves both pullback and (extraordinary) pushforward. To this end, we will define in §1.3, for every subset  $L \subseteq \{1,\ldots,k\}$ , a variant  $\delta_{k,L}^* \mathcal{C}_{\mathcal{E}_1,\ldots,\mathcal{E}_k}^{cart}$  of  $\delta_k^* \mathcal{C}_{\mathcal{E}_1,\ldots,\mathcal{E}_k}^{cart}$  by "taking the opposite" in the directions in L. For  $L \subseteq \{3,\ldots,k\}$ , the theorem remains valid modulo slight modifications. We refer the reader to Theorem 1.5.4 for a precise statement. Let us mention in passing that there exists a canonical categorical equivalence from the simplicial set  $\delta_{2,\{2\}}^* \mathcal{C}_{\mathcal{E}_1,\mathcal{E}_2}^{cart}$  to the  $\infty$ -category of correspondences introduced in [25]; see Example 1.4.29.

Next we turn to applications to categories of schemes.

**Corollary 1.0.3.** Let  $P \subseteq Ar(Sch')$  be the subset of proper morphisms and let  $J \subseteq Ar(Sch')$  be the subset of open immersions. Then the natural map

$$\delta_2^* \mathrm{N}(\mathrm{Sch}')_{P,J}^{\mathrm{cart}} \to \mathrm{N}(\mathrm{Sch}')$$

is a categorical equivalence.

*Proof.* This follows immediately from Corollary 1.0.2 applied to  $\mathcal{C} = \mathrm{Sch}', \mathcal{E}_1 = P, \mathcal{E}_2 = J.$ 

As many important moduli stacks are not quasi-compact, later we will work with Artin stacks that are not necessarily quasi-compact. Accordingly, we need the following variant of Corollary 1.0.3.

**Corollary 1.0.4.** Let Sch" be the category of disjoint unions of quasi-compact and quasiseparated schemes, with morphisms being separated and locally of finite type. Let  $F = \operatorname{Ar}(\operatorname{Sch}'')$ be the set of morphisms of Sch". Let  $P \subseteq F$  be the subset of proper morphisms, and let  $I \subseteq F$ be the subset of local isomorphisms [34, Définition 4.4.2]. Then the natural map

$$\delta_2^* \mathrm{N}(\mathrm{Sch}'')_{P,I}^{\mathrm{cart}} \to \mathrm{N}(\mathrm{Sch}'')$$

is a categorical equivalence.

Corollary 1.0.4 still holds if one replaces I by the subset  $E \subseteq F$  of étale morphisms.

One might be tempted to apply Corollary 1.0.2 by taking  $\mathcal{E}_1 = P$ ,  $\mathcal{E}_2 = I$ . However, the assumption of Corollary 1.0.2 does not hold. For example, we may take f to be the structural morphism of the disjoint union of varieties of unbounded dimensions over a field.

⁷Here  $N(Cat_1)$  denotes the simplicial nerve [52, Definition 1.1.5.5] of  $Cat_1$ , the latter regarded as a simplicial category.

Proof of Corollary 1.0.4. Put  $\mathcal{C} = \mathrm{Sch}''$ . We introduce the following auxiliary sets of morphisms. Let  $F_{\mathrm{ft}} \subseteq F$  be the set of separated morphisms of finite type, and let  $I_{\mathrm{ft}} = I \cap F_{\mathrm{ft}}$ . Consider the following commutative diagram



where the upper arrow is induced by "composing morphisms in P and  $I_{\rm ft}$ ", while the left arrow is induced by "composing morphisms in  $I_{\rm ft}$  and I". We will apply Theorem 1.0.1 to all arrows in the diagram, except the lower one, to show that they are categorical equivalences. It then follows that the lower arrow is also a categorical equivalence.

For the upper arrow, we apply Theorem 1.0.1 to k = 3,  $\mathcal{E}_0 = F_{\text{ft}}$ ,  $\mathcal{E}_1 = P$ ,  $\mathcal{E}_2 = I_{\text{ft}}$ ,  $\mathcal{E}_3 = I$ . Conditions (1) and (3) are obviously satisfied. For Condition (2), note that every morphism f in  $F_{\text{ft}}$  can be written as a disjoint union  $\coprod f_i$  of morphisms  $f_i$  of Sch'. It then suffices to apply Nagata compactification to each  $f_i$ .

For the left arrow, we apply Theorem 1.0.1 to k = 3,  $\mathcal{E}_0 = \mathcal{E}_1 = I$ ,  $\mathcal{E}_2 = I_{\text{ft}}$ ,  $\mathcal{E}_3 = P$ . All the conditions are obviously satisfied.

For the right arrow, note that the map  $\delta_2^* N(\mathcal{C})_{F_{\mathrm{ft}},I}^{\mathrm{cart}} \to \delta_2^* N(\mathcal{C})_{I,F_{\mathrm{ft}}}^{\mathrm{cart}}$  given by "flipping the squares in (1.1) along the diagonal" is an isomorphism, which is compatible with the maps to  $N(\mathcal{C})$ . Thus, it suffices to show that the map  $\delta_2^* N(\mathcal{C})_{I,F_{\mathrm{ft}}}^{\mathrm{cart}} \to N(\mathcal{C})$  is a categorial equivalence. For this, we apply Corollary 1.0.2 to  $(k = 2, \mathcal{E}_0 = F,)$   $\mathcal{E}_1 = I, \mathcal{E}_2 = F_{\mathrm{ft}}$ . To verify the assumption of Corollary 1.0.2, let f be a morphism of Sch". Then f has the form  $\coprod_{i,j} X_{ij} \to \coprod_i Y_i$  and is induced by morphisms  $X_{ij} \to Y_i$ , where  $X_{ij}$  and  $Y_i$  are quasi-compact and quasi-separated schemes. Then f is the composition  $\coprod_{i,j} X_{ij} \xrightarrow{q} \coprod_{i,j} Y_i \xrightarrow{p} \coprod_i Y_i$  with  $p \in I$  and  $q \in F_{\mathrm{ft}}$ .  $\Box$ 

The proof of Theorem 1.0.1 consists of two steps. Let us illustrate them in the case of Corollary 1.0.2. The map g can be decomposed as

$$\delta_2^* \mathcal{N}(\mathcal{C})_{\mathcal{E}_1, \mathcal{E}_2}^{\mathrm{cart}} \xrightarrow{g'} \delta_2^* \mathcal{N}(\mathcal{C})_{\mathcal{E}_1, \mathcal{E}_2} \xrightarrow{g''} \mathcal{N}(\mathcal{C}),$$

where  $\delta_2^* N(\mathcal{C})_{\mathcal{E}_1,\mathcal{E}_2}$  is the simplicial set whose *n*-simplices are diagrams (1.1) without the requirement that every square is Cartesian, g' is the natural inclusion and g'' is the map remembering the diagonal. We prove that both g' and g'' are categorical equivalences. The fact that g'' is a categorical equivalence is an  $\infty$ -categorical generalization of Deligne's result [3, Exposé xvii, Proposition 3.3.2].

This chapter is organized as follows. In §1.1, we collect some basic definitions and facts in the theory of  $\infty$ -categories [52] for the reader's convenience. In §1.2, we develop a general technique for constructing functors to  $\infty$ -categories. In §1.3, we introduce several notions related to multisimplicial sets used in the statements of our main results. In particular, we define the restricted multisimplicial nerve of an  $\infty$ -category with extra data. In §1.4, we prove a multisimplicial descent theorem, which implies that the map g'' is a categorical equivalence. In §1.5, we prove a Cartesian gluing theorem, which implies that the inclusion g' is a categorical equivalence. A Cartesian gluing formalism for pseudofunctors between 2-categories was developed in [68]. Our treatment here is quite different and more adapted to the higher categorical context. In §1.6, we prove some facts about inclusions of simplicial sets used in the previous sections.

1.1. Simplicial sets and  $\infty$ -categories. In this section, we collect some basic definitions and facts in the theory of  $\infty$ -categories developed by Joyal in [42] and [43] (who calls them "quasi-categories") and Lurie [52].

For  $n \ge 0$ , we let [n] denote the totally ordered set  $\{0, \ldots, n\}$  and we put  $[-1] \coloneqq \emptyset$ . We let  $\Delta$  denote the *category of combinatorial simplices*, whose objects are the totally ordered sets [n] for  $n \ge 0$  and whose morphisms are given by (non-strictly) order-preserving maps. For  $n \ge 0$  and  $0 \le k \le n$ , the face map  $d_k^n \colon [n-1] \to [n]$  is the unique injective order-preserving map such that k is not in the image; and the degeneracy map  $s_k^n \colon [n+1] \to [n]$  is the unique surjective order-preserving map such that  $(s_k^n)^{-1}(k)$  has two elements.

**Definition 1.1.1** (Simplicial set and  $\infty$ -category). We let Set denote the category of sets.⁸

- We define the category of simplicial sets, denoted by  $\text{Set}_{\Delta}$ , to be the functor category  $\text{Fun}(\Delta^{op}, \text{Set})$ . For a simplicial set S, we denote by  $S_n = S([n])$  its set of n-simplices.
- For  $n \ge 0$ , we denote by  $\Delta^n = \operatorname{Fun}(-, [n])$  the simplicial set represented by [n]. We let  $\partial \Delta^n \subseteq \Delta^n$  denote the simplicial subset obtained by removing the interior, namely the *n*-simplex defined by  $\operatorname{id}_{[n]}: [n] \to [n]$ . In particular,  $\partial \Delta^0 = \emptyset$ . For each  $0 \le k \le n$ , we define the *k*-th horn  $\Lambda^n_k \subseteq \partial \Delta^n$  to be the simplicial subset obtained by removing the face opposite to the *k*-th vertex, namely the (n-1)-simplex defined by  $d^n_k: [n-1] \to [n]$ .
- An  $\infty$ -category (resp. Kan complex) is a simplicial set  $\mathcal{C}$  such that  $\mathcal{C} \to \Delta^0$  has the right lifting property with respect to all inclusions  $\Lambda_k^n \subseteq \Delta^n$  with 0 < k < n (resp.  $0 \leq k \leq n$ ). In other words, a simplicial set  $\mathcal{C}$  is an  $\infty$ -category (resp. Kan complex) if and only if every map  $\Lambda_k^n \to \mathcal{C}$  with 0 < k < n (resp.  $0 \leq k \leq n$ ) can be extended to a map  $\Delta^n \to \mathcal{C}$ .

Note that a Kan complex is an  $\infty$ -category. The lifting property in the definition of  $\infty$ -category was first introduced (under the name of "restricted Kan condition") by Boardman and Vogt [10, Definition IV.4.8].

The lifting property defining  $\infty$ -category (resp. Kan complex) can be adapted to the relative case. More precisely, a map  $f: T \to S$  of simplicial sets is called an *inner fibration* (resp. Kan *fibration*) if it has the right lifting property with respect to all inclusions  $\Lambda_k^n \subseteq \Delta^n$  with 0 < k < n (resp.  $0 \leq k \leq n$ ). A map  $i: A \to B$  of simplicial sets is said to be *inner anodyne* (resp. *anodyne*) if it has the left lifting property with respect to all increasing (resp. Kan fibration).

Example 1.1.2 (Nerve of an ordinary category). Let  $\mathcal{C}$  be an ordinary category. The *nerve* N( $\mathcal{C}$ ) of  $\mathcal{C}$  is the simplicial set given by N( $\mathcal{C}$ )_n = Fun([n],  $\mathcal{C}$ ). It is easy to see that N( $\mathcal{C}$ ) is an  $\infty$ -category and we can identify N( $\mathcal{C}$ )₀ and N( $\mathcal{C}$ )₁ with the set of objects Ob( $\mathcal{C}$ ) and the set of arrows Ar( $\mathcal{C}$ ), respectively.

Conversely, given a simplicial set S, one constructs an ordinary category hS, the homotopy category of S ([52, Definition 1.1.5.14], ignoring the enrichment) such that  $Ob(hS) = S_0$ . For an  $\infty$ -category  $\mathcal{C}$ ,  $Hom_{h\mathcal{C}}(x, y)$  consists of homotopy classes of edges  $x \to y$  in  $\mathcal{C}_1$  [52, Proposition 1.2.3.9]. By [52, Proposition 1.2.3.1], h is left adjoint to the nerve functor N.

**Definition 1.1.3** (Object, morphism, equivalence). Let  $\mathcal{C}$  be an  $\infty$ -category. Vertices of  $\mathcal{C}$  are called *objects* of  $\mathcal{C}$  and edges of  $\mathcal{C}$  are called *morphisms* of  $\mathcal{C}$ . A morphism of  $\mathcal{C}$  is called an *equivalence* if it defines an isomorphism in the homotopy category h $\mathcal{C}$ .

The category  $\text{Set}_{\Delta}$  is Cartesian-closed. For objects S and T of  $\text{Set}_{\Delta}$ , we let Map(S,T) denote the internal mapping object defined by

 $\operatorname{Hom}_{\operatorname{Set}_{\Delta}}(K, \operatorname{Map}(S, T)) \simeq \operatorname{Hom}_{\operatorname{Set}_{\Delta}}(K \times S, T).$ 

If  $\mathcal{C}$  is an  $\infty$ -category, we write Fun $(S, \mathcal{C})$  instead of Map $(S, \mathcal{C})$ . One can show that Fun $(S, \mathcal{C})$  is an  $\infty$ -category [52, Proposition 1.2.7.3(1)] (see also [52, Corollary 2.3.2.5]).

⁸More rigorously, Set is the category of sets in a universe that we fix once and for all.

**Definition 1.1.4** (Functor, natural transformation, natural equivalence). Objects of Fun $(S, \mathcal{C})$  are called *functors*  $S \to \mathcal{C}$ , morphisms of Fun $(S, \mathcal{C})$  are called *natural transformations*, and equivalences in Fun $(S, \mathcal{C})$  are called *natural equivalences*.

Remark 1.1.5. Let  $f, g: S \to \mathbb{C}$  be functors and  $\phi: f \to g$  a natural transformation. Then  $\phi$  is a natural equivalence if and only if for every vertex s of S, the morphism  $\phi(s): f(s) \to g(s)$  is an equivalence in C. We refer the reader to [52, Proposition 3.1.2.1] for a generalization (see [52, Remark 2.4.1.4]).

Remark 1.1.6. Let  $\mathcal{C}$  be an  $\infty$ -category and let  $f, g: x \to y$  be morphisms of  $\mathcal{C}$ . Then f and g are homotopic (namely, having the same image in h $\mathcal{C}$ ) if and only if they are equivalent when viewed as objects of the  $\infty$ -category defined by the fiber of the map  $\operatorname{Fun}(\Delta^1, \mathcal{C}) \to \operatorname{Fun}(\partial \Delta^1, \mathcal{C})$ . Indeed, the latter condition means that there exist a morphism  $h: x \to y$  and two 2-simplices of  $\mathcal{C}$  as shown in the diagram



By definition (resp. [52, Remark 1.2.3.6]), the existence of the 2-simplex in the upper right (resp. lower left) corner means that f (resp. g) and h are homotopic. This proves the "if" part. For the "only if" part, it suffices to take h = g and to take the 2-simplex in the lower left corner to be degenerate.

We now recall the notion of categorical equivalence of simplicial sets, which is essential to our article. There are several equivalent definitions of categorical equivalence. The one given below (equivalent to [52, Definition 1.1.5.14] in view of [52, Proposition 2.2.5.8]), due to Joyal [43], will be used in the proofs of our theorems.

**Definition 1.1.7** (Categorical equivalence). A map  $f: T \to S$  of simplicial sets is a *categorical* equivalence if for every  $\infty$ -category  $\mathcal{C}$ , the induced functor

$$h\operatorname{Fun}(S, \mathfrak{C}) \to h\operatorname{Fun}(T, \mathfrak{C})$$

is an equivalence of ordinary categories.

If  $f: T \to S$  is a categorical equivalence, then the induced functor  $hT \to hS$  is an equivalence of ordinary categories. An inner anodyne map is a categorical equivalence [52, Lemma 2.2.5.2]. The category  $\text{Set}_{\Delta}$  admits the Joyal model structure [52, Theorem 2.2.5.1], for which weak equivalences are precisely categorical equivalences.

Remark 1.1.8. Let  $\mathcal{C}$  and  $\mathcal{D}$  be  $\infty$ -categories. A functor  $f : \mathcal{C} \to \mathcal{D}$  is a categorical equivalence if and only if there exist a functor  $g : \mathcal{D} \to \mathcal{C}$  and natural equivalences between  $f \circ g$  and  $\mathrm{id}_{\mathcal{D}}$  and between  $g \circ f$  and  $\mathrm{id}_{\mathcal{C}}$ . Indeed, the "only if" direction follows from [52, Proposition 1.2.7.3] and the other direction is clear.

The following criterion of categorical equivalence will be used in the proofs of our theorems. Given maps of simplicial sets  $v, v' \colon Y \to X$  and an inner fibration  $p \colon X \to S$  such that  $p \circ v = p \circ v'$ , we say that v and v' are homotopic over S if they are equivalent when viewed as objects of the  $\infty$ -category defined by the fiber of the inner fibration  $\operatorname{Map}(Z, X) \to \operatorname{Map}(Z, S)$  induced by p.

**Lemma 1.1.9.** A map of simplicial sets  $f: Y \to Z$  is a categorical equivalence if and only if the following conditions are satisfied for every  $\infty$ -category  $\mathbb{D}$ :

(1) For every l = 0, 1 and every commutative diagram



where p is induced by the inclusion  $\partial \Delta^l \subseteq \Delta^l$ , there exists a map  $u: Z \to \operatorname{Fun}(\Delta^l, \mathcal{D})$ satisfying  $p \circ u = w$  such that  $u \circ f$  and v are homotopic over  $\operatorname{Fun}(\partial \Delta^l, \mathcal{D})$ .

(2) For l = 2 and every commutative diagram as above, there exists a map  $u: Z \to Fun(\Delta^l, \mathcal{D})$  satisfying  $p \circ u = w$ .

*Proof.* By definition, that f is a categorical equivalence means that for every  $\infty$ -category  $\mathcal{D}$ , the functor

$$F \colon \operatorname{h}\operatorname{Fun}(Z, \mathcal{D}) \to \operatorname{h}\operatorname{Fun}(Y, \mathcal{D})$$

induced by f is an equivalence of categories. We show that the conditions for l = 0, 1, 2 mean that F is essentially surjective, full, and faithful, respectively. For l = 0, this is clear. For l = 1, this follows from Remark 1.1.6. For l = 2, the condition means that for functors  $g_0, g_1, g_2: Z \to \mathcal{D}$ , and natural transformations  $\phi: g_0 \to g_1, \psi: g_1 \to g_2, \chi: g_0 \to g_2$  such that  $F([\psi] \circ [\phi]) = F([\chi])$ , we have  $[\psi] \circ [\phi] = [\chi]$ . Here  $[\phi], [\psi], [\chi]$  denote the homotopy classes of  $\phi, \psi, \chi$ , respectively. The condition is clearly satisfied if F is faithful. Conversely, if F is faithful, it suffices to take  $g_1 = g_2$  and  $\psi = id$ .

In §1.3, we will introduce the notion of multi-marked simplicial sets, which generalizes the notion of marked simplicial sets in [52, Definition 3.1.0.1]. Since marked simplicial sets play an important role in many arguments for  $\infty$ -categories, we recall its definition.

**Definition 1.1.10** (Marked simplicial set). A marked simplicial set is a pair  $(X, \mathcal{E})$  where X is a simplicial set and  $\mathcal{E} \subseteq X_1$  is a subset containing all degenerate edges. A morphism  $f: (X, \mathcal{E}) \to (X', \mathcal{E}')$  of marked simplicial sets is a map  $f: X \to X'$  of simplicial sets satisfying  $f(\mathcal{E}) \subseteq \mathcal{E}'$ . We let  $\operatorname{Set}^+_{\Lambda}$  denote the category of marked simplicial sets.

The forgetful functor  $F: \text{Set}_{\Delta}^+ \to \text{Set}_{\Delta}$  carrying  $(X, \mathcal{E})$  to X admits a right adjoint carrying a simplicial set S to  $S^{\sharp} = (S, S_1)$  and a left adjoint carrying S to  $S^{\flat} = (S, \mathcal{E})$ , where  $\mathcal{E}$  is the set of all degenerate edges. For an  $\infty$ -category  $\mathcal{C}$ , we let  $\mathcal{C}^{\natural}$  denote the marked simplicial set  $(\mathcal{C}, \mathcal{E})$ , where  $\mathcal{E}$  is the set of all edges of  $\mathcal{C}$  that are equivalences. The category  $\text{Set}_{\Delta}^+$  is equipped with the *Cartesian model structure* [52, Proposition 3.1.3.7]. The adjoint pair  $((-)^{\flat}, F)$  is a Quillen equivalence between the Joyal model structure on  $\text{Set}_{\Delta}$  and the Cartesian model structure on  $\text{Set}_{\Delta}^+$  [52, Theorem 3.1.5.1].

The category  $\operatorname{Set}_{\Delta}^+$  is Cartesian-closed. For objects X and Y of  $\operatorname{Set}_{\Delta}^+$ , we let  $\operatorname{Map}^{\flat}(X,Y)$ denote the underlying simplicial set of the internal mapping object  $Y^X$ . We let  $\operatorname{Map}^{\sharp}(X,Y) \subseteq$  $\operatorname{Map}^{\flat}(X,Y)$  denote the largest simplicial subset such that  $\operatorname{Map}^{\sharp}(X,Y)^{\sharp} \subseteq Y^X$ . If  $\mathcal{C}$  is an  $\infty$ category, then  $\operatorname{Map}^{\flat}(X, \mathcal{C}^{\natural})$  is an  $\infty$ -category and  $\operatorname{Map}^{\sharp}(X, \mathcal{C}^{\natural})$  is the largest Kan complex [52, Proposition 1.2.5.3] contained in  $\operatorname{Map}^{\flat}(X, \mathcal{C}^{\natural})$  [52, Remark 3.1.3.1] (see also [52, Lemma 3.1.3.6]), so that  $(\mathcal{C}^{\natural})^X = \operatorname{Map}^{\flat}(X, \mathcal{C}^{\natural})^{\natural}$ .

1.2. Constructing functors via the category of simplices. In this section, we develop a general technique for constructing functors to  $\infty$ -categories, which is the key to several constructions in this article and its sequels. For a functor  $F: K \to \mathcal{C}$  from a simplicial set K to an  $\infty$ -category  $\mathcal{C}$ , the image  $F(\sigma)$  of a simplex  $\sigma$  of K is a simplex of  $\mathcal{C}$ , functorial in  $\sigma$ . Here we

address the problem of constructing F when, instead of having a canonical choice for  $F(\sigma)$ , one has a weakly contractible simplicial set  $\mathcal{N}(\sigma)$  of candidates for  $F(\sigma)$ .

We start with some generalities on diagrams of simplicial sets. Let  $\mathfrak{I}$  be a (small) ordinary category. We consider the injective model structure on the functor category  $(\operatorname{Set}_{\Delta})^{\mathfrak{I}} := \operatorname{Fun}(\mathfrak{I}, \operatorname{Set}_{\Delta})$ . We say that a morphism  $i: \mathbb{N} \to \mathbb{M}$  in  $(\operatorname{Set}_{\Delta})^{\mathfrak{I}}$  is anodyne if  $i(\sigma): \mathbb{N}(\sigma) \to \mathbb{M}(\sigma)$  is anodyne for every object  $\sigma$  of  $\mathfrak{I}$ . We say that a morphism  $\mathfrak{R} \to \mathfrak{R}'$  in  $(\operatorname{Set}_{\Delta})^{\mathfrak{I}}$  is an *injective fibration* if it has the right lifting property with respect to every anodyne morphism  $\mathbb{N} \to \mathbb{M}$  in  $(\operatorname{Set}_{\Delta})^{\mathfrak{I}}$ . We say that an object  $\mathfrak{R}$  of  $(\operatorname{Set}_{\Delta})^{\mathfrak{I}}$  is *injectively fibrant* if the morphism from  $\mathfrak{R}$  to the final object  $\Delta_{\mathfrak{I}}^{\mathfrak{I}}$ is an injective fibration. The right adjoint of the diagonal functor  $\operatorname{Set}_{\Delta} \to (\operatorname{Set}_{\Delta})^{\mathfrak{I}}$  is the global section functor

$$\Gamma: (\operatorname{Set}_{\Delta})^{\mathfrak{I}} \to \operatorname{Set}_{\Delta}, \quad \Gamma(\mathfrak{N})_q = \operatorname{Hom}_{(\operatorname{Set}_{\Delta})^{\mathfrak{I}}}(\Delta^q_{\mathfrak{I}}, \mathfrak{N}),$$

where  $\Delta_{\mathcal{I}}^q \colon \mathcal{I} \to \operatorname{Set}_\Delta$  is the constant functor of value  $\Delta^q$ .

**Notation 1.2.1.** Let  $\Phi \colon \mathbb{N} \to \mathbb{R}$  be a morphism of  $(\text{Set}_{\Delta})^{\mathcal{I}}$ . We let  $\Gamma_{\Phi}(\mathbb{R}) \subseteq \Gamma(\mathbb{R})$  denote the simplicial subset, union of the images of  $\Gamma(\Psi) \colon \Gamma(\mathbb{M}) \to \Gamma(\mathbb{R})$  for all factorizations

$$\mathcal{N} \xrightarrow{i} \mathcal{M} \xrightarrow{\Psi} \mathcal{R}$$

of  $\Phi$  such that *i* is anodyne.

Remark 1.2.2. As the referee pointed out,  $\Gamma_{\Phi}(\mathcal{R})$  can be computed using one single factorization

$$\mathcal{N} \xrightarrow{i'} \mathcal{M}' \xrightarrow{\Psi'} \mathcal{R}$$

of  $\Phi$ , where i' is anodyne and  $\Psi'$  is an injective fibration. For every factorization  $\mathcal{N} \xrightarrow{i} \mathcal{M} \xrightarrow{\Psi} \mathcal{R}$  of  $\Phi$  such that i is anodyne, there exists a dotted arrow rendering the diagram



commutative. Thus  $\Gamma_{\Phi}(\mathcal{R})$  is simply the image of  $\Gamma(\Psi')$ . Since  $\Gamma(\Psi')$  is a Kan fibration,  $\Gamma_{\Phi}(\mathcal{R})$  is a union of connected components of  $\Gamma(\mathcal{R})$ . Indeed, the inclusion  $\Gamma_{\Phi}(\mathcal{R}) \subseteq \Gamma(\mathcal{R})$  satisfies the right lifting property with respect to the inclusion  $\Delta^{\{j\}} \subseteq \Delta^n$  for all  $0 \leq j \leq n$ .

Remark 1.2.3. By definition, the map  $\Gamma(\Phi) \colon \Gamma(\mathcal{N}) \to \Gamma(\mathcal{R})$  factorizes through  $\Gamma_{\Phi}(\mathcal{R})$ . The construction of  $\Gamma_{\Phi}(\mathcal{R})$  enjoys the following functoriality. For a commutative diagram

$$\begin{array}{c} \mathcal{N} & \stackrel{\Phi}{\longrightarrow} \mathcal{R} \\ G \\ G \\ \mathcal{N}' & \stackrel{\Phi'}{\longrightarrow} \mathcal{R}' \end{array}$$

in  $(\operatorname{Set}_{\Delta})^{\mathcal{I}}$ , the map  $\Gamma(F) \colon \Gamma(\mathcal{R}) \to \Gamma(\mathcal{R}')$  carries  $\Gamma_{\Phi}(\mathcal{R})$  into  $\Gamma_{\Phi'}(\mathcal{R}')$ . Indeed, for every factorization  $\mathcal{N} \xrightarrow{i} \mathcal{M} \xrightarrow{\Psi} \mathcal{R}$  of  $\Phi$  such that *i* is anodyne, we have a commutative diagram

$$\begin{array}{c|c} \mathcal{N} & \stackrel{i}{\longrightarrow} \mathcal{M} & \stackrel{\Psi}{\longrightarrow} \mathcal{R} \\ G & & & & \downarrow \\ G & & & \downarrow \\ \mathcal{N}' & \stackrel{i'}{\longrightarrow} \mathcal{M}' & \stackrel{\Psi'}{\longrightarrow} \mathcal{R}', \end{array}$$

where i' is the pushout of i by G, hence is anodyne.

For a functor  $g: \mathcal{I}' \to \mathcal{I}$ , composition with g induces a functor  $g^* \colon (\text{Set}_{\Delta})^{\mathcal{I}} \to (\text{Set}_{\Delta})^{\mathcal{I}'}$ . By a slight abuse of notation, we still denote by  $g^* \colon \Gamma(\mathcal{R}) \to \Gamma(g^*\mathcal{R})$  the pullback map induced by the functor  $g^*$ . Then the pullback map  $g^*$  carries  $\Gamma_{\Phi}(\mathcal{R})$  into  $\Gamma_{g^*\Phi}(g^*\mathcal{R})$ . Indeed, for every factorization  $\mathcal{N} \xrightarrow{i} \mathcal{M} \xrightarrow{\Psi} \mathcal{R}$  of  $\Phi$  such that i is anodyne,  $g^*\mathcal{N} \xrightarrow{g^*i} g^*\mathcal{M} \xrightarrow{g^*\Psi} g^*\mathcal{R}$  is a factorization of  $g^*\Phi$  such that  $g^*i$  is anodyne, and we have the following commutative diagram



Our construction technique relies on the following property of  $\Gamma_{\Phi}(\mathcal{R})$ . In a previous draft of this article, the statement of part (1) in the following lemma was incorrect. We thank the referee for suggesting the following correction.

**Lemma 1.2.4.** Let  $\mathfrak{I}$  be a category. Let  $\mathfrak{N}$ ,  $\mathfrak{R}$  be objects of  $(\operatorname{Set}_{\Delta})^{\mathfrak{I}}$  such that  $\mathfrak{N}(\sigma)$  is weakly contractible for all objects  $\sigma$  of  $\mathfrak{I}$  and  $\mathfrak{R}$  is injectively fibrant.

- (1) For every morphism  $\Phi \colon \mathbb{N} \to \mathbb{R}$ , the simplicial set  $\Gamma_{\Phi}(\mathbb{R})$  is nonempty and connected, hence a connected component of  $\Gamma(\mathbb{R})$ .
- (2) For homotopic morphisms  $\Phi, \Phi' \colon \mathbb{N} \to \mathbb{R}$ , we have  $\Gamma_{\Phi}(\mathbb{R}) = \Gamma_{\Phi'}(\mathbb{R})$ .

The condition in (2) means that there exists a morphism  $H: \Delta^1_{\mathcal{I}} \times \mathcal{N} \to \mathcal{R}$  such that  $H | \Delta^{\{0\}}_{\mathcal{I}} \times \mathcal{N} = \Phi$  and  $H | \Delta^{\{1\}}_{\mathcal{I}} \times \mathcal{N} = \Phi'$ . Note that  $\Gamma(\mathcal{R})$  is a Kan complex.

*Proof.* (1) We apply Remark 1.2.2. Since the morphism  $\mathcal{M}' \to \Delta^0_{\mathcal{J}}$  is a trivial fibration,  $\Gamma(\mathcal{M}')$  is a contractible Kan complex. Therefore its image  $\Gamma_{\Phi}(\mathcal{R})$  is nonempty and connected, hence a connected component of  $\Gamma(\mathcal{R})$ .

(2) We define an object  $\mathbb{N}^{\triangleright}$  by  $\mathbb{N}^{\triangleright}(\sigma) = \mathbb{N}(\sigma)^{\triangleright}$  [52, Notation 1.2.8.4]. Since the inclusion  $\Delta^1_{\mathcal{I}} \times \mathbb{N} \hookrightarrow \Delta^1_{\mathcal{I}} \times \mathbb{N}^{\triangleright}$  is anodyne and  $\mathcal{R}$  is injectively fibrant, we can find a morphism H' as shown in the diagram

$$\begin{array}{c} \Delta^{1}_{\mathfrak{I}} \times \mathfrak{N} \xrightarrow{H} \mathfrak{R} \\ & \swarrow \\ & \swarrow \\ \Delta^{1}_{\mathfrak{I}} \times \mathfrak{N}^{\triangleright} \end{array}$$

rendering the diagram commutative. We denote by  $h: \Delta_{\mathfrak{I}}^{1} \to \mathfrak{R}$  the restriction of H' to the cone point of  $\mathbb{N}^{\triangleright}$ , corresponding to an edge of  $\Gamma(\mathfrak{R})$ . Then h(0) belongs to  $\Gamma_{\Phi}(\mathfrak{R})$  and h(1) belongs to  $\Gamma_{\Phi'}(\mathfrak{R})$ . Since  $\Gamma_{\Phi}(\mathfrak{R})$  and  $\Gamma_{\Phi'}(\mathfrak{R})$  are connected components of  $\Gamma(\mathfrak{R})$  by (1), we have  $\Gamma_{\Phi}(\mathfrak{R}) = \Gamma_{\Phi'}(\mathfrak{R})$ .

Let K be a simplicial set. The category of simplices of K, which we denote by  $\Delta_{/K}$  following [52, Notation 6.1.2.5], plays a key role in our construction technique. Recall that  $\Delta_{/K}$  is the strict fiber product  $\Delta \times_{\text{Set}_{\Delta}} (\text{Set}_{\Delta})_{/K}$ . An object of  $\Delta_{/K}$  is a pair  $(n, \sigma)$ , where  $n \ge 0$  is some integer and  $\sigma \in \text{Hom}_{\text{Set}_{\Delta}}(\Delta^n, K)$ . A morphism  $(n, \sigma) \to (n', \sigma')$  is a map  $d: \Delta^n \to \Delta^{n'}$  such that  $\sigma = \sigma' \circ d$ . Note that d is a monomorphism (resp. epimorphism) if and only if the underlying map  $[n] \to [n']$  is injective (resp. surjective). Every epimorphism of  $\Delta_{/K}$  is split. Moreover,  $\Delta_{/K}$  admits pushouts of epimorphisms by epimorphisms. In what follows, we sometimes simply write  $\sigma$  for an object of  $\Delta_{/K}$  if n is insensitive.

The usefulness of  $\Delta_{/K}$  is demonstrated by the following lemma.

**Lemma 1.2.5** ([40, Lemma 3.1.3]). The maps  $\sigma: \Delta^n \to K$  exhibit K as the colimit of the functor  $\Delta_{/K} \to \text{Set}_{\Delta}$  carrying  $(n, \sigma)$  to  $\Delta^n$ .

*Proof.* We include a proof for completeness. Let X be the colimit. Given  $m \ge 0$ , the set  $X_m$  is the colimit of the functor  $F_m: \mathbf{\Delta}_{/K} \to \text{Set carrying } (n, \sigma)$  to  $(\Delta^n)_m \simeq \text{Hom}_{\text{Set}_{\Delta}}(\Delta^m, \Delta^n)$ . We denote by  $\mathbf{\Delta}_{/K}^{[m]}$  the category of elements of  $F_m$ . Objects of  $F_m$  are triples

$$(n,\sigma,\tau)\colon \Delta^m \xrightarrow{\tau} \Delta^n \xrightarrow{\sigma} K,$$

and morphisms  $(n, \sigma, \tau) \to (n', \sigma', \tau')$  are commutative diagrams

$$\begin{array}{c|c} \Delta^m & \xrightarrow{\tau} & \Delta^n & \xrightarrow{\sigma} & K \\ & & & \downarrow^d & & \\ & & \downarrow^d & & \\ \Delta^m & \xrightarrow{\tau'} & \Delta^{n'} & \xrightarrow{\sigma'} & K. \end{array}$$

Note that  $\Delta_{/K}^{[m]}$  is a disjoint union of categories indexed by  $\rho = \sigma \tau \in K_m$ , each admitting an initial object

$$(m, \rho, \operatorname{id}_{\Delta^m}) \colon \Delta^m \xrightarrow{\operatorname{id}} \Delta^m \xrightarrow{\rho} K$$

The lemma then follows from the fact that the colimit of any functor  $F: \mathcal{C} \to \text{Set}$  from a category  $\mathcal{C}$  to Set can be identified with the set of connected component of the category of elements of F.

**Notation 1.2.6.** We define a functor  $\operatorname{Map}[K, -]: \operatorname{Set}_{\Delta}^+ \to (\operatorname{Set}_{\Delta})^{(\Delta_{/K})^{op}}$  as follows. For a marked simplicial set M, we define  $\operatorname{Map}[K, M]$  by

$$\operatorname{Map}[K, M](n, \sigma) = \operatorname{Map}^{\sharp}((\Delta^{n})^{\flat}, M),$$

for every object  $(n, \sigma)$  of  $\Delta_{/K}$ . A morphism  $d: (n, \sigma) \to (n', \sigma')$  in  $\Delta_{/K}$  goes to the natural restriction map  $\operatorname{Res}^d$ :  $\operatorname{Map}^{\sharp}((\Delta^{n'})^{\flat}, M) \to \operatorname{Map}^{\sharp}((\Delta^{n})^{\flat}, M)$ . For an  $\infty$ -category  $\mathcal{C}$ , we set  $\operatorname{Map}[K, \mathcal{C}] = \operatorname{Map}[K, \mathcal{C}^{\natural}]$ .

The following remark shows how Map[K, -] is related with the problem of constructing functors.

Remark 1.2.7. The map

# $\operatorname{Map}^{\sharp}(K^{\flat}, M) \to \Gamma(\operatorname{Map}[K, M])$

induced by the restriction maps  $\operatorname{Map}^{\sharp}(K^{\flat}, M) \to \operatorname{Map}^{\sharp}((\Delta^{n})^{\flat}, M)$  is an isomorphism of simplicial sets. Indeed, the set of *m*-simplices of  $\operatorname{Map}^{\sharp}(K^{\flat}, M)$  can be identified with  $\operatorname{Hom}_{\operatorname{Set}^{+}_{\Delta}}((\Delta^{m})^{\sharp} \times K^{\flat}, M)$ , while the set of *m*-simplices of  $\Gamma(\operatorname{Map}[K, M])$  is a limit of the functor  $\Delta_{/K} \to \operatorname{Set}$ carrying  $(n, \sigma)$  to  $\operatorname{Hom}_{\operatorname{Set}^{+}_{\Delta}}((\Delta^{m})^{\sharp} \times (\Delta^{n})^{\flat}, M)$ . We are thus reduced to showing that the maps  $\sigma \colon \Delta^{n} \to K$  exhibit  $(\Delta^{m})^{\sharp} \times K^{\flat}$  as the colimit of the functor  $\Delta_{/K} \to \operatorname{Set}_{\Delta}$  carrying  $(n, \sigma)$ to  $(\Delta^{m})^{\sharp} \times (\Delta^{n})^{\flat}$ . Note that the functor  $(\Delta^{m})^{\sharp} \times (-)^{\flat} \colon \operatorname{Set}_{\Delta} \to \operatorname{Set}^{+}_{\Delta}$  admits a right adjoint  $\operatorname{Map}^{\flat}((\Delta^{m})^{\sharp}, -)$ , hence preserves colimits. The assertion then follows from Lemma 1.2.5.

Note that  $\operatorname{Map}^{\sharp}(K^{\flat}, \mathbb{C}^{\natural})$  is the largest Kan complex contained in  $\operatorname{Fun}(K, \mathbb{C})$ .

If  $g \colon K' \to K$  is a map, then composition with the functor  $\Delta_{/K'} \to \Delta_{/K}$  induced by g defines a functor  $g^* \colon (\operatorname{Set}_{\Delta})^{(\Delta_{/K'})^{op}} \to (\operatorname{Set}_{\Delta})^{(\Delta_{/K'})^{op}}$ . We have  $g^* \operatorname{Map}[K, M] = \operatorname{Map}[K', M]$ .

**Proposition 1.2.8.** Let  $f: Z \to T$  be a fibration in  $\operatorname{Set}_{\Delta}^+$  with respect to the Cartesian model structure, and let K be a simplicial set. Then the morphism  $\operatorname{Map}[K, f]: \operatorname{Map}[K, Z] \to \operatorname{Map}[K, T]$ 

is an injective fibration in  $(\operatorname{Set}_{\Delta})^{(\Delta_{/K})^{op}}$ . In other words, for every commutative square in  $(\operatorname{Set}_{\Delta})^{(\Delta_{/K})^{op}}$  of the form



such that  $\mathbb{N} \hookrightarrow \mathbb{M}$  is anodyne, there exists a dotted arrow as indicated, rendering the diagram commutative.

The proof of this proposition will be given after Remark 1.2.11.

**Corollary 1.2.9.** Let  $f: Z \to T$  be a fibration in  $\operatorname{Set}_{\Delta}^+$  with respect to the Cartesian model structure, K a simplicial set,  $a: K^{\mathfrak{b}} \to T$  a map, and  $\mathbb{N} \in (\operatorname{Set}_{\Delta})^{(\Delta_{/K})^{op}}$  such that  $\mathbb{N}(\sigma)$  is weakly contractible for all  $\sigma \in \Delta_{/K}$ . We let  $\operatorname{Map}[K, f]_a$  denote the fiber of  $\operatorname{Map}[K, f]$ :  $\operatorname{Map}[K, Z] \to \operatorname{Map}[K, T]$  at the section  $\Delta_K^0 \to \operatorname{Map}[K, T]$  corresponding to a.

- (1) For every morphism  $\Phi: \mathcal{N} \to \operatorname{Map}[K, f]_a$ , the simplicial set  $\Gamma_{\Phi}(\operatorname{Map}[K, f]_a)$  is a (nonempty) connected component of  $\Gamma(\operatorname{Map}[K, f]_a)$ .
- (2) For homotopic  $\Phi, \Phi' \colon \mathbb{N} \to \operatorname{Map}[K, f]_a$ , we have

$$\Gamma_{\Phi}(\operatorname{Map}[K, f]_a) = \Gamma_{\Phi'}(\operatorname{Map}[K, f]_a).$$

The condition in (2) means that there exists a morphism  $H: \Delta_K^1 \times \mathbb{N} \to \operatorname{Map}[K, f]_a$  in  $(\operatorname{Set}_{\Delta})^{(\mathbf{\Delta}_{/K})^{op}}$  such that  $H \mid \Delta_K^{\{0\}} \times \mathbb{N} = \Phi, H \mid \Delta_K^{\{1\}} \times \mathbb{N} = \Phi'.$ 

*Proof.* By Proposition 1.2.8, if  $\mathcal{C}$  is an  $\infty$ -category, then Map $[K, \mathcal{C}]$  is an injectively fibrant object of  $(\operatorname{Set}_{\Delta})^{(\mathbf{\Delta}_{/K})^{op}}$  since  $\mathcal{C}^{\natural}$  is a fibrant object of  $\operatorname{Set}_{\Delta}^{+}$  [52, Proposition 3.1.4.1]. Then the corollary follows from Lemma 1.2.4 applied to  $\mathcal{R} = \operatorname{Map}[K, f]_a$ .

*Remark* 1.2.10. The functor Map[K, -] admits a left adjoint  $F: (Set_{\Delta})^{(\Delta_{/K})^{op}} \to Set_{\Delta}^{+}$  carrying  $\mathcal{R}$  to the coend of the diagram

$$(\mathbf{\Delta}_{/K})^{op} \times \mathbf{\Delta}_{/K} \to \operatorname{Set}_{\Delta}^+, \quad ((n,\sigma),(m,\tau)) \mapsto \mathfrak{R}(n,\sigma)^{\sharp} \times (\Delta^m)^{\flat}.$$

The functor F can be described more explicitly as follows. Note that for functors  $G: \mathbb{C}^{op} \to \text{Set}$ ,  $H: \mathbb{C} \to \text{Set}$ , where  $\mathbb{C}$  is a category, the coend of the diagram

$$\mathcal{C}^{op} \times \mathcal{C} \to \mathcal{S}et, \quad (A, B) \mapsto G(A) \times H(B)$$

can be identified with the colimit of the functor  $\mathcal{D}^{op} \to \text{Set carrying } (A, h)$  to G(A), where  $\mathcal{D}$  is the category of elements of H. Thus if we write  $F\mathcal{R} = (X, \mathcal{E})$ , then  $X_m$  is the colimit of the functor  $(\mathbf{\Delta}_{/K}^{[m]})^{op} \to \text{Set carrying } (n, \sigma, \tau)$  to  $\mathcal{R}(n, \sigma)_m$ , where  $\mathbf{\Delta}_{/K}^{[m]}$  is the category defined in the proof of Lemma 1.2.5. Therefore,  $X_m$  is the disjoint union of  $\mathcal{R}(m, \sigma)_m$  for all m-simplices  $\sigma \colon \Delta^m \to K$ . Moreover,  $\mathcal{E} \subseteq X_1$  is the union of  $\mathcal{R}(1, \sigma)_1$  for all degenerate edges  $\sigma \colon \Delta^1 \to K$ .

It follows from the above description that F preserves monomorphisms. Thus Proposition 1.2.8 shows that the pair  $(F, \operatorname{Map}[K, -])$  is a Quillen adjunction between  $\operatorname{Set}_{\Delta}^+$  endowed with the Cartesian model structure and  $(\operatorname{Set}_{\Delta})^{(\mathbf{\Delta}_{/K})^{op}}$  endowed with the injective model structure.

*Remark* 1.2.11. If we replace  $\Delta_{/K}$  by the full subcategory  $\Delta_{/K}^{nd}$  spanned by nondegenerate simplices, then Proposition 1.2.8 still holds and the proof becomes simpler. However,  $\Delta_{/K}^{nd}$  is only functorial with respect to monomorphisms of simplicial sets, which is insufficient for our applications.

Proof of Proposition 1.2.8. For  $n \ge 0$ , we let  $\mathfrak{I}_n$  denote the full subcategory of  $\Delta_{/K}$  spanned by  $(m,\sigma)$  for  $m \le n$ . We construct  $\Omega \mid \mathfrak{I}_n^{op}$  by induction on n. It suffices to construct, for every  $\sigma \colon \Delta^n \to K$ , a map  $\Omega(n,\sigma)$  as the dotted arrow rendering the following diagram commutative

$$\begin{split} & \mathcal{N}(n,\sigma) \xrightarrow{\Phi(n,\sigma)} & \mathrm{Map}^{\sharp}((\Delta^{n})^{\flat},Z) \\ & & & & \downarrow \\ & & & \downarrow \\ & \mathcal{M}(n,\sigma) \xrightarrow{\Psi(n,\sigma)} & \mathrm{Map}^{\sharp}((\Delta^{n})^{\flat},f) \end{split}$$

such that for every monomorphism  $d: (n - 1, \rho) \to (n, \sigma)$  and every epimorphism  $s: (n, \sigma) \to (n - 1, \tau)$ , the following two diagrams commute

$$\begin{split} & \mathcal{M}(n,\sigma) \xrightarrow{\Omega(n,\sigma)} \to \operatorname{Map}^{\sharp}((\Delta^{n})^{\flat},Z) \\ & \underset{\mathcal{M}(d)}{\overset{\mathcal{M}(d)}{\bigvee}} & \underset{\mathcal{M}(n-1,\rho)}{\overset{\Omega(n-1,\rho)}{\longrightarrow}} \operatorname{Map}^{\sharp}((\Delta^{n-1})^{\flat},Z), \\ & \mathcal{M}(n-1,\tau) \xrightarrow{\Omega(n-1,\tau)} \to \operatorname{Map}^{\sharp}((\Delta^{n-1})^{\flat},Z) \\ & \underset{\mathcal{M}(s)}{\overset{\mathcal{M}(s)}{\bigvee}} & \underset{\mathcal{M}(n,\sigma)}{\overset{\Omega(n,\sigma)}{\longrightarrow}} \operatorname{Map}^{\sharp}((\Delta^{n})^{\flat},Z). \end{split}$$

By the induction hypothesis, the maps  $\Omega(n-1,\rho)$  amalgamate into a map  $\mathcal{M}(n,\sigma) \to \operatorname{Map}^{\sharp}((\partial \Delta^n)^{\flat}, Z)$ , and the maps  $\Omega(n-1,\tau)$  amalgamate into a map  $\mathcal{M}(n,\sigma)^{\operatorname{deg}} \to \operatorname{Map}^{\sharp}((\Delta^n)^{\flat}, Z)$ , where  $\mathcal{M}(n,\sigma)^{\operatorname{deg}} \subseteq \mathcal{M}(n,\sigma)$  is the union of the images of  $\mathcal{M}(s): \mathcal{M}(n-1,\tau) \to \mathcal{M}(n,\sigma)$ . These maps amalgamate with  $\Phi(n,\sigma): \mathcal{N}(n,\sigma) \to \operatorname{Map}^{\sharp}((\Delta^n)^{\flat}, Z)$  into a map  $\Omega': A \to Z$ , where

$$A = (\mathcal{N}(n,\sigma) \cup \mathcal{M}(n,\sigma)^{\mathrm{deg}})^{\sharp} \times (\Delta^{n})^{\flat} \prod_{(\mathcal{N}(n,\sigma) \cup \mathcal{M}(n,\sigma)^{\mathrm{deg}})^{\sharp} \times (\partial \Delta^{n})^{\flat}} \mathcal{M}(n,\sigma)^{\sharp} \times (\partial \Delta^{n})^{\flat},$$

fitting into the commutative square

$$A \xrightarrow{\Omega'} Z \\ \downarrow \\ \mathcal{M}(n,\sigma)^{\sharp} \times (\Delta^{n})^{\flat} \xrightarrow{\Psi(n,\sigma)} T.$$

It suffices to show that i is a trivial cofibration in  $\operatorname{Set}_{\Delta}^+$  with respect to the Cartesian model structure, so that there exists a dotted arrow rendering the above diagram commutative.

Let us first remark that for every epimorphism  $s: (n', \sigma') \to (n'', \sigma'')$  of  $\Delta_{/K}$ , the left square of the commutative diagram

is a pullback by Lemma 1.2.12 below. Here d is a section of s.

Next we prove that the map  $\mathcal{N}(n,\sigma)^{\deg} \to \mathcal{M}(n,\sigma)^{\deg}$  is anodyne, where  $\mathcal{N}(n,\sigma)^{\deg} \subseteq \mathcal{N}(n,\sigma)$ is the union of the images of  $\mathcal{N}(s)$ . More generally, we claim that, for pairwise distinct epimorphisms  $s_1, \ldots, s_m$ , where  $s_j: (n,\sigma) \to (n-1,\tau_j)$ , the inclusion  $\mathcal{N}(\tau_1) \cup \cdots \cup \mathcal{N}(\tau_m) \hookrightarrow \mathcal{M}(\tau_1) \cup \cdots \cup \mathcal{M}(\tau_m)$  is anodyne. Here  $\mathcal{N}(\tau_j) \subseteq \mathcal{N}(n,\sigma)$  denotes the image of the split monomorphism  $\mathcal{N}(s_j)$  and similarly for  $\mathcal{M}(\tau_j)$ . We proceed by induction on m (simultaneously for all n). The case m = 0 is trivial and we assume  $m \ge 1$ . For  $1 \le j \le m-1$ , form the pushout

$$\begin{array}{c|c} (n,\sigma) & \xrightarrow{s_j} & (n-1,\tau_j) \\ s_m & & \downarrow \\ s_m & & \downarrow \\ (n-1,\tau_m) & \xrightarrow{s'_j} & (n-2,\tau'_j). \end{array}$$

By Lemma 1.2.13 below, we have  $\mathcal{N}(\tau_j) \cap \mathcal{N}(\tau_m) = \mathcal{N}(\tau'_j)$ , where  $\mathcal{N}(\tau'_j)$  denotes the image of  $\mathcal{N}(s'_j s_m)$ . The same holds for  $\mathcal{M}$ . It follows that we have the following pushout square

$$\begin{array}{c} f_0 \longrightarrow f_1 \\ \downarrow & \downarrow \\ f_2 \longrightarrow f_3 \end{array}$$

in the category  $(\text{Set}_{\Delta})^{[1]}$ , where

$$f_{0}: \mathcal{N}(\tau_{1}') \cup \cdots \cup \mathcal{N}(\tau_{m-1}') \to \mathcal{M}(\tau_{1}') \cup \cdots \cup \mathcal{M}(\tau_{m-1}'),$$
  

$$f_{1}: \qquad \mathcal{N}(\tau_{m}) \to \mathcal{M}(\tau_{m}),$$
  

$$f_{2}: \mathcal{N}(\tau_{1}) \cup \cdots \cup \mathcal{N}(\tau_{m-1}) \to \mathcal{M}(\tau_{1}) \cup \cdots \cup \mathcal{M}(\tau_{m-1}),$$
  

$$f_{3}: \qquad \mathcal{N}(\tau_{1}) \cup \cdots \cup \mathcal{N}(\tau_{m}) \to \mathcal{M}(\tau_{1}) \cup \cdots \cup \mathcal{M}(\tau_{m})$$

are natural arrows. By assumption,  $f_1$  is anodyne. By induction hypothesis,  $f_0$  and  $f_2$  are anodyne. Since  $\mathcal{N}(\tau_m) \cap \mathcal{M}(\tau'_j) = \mathcal{N}(\tau'_j)$  by the remark of the preceding paragraph, Lemma 1.2.14 implies that  $f_3$  is anodyne.

By the remark again, we have  $\mathcal{N}(n,\sigma) \cap \mathcal{M}(n,\sigma)^{\deg} = \mathcal{N}(n,\sigma)^{\deg}$ . Thus the inclusion  $\mathcal{N}(n,\sigma) \subseteq \mathcal{N}(n,\sigma) \cup \mathcal{M}(n,\sigma)^{\deg}$  is a pushout of  $\mathcal{N}(n,\sigma)^{\deg} \subseteq \mathcal{M}(n,\sigma)^{\deg}$ , hence is anodyne. By assumption, the inclusion  $\mathcal{N}(n,\sigma) \subseteq \mathcal{M}(n,\sigma)$  is anodyne. By the two-out-of-three property for weak equivalences, it follows that the inclusion  $\mathcal{N}(n,\sigma) \cup \mathcal{M}(n,\sigma)^{\deg} \subseteq \mathcal{M}(n,\sigma)$  is anodyne, and consequently the inclusion  $(\mathcal{N}(n,\sigma) \cup \mathcal{M}(n,\sigma)^{\deg})^{\sharp} \subseteq \mathcal{M}(n,\sigma)^{\sharp}$  is a trivial cofibration in  $\operatorname{Set}_{\Delta}^+$  (see Remark 1.3.11 below). The lemma then follows from the fact that trivial cofibrations in  $\operatorname{Set}_{\Delta}^+$  are stable under smash products with cofibrations [52, Corollary 3.1.4.3].

We say that a square in a category  $\mathcal{C}$  is an *absolute pullback* (resp. *absolute pushout*) if every functor  $F: \mathcal{C} \to \mathcal{D}$  carries the square to a pullback (resp. pushout) square in  $\mathcal{D}$ .

**Lemma 1.2.12.** Let C be a category. Given a commutative diagram in C



in which both horizontal compositions are identities and g is a monomorphism, then the square on the left is a pullback square. In particular, if g is a split monomorphism, then the square on the left is an absolute pullback. *Proof.* The second assertion follows immediately from the first one. To show the first assertion, let  $a: W \to X'$  and  $b: W \to Y$  be morphisms satisfying s'a = gb. If  $c: W \to X$  is a morphism satisfying fc = a and sc = b, then we have c = rsc = rb. Conversely, we have f(rb) = r'gb = r's'a = a and s(rb) = b. The last equality follows from gsrb = s'frb = s'a = gb, since g is a monomorphism.

**Lemma 1.2.13.** In  $\Delta_{/K}$ , pushouts of epimorphisms by epimorphisms are absolute pushouts.

In the case of  $\Delta \simeq \Delta_{/\Delta^0}$  the lemma is [44, Theorem 1.2.1] (see also [24, §II.3.2]). The proof in the general case is similar. We include a proof for completeness.

*Proof.* Factorizing epimorphisms into compositions of  $s_i^n$ 's (for the notation see the beginning of §1.1), we are reduced to the case of the pushout of  $s_i^n$  by  $s_j^n$ , where  $i \leq j$ . This case follows from Lemma 1.2.12 applied to the diagram

$$\begin{array}{c} (n,\tau) \xrightarrow{d_i^{n+1}} (n+1,\sigma) \xrightarrow{s_i^n} (n,\tau) \\ s_{j-1}^{n-1} \bigvee & \downarrow s_j^n & \downarrow s_{j-1}^{n-1} \\ (n-1,\tau') \xrightarrow{d_i^n} (n,\sigma') \xrightarrow{s_i^{n-1}} (n-1,\tau') \end{array}$$

for i < j, and to the diagram

$$\begin{array}{c} (n,\tau) \xrightarrow{d_i^{n+1}} (n+1,\sigma) \xrightarrow{s_i^n} (n,\tau) \\ \text{id} \\ \downarrow \\ (n,\tau) \xrightarrow{\text{id}} (n,\tau) \xrightarrow{\text{id}} (n,\tau) \xrightarrow{\text{id}} (n,\tau) \end{array}$$

for i = j.

Lemma 1.2.14. Consider a pushout square



in  $(\text{Set}_{\Delta})^{[1]}$ , where  $f_i: Y_i \to X_i$ . Assume that  $f_0$ ,  $f_1$ ,  $f_2$  are anodyne (resp. right anodyne) and the map  $X_0 \coprod_{Y_0} Y_1 \to X_1$  induced by u is a monomorphism. Then  $f_3$  is anodyne (resp. right anodyne).

*Proof.* The square corresponds to a cube in  $Set_{\Delta}$ , which can be decomposed into a commutative diagram



where the top and bottom squares on the left are pushout squares, and the front and back squares are pushout squares. For i = 0, 2, the map  $g_i$  is a pushout of  $f_i$ , hence is anodyne (resp. right anodyne). Since  $f_1$  is anodyne (resp. right anodyne) and  $a_0$  is a monomorphism by assumption,  $a_0$  is anodyne by the two-out-of-three property of weak equivalences (resp. right anodyne by [52, Proposition 4.1.1.3]). Thus the pushout  $a_2$  of  $a_0$  is anodyne (resp. right anodyne). Therefore,  $f_3 = a_2g_2$  is anodyne (resp. right anodyne).

We now give the form of the construction technique as used in Sections 1.4 and 1.5.

**Proposition 1.2.15.** Let K be a simplicial set,  $\mathbb{C}$  an  $\infty$ -category, and  $i: A \hookrightarrow B$  a monomorphism of simplicial sets. Denote by  $f: \operatorname{Fun}(B, \mathbb{C}) \to \operatorname{Fun}(A, \mathbb{C})$  the map induced by i. Let  $\mathbb{N}$  be an object of  $(\operatorname{Set}_{\Delta})^{(\Delta_{/K})^{op}}$  such that  $\mathbb{N}(\sigma)$  is weakly contractible for all  $\sigma \in \Delta_{/K}$ , and let  $\Phi: \mathbb{N} \to \operatorname{Map}[K, \operatorname{Fun}(B, \mathbb{C})]$  be a morphism such that  $\operatorname{Map}[K, f] \circ \Phi: \mathbb{N} \to \operatorname{Map}[K, \operatorname{Fun}(A, \mathbb{C})]$  factorizes through  $\Delta^{0}_{(\Delta_{/K})^{op}}$  to give a functor  $a: K \to \operatorname{Fun}(A, \mathbb{C})$ . Then there exists  $b: K \to \operatorname{Fun}(B, \mathbb{C})$  such that  $b \circ p = a$  and for every map  $g: K' \to K$  and every global section  $\nu \in \Gamma(g^*\mathbb{N})_0$ , the maps  $b \circ g$  and  $g^* \Phi \circ \nu: K' \to \operatorname{Fun}(B, \mathbb{C})$  are homotopic over  $\operatorname{Fun}(A, \mathbb{C})$ . Here  $g^*\Phi: g^*\mathbb{N} \to g^* \operatorname{Map}[K, \operatorname{Fun}(B, \mathbb{C})] = \operatorname{Map}[K', \operatorname{Fun}(B, \mathbb{C})].$ 

In the statement we have implicitly used isomorphisms provided by Remark1.2.7 such as  $\operatorname{Map}^{\sharp}(K, \operatorname{Fun}(A, \mathbb{C})^{\natural}) \simeq \Gamma(\operatorname{Map}[K, \operatorname{Fun}(A, \mathbb{C})]).$ 

Proof. Since  $\operatorname{Fun}(-, \mathbb{C})^{\natural} = (\mathbb{C}^{\natural})^{(-)^{\flat}}$ , the map  $f^{\natural}$ :  $\operatorname{Fun}(B, \mathbb{C})^{\natural} \to \operatorname{Fun}(A, \mathbb{C})^{\natural}$  is a fibration in  $\operatorname{Set}_{\Delta}^{+}$  for the Cartesian model structure by Lemma 1.2.16 below. Thus by Proposition 1.2.8,  $\operatorname{Map}[K, f^{\natural}]$  is an injective fibration. We let  $\operatorname{Map}[K, f^{\natural}]_{a}$  denote the fiber of  $\operatorname{Map}[K, f^{\natural}]$  at a, which is injectively fibrant. By Lemma 1.2.4 (1),  $\Gamma_{\Phi}(\operatorname{Map}[K, f^{\natural}]_{a})$  is a (nonempty) connected component of  $\Gamma(\operatorname{Map}[K, f^{\natural}]_{a})$ . Note that  $\Gamma(\operatorname{Map}[K, f^{\natural}]_{a})$  is the fiber of  $\Gamma(\operatorname{Map}[K, \operatorname{Fun}(B, \mathbb{C})]) \to \Gamma(\operatorname{Map}[K, \operatorname{Fun}(A, \mathbb{C})])$  at a. Any vertex of  $\Gamma_{\Phi}(\operatorname{Map}[K, f^{\natural}]_{a})$  then provides the desired b. Indeed, for given g and  $\nu$ , both  $b \circ g$  and  $g^* \Phi \circ \nu$  are given by vertices of the connected Kan complex  $\Gamma_{g^*\Phi}(\operatorname{Map}[K', f^{\natural}]_{g^*a})$ , which are necessarily equivalent.  $\Box$ 

**Lemma 1.2.16.** Let  $X \to Y$  be a fibration and  $i: A \to B$  be a cofibration in  $\text{Set}_{\Delta}^+$  with respect to the Cartesian model structure. Then the induced map

$$X^B \to X^A \times_{Y^A} Y^B$$

is a fibration in  $\operatorname{Set}_{\Delta}^+$  with respect to the Cartesian model structure.

*Proof.* This follows immediately from the fact that trivial cofibrations in  $\text{Set}_{\Delta}^+$  are stable under smash product with cofibrations [52, Corollary 3.1.4.3].

1.3. **Restricted multisimplicial nerves.** In this section, we introduce several notions related to multisimplicial sets. The restricted multisimplicial nerve (Definition 1.3.14) of a multi-tiled simplicial set (Definition 1.3.12) will play an essential role in the statements of our theorems.

**Definition 1.3.1** (Multisimplicial set). Let I be a set. We define the category of I-simplicial sets to be  $\operatorname{Set}_{I\Delta} := \operatorname{Fun}((\Delta^I)^{op}, \operatorname{Set})$ , where  $\Delta^I := \operatorname{Fun}(I, \Delta)$ . For an integer  $k \ge 0$ , we define the category of k-simplicial sets to be  $\operatorname{Set}_{k\Delta} := \operatorname{Set}_{I\Delta}$ , where  $I = \{1, \ldots, k\}$ . We identify  $\operatorname{Set}_{1\Delta}$  with  $\operatorname{Set}_{\Delta}$ .

We denote by  $\Delta^{n_i|i\in I}$  the *I*-simplicial set represented by the object  $([n_i])_{i\in I}$  of  $\Delta^I$ . For an *I*-simplicial set *S*, we denote by  $S_{n_i|i\in I}$  the value of *S* at the object  $([n_i])_{i\in I}$  of  $\Delta^I$ . An  $(n_i)_{i\in I}$ -simplex of an *I*-simplicial set *S* is an element of  $S_{n_i|i\in I}$ . By the Yoneda lemma, there is a canonical bijection between the set  $S_{n_i|i\in I}$  and the set of maps from  $\Delta^{n_i|i\in I}$  to *S*. For  $J \subseteq I$ , composition with the partial opposite functor  $\Delta^I \to \Delta^I$  sending  $(\ldots, P_{j'}, \ldots, P_j, \ldots)$  to  $(\ldots, P_{j'}, \ldots, P_j^{op}, \ldots)$  (taking *op* for  $P_j$  when  $j \in J$ ) defines a functor  $\mathrm{op}_J^I$ :  $\mathrm{Set}_{I\Delta} \to \mathrm{Set}_{I\Delta}$ . We put  $\Delta_J^{n_i|i\in I} := \mathrm{op}_J^I \Delta^{n_i|i\in I}$ . Although  $\Delta_J^{n_i|i\in I}$  is isomorphic to  $\Delta^{n_i|i\in I}$ , it will be useful in specifying the variance of many constructions. When  $J = \emptyset$ ,  $\mathrm{op}_{\emptyset}^I$  is the identity functor so that  $\Delta_{\emptyset}^{n_i|i\in I} = \Delta^{n_i|i\in I}$ .

Remark 1.3.2. The category  $\operatorname{Set}_{I\Delta}$  is Cartesian-closed. In fact, for two *I*-simplicial sets X and Y, the internal mapping object  $\operatorname{Map}(Y, X)$  is an *I*-simplicial set such that  $\operatorname{Hom}_{\operatorname{Set}_{I\Delta}}(Z, \operatorname{Map}(Y, X)) \simeq \operatorname{Hom}_{\operatorname{Set}_{I\Delta}}(Z \times Y, X)$  for every  $Z \in \operatorname{Set}_{I\Delta}$ . We have  $\operatorname{op}_J^I \operatorname{Map}(Y, X) \simeq \operatorname{Map}(\operatorname{op}_J^I Y, \operatorname{op}_J^I X)$ .

**Definition 1.3.3.** Let I, J be two sets.

- (1) Let  $f: J \to I$  be a map of sets. Composition with f defines a functor  $\Delta^f: \Delta^I \to \Delta^J$ . Composition with  $(\Delta^f)^{op}$  induces a functor  $(\Delta^f)^*: \operatorname{Set}_{J\Delta} \to \operatorname{Set}_{I\Delta}$ , which has a right adjoint  $(\Delta^f)_*: \operatorname{Set}_{I\Delta} \to \operatorname{Set}_{J\Delta}$ . We will now look at two special cases.
- (2) Let  $f: J \to I$  be an injective map. The functor  $\Delta^f$  has a right adjoint  $c_f: \Delta^J \to \Delta^I$  given by  $c_f(F)_i = F_j$  if f(j) = i and  $c_f(F)_i = [0]$  if i is not in the image of f. The functor  $(\Delta^f)_*$  can be identified with the functor  $\epsilon^f$  induced by composition with  $(c_f)^{op}$ . If  $J = \{1, \ldots, k'\}$ , we write  $\epsilon^I_{f(1)\cdots f(k')}$  for  $\epsilon^f$ .
- (3) Consider the map  $f: I \to \{1\}$ . Then  $\delta_I := \Delta^f : \Delta \to \Delta^I$  is the diagonal functor, and composition with  $(\delta_I)^{op}$  induces the diagonal functor  $\delta_I^* = (\Delta^f)^* : \operatorname{Set}_{I\Delta} \to \operatorname{Set}_{\Delta}$ . We define

$$\Delta^{[n_i]_{i\in I}} \coloneqq \delta_I^* \Delta^{n_i|i\in I} = \prod_{i\in I} \Delta^{n_i}.$$

We define the *multisimplicial nerve* functor to be the right adjoint  $\delta_*^I \colon \text{Set}_\Delta \to \text{Set}_{I\Delta}$  of  $\delta_I^*$ . An  $(n_i)_{i \in I}$ -simplex of  $\delta_*^I X$  is given by a map  $\Delta^{[n_i]_{i \in I}} \to X$ .

(4) For  $J \subseteq I$ , we define the twisted diagonal functor  $\delta_{I,J}^*$  as  $\delta_I^* \circ \mathrm{op}_J^I \colon \mathrm{Set}_{I\Delta} \to \mathrm{Set}_{\Delta}$ . We define

$$\Delta_J^{[n_i]_{i\in I}} \coloneqq \delta_{I,J}^* \Delta^{n_i|i\in I} = \delta_I^* \Delta_J^{n_i|i\in I} = \left(\prod_{i\in I-J} \Delta^{n_i}\right) \times \left(\prod_{j\in J} (\Delta^{n_j})^{op}\right).$$

When  $J = \emptyset$ , we have  $\delta_{I,\emptyset}^* = \delta_I^*$  and  $\Delta_{\emptyset}^{[n_i]_{i \in I}} = \Delta^{[n_i]_{i \in I}}$ .

When  $I = \{1, \ldots, k\}$ , we write k instead of I in the previous notation. For example, in (2) we have  $(\epsilon_j^k K)_n = K_{0,\ldots,n,\ldots,0}$ , where n is at the j-th position and all other indices are 0. In (3) we have  $\delta_k^* \colon \operatorname{Set}_k \Delta \to \operatorname{Set}_\Delta$  defined by  $(\delta_k^* X)_n = X_{n,\ldots,n}$ .

Remark 1.3.4. For any map  $f: J \to I$ , we have  $\Delta^f \circ \delta_I = \delta_J$ , so that  $(\Delta^f)_* \circ \delta^I_* \simeq \delta^J_*$ . In particular, for f injective, we have  $\epsilon^f \circ \delta^I_* \simeq \delta^J_*$ . For  $\alpha \in I$ , we have  $\epsilon^I_\alpha \circ \delta^I_* \simeq \operatorname{id}_{\operatorname{Set}_\Delta}$ .

Remark 1.3.5. For  $f: J \to I$  injective, we have  $\Delta^f \circ c_f = \mathrm{id}_{\Delta^J}$ , so that  $\epsilon^f \circ (\Delta^f)^* = \mathrm{id}_{\mathrm{Set}_{J\Delta}}$ . The counit transformation  $(\Delta^f)^* \circ \epsilon^f \to \mathrm{id}_{\mathrm{Set}_{I\Delta}}$  is a monomorphism. Indeed, for each object P of  $\Delta^I$ , the unit morphism  $P \to (c_f \circ \Delta^f)(P)$  admits a section. Applying the functor  $\delta^*_I$ , we obtain a monomorphism  $\delta^*_J \circ \epsilon^f \to \delta^*_I$ .

Remark 1.3.6. For every map  $f: J \to I$ , the adjunction formula for presheaves provides a canonical isomorphism

$$\operatorname{Map}(Y, (\mathbf{\Delta}^{f})_{*}X) \simeq (\mathbf{\Delta}^{f})_{*}\operatorname{Map}((\mathbf{\Delta}^{f})^{*}Y, X)$$

for every I-simplicial set X and every J-simplicial set Y. This map is the composite map

$$\operatorname{Map}(Y, (\mathbf{\Delta}^{f})_{*}X) \xrightarrow{(\mathbf{\Delta}^{f})^{*}} (\mathbf{\Delta}^{f})_{*} \operatorname{Map}((\mathbf{\Delta}^{f})^{*}Y, (\mathbf{\Delta}^{f})^{*}(\mathbf{\Delta}^{f})_{*}X) \rightarrow (\mathbf{\Delta}^{f})_{*} \operatorname{Map}((\mathbf{\Delta}^{f})^{*}Y, X),$$

where the second map is induced by the counit map  $(\Delta^f)^* (\Delta^f)_* X \to X$ .

Specializing to the case of  $\delta_I$  and applying the functor  $\epsilon_{\alpha}^I$ , where  $\alpha \in I$ , we get an isomorphism

$$\epsilon^{I}_{\alpha} \operatorname{Map}(X, \delta^{I}_{*}S) \simeq \operatorname{Map}(\delta^{*}_{I}X, S)$$

for every I-simplicial set X and every simplicial set S, which is the composite map

$$\epsilon^{I}_{\alpha}\operatorname{Map}(X,\delta^{I}_{*}S) \xrightarrow{\delta_{I}} \operatorname{Map}(\delta^{*}_{I}X,\delta^{*}_{I}\delta^{I}_{*}S) \to \operatorname{Map}(\delta^{*}_{I}X,S).$$

**Definition 1.3.7** (Exterior product). Let  $I = \coprod_{i \in J} I_i$  be a partition. We define a functor

$$\boxtimes_{j\in J} \colon \prod_{j\in J} \operatorname{Set}_{I_j\Delta} \to \operatorname{Set}_{I\Delta}$$

by the formula  $\boxtimes_{j \in J} S^j = \prod_{j \in J} (\Delta^{\iota_j})^* S^j$ , where  $\iota_j \colon I_j \hookrightarrow I$  is the inclusion. For  $J = \{1, \ldots, m\}$ ,  $I_j = \{1, \ldots, k_j\}$ , we define

$$-\boxtimes \cdots \boxtimes -: \operatorname{Set}_{k_1\Delta} \times \cdots \times \operatorname{Set}_{k_m\Delta} \to \operatorname{Set}_{k\Delta}.$$

by  $(S^1 \boxtimes \cdots \boxtimes S^m)_{n_1^1, \dots, n_{k_1}^1, \dots, n_1^m, \dots, n_{k_m}^m} = S^1_{n_1^1, \dots, n_{k_1}^1} \times \dots \times S^m_{n_1^m, \dots, n_{k_m}^m}.$ 

We have the isomorphisms  $\boxtimes_{i \in I} \Delta^{n_i} \simeq \Delta^{n_i | i \in I}$  and  $\delta_I^* \boxtimes_{j \in J} S^j \simeq \prod_{j \in J} \delta_{I_j}^* S^j$ .

Remark 1.3.8. For a map  $f: J \to I$ , we have  $(\Delta^f)^* \Delta^{n_i|i \in I} \simeq \boxtimes_{i \in I} \Delta^{[n_j]_{j \in f^{-1}(i)}}$ , so that an  $(n_j)_{j \in J}$ -simplex of  $(\Delta^f)_* X$  is given by a map  $\boxtimes_{i \in I} \Delta^{[n_j]_{j \in f^{-1}(i)}} \to X$ .

We next turn to restricted variants of the multisimplicial nerve functor  $\delta_*^I$ . We start with restrictions on edges.

**Definition 1.3.9** (Multi-marked simplicial set). An *I*-marked simplicial set (resp. *I*-marked  $\infty$ -category) is the data  $(X, \mathcal{E} = \{\mathcal{E}_i\}_{i \in I})$ , where X is a simplicial set (resp. an  $\infty$ -category) and, for all  $i \in I$ ,  $\mathcal{E}_i$  is a set of edges of X which contains every degenerate edge. The data  $\mathcal{E}$  is sometimes called an *I*-marking on X. A morphism  $f: (X, \{\mathcal{E}_i\}_{i \in I}) \to (X', \{\mathcal{E}'_i\}_{i \in I})$  of *I*-marked simplicial sets is a map  $f: X \to X'$  having the property that  $f(\mathcal{E}_i) \subseteq \mathcal{E}'_i$  for all  $i \in I$ . We denote the category of *I*-marked simplicial sets by  $\operatorname{Set}_{\Delta}^{I+}$ . It is the strict fiber product of *I* copies of  $\operatorname{Set}_{\Delta}^+$  over  $\operatorname{Set}_{\Delta}$ .

For a simplicial set X and a subset  $J \subseteq I$ , we define an *I*-marked simplicial set  $X^{\sharp_J^I} = (X, \mathcal{E})$ by  $(X, \mathcal{E}_j) = X^{\sharp}$  for  $j \in J$  and  $(X, \mathcal{E}_i) = X^{\flat}$  for  $i \in I - J$ . We write  $X^{\sharp^I} = X^{\sharp_I^I}$  and  $X^{\flat^I} = X^{\sharp_{\phi}^I}$ . The functor  $\operatorname{Set}_{\Delta} \to \operatorname{Set}_{\Delta}^{I+}$  carrying X to  $X^{\sharp^I}$  (resp.  $X^{\flat^I}$ ) is a right (resp. left) adjoint of the forgetful functor  $\operatorname{Set}_{\Delta}^{I+} \to \operatorname{Set}_{\Delta}$ .

Consider the functor  $\delta_{I+}^* : \operatorname{Set}_{I\Delta} \to \operatorname{Set}_{\Delta}^{I+}$  sending S to  $(\delta_I^*S, \{\mathcal{E}_i\}_{i\in I})$ , where  $\mathcal{E}_i$  is the set of edges of  $\epsilon_i^I S \subseteq \delta_I^* S$ . This functor admits a right adjoint  $\delta_*^{I+} : \operatorname{Set}_{\Delta}^{I+} \to \operatorname{Set}_{I\Delta}$ . Since  $\delta_{I+}^* \Delta^{n_i|i\in I} = \prod_{i\in I} (\Delta^{n_i})^{\sharp_{\{i\}}^I}$ , the functor  $\delta_*^{I+}$  carries  $(X, \{\mathcal{E}_i\}_{i\in I})$  to the I-simplicial subset of  $\delta_*^I X$  whose  $(n_i)_{i\in I}$ -simplices are maps  $\Delta^{[n_i]_{i\in I}} \to X$  such that for every  $j \in I$  and every map  $\Delta^1 \to \epsilon_j^I \Delta^{n_i|i\in I}$ , the composition

$$\Delta^1 \to \epsilon_i^I \Delta^{n_i \mid i \in I} \to \Delta^{[n_i]_{i \in I}} \to X$$

is in  $\mathcal{E}_j$ . We have  $\delta_*^I(X) = \delta_*^{I+}(X^{\sharp I})$ . When  $I = \{1, \ldots, k\}$ , we use the notation  $\operatorname{Set}_{\Delta}^{k+}, \delta_{k+}^*$  and  $\delta_*^{k+}$ .⁹

**Definition 1.3.10** (Restricted multisimplicial nerve). We define the *restricted I-simplicial nerve* of an *I*-marked simplicial set  $(X, \mathcal{E} = \{\mathcal{E}_i\}_{i \in I})$  to be the *I*-simplicial set

$$X_{\mathcal{E}} = X_{\{\mathcal{E}_i\}_{i \in I}} \coloneqq \delta_*^{I+}(X, \{\mathcal{E}_i\}_{i \in I}).$$

In particular, for any marked simplicial set  $(X, \mathcal{E})$ , the simplicial set  $X_{\mathcal{E}}$  is the simplicial subset of X spanned by the edges in  $\mathcal{E}$ .

Remark 1.3.11. The functor  $\delta_{1+}^*$ :  $\operatorname{Set}_\Delta \to \operatorname{Set}_\Delta^+$  carries S to  $S^{\sharp}$ . The functor  $\delta_*^{1+}$ :  $\operatorname{Set}_\Delta^+ \to \operatorname{Set}_\Delta$  carries  $(X, \mathcal{E})$  to the simplicial subset of X consisting of all simplices whose edges are all marked edges. In other words,  $X_{\mathcal{E}} = \delta_*^{1+}(X, \mathcal{E})$  is the largest simplicial subset  $S \subseteq X$  such that  $S^{\sharp} \subseteq (X, \mathcal{E})$ . We have  $\delta_*^{1+} \simeq \operatorname{Map}^{\sharp}((\Delta^0)^{\flat}, -)$ . For objects X and Y of  $\operatorname{Set}_\Delta^+$ , we have  $\operatorname{Map}^{\sharp}(X, Y) = \delta_*^{1+}(Y^X)$ .

The pair  $(\delta_{1+}^*, \delta_*^{1+})$  is a Quillen adjunction for the Kan model structure on Set_{$\Delta$} and the Cartesian model structure on Set_{$\Delta$}⁺. This is a special case of Remark 1.2.10 but we can also check this easily as follows. Clearly  $\delta_{1+}^*$  preserves cofibrations. To see that it also preserves trivial cofibrations, note that for any anodyne map of simplicial sets  $T \to S$  and any  $\infty$ -category  $\mathcal{C}$ , the induced map  $\operatorname{Map}^{\sharp}(S^{\sharp}, \mathcal{C}^{\natural}) \to \operatorname{Map}^{\sharp}(T^{\sharp}, \mathcal{C}^{\natural})$  is a trivial Kan fibration.

Next we consider restrictions on squares. By a square of a simplicial set X, we mean a map  $\Delta^1 \times \Delta^1 \to X$ . The transpose of a square is obtained by swapping the two  $\Delta^1$ 's. Composition with the maps  $\mathrm{id} \times d_0^1, \mathrm{id} \times d_1^1: \Delta^1 \simeq \Delta^1 \times \Delta^0 \to \Delta^1 \times \Delta^1$  induce maps  $\mathrm{Hom}(\Delta^1 \times \Delta^1, X) \to X_1$  and composition with the map  $\mathrm{id} \times s_0^0: \Delta^1 \times \Delta^1 \to \Delta^1 \times \Delta^0 \simeq \Delta^1$  induces a map  $X_1 \to \mathrm{Hom}(\Delta^1 \times \Delta^1, X)$ .

**Definition 1.3.12** (Multi-tiled simplicial set). An *I*-tiled simplicial set (resp. *I*-tiled  $\infty$ -category) is the data  $(X, \mathcal{E} = \{\mathcal{E}_i\}_{i \in I}, \mathcal{Q} = \{\mathcal{Q}_{ij}\}_{i,j \in I, i \neq j})$ , where  $(X, \mathcal{E})$  is an *I*-marked simplicial set (resp.  $\infty$ -category) and, for all  $i, j \in I$ ,  $i \neq j$ ,  $\mathcal{Q}_{ij}$  is a set of squares of X such that  $\mathcal{Q}_{ij}$  and  $\mathcal{Q}_{ji}$  are obtained from each other by transposition of squares, and  $\mathrm{id} \times d_0^1$ ,  $\mathrm{id} \times d_1^1$  induce maps  $\mathcal{Q}_{ij} \to \mathcal{E}_i$ , and  $\mathrm{id} \times s_0^0$  induces  $\mathcal{E}_i \to \mathcal{Q}_{ij}$ . A morphism  $f: (X, \mathcal{E}, \mathcal{Q}) \to (X', \mathcal{E}', \mathcal{Q}')$  of *I*-tiled simplicial sets is a map  $f: X \to X'$  having the property that  $f(\mathcal{E}_i) \subseteq f(\mathcal{E}'_i)$  and  $f(\mathcal{Q}_{ij}) \subseteq \mathcal{Q}'_{ij}$  for all i, j. We denote the category of *I*-tiled simplicial sets by  $\mathrm{Set}_{\Delta}^{\Pi \square}$ . The data  $\mathcal{T} = (\mathcal{E}, \mathcal{Q})$  is sometimes called an *I*-tiling on X. For brevity, we adopt the conventions  $\mathcal{T}_i = \mathcal{E}_i$  and  $\mathcal{T}_{ij} = \mathcal{Q}_{ij}$ .

Remark 1.3.13. Note that  $\mathcal{E}_i$  is the image of  $\mathcal{Q}_{ij}$  under either of the maps  $\mathcal{Q}_{ij} \to \mathcal{E}_i$  given by  $\mathrm{id} \times d_0^1$  and  $\mathrm{id} \times d_1^1$ . Moreover,  $f(\mathcal{Q}_{ij}) \subseteq \mathcal{Q}'_{ij}$  implies  $f(\mathcal{E}_i) \subseteq \mathcal{E}'_i$  and  $f(\mathcal{E}_j) \subseteq \mathcal{E}'_j$ .

Consider the functor  $\delta_{I\square}^*$ :  $\operatorname{Set}_{I\Delta} \to \operatorname{Set}_{\Delta}^{I\square}$  carrying S to  $(\delta_{I+}^*S, \Omega)$ , where  $\Omega_{ij}$  is the image of the injection

$$(\epsilon_{ij}^{I}S)_{11} = \operatorname{Hom}_{\operatorname{Set}_{2\Delta}}(\Delta^{1,1}, \epsilon_{ij}^{I}S)$$
$$\xrightarrow{\delta_{2}^{*}} \operatorname{Hom}_{\operatorname{Set}_{\Delta}}(\Delta^{1} \times \Delta^{1}, \delta_{2}^{*}\epsilon_{ij}^{I}S) \subseteq \operatorname{Hom}_{\operatorname{Set}_{\Delta}}(\Delta^{1} \times \Delta^{1}, \delta_{I}^{*}S).$$

This functor admits a right adjoint  $\delta_*^{I\square}$ :  $\operatorname{Set}_{\Delta}^{I\square} \to \operatorname{Set}_{I\Delta}$  carrying  $(X, \mathcal{E}, \Omega)$  to the *I*-simplicial subset of  $\delta_*^{I+}(X, \mathcal{E}) \subseteq \delta_*^I X$  whose  $(n_i)_{i \in I}$ -simplices are maps  $\Delta^{[n_i]_{i \in I}} \to X$  satisfying the additional condition that for every pair of elements  $j, k \in I, j \neq k$ , and every map  $\Delta^1 \boxtimes \Delta^1 \to \epsilon_{jk}^I \Delta^{n_i|i \in I}$ , the composition

$$\Delta^1 \times \Delta^1 \to \delta_2^* \epsilon_{jk}^I \Delta^{n_i \mid i \in I} \to \Delta^{[n_i]_{i \in I}} \to X$$

is in  $\mathfrak{Q}_{jk}$ . When  $I = \{1, \ldots, k\}$ , we use the notation  $\operatorname{Set}_{\Delta}^{k\Box}$ ,  $\delta_{k\Box}^*$ ,  $\delta_*^{k\Box}$ .

⁹In particular,  $\operatorname{Set}_{\Delta}^{2+}$  in our notation is  $\operatorname{Set}_{\Delta}^{++}$  in [53, Definition 4.7.4.2].

**Definition 1.3.14** (Restricted multisimplicial nerve). We define the *restricted I-simplicial nerve* of an *I*-tiled simplicial set  $(X, \mathcal{T})$  to be the *I*-simplicial set  $\delta_*^{I\square}(X, \mathcal{T})$ .

**Notation 1.3.15.** The underlying functor  $\mathsf{U} \colon \operatorname{Set}_{\Delta}^{I\square} \to \operatorname{Set}_{\Delta}^{I+}$  carrying  $(X, \mathcal{E}, \mathcal{Q})$  to  $(X, \mathcal{E})$  admits a left adjoint  $\mathsf{V} \colon \operatorname{Set}_{\Delta}^{I+} \to \operatorname{Set}_{\Delta}^{I\square}$  and a right adjoint  $\mathsf{W} \colon \operatorname{Set}_{\Delta}^{I+} \to \operatorname{Set}_{\Delta}^{I\square}$ , which can be described as follows.

- We have  $V(X, \mathcal{E}) = (X, \mathcal{E}, \Omega)$ , where  $\Omega_{ij}$  is the union of the image of  $\mathcal{E}_i$  under  $-\circ(\mathrm{id} \times s_0^0)$ and the image of  $\mathcal{E}_j$  under  $-\circ(s_0^0 \times \mathrm{id})$ .
- For sets of edges  $\mathcal{E}_1$  and  $\mathcal{E}_2$  of X, we denote by  $\mathcal{E}_1 *_X \mathcal{E}_2$  the set of squares  $f \colon \Delta^1 \times \Delta^1 \to X$

$$\begin{array}{c} f(0,0) \longrightarrow f(0,1) \\ \downarrow & \downarrow \\ f(1,0) \longrightarrow f(1,1) \end{array}$$

such that the vertical edges  $f \circ (\operatorname{id} \times d^1_{\alpha})$ ,  $\alpha = 0, 1$  belong to  $\mathcal{E}_1$  and the horizontal edges  $f \circ (d^1_{\alpha} \times \operatorname{id})$ ,  $\alpha = 0, 1$  belong to  $\mathcal{E}_2$ . We have  $\mathsf{W}(X, \mathcal{E}) = (X, \mathcal{E}, \mathbb{Q})$ , where  $\mathfrak{Q}_{ij} = \mathcal{E}_i *_X \mathcal{E}_j$ . We have  $\delta^*_{I+} \simeq \mathsf{U} \circ \delta^*_{I\square}$  and  $\delta^{I+}_* \simeq \delta^{I\square}_* \circ \mathsf{W}$ .

**Definition 1.3.16** (Cartesian multisimplicial nerve). If  $\mathcal{C}$  is an  $\infty$ -category and  $\mathcal{E}_1$ ,  $\mathcal{E}_2$  are sets of edges of  $\mathcal{C}$ , we denote by  $\mathcal{E}_1 *_{\mathcal{C}}^{\text{cart}} \mathcal{E}_2$  the subset of  $\mathcal{E}_1 *_{\mathcal{C}} \mathcal{E}_2$  consisting of Cartesian squares. For an *I*-marked  $\infty$ -category ( $\mathcal{C}, \mathcal{E}$ ), we denote by ( $\mathcal{C}, \mathcal{E}^{\text{cart}}$ ) the *I*-tiled  $\infty$ -category such that  $\mathcal{E}_i^{\text{cart}} = \mathcal{E}_i$  for  $i \in I$  and  $\mathcal{E}_{ij}^{\text{cart}} = \mathcal{E}_i *_{\mathcal{C}}^{\text{cart}} \mathcal{E}_j$  for  $i, j \in I$  and  $i \neq j$ . We define the *Cartesian I-simplicial nerve* of an *I*-marked  $\infty$ -category ( $\mathcal{C}, \mathcal{E}$ ) to be

$$\mathcal{C}^{\operatorname{cart}}_{\mathcal{E}} \coloneqq \delta^{I\square}_{*}(\mathcal{C}, \mathcal{E}^{\operatorname{cart}}).$$

For reference in later sections, we define a few properties of sets of edges and squares. As in the definition of marked simplicial sets, we are mainly interested in those sets of edges that contain all degenerate edges. However, many sets of squares of interest, when regarded as sets of edges in suitable simplicial sets, do not contain all degenerate edges. For this reason, we allow sets of edges not containing all degenerate edges in the definitions below.

**Definition 1.3.17.** Let X be a simplicial set, and let  $\mathcal{E}$  be a set of edges of X. We say that  $\mathcal{E}$  is

- (1) composable if every map  $\Lambda_1^2 \to X$  whose restrictions to  $\Delta^{\{0,1\}}$  and to  $\Delta^{\{1,2\}}$  are in  $\mathcal{E}$  extends to a 2-simplex  $\Delta^2 \to X$  whose restriction to  $\Delta^{\{0,2\}}$  is in  $\mathcal{E}$ .
- (2) stable under composition for every 2-simplex  $\sigma$  of X such that  $\sigma \circ d_0^2, \sigma \circ d_2^2 \in \mathcal{E}$ , we have  $\sigma \circ d_1^2 \in \mathcal{E}$ .

If  $\mathcal{E}$  contains every degenerate edge, then (1) above is equivalent to every one of the following conditions

- $(X, \mathcal{E})$  has the extension property with respect to the inclusion  $(\Lambda_1^2)^{\sharp} \subseteq (\Delta^2)^{\sharp}$ ;
- $X_{\mathcal{E}}$  has the extension property with respect to the inclusion  $\Lambda_1^2 \subseteq \Delta^2$ ;

and (2) above is equivalent to every one of the following conditions

•  $(X, \mathcal{E})$  has the extension property with respect to the inclusion

$$(\Lambda_1^2)^{\sharp} \coprod_{(\Lambda_1^2)^{\flat}} (\Delta^2)^{\flat} \subseteq (\Delta^2)^{\sharp}$$

- $X_{\mathcal{E}} \to X$  has the right lifting property with respect to the inclusion  $\Lambda_1^2 \subseteq \Delta^2$ ;
- $X_{\mathcal{E}} \to X$  is an inner fibration.

If X has the extension property with respect to  $\Lambda_1^2 \subseteq \Delta^2$ , then (2) implies (1).

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**Definition 1.3.18.** Let  $\mathcal{C}$  be an  $\infty$ -category and let  $\mathcal{E}$ ,  $\mathcal{F}$  be two sets of edges of  $\mathcal{C}$ . We say that  $\mathcal{E}$  is

- (1) stable under homotopy if for  $e \in \mathcal{E}$  and  $f \in \mathcal{C}_1$  that have the same image in h $\mathcal{C}$ , we have  $f \in \mathcal{E}$ ;
- (2) stable under equivalence if for  $e \in \mathcal{E}$  and  $f \in \mathcal{C}_1$  that are equivalent as objects of Fun $(\Delta^1, \mathcal{C})$ , we have  $f \in \mathcal{E}$ ;
- (3) stable under pullback by  $\mathcal{F}$  if for every Cartesian square in  $\mathcal{C}$  of the form



with  $e \in \mathcal{E}$  and  $f \in \mathcal{F}$ , we have  $e' \in \mathcal{E}$ ;

- (4) stable under pullback (see [52, Notation 6.1.3.4]) if it is stable under pullback by  $C_1$ ;
- (5) *admissible* if  $\mathcal{E}$  contains every degenerate edge of  $\mathcal{C}$ , is stable under pullback, and for every 2-simplex of  $\mathcal{C}$  of the form



with  $p \in \mathcal{E}$ , we have  $q \in \mathcal{E}$  if and only if  $r \in \mathcal{E}$ .

In the above definition, (5) implies (4); (4) implies (3); (2) implies (1). Moreover, if  $\mathcal{F}$  contains every edge of  $\mathcal{C}$  that is an equivalence (resp. degenerate), then (3) implies (2) (resp. (1)). If  $\mathcal{E}$  satisfies (3) with  $\mathcal{E}$  and  $\mathcal{F}$  each containing all degenerate edges of  $\mathcal{C}$ , then  $\mathcal{E}$  contains all equivalences of  $\mathcal{C}$ . The last condition in (5) is equivalent to saying that  $X_{\mathcal{E}} \to X$  is a right fibration [52, Definition 2.0.0.3].

Remark 1.3.19. If  $\mathcal{C}$  admits pullbacks, then  $\mathcal{E}$  is admissible if and only if it contains every degenerate edge of  $\mathcal{C}$  and is stable under composition, pullback, and taking diagonal in  $\mathcal{C}$ . The "only if" part is clear. For the "if" part, note that in the 2-simplex (1.3), q is a composition of

$$z \to z \times_x y \to y,$$

where the first morphism is a pullback of the diagonal  $y \to y \times_x y$  of p and the second morphism is a pullback of r by p. Indeed, we have a diagram with pullback squares



In an  $\infty$ -category  $\mathcal{C}$ , a set of edges  $\mathcal{E}$  is composable if and only if its image in h $\mathcal{C}$  is stable under composition. Thus if  $\mathcal{E}$  is composable and stable under homotopy, then  $\mathcal{E}$  is stable under composition. The converse holds if  $\mathcal{E}$  contains every degenerate edge. In the next section, we will need the following extension property of composable sets of edges.

**Lemma 1.3.20.** Let I be a set. Let  $(B, \mathfrak{F})$  be an I-marked simplicial set and  $(\mathfrak{C}, \mathfrak{E})$  an I-marked  $\infty$ -category. Let  $A \subseteq B$  be a categorical equivalence such that for each  $i \in I$ ,  $\mathfrak{F}_i$  is contained in

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(1.3)

the smallest set of edges of B containing  $\mathfrak{G}_i = A_1 \cap \mathfrak{F}_i$  and stable under composition. Assume  $\mathfrak{E}_i$  composable for all  $i \in I$  and  $\mathfrak{F}_i \cap \mathfrak{F}_j \subseteq A_1$  for all  $i, j \in I$ ,  $i \neq j$ . Then  $(\mathfrak{C}, \mathfrak{E})$  has the extension property with respect to  $(A, \mathfrak{G}) \subseteq (B, \mathfrak{F})$ .

Proof. Let  $f: (A, \mathfrak{G}) \to (\mathfrak{C}, \mathfrak{E})$  be a map of *I*-marked simplicial sets. Choose an extension  $g: B \to \mathfrak{C}$  of f. For each  $i \in I$ , let  $\mathcal{E}'_i$  denote the set of edges of  $\mathfrak{C}$  that are homotopic to some edge of  $\mathcal{E}_i$ . Then  $\mathcal{E}'_i$  is stable under composition, and hence so is its inverse image under g. Thus g induces  $(B, \mathfrak{F}) \to (\mathfrak{C}, \mathfrak{E}')$ . Let  $D \subseteq B$  be the union of A and the edges in  $\mathfrak{F}$ . We construct a map  $h_0: (D, \mathfrak{F}) \to (\mathfrak{C}, \mathfrak{E})$  extending f and a natural equivalence  $g \mid D \to h_0$  extending  $\mathrm{id}_f$ , by choosing for each edge e in  $\mathfrak{F}_i$  but not in  $A_1$ , a homotopy from g(e) to an edge  $h_0(e)$  in  $\mathcal{E}_i$ . By [52, Lemma 2.4.6.3],  $h_0$  extends to a map  $(B, \mathfrak{F}) \to (\mathfrak{C}, \mathfrak{E})$ , as desired.

**Definition 1.3.21.** For a simplicial set X, the map

$$\operatorname{Hom}(\Delta^1 \times \Delta^1, X) \to \operatorname{Hom}(\Delta^1, \operatorname{Map}(\Delta^1, X))$$

carrying f to  $a \mapsto (b \mapsto f(a, b))$  (resp.  $a \mapsto (b \mapsto f(b, a))$ ) is an isomorphism.

(1) We say that a set of squares  $\Omega$  of X is stable under composition in the first (resp. second) direction if the resulting set of edges of Map $(\Delta^1, X)$  is stable under composition.

Now let  $\Omega$  and  $\Omega'$  be sets of squares of an  $\infty$ -category  $\mathcal{C}$ .

- (2) We say that  $\Omega$  is *stable under equivalence* if  $\Omega$ , when viewed as a set of edges of Map $(\Delta^1, \mathcal{C})$  via the above isomorphism, is stable under equivalence.
- (3) We say that  $\Omega$  is stable under pullback by  $\Omega'$  in the first (resp. second) direction, if  $\Omega$  is stable under pullback by  $\Omega'$  in Map $(\Delta^1, \mathcal{C})$ , where  $\Omega$  and  $\Omega'$  are viewed as sets of edges via the above isomorphism.
- (4) We say that  $\Omega$  is stable under pullback in the first (resp. second) direction if (3) holds for  $\Omega' = \operatorname{Fun}(\Delta^1 \times \Delta^1, \mathbb{C})$ , the set of all squares of  $\mathbb{C}$ .

By [52, Corollary 5.1.2.3], condition (3) means that for any cube in C of the form



such that the front and back squares are pullback, such that the right square is in Q, and such that the bottom square is in Q', the left square is in Q. Here we interpret the horizontal and vertical arrows as in the first (resp. second) direction and the oblique arrows as in the other direction.

**Lemma 1.3.22.** Let C be an  $\infty$ -category. Let  $Q^{cart}$  be the set of all pullback squares of C. Then the image of  $Q^{cart}$  under each of the two isomorphisms in Definition 1.3.21 is an admissible set of edges. In particular,  $Q^{cart}$  is stable under equivalence, stable under composition in both directions, and stable under pullback in both directions. *Proof.* The last condition in the definition of admissibility is [52, Lemma 4.4.2.1]. It remains to show the stability under pullback. Consider a cube of the form (1.4) in which the front, back, and right squares are pullback. By the "if" part of (1), the square with vertices y'(0), y(1), x'(0), x(1) is a pullback square. We conclude by the "only if" part of (1).

*Remark* 1.3.23. Let C be an  $\infty$ -category and let  $\mathcal{E}_1$ ,  $\mathcal{E}_2$ ,  $\mathcal{E}_3$  be sets of edges of C. Lemma 1.3.22 has the following consequences.

- (1) If  $\mathcal{E}_1$  is stable under composition, then  $\mathcal{E}_1 *_{\mathcal{C}}^{\operatorname{cart}} \mathcal{E}_2$  is stable under composition in the first direction.
- (2) If  $\mathcal{E}_2$  and  $\mathcal{E}_3$  are stable under pullback by  $\mathcal{E}_1$ , then  $\mathcal{E}_2 *_{\mathcal{C}} \mathcal{E}_3$  and  $\mathcal{E}_2 *_{\mathcal{C}}^{\operatorname{cart}} \mathcal{E}_3$  are stable under pullback by  $\mathcal{E}_1 *_{\mathcal{C}}^{\operatorname{cart}} \mathcal{E}_3$  in the first direction.
- (3) If  $\mathcal{E}_3$  is stable under pullback by  $\mathcal{E}_2$ , and  $\mathcal{E}_2$  is stable under pullback by  $\mathcal{E}_1$ , then  $\mathcal{E}_2 *_{\mathcal{C}}^{\operatorname{cart}} \mathcal{E}_3$  is stable under pullback by  $\mathcal{E}_1 *_{\mathcal{C}} \mathcal{E}_3$  (and, in particular, by  $\mathcal{E}_1 *_{\mathcal{C}}^{\operatorname{cart}} \mathcal{E}_3$ ) in the first direction.

Remark 1.3.24. Let C be an ordinary category, and let  $\mathcal{E}_1, \ldots, \mathcal{E}_k$  be sets of morphisms of C stable under composition and containing identity morphisms. Then  $\mathcal{N}(\mathcal{C})_{\mathcal{E}_1,\ldots,\mathcal{E}_k}$  and  $\mathcal{N}(\mathcal{C})_{\mathcal{E}_1,\ldots,\mathcal{E}_k}^{cart}$  can be interpreted as the k-fold nerves in the sense of Fiore and Paoli [21, Definition 2.14] of suitable k-fold categories. More generally, if  $\mathcal{Q}_{ij}$  are sets of squares stable under composition in both directions such that  $(\mathcal{N}(\mathcal{C}), \mathcal{E}, \mathcal{Q})$  is a k-tiled  $\infty$ -category, then  $\delta_*^{k\Box}(\mathcal{N}(\mathcal{C}), \mathcal{E}, \mathcal{Q})$  is the k-fold nerve of a suitable k-fold category.

1.4. **Multisimplicial descent.** In this section, we study the map of simplicial sets obtained by composing two directions in a multisimplicial nerve. The main result is Theorem 1.4.14, which is a general criterion for the map to be a categorical equivalence. We then give more specific sufficient conditions in two important special cases: Theorem 1.4.16 and Theorem 1.4.20. The latter can be regarded as a generalization of Deligne's result [3, Exposé xvii, Proposition 3.3.2] (see Remark 1.4.24).

In Deligne's theory, a fundamental role is played by the category of compactifications of a morphism f, whose objects are factorizations of f as  $p \circ q$ , where p, q belong respectively to the two classes of morphisms in question. To properly formulate compactifications of simplices of higher dimensions, we introduce a bit of notation.

We identify *partially ordered sets* with ordinary categories in which there is at most one arrow between each pair of objects, by the convention  $p \leq q$  if and only if there exists an arrow  $p \to q$ . For every element  $p \in P$ , we identify the overcategory  $P_{/p}$  (resp. undercategory  $P_{p/}$ ) with the full partially ordered subset of P consisting of elements  $\leq p$  (resp.  $\geq p$ ). For  $p, p' \in P$ , we identify  $P_{p//p'}$  with the full partially ordered subset of P consisting of elements both  $\geq p$  and  $\leq p'$ , which is empty unless  $p \leq p'$ . For a subset Q of P, we write  $Q_{p/} = Q \cap P_{p/}$ , etc.

**Notation 1.4.1.** Let  $n \ge 0$  be an integer. We consider the bisimplicial set  $\Delta^{n,n}$  and the partially ordered set  $[n] \times [n]$ , related by the natural isomorphisms of simplicial sets  $\delta_2^* \Delta^{n,n} \simeq \Delta^n \times \Delta^n \simeq N([n] \times [n])$ . We enumerate their vertices by coordinates (i, j) for  $0 \le i, j \le n$ . We define  $\mathbf{Cpt}^n \subseteq \Delta^{n,n}$  to be the bisimplicial subset obtained by the vertices (i, j) with  $0 \le i \le j \le n$ . We define  $\mathbf{Cpt}^n \subseteq [n] \times [n]$  to be the full partially ordered subset spanned by (i, j) with  $0 \le i \le j \le n$ . We have

$$\delta_2^* \mathbf{Cpt}^n \simeq \Box^n \subseteq \mathfrak{Cpt}^n \coloneqq \mathrm{N}(\mathrm{Cpt}^n),$$

where we have put  $\Box^n \coloneqq \bigcup_{k=0}^n \Box_k^n$  and  $\Box_k^n \coloneqq \operatorname{N}(\operatorname{Cpt}_{(0,k)//(k,n)}^n)$  is the nerve of the full partially ordered subset of  $[n] \times [n]$  spanned by (i, j) with  $0 \leq i \leq k \leq j \leq n$ .

Below is the Hasse diagram of  $\text{Cpt}^3$ , rotated so that the initial object is shown in the upperleft corner. The dashed box represents  $\Box_1^3$ , while bullets represent elements in the image of the
diagonal embedding  $[3] \rightarrow Cpt^3$ .

Note that the first coordinate is represented vertically and the second one is represented horizontally.

We now review compactifications in ordinary categories.

**Definition 1.4.2.** Let  $\mathcal{C}$  be an ordinary category and let  $\mathcal{E}_1$ ,  $\mathcal{E}_2$  be two sets of morphisms of  $\mathcal{C}$ containing all identity morphisms. Let  $\tau: [n] \to \mathcal{C}$  be a functor, corresponding to a sequence of morphisms

$$c_0 \to c_1 \to \cdots \to c_n.$$

We define a *compactification of*  $\tau$  to be a functor  $\sigma$ : Cptⁿ  $\rightarrow C$  satisfying the following conditions:

- (1) The functor  $\sigma$  carries "vertical" morphisms  $(i, j) \to (i', j)$  of  $\operatorname{Cpt}^n$  into  $\mathcal{E}_1$  and "horizontal" morphisms  $(i, j) \to (i, j')$  into  $\mathcal{E}_2$ .
- (2) The composition  $[n] \to \operatorname{Cpt}^n \xrightarrow{\sigma} \mathcal{C}$  is  $\tau$ . Here  $[n] \to \operatorname{Cpt}^n$  is the diagonal functor carrying i to (i, i).

Assume that  $\mathcal{E}_{\alpha}$  is stable under composition for  $\alpha = 1$  or  $\alpha = 2$ . The compactifications of  $\tau$  can be organized into a category  $\operatorname{Kpt}^{\alpha}(\tau)$  as follows. Given two compactifications  $\sigma, \sigma' \colon \operatorname{Cpt}^{n} \to \mathfrak{C}$ of  $\tau$ , a morphism in Kpt^{$\alpha$}( $\tau$ ) is a natural transformation  $\gamma: \sigma \to \sigma'$  satisfying the following conditions:

- (1) For every  $(i, j) \in \operatorname{Cpt}^n$ , the morphism  $\gamma(i, j) \colon \sigma(i, j) \to \sigma'(i, j)$  is in  $\mathcal{E}_{\alpha}$ .
- (2) The restriction of  $\gamma$  to [n] via the diagonal functor is  $id_{\tau}$ .

For  $\alpha = 1$  (and  $n \leq 3$ ),  $\operatorname{Kpt}^1(\tau)$  is the category of compactifications considered by Deligne [3, Exposé xvii, Définition 3.2.5].

In the language of 2-marked simplicial sets, we can reformulate the two conditions (1) in Definition 1.4.2 as follows. Condition (1) in the definition of compactifications means that the restriction of  $N(\sigma)$ :  $Cpt^n \to N(\mathcal{C})$  to  $\Box^n$  induces a map of 2-marked simplicial sets  $\delta_{2+}^* \operatorname{\mathbf{Cpt}}^n \to (\operatorname{N}(\mathcal{C}), \mathcal{E}_1, \mathcal{E}_2).$  Condition (1) in the definition of morphisms means that the restriction of  $N(\gamma): \Delta^1 \times \operatorname{Cpt}^n \to N(\mathcal{C})$  to  $\Delta^1 \times \square^n$ , where  $\gamma$  is regarded as a functor  $[1] \times \operatorname{Cpt}^n \to \mathcal{C}$ , induces a map of 2-marked simplicial sets  $(\Delta^1)^{\sharp_{\{\alpha\}}^2} \times \delta_{2+}^* \mathbf{Cpt}^n \to (\mathcal{N}(\mathcal{C}), \mathcal{E}_1, \mathcal{E}_2)$ . See Definition 1.3.9 for the notation  $(-)^{\sharp_{\{\alpha\}}^2}$ .

We now define compactifications in  $\infty$ -categories, and more generally in simplicial sets. Besides the need to deal with simplices of higher dimensions, the definition is more complicated in two other ways: we consider an extra set K of "directions" and we consider restrictions not only on edges, but also on squares, which leads to the use of multi-tiled simplicial sets.

**Definition 1.4.3.** Let K be a set and let  $(X, \mathcal{T})$  be a  $(\{1, 2\} \coprod K)$ -tiled simplicial set. For  $L \subseteq K$ , integers  $n, n_k \ge 0$   $(k \in K)$ , a map  $\tau \colon \Delta_L^{n, n_k | k \in K} \to \delta_*^{\{0\} \coprod K} X$ , and  $\alpha \in \{1, 2\} \coprod K$ , we define  $\operatorname{Kpt}^{\alpha}(\tau) = \operatorname{Kpt}^{\alpha}(\tau)$ , the  $\alpha$ -th simplicial set of compactifications of  $\tau$ , to be the limit



of the diagram (1.6)

in the category  $\operatorname{Set}_\Delta$  of simplicial sets, where

- we regard  $\tau$  as a map  $\Delta_L^{[n,n_k]_{k\in K}} \to X$ , hence a vertex of  $\operatorname{Map}(\Delta_L^{[n,n_k]_{k\in K}}, X)$ ;
- res₁ is induced by the inclusion  $\Box^n \subseteq Cpt^n$ ;
- res₂ is induced by the diagonal map  $\Delta^n \to Cpt^n$ ; and
- g is the composition of maps

$$\begin{split} \epsilon_{\alpha}^{\{1,2\}\amalg K} \operatorname{Map}(\mathbf{Cpt}^{n} \boxtimes \Delta_{L}^{n_{k}|k \in K}, \delta_{*}^{\{1,2\}\amalg K)\Box}(X, \mathfrak{T})) \\ \hookrightarrow \epsilon_{\alpha}^{\{1,2\}\amalg K} \operatorname{Map}(\mathbf{Cpt}^{n} \boxtimes \Delta_{L}^{n_{k}|k \in K}, \delta_{*}^{\{1,2\}\amalg K}X) \\ \simeq \operatorname{Map}(\Box^{n} \times \Delta_{L}^{[n_{k}]_{k \in K}}, X), \end{split}$$

where the isomorphism is the adjunction formula of Remark 1.3.6.

For a  $(\{1,2\} \coprod K)$ -marked simplicial set  $(X, \mathcal{E})$ , we put  $\operatorname{Kpt}_{X,\mathcal{E}}^{\alpha}(\tau) \coloneqq \operatorname{Kpt}_{W(X,\mathcal{E})}^{\alpha}(\tau)$ , where W is the functor in Notation 1.3.15. We put  $\operatorname{Kpt}^{\alpha}(\tau)_{L} \coloneqq \operatorname{Kpt}^{\alpha}(\tau)$  if  $\alpha \notin L$ , and  $\operatorname{Kpt}^{\alpha}(\tau)_{L} \coloneqq \operatorname{Kpt}^{\alpha}(\tau)^{op}$  if  $\alpha \in L$ .

For brevity, we sometimes write I for  $\{1, 2\} \coprod K$ .

Remark 1.4.4. Let us give a more explicit description of g in (1.6). To simplify notation, we let Y denote the source of g. We let  $\iota_{\alpha} \colon \{1\} \to I$  denote the map with image  $\alpha$ . For any simplicial set S, we have isomorphisms

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$$\begin{aligned} \operatorname{Hom}_{\operatorname{Set}_{\Delta}}(S,Y) &\simeq \operatorname{Hom}_{\operatorname{Set}_{I\Delta}}((\boldsymbol{\Delta}^{\iota_{\alpha}})^*S, \operatorname{Map}(\operatorname{\mathbf{Cpt}}^n \boxtimes \Delta_L^{n_k|k \in K}, \delta_*^{I \sqcup}(X, \mathfrak{T}))) \\ &\simeq \operatorname{Hom}_{\operatorname{Set}_{I\Delta}}((\boldsymbol{\Delta}^{\iota_{\alpha}})^*S \times (\operatorname{\mathbf{Cpt}}^n \boxtimes \Delta_L^{n_k|k \in K}), \delta_*^{I \square}(X, \mathfrak{T})) \\ &\simeq \operatorname{Hom}_{\operatorname{Set}_{\Delta}^{I \square}}(\delta_{I \square}^*(\boldsymbol{\Delta}^{\iota_{\alpha}})^*S \times \delta_{I \square}^*(\operatorname{\mathbf{Cpt}}^n \boxtimes \Delta_L^{n_k|k \in K}), (X, \mathfrak{T})) \\ &\simeq \operatorname{Hom}_{\operatorname{Set}_{\Delta}^{I \square}}(\mathsf{V}(S^{\sharp_{\{\alpha\}}^{I}}) \times \mathsf{W}\delta_{I+}^*(\operatorname{\mathbf{Cpt}}^n \boxtimes \Delta_L^{n_k|k \in K}), (X, \mathfrak{T})), \end{aligned}$$

where V and W are the functor in Notation 1.3.15. Here in the last step we have used the isomorphisms

$$\delta_{I\square}^*(\mathbf{\Delta}^{\iota_{\alpha}})^*S \simeq \mathsf{V}(S^{\sharp_{\{\alpha\}}^I}), \quad \delta_{I\square}^*(\mathbf{Cpt}^n \boxtimes \Delta_L^{n_k|k \in K}) \simeq \mathsf{W}\delta_{I+}^*(\mathbf{Cpt}^n \boxtimes \Delta_L^{n_k|k \in K}).$$

We define  $\{\mathcal{F}_{\beta}\}_{\beta \in I}$  by the isomorphism

$$\delta_{I+}^*(\mathbf{Cpt}^n \boxtimes \Delta_L^{n_k|k \in K}) \simeq (\Box^n \times \Delta_L^{[n_k]_{k \in K}}, \{\mathfrak{F}_\beta\}_{\beta \in I}).$$

In other words,  $\mathcal{F}_{\beta}$  is the set of edges of  $\epsilon_{\beta}^{I}(\mathbf{Cpt}^{n} \boxtimes \Delta_{L}^{n_{k}|k \in K})$ , for all  $\beta \in I$ . Then,

• A vertex of Y is precisely a map of I-marked simplicial sets  $\delta^*_{I\square}(\mathbf{Cpt}^n \boxtimes \Delta_L^{n_k|k \in K}) \to (X, \mathfrak{T})$ . In other words, a map  $\sigma \colon \square^n \times \Delta_L^{[n_k]_{k \in K}} \to X$  is a vertex of Y if and only if it

carries  $\mathfrak{F}_{\beta} * \mathfrak{F}_{\beta'}$  into  $\mathfrak{T}_{\beta\beta'}$  for all  $\beta, \beta' \in I$  with  $\beta \neq \beta'$ . As we observed in Remark 1.3.13, the condition implies that  $\sigma$  carries  $\mathcal{F}_{\beta}$  into  $\mathcal{T}_{\beta}$  for all  $\beta \in I$ .

• Given vertices  $\sigma$ ,  $\sigma'$  of Y, an edge  $\gamma \colon \sigma \to \sigma'$  of  $\operatorname{Map}(\Box^n \times \Delta_L^{[n_k]_{k \in K}}, X)$  is an edge of Yif and only if, for every  $\beta \in I$ ,  $\beta \neq \alpha$  and for every square

in  $\mathcal{F}_{\alpha} * \mathcal{F}_{\beta}$ , with vertical arrows in  $\mathcal{F}_{\alpha}$  and horizontal arrows in  $\mathcal{F}_{\beta}$ ,  $\gamma$  carries the square

to a square in  $\mathfrak{I}_{\alpha\beta}$ . Here we have regarded  $\gamma$  as a map  $\Delta^1 \times (\Box^n \times \Delta_L^{[n_k]_{k \in K}}) \to \mathfrak{C}$ . We note two special cases of the condition:

- (1) For every edge  $y \to x$  in  $\mathcal{F}_{\alpha}$ ,  $\gamma$  carries  $(0, y) \to (1, x)$  to an edge in  $\mathcal{T}_{\alpha}$ .
- (2) For every  $\beta \in I$ ,  $\beta \neq \alpha$  and for every edge  $x' \to x$  in  $\mathcal{F}_{\beta}$ ,  $\gamma$  carries the square

$$\begin{array}{c} (0,x') \longrightarrow (0,x) \\ \downarrow & \downarrow \\ (1,x') \longrightarrow (1,x) \end{array}$$

to a square in  $\mathcal{T}_{\alpha\beta}$ .

If  $\mathcal{T}_{\alpha\beta}$  is stable under composition in the first direction for every  $\beta$ , then Condition (2)

is also a sufficient condition for  $\gamma$  to be an edge of Y. • For  $m \ge 2$ , an *m*-simplex  $\gamma$  of  $\operatorname{Map}(\Box^n \times \Delta_L^{[n_k]_{k \in K}}, X)$  is an *m*-simplex of Y if and only if each edge of  $\gamma$  is an edge of Y.

In particular, q satisfies the (unique) right lifting property with respect to  $\partial \Delta^m \subset \Delta^m$  for  $m \ge 2$ .

Remark 1.4.5. In the situation of Definition 1.4.2, we have a canonical isomorphism  $\operatorname{Kpt}_{\operatorname{N}(\mathcal{C}), \mathcal{E}_1, \mathcal{E}_2}^{\alpha}(\tau) \simeq \operatorname{N}(\operatorname{Kpt}^{\alpha}(\tau)).$  We will see in Lemma 1.4.19 that the simplicial set  $\operatorname{Kpt}_{(X,\mathcal{T})}^{\alpha}$  is an  $\infty$ -category under mild hypotheses.

*Remark* 1.4.6. We let  $D^n$  denote the intersection of  $\Box^n$  and the diagonal embedding  $\Delta^n \to \mathbb{C}pt^n$ . Then  $D^n$  is the disjoint union of n+1 points. Note that the diagram (1.6) can be completed into a commutative diagram



where the lower right square is a pullback. Here the maps in the lower right square (including res₃) and res₄ are obvious restrictions. If X is an  $\infty$ -category, then res_i,  $2 \leq i \leq 4$  are Cartesian

fibrations (and coCartesian fibrations) by [52, Proposition 3.1.2.1] and res₁ is a trivial Kan fibration by Lemma 1.6.7 and [52, Corollaries 2.3.2.4, 2.3.2.5]. Moreover, res₁ is an isomorphism if X is isomorphic to the nerve of an ordinary category.

Remark 1.4.7. We have introduced K in the definition mainly for convenience. In the case where  $\alpha \in \{1, 2\}$ , which is our main case of interest, we could reach the same generality without K. In fact, we can define a  $\{1, 2\}$ -tiled simplicial set  $(X', \mathfrak{T}')$ , where X' is the full simplicial subset of Map $(\Delta_L^{[n_k]_{k\in K}}, X)$  spanned by maps corresponding to maps  $\Delta_L^{n_k|k\in K} \to \delta_*^{K\square}(X, \mathfrak{T}_K) \subseteq$  $\delta_*^K X$  (where  $\mathfrak{T}_K$  denotes the K-tiling induced by  $\mathfrak{T}$ ), with the following property: If  $\tau$  defines an n-simplex  $\tau'$  of X', then we have an isomorphism  $\operatorname{Kpt}^{\alpha}_{(X,\mathfrak{T})}(\tau) \simeq \operatorname{Kpt}^{\alpha}_{(X',\mathfrak{T}')}(\tau')$ ; otherwise  $\operatorname{Kpt}^{\alpha}_{(X,\mathfrak{T})}(\tau)$  is empty.

Note that by Remark 1.3.6, the map g is also equal to the composition

$$\begin{split} & \epsilon_{\alpha}^{\{1,2\}\amalg K}\operatorname{Map}(\mathbf{Cpt}^{n}\boxtimes\Delta_{L}^{n_{k}|k\in K}, \delta_{*}^{\{\{1,2\}\amalg K)\sqcup}(X,\mathfrak{T})) \\ & \xrightarrow{\delta_{\{1,2\}\amalg K}^{*}}\operatorname{Map}(\Box^{n}\times\Delta_{L}^{[n_{k}]_{k\in K}}, \delta_{\{1,2\}\amalg K}^{*}\delta_{*}^{\{\{1,2\}\amalg K)\Box}(X,\mathfrak{T})) \\ & \hookrightarrow \operatorname{Map}(\Box^{n}\times\Delta_{L}^{[n_{k}]_{k\in K}}, \delta_{\{1,2\}\amalg K}^{*}\delta_{*}^{\{1,2\}\amalg K}X) \\ & \to \operatorname{Map}(\Box^{n}\times\Delta_{L}^{[n_{k}]_{k\in K}}, X), \end{split}$$

where the last map is induced by the counit map. We consider the composition

(1.9) 
$$\phi(\tau) \colon \operatorname{Kpt}^{\alpha}(\tau)_{L} \to \epsilon_{\alpha}^{I} \operatorname{op}_{L}^{I} \operatorname{Map}(\mathbf{Cpt}^{n} \boxtimes \Delta_{L}^{n_{k}|k \in K}, \delta_{*}^{I\Box}(X, \mathfrak{T}))$$
$$\xrightarrow{\delta_{I}^{*}} \operatorname{Map}(\Box^{n} \times \Delta^{[n_{k}]_{k \in K}}, \delta_{I,L}^{*} \delta_{*}^{I\Box}(X, \mathfrak{T})),$$

which will be used in the proof of Theorem 1.4.14 below.

Remark 1.4.8. By construction, the composition

$$\begin{aligned} & \operatorname{Kpt}^{\alpha}(\tau)_{L} \xrightarrow{\phi(\tau)} \operatorname{Map}(\Box^{n} \times \Delta^{[n_{k}]_{k \in K}}, \delta^{*}_{I,L} \delta^{I\Box}_{*}(X, \mathfrak{T})) \\ & \to \operatorname{Map}(D^{n} \times \Delta^{[n_{k}]_{k \in K}}, \delta^{*}_{I,L} \delta^{I\Box}_{*}(X, \mathfrak{T})), \end{aligned}$$

where the second map is induced by the inclusion  $D^n \subseteq \Box^n$  (see Remark 1.4.6 for the notation), is constant of value  $\delta^*_{I,L}\tau_0$ , where

$$\tau_0 \colon \delta^2_*(D^n) \boxtimes \Delta^{n_k|k \in K}_L \to \delta^I_* X$$

is the restriction of  $\tau$ . If  $\operatorname{Kpt}^{\alpha}(\tau)$  is nonempty, then  $\tau_0$  factorizes through  $\delta^{I\square}_*(X, \mathfrak{T})$ .

Next we consider  $(\{0\} \coprod K)$ -tilings. Let  $(X, \mathcal{T}')$  be a  $(\{0\} \coprod K)$ -tiled simplicial set. For brevity we sometimes write J for  $\{0\} \coprod K$ . For  $L \subseteq K$  and  $\alpha' \in J$ , we have the commutative diagram (1.10)

by Remark 1.3.6. This is similar to the situation of the map g in Definition 1.4.3.

To compare the restricted multisimplicial nerves of  $(X, \mathcal{T})$  and of  $(X, \mathcal{T}')$ , we make some assumptions.

**Assumption 1.4.9.** Let  $(X, \mathcal{T})$  be a  $(\{1, 2\} \coprod K)$ -tiled simplicial set and let  $(X, \mathcal{T}')$  be a  $(\{0\} \coprod K)$ -tiled simplicial set. Consider the following assumptions:

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- (1) For  $\sigma: \Delta^2 \to X$  with  $\sigma \circ d_0^2 \in \mathfrak{T}_1, \sigma \circ d_2^2 \in \mathfrak{T}_2$ , we have  $\sigma \circ d_1^2 \in \mathfrak{T}_0'$ . (2) For  $k \in K$  and  $\sigma: \Delta^2 \times \Delta^1 \to X$  satisfying  $\sigma \circ (d_0^2 \times \mathrm{id}) \in \mathfrak{T}_{1k}, \sigma \circ (d_2^2 \times \mathrm{id}) \in \mathfrak{T}_{2k}$ , we have  $\sigma \circ (d_1^2 \times \mathrm{id}) \in \mathfrak{T}'_{0k}$ .
- (3) For  $k \in K$ , we have  $\mathcal{T}_k \subseteq \mathcal{T}'_k$ . For distinct elements  $k, k' \in K$ , we have  $\mathcal{T}_{kk'} \subseteq \mathcal{T}'_{kk'}$ .

Note that (2) implies (1) if K is nonempty.

*Remark* 1.4.10. Assumption (1) implies  $\mathfrak{T}_1, \mathfrak{T}_2 \subseteq \mathfrak{T}'_0$ . Conversely, if we have  $\mathfrak{T}_1, \mathfrak{T}_2 \subseteq \mathfrak{T}'_0$  and  $\mathfrak{T}_0'$  is stable under composition, then Assumption (1) holds. Similarly, Assumption (2) implies  $\mathfrak{T}_{1k}, \mathfrak{T}_{2k} \subseteq \mathfrak{T}'_{0k}$ . Conversely, if we have  $\mathfrak{T}_{1k}, \mathfrak{T}_{2k} \subseteq \mathfrak{T}'_{0k}$  and  $\mathfrak{T}'_{0k}$  is stable under composition in the first direction, then Assumption (2) holds.

We consider the maps  $\mu_0: \{1,2\} \to \{0\}$  and  $\mu = \mu_0 \coprod \operatorname{id}_K: \{1,2\} \coprod K \to \{0\} \coprod K$ . For brevity, we sometimes write I for  $\{1, 2\} \coprod K$  and J for  $\{0\} \coprod K$ .

Lemma 1.4.11. Suppose that Assumption 1.4.9 is satisfied. Then

(1) The isomorphism  $\delta_*^{\{1,2\}\amalg K} X \simeq (\mathbf{\Delta}^{\mu})_* \delta_*^{\{0\}\amalg K} X$  induces an inclusion

$$\delta_*^{(\{1,2\}\amalg K)\Box}(X,\mathfrak{T}) \subseteq (\mathbf{\Delta}^{\mu})_* \delta_*^{(\{0\}\amalg K)\Box}(X,\mathfrak{T}').$$

(2) The pullback of q by res₁ in Definition 1.4.3 factorizes through the upper-left corner of the diagram (1.10) with  $\alpha' = \mu(\alpha)$ .

*Proof.* (1) We have

$$\delta^*_{I\square}\Delta^{n_k|k\in I} = \mathsf{W}\delta^*_{I+}\Delta^{n_k|k\in I}, \quad \delta^*_{J\square}(\mathbf{\Delta}^{\mu})^*\Delta^{n_k|k\in I} \simeq \mathsf{W}\delta^*_{J+}(\Delta^{[n_1,n_2]}\boxtimes \Delta^{n_k|k\in K}).$$

We let  $\mathfrak{G}_{\beta}$  denote the set of edges of  $\epsilon_{\beta}^{I}\Delta^{n_{k}|k\in I}$  for  $\beta \in I$ , and let  $\mathfrak{G}_{0}$  denote the set of edges of  $\epsilon_0^J(\Delta^{[n_1,n_2]} \boxtimes \Delta^{n_k | k \in K})$ . Then we have

$$\delta_{I+}^* \Delta^{n_k | k \in I} \simeq (\Delta^{[n_k]_{k \in I}}, \{\mathfrak{G}_\beta\}_{\beta \in I}),$$
  
$$\delta_{I+}^* (\Delta^{[n_1, n_2]} \boxtimes \Delta^{n_k | k \in K}) \simeq (\Delta^{[n_k]_{k \in I}}, \{\mathfrak{G}_\beta\}_{\beta \in J}).$$

Thus an  $(n_k)_{k \in I}$ -simplex of  $\delta^{I\square}_*(X, \mathfrak{T})$  is given by a map  $\sigma \colon \Delta^{[n_k]_{k \in I}} \to X$  carrying  $\mathfrak{G}_\beta$  into  $\mathfrak{T}_\beta$ for all  $\beta \in I$  and carrying  $\mathfrak{G}_{\beta} * \mathfrak{G}_{\beta'}$  into  $\mathfrak{T}_{\beta\beta'}$  for all  $\beta, \beta' \in I, \beta \neq \beta'$ .

Let us show first that  $\sigma$  carries  $\mathfrak{G}_{\beta}$  into  $\mathfrak{T}'_{\beta}$  for all  $\beta \in J$ . For  $\beta \in K$ , this follows from the assumption  $\mathfrak{T}_{\beta} \subseteq \mathfrak{T}'_{\beta}$ . An edge e in  $\mathfrak{G}_0$  has the form  $(i, j, a) \to (i', j', a)$ , where  $a = (a_k)_{k \in K}$ . Consider the 2-simplex



of  $\Delta^{[n_k]_{k\in I}}$ , where e' is in  $\mathcal{G}_1$  and e'' is in  $\mathcal{G}_2$ . By Assumption 1.4.9 (1),  $\sigma(e)$  is in  $\mathcal{T}'_0$ .

Next we show that  $\sigma$  carries  $\mathfrak{G}_{\beta} * \mathfrak{G}_{\beta'}$  into  $\mathfrak{T}'_{\beta\beta'}$  for all  $\beta, \beta' \in J, \beta \neq \beta'$ . For  $\beta, \beta' \in K$ , this follows from the assumption  $\mathfrak{T}_{\beta\beta'} \subseteq \mathfrak{T}'_{\beta\beta'}$ . It remains to show that  $\sigma$  carries  $\mathfrak{G}_0 * \mathfrak{G}_\beta$  into  $\mathfrak{T}'_{0\beta}$  for  $\beta \in K$ . Every square  $\varsigma$  in  $\mathfrak{G}_0 * \mathfrak{G}_\beta$  can be extended to a map  $\Delta^2 \times \Delta^1 \to \Delta^{[n_k]_{k \in I}}$  as shown by

the diagram

$$(i, j, b) \longrightarrow (i, j, a)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$(i, j', b) \longrightarrow (i, j', a)$$

$$\downarrow \qquad \qquad \qquad \downarrow$$

$$(i', j', b) \longrightarrow (i', j', a)$$

with  $\varsigma$  as the outer square. The upper square is in  $\mathcal{G}_2 * \mathcal{G}_\beta$  and the lower square is in  $\mathcal{G}_1 * \mathcal{G}_\beta$ . Thus, by Assumption 1.4.9 (2),  $\sigma(\varsigma)$  is in  $\mathcal{T}'_{0\beta}$ .

(2) We let Y' denote the pullback of g by res₁ and let Z denote the upper-left corner of the diagram (1.10). We adopt the notation of Remark 1.4.4. Note that for  $\beta \in K$ ,  $\mathcal{F}_{\beta}$  can be identified with the set of edges of  $\epsilon_{\beta}^{J}(\operatorname{Cpt}^{n} \boxtimes \Delta_{L}^{n_{k}|k \in K})$ . We let  $\mathcal{F}_{0}$  denote the set of edges of  $\epsilon_{0}^{J}(\operatorname{Cpt}^{n} \boxtimes \Delta_{L}^{n_{k}|k \in K})$ .

$$\delta_{J+}^*(\operatorname{Cpt}^n \boxtimes \Delta_L^{n_k|k \in K}) \simeq (\operatorname{Cpt}^n \times \Delta_L^{[n_k]_{k \in K}}, \{\mathcal{F}_\beta\}_{\beta \in J}).$$

Note that Z admits a description similar to the description of Y in Remark 1.4.4. In particular, for  $m \ge 2, Z \hookrightarrow \operatorname{Map}(\operatorname{Cpt}^n \times \Delta_L^{[n_k]_{k \in K}}, X)$  has the right lifting property with respect to  $\partial \Delta^m \subseteq \Delta^m$ . Thus it suffices to check  $Y' \subseteq Z$  on the level of vertices and edges.

Let  $\sigma: \operatorname{Cpt}^n \times \Delta_L^{[n_k]_{k \in K}} \to X$  be a vertex of Y'. To show that  $\sigma$  is a vertex of Z, we need to check that  $\sigma$  carries  $\mathcal{F}_{\beta}$  to  $\mathcal{T}'_{\beta}$  for all  $\beta \in J$  and carries  $\mathcal{F}_{\beta} * \mathcal{F}_{\beta'}$  to  $\mathcal{T}'_{\beta\beta'}$  for all  $\beta, \beta' \in J, \beta \neq \beta'$ . The proof is similar to that of (1). Note that for every edge  $(i, j) \to (i', j')$  of  $\operatorname{Cpt}^n$ , (i, j') is a vertex of  $\operatorname{Cpt}^n$ .

Let  $\gamma$  be an edge of Y', regarded as a map  $\Delta^1 \times (\operatorname{Cpt}^n \times \Delta_L^{[n_k]_{k \in K}}) \to X$ . To show that  $\gamma$  is an edge of Z, we first check that for every edge  $y \to x$  of  $\mathcal{F}_{\mu(\alpha)}$ ,  $\gamma$  carries the edge  $e: (0, y) \to (1, x)$  to an edge in  $\mathcal{T}'_{\mu(\alpha)}$ . If  $\alpha \in K$ , then this follows from the assumption  $\mathcal{T}_{\alpha} \subseteq \mathcal{T}'_{\alpha}$ . If  $\alpha \in \{1, 2\}$ , then e can be completed into a 2-simplex of the form



Since  $\gamma(e')$  is in  $\mathfrak{T}_1$  and  $\gamma(e'')$  is in  $\mathfrak{T}_2$ , we have  $\gamma(e) \in \mathfrak{T}'_0$  by Assumption 1.4.9 (1). Finally we check that for every  $\beta \in J$ ,  $\beta \neq \mu(\alpha)$  and every square of the form (1.7) in  $\mathcal{F}_{\mu(\alpha)} * \mathcal{F}_{\beta}$  with vertical arrows in  $\mathcal{F}_{\mu(\alpha)}$  and horizontal arrows in  $\mathcal{F}_{\beta}$ ,  $\gamma$  carries the square (1.8) to a square in  $\mathfrak{T}'_{\mu(\alpha)\beta}$ . If  $\alpha, \beta \in K$ , then this follows from the assumption  $\mathfrak{T}_{\alpha\beta} \subseteq \mathfrak{T}'_{\alpha\beta}$ . In the remaining cases we apply Assumption 1.4.9 (2). If  $\beta = 0$ , we factorize the square horizontally. If  $\alpha \in \{1, 2\}$ , we factorize the square vertically, with the first component of the middle row given by  $\alpha - 1$ .

**Construction 1.4.12.** The main result of this section, Theorem 1.4.14 below, is about the composition

(1.11) 
$$\delta^*_{\{1,2\}\amalg K,L}\delta^{(\{1,2\}\amalg K)\square}(X,\mathfrak{T}) \simeq \delta^*_{\{0\}\amalg K,L}(\mathbf{\Delta}^{\mu})^*\delta^{\{\{1,2\}\cup K)\square}_*(X,\mathfrak{T})$$
$$\hookrightarrow \delta^*_{\{0\}\amalg K,L}(\mathbf{\Delta}^{\mu})^*(\mathbf{\Delta}^{\mu})_*\delta^{(\{0\}\amalg K)\square}_*(X,\mathfrak{T}')$$
$$\to \delta^*_{\{0\}\amalg K,L}\delta^{(\{0\}\amalg K)\square}_*(X,\mathfrak{T}'),$$

where the inclusion in the middle is given by Lemma 1.4.11 (1) and the last map is the counit map. An *n*-simplex of the left hand side of (1.11) corresponds to a map  $\Delta^n \times \Delta^n \times (\Delta^n)^K \to X$ . The map (1.11) carries it to the *n*-simplex corresponding to the composition

$$\Delta^n \times (\Delta^n)^K \xrightarrow{\operatorname{diag} \times \operatorname{id}_{(\Delta^n)^K}} \Delta^n \times \Delta^n \times (\Delta^n)^K \to X_k$$

where diag:  $\Delta^n \to \Delta^n \times \Delta^n$  is the diagonal map. For any map  $\tau: \Delta_L^{n,n_k|k \in K} \to \delta_*^{\{0\} \amalg K} X$ , we consider the composition

(1.12) 
$$\psi(\tau) \colon \operatorname{Kpt}^{\alpha}(\tau)_{L} \to \epsilon^{J}_{\mu(\alpha)} \operatorname{op}_{L}^{J} \operatorname{Map}(\operatorname{Cpt}^{n} \boxtimes \Delta_{L}^{n_{k}|k \in K}, \delta^{J\Box}_{*}(X, \mathfrak{T}')) \\ \xrightarrow{\delta^{*}_{J}} \operatorname{Map}(\operatorname{Cpt}^{n} \times \Delta^{[n_{k}]_{k \in K}}, \delta^{*}_{J,L} \delta^{J\Box}_{*}(X, \mathfrak{T}')),$$

where the first map is given by Lemma 1.4.11(2). We have a commutative diagram

where  $\phi(\tau)$  is defined in (1.9), the lower horizontal arrow is induced by (1.11), and the right vertical arrow is the obvious restriction.

Remark 1.4.13. By construction, the composition

$$\begin{aligned} & \mathcal{K}\mathrm{pt}^{\alpha}(\tau)_{L} \xrightarrow{\psi(\tau)} \mathrm{Map}(\mathfrak{C}\mathrm{pt}^{n} \times \Delta^{[n_{k}]_{k \in K}}, \delta^{*}_{J,L} \delta^{J\square}_{*}(X, \mathfrak{T}')) \\ & \to \mathrm{Map}(\Delta^{[n,n_{k}]_{k \in K}}, \delta^{*}_{J,L} \delta^{J\square}_{*}(X, \mathfrak{T}')), \end{aligned}$$

where the second map is induced by the diagonal embedding  $\Delta^n \to Cpt^n$ , is constant of value  $\delta^*_{J,L}\tau$ . If  $\operatorname{Kpt}^{\alpha}(\tau)$  is nonempty, then  $\tau$  factorizes through  $\delta^{J\square}_*(X, \mathfrak{I}')$ .

**Theorem 1.4.14** (Multisimplicial descent). Let K be a set and let  $\alpha \in \{1, 2\} \coprod K$  be an element. Let  $(X, \mathcal{T})$  be a  $(\{1, 2\} \coprod K)$ -tiled simplicial set and let  $(X, \mathcal{T}')$  be a  $(\{0\} \coprod K)$ -tiled simplicial set, satisfying Assumption 1.4.9. We assume that  $\operatorname{Kpt}^{\alpha}_{(X,\mathfrak{T})}(\tau)$  is weakly contractible for every  $n \ge 0$ and every  $(n, n_k)_{k \in K}$ -simplex  $\tau$  of  $\delta_*^{(\{0\} \amalg K) \square}(X, \mathfrak{I}')$  with  $n_k = n$ . Then, for every subset  $L \subseteq K$ , the map

$$f\colon \delta^*_{\{1,2\}\amalg K,L}\delta^{(\{1,2\}\amalg K)\square}_*(X,\mathfrak{T})\to \delta^*_{\{0\}\amalg K,L}\delta^{(\{0\}\amalg K)\square}_*(X,\mathfrak{T}')$$

composition of (1.11), is a categorical equivalence.

Note that the assumption that the simplicial sets  $\mathfrak{K}pt^{\alpha}(\tau)$  for those  $\tau$  are nonempty implies that  $\mathfrak{T}_k = \mathfrak{T}'_k$  for all  $k \in K$ , and  $\mathfrak{T}_{kk'} = \mathfrak{T}'_{kk'}$  for all  $k, k' \in K$  with  $k \neq k'$ .

In the case  $K = \emptyset$  and  $\Upsilon'_0 = X_1$ , Assumption 1.4.9 is clearly satisfied and the theorem takes the following form.

**Corollary 1.4.15.** Let  $\alpha$  be 1 or 2. Let  $(X, \mathcal{T})$  be a 2-tiled simplicial set such that  $\operatorname{Kpt}^{\alpha}_{(X,\mathcal{T})}(\tau)$ is weakly contractible for every simplex  $\tau$  of X. Then the map

$$f \colon \delta_2^* \delta_*^{2 \sqcup} (X, \mathfrak{T}) \to X$$

induced by the counit map  $\delta_2^* \delta_*^2 X \to X$  is a categorical equivalence.

Proof of Theorem 1.4.14. We let Y and Z denote the source and target, respectively, of the map f in the statement of the theorem. Consider a commutative diagram



as in Lemma 1.1.9. Let  $\sigma$  be an *n*-simplex of Z, corresponding to a map  $\tau \colon \Delta_L^{n,n_k|k \in K} \to \delta_*^{J\square}(X, \mathcal{T}')$ , where  $n_k = n$ . Consider the commutative diagram (1.13)



In the above diagram,

• res₁ is induced by

$$j \colon H = \Delta^l \times \square^n \coprod_{\partial \Delta^l \times \square^n} \partial \Delta^l \times \operatorname{Cpt}^n \hookrightarrow \Delta^l \times \operatorname{Cpt}^n;$$

• h is the amalgamation of  $v_*\phi(\tau)$  and  $w_*\psi(\tau)$ , where

$$v_*\phi(\tau)\colon \operatorname{Kpt}^{\alpha}(\tau)_L \to \operatorname{Fun}(\Delta^l \times \square^n \times \Delta^{[n_k]_{k \in K}}, \mathcal{D})$$

is the composition of (1.9) and the map induced by v, and

$$w_*\psi(\tau)\colon \operatorname{Kpt}^{\alpha}(\tau)_L \to \operatorname{Fun}(\partial \Delta^l \times \operatorname{Cpt}^n \times \Delta^{\lfloor n_k \rfloor_{k \in K}}, \mathcal{D})$$

is the composition of (1.12) and the map induced by w;

- $\mathcal{N}(\sigma)$  is defined so that the upper left square is a pullback square;
- the two maps res₂ are both induced by the diagonal embedding  $\Delta^n \subseteq \operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}}$ ;
- $D^n$  is as in Remark 1.4.6;
- res₃ is induced by the diagonal embedding  $D^n \subseteq \Box^n \times \Delta^{[n_k]_{k \in K}}$ ; and
- res₄ is induced by the inclusion  $D^n \subseteq \Delta^n$ ;
- the unnamed arrows in the middle column and in the upper right square are obvious restrictions.

By Lemma 1.6.7 and [52, Corollaries 2.3.2.4, 2.3.2.5], the map  $j \times \mathrm{id}_{\Delta^{[n_k]_{k \in K}}}$  is inner anodyne, and consequently res₁ is a trivial Kan fibration. Thus  $\mathcal{N}(\sigma)$  is weakly contractible.

We let  $\Phi(\sigma) \colon \mathcal{N}(\sigma) \to \operatorname{Fun}(\Delta^l \times \Delta^n, \mathcal{D})$  denote the composition of the upper horizontal arrows in (1.13). We let  $\sigma_0 \colon D^n \to Z$  denote the restriction of  $\sigma$ . Since f induces a bijection on vertices,  $\sigma_0$  factorizes uniquely through a map  $D^n \to Y$ , which we still denote by  $\sigma_0$ . By Remark 1.4.8,  $\operatorname{res}_3 \circ v_* \phi(\tau)$  is constant of value  $v(\sigma_0)$ . It follows that  $\operatorname{res}_4 \circ \Phi(\sigma)$  is constant of value  $v(\sigma_0)$ . In particular,  $\Phi(\sigma)$  induces a map

$$\mathcal{N}(\sigma)^{\sharp} \times (\Delta^{n})^{\flat} \to \operatorname{Fun}(\Delta^{l}, \mathcal{D})^{\flat} \subseteq \operatorname{Fun}(\Delta^{l}, \mathcal{D})^{\natural}.$$

Thus  $\Phi(\sigma)$  induces a map  $\mathcal{N}(\sigma) \to \operatorname{Map}^{\sharp}((\Delta^n)^{\flat}, \operatorname{Fun}(\Delta^l, \mathcal{D})^{\natural})$ , which we still denote by  $\Phi(\sigma)$ . This construction is functorial in  $\sigma$ , giving rise to a morphism  $\Phi \colon \mathcal{N} \to \operatorname{Map}[Z, \operatorname{Fun}(\Delta^l, \mathcal{D})]$  in the category  $(\operatorname{Set}_{\Delta})^{(\mathbf{\Delta}_{/Z})^{op}}$ . By Remark 1.4.13, the composition of the middle row of (1.13) is constant of value  $w(\sigma)$ . Thus  $\operatorname{Map}[Z, p] \circ \Phi \colon \mathcal{N} \to \operatorname{Map}[Z, \operatorname{Fun}(\partial \Delta^l, \mathcal{D})]$  factorizes through the morphism  $\Delta^0_{(\mathbf{\Delta}/Z)^{op}} \to \operatorname{Map}[Z, \operatorname{Fun}(\partial \Delta^l, \mathcal{D})]$  corresponding to w via Remark 1.2.7.

Now let  $\sigma'$  be an *n*-simplex of Y corresponding to a map  $\tau' \colon \Delta_L^{n,n,n_k|k \in K} \to \delta_*^{I\square}(X, \mathfrak{T})$ . By restricting to  $\operatorname{Cpt}^n \subseteq \Delta^{[n,n]}$  we obtain a vertex of  $\operatorname{Kpt}^{\alpha}(\tau)$ . By restricting the composition

$$\Delta^{n,n,n_k|k\in K} \xrightarrow{\tau'} \operatorname{op}_L^I \delta_*^{I\square}(X,\mathfrak{T}) \xrightarrow{v} \delta_*^I \operatorname{Fun}(\Delta^l, \mathcal{D}),$$

we obtain a vertex of  $\operatorname{Fun}(\Delta^l \times \operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}}, \mathcal{D})$ . The two vertices have the same image in  $\operatorname{Fun}(H \times \Delta^{[n_k]_{k \in K}}, \mathcal{D})$  and hence provide a vertex  $\nu(\sigma')$  of  $\mathcal{N}(f(\sigma'))$ , whose image under  $\Phi(f(\sigma'))$  is  $v(\sigma')$ . This construction is functorial in  $\sigma'$ , giving rise to  $\nu \in \Gamma(f^*\mathcal{N})_0$  such that  $f^*\Phi \circ \nu = v$ . Applying Proposition 1.2.15 to  $\Phi$ , the map  $f: Y \to Z$  and the global section  $\nu$  of  $f^*\mathcal{N}$ , we obtain a map  $u: Z \to \operatorname{Fun}(\Delta^l, \mathcal{D})$  satisfying  $p \circ u = w$  such that  $u \circ f$  and v are homotopic over  $\operatorname{Fun}(\partial \Delta^l, \mathcal{D})$ , as desired.  $\Box$ 

Next we show that in a favorable case, the weak contractibility condition in the theorem can be reduced to a weak contractibility condition on a 2-marked simplicial set.

**Theorem 1.4.16.** Let  $\mathcal{C}$  be an  $\infty$ -category and K a finite set. Consider a  $(\{0, 1, 2\} \coprod K)$ -marked  $\infty$ -category  $(\mathcal{C}, \mathcal{E}_0, \mathcal{E}_1, \mathcal{E}_2, \{\mathcal{E}_k\}_{k \in K})$  such that

- (1)  $\mathcal{E}_1, \mathcal{E}_2 \subseteq \mathcal{E}_0;$
- (2)  $\mathcal{E}_0$  is stable under composition;
- (3)  $\mathcal{E}_1$ ,  $\mathcal{E}_2$  are stable under pullback by  $\mathcal{E}_k$  for all  $k \in K$ ;
- (4)  $\mathcal{E}_k$  is stable under pullback by  $\mathcal{E}_1$  for all  $k \in K$ ; and
- (5) edges in  $\mathcal{E}_k$  admit pullbacks in  $\mathcal{C}$  by edges in  $\mathcal{E}_1$  for all  $k \in K$ .

Then for every  $(n, n_k)_{k \in K}$ -simplex  $\tau$  of the  $(\{0\} \coprod K)$ -tiled  $\infty$ -category  $\mathbb{C}_{\mathcal{E}_0, \{\mathcal{E}_k\}_{k \in K}}^{\operatorname{cart}}$ , the restriction map  $\operatorname{Kpt}_{(\mathcal{C}, \mathcal{T})}^{\alpha}(\tau) \to \operatorname{Kpt}_{\mathcal{C}, \mathcal{E}_1, \mathcal{E}_2}^{\alpha}(\gamma)$ , where  $\gamma$  is the restriction of  $\tau$  to  $\Delta^n \times \{(n_k)_{k \in K}\}$ , is a trivial Kan fibration for every  $\alpha \in \{1, 2\}$ . Here  $(\mathcal{C}, \mathcal{T}) = (\mathcal{C}, \mathcal{E}_1, \mathcal{E}_2, \{\mathcal{E}_k\}_{k \in K}, \mathcal{Q})$  is the  $(\{1, 2\} \coprod K)$ -tiled  $\infty$ -category in which  $\mathcal{Q}$  is determined by the conditions

$$Q_{12} = \mathcal{E}_1 *_{\mathcal{C}} \mathcal{E}_2, \quad Q_{ij} = \mathcal{E}_i *_{\mathcal{C}}^{\text{cart}} \mathcal{E}_j, \ (i,j) \neq (1,2), (2,1).$$

Moreover, if for some  $\alpha \in \{1,2\}$  and for every simplex  $\gamma$  of  $\mathcal{C}_{\mathcal{E}_0} \subseteq \mathcal{C}$ , the simplicial set  $\operatorname{Kpt}_{\mathcal{C},\mathcal{E}_1,\mathcal{E}_2}^{\alpha}(\gamma)$  is weakly contractible, then, for every subset  $L \subseteq K$ , the map

$$f \colon \delta^*_{\{1,2\}\amalg K,L} \delta^{(\{1,2\}\amalg K)\square}_* (\mathcal{C}, \mathfrak{T}) \to \delta^*_{\{0\}\amalg K,L} \mathcal{C}^{\mathrm{cart}}_{\mathcal{E}_0, \{\mathcal{E}_k\}_{k\in K}}$$

is a categorical equivalence.

*Proof.* By Lemma 1.3.22 and Condition (2),  $\mathcal{E}_0 *_{\mathcal{C}}^{\operatorname{cart}} \mathcal{E}_k$ ,  $k \in K$  are stable under composition in the first direction. Thus by Remark 1.4.10 and Conditions (1) and (2), Assumption 1.4.9 is satisfied for  $(\mathcal{C}, \mathcal{T})$  and  $\mathcal{C}_{\mathcal{E}_0, \{\mathcal{E}_k\}_{k \in K}}^{\operatorname{cart}}$ . By Theorem 1.4.14, it suffices to show the first assertion. Indeed, the assumption that  $\operatorname{Kpt}_{\mathcal{C}, \mathcal{E}_1, \mathcal{E}_2}^{\alpha}(\gamma)$  is weakly contractible then implies that  $\operatorname{Kpt}_{(\mathcal{C}, \mathcal{T})}^{\alpha}(\tau)$ is weakly contractible.

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We let  $\infty = (n_k)_{k \in K}$  denote the final object of  $[n_k]_{k \in K} \coloneqq \prod_{k \in K} [n_k]$ . We have the following commutative diagram



where

- $Q = [n] \times [n_k]_{k \in K} \subseteq \operatorname{Cpt}^n \times [n_k]_{k \in K}$  is induced by the diagonal inclusion  $[n] \subseteq \operatorname{Cpt}^n$ .
- $R = \operatorname{Cpt}^n \times \{\infty\} \subseteq \operatorname{Cpt}^n \times [n_k]_{k \in K}.$
- Fun( $\operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}}, \operatorname{C}$ )_{RKE}  $\subseteq$  Fun( $\operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}}, \operatorname{C}$ ) is the full subcategory spanned by functors  $F \colon \operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}} \to \operatorname{C}$  which are right Kan extensions of  $F \mid \mathcal{N}(Q \cup R)$ .
- $\mathcal{K}' \subseteq \operatorname{Fun}(\operatorname{N}(Q \cup R), \mathfrak{C})$  is the full subcategory spanned by functors F such that the composition  $N(Q \cup R)_{(i,j,p)/} \to N(Q \cup R) \xrightarrow{F} C$  admits a limit for every vertex (i,j,p) of  $\operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}}.$
- $\mathcal{K} \subseteq \operatorname{Fun}(\operatorname{N}(Q) \cup \operatorname{N}(R), \mathfrak{C})$  is the full subcategory spanned by functors F such that the diagram

(1.15)

admits a limit in  $\mathcal{C}$  for every vertex (i, j, p) of  $\operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}}$ .

- The horizontal arrows are restrictions. We will check that  $res_2$  carries  $\mathcal{K}'$  into  $\mathcal{K}$  below.
- The lower vertical arrows are inclusions.
- The upper right vertical arrow is the amalgamation of the inclusion  $\operatorname{Kpt}_{\mathcal{C},\mathcal{E}_1,\mathcal{E}_2}^{\alpha}(\gamma) \subseteq$  $\operatorname{Fun}(\operatorname{N}(R), \mathfrak{C})$  with  $\tau$ , viewed as a vertex of  $\operatorname{Fun}(\operatorname{N}(Q), \mathfrak{C})$ . The fact that the image is in  $\mathcal{K}$  follows from Conditions (3) and (5) (Condition (3) is needed if  $\#K \ge 2$ ).
- The left vertical arrow is induced by the inclusion

$$\operatorname{Kpt}_{(\mathcal{C},\mathcal{T})}^{\alpha}(\tau) \subseteq \operatorname{Fun}(\operatorname{Cpt}^{n} \times \Delta^{[n_{k}]_{k \in K}}, \mathcal{C}).$$

We will check that the image is contained in  $\operatorname{Fun}(\operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}}, \mathcal{C})_{\mathrm{RKE}}$  below.

For any vertex (i, j, p) of  $\operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}}$ , we let  $q: \Delta^1 \times \Delta^1 \to \operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}}$  denote the square

$$\begin{array}{ccc} (i,j,p) \longrightarrow (i,j,\infty) \\ & & \downarrow \\ (j,j,p) \longrightarrow (j,j,\infty). \end{array}$$

We have  $\Delta^1 \times \Delta^1 \simeq ((\Lambda_0^2)^{op})^{\triangleleft}$ . The induced map  $\Lambda_0^2 \to (\mathcal{N}(Q \cup R)_{(i,j,p)/})^{op}$  is cofinal by Lemma 1.4.17 below. Thus a functor  $G \colon \operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}} \to \mathcal{C}$  is a right Kan extension of  $G \mid \mathcal{N}(Q \cup R)$  if and only if  $G \circ g$  is a pullback square, for all (i, j, p). For any vertex G of  $\operatorname{Kpt}_{(\mathbb{C},\mathbb{T})}^{\alpha}(\tau)$ , regarded as a functor  $G \colon \operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}} \to \mathbb{C}$ , the square  $G \circ g$  is obtained by a finite sequence of compositions from squares in  $\mathfrak{T}_{1k} = \mathcal{E}_1 *_{\mathcal{C}}^{\operatorname{cart}} \mathcal{E}_k, k \in K$ . Therefore, the image of

 $\mathfrak{Kpt}^{\alpha}_{(\mathcal{C},\mathcal{T})}(\tau) \subseteq \operatorname{Fun}(\mathfrak{Cpt}^n \times \Delta^{[n_k]_{k \in K}}, \mathfrak{C})$  is contained in  $\operatorname{Fun}(\mathfrak{Cpt}^n \times \Delta^{[n_k]_{k \in K}}, \mathfrak{C})_{\mathrm{RKE}}$ . Moreover, if  $F: \mathrm{N}(Q \cup R) \to \mathfrak{C}$  is a functor, then the composition  $\mathrm{N}(Q \cup R)_{(i,j,p)/} \to \mathrm{N}(Q \cup R) \xrightarrow{F} \mathfrak{C}$  admits a limit if and only if the diagram (1.15) admits a limit. Thus res₂ carries  $\mathcal{K}'$  into  $\mathcal{K}$  and the lower right square in a pullback.

By [52, Proposition 4.3.2.15], res₁ is a trivial Kan fibration. We apply Lemma 1.6.4 to show that the inclusion  $N(Q) \cup N(R) \subseteq N(Q \cup R)$  is inner anodyne. For this we need to check that  $Q \cup R = Q \coprod_{Q \cap R} R$  is a pushout in the category of partially ordered sets (see Remark 1.6.3). Let (i, i, p) be in Q and  $(i', j', \infty)$  in R. If we have  $(i', j', \infty) \leq (i, i, p)$ , then  $p = \infty$ so that (i, i, p) is in  $Q \cap R$ . On the other hand, if we have  $(i, i, p) \leq (i', j', \infty)$ , then we have  $(i, i, p) \leq (i', i', \infty) \leq (i', j', \infty)$ . It follows that res₂ is a trivial Kan fibration.

To show that the upper horizontal arrow is a trivial Kan fibration, it remains to show that, ignoring the middle term in the second row, the upper square of (1.14) is also a pullback square. This amounts to saying that for every *m*-simplex  $\sigma$  of Fun( $Cpt^n \times \Delta^{[n_k]_{k\in K}}, C$ )_{RKE}, if the restriction of  $\sigma$  to N(*Q*) is  $\tau$  and the restriction of  $\sigma$  to N(*R*) is in  $\mathcal{K}pt^{\alpha}_{\mathcal{C},\mathcal{E}_1,\mathcal{E}_2}(\gamma)$ , then  $\sigma$  is a simplex of  $\mathcal{K}pt^{\alpha}_{(\mathcal{C},\mathcal{T})}(\tau)$ . By Remark 1.4.4, it suffices to treat the cases m = 0 and m = 1.

Case m = 0. Consider integers  $0 \leq i \leq i' \leq j \leq j' \leq n$  and a morphism  $p \leq q$  of  $[n_k]_{k \in K}$ . Since  $\sigma \colon \operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}} \to \mathbb{C}$  is a right Kan extension of  $\sigma \mid \operatorname{N}(Q \cup R)$ , it carries the outer and right squares of the diagram

$$\begin{array}{cccc} (i,j,p) & \longrightarrow & (i,j,q) & \longrightarrow & (i,j,\infty) \\ & & & & \downarrow & & \downarrow \\ (j,j,p) & \longrightarrow & (j,j,q) & \longrightarrow & (j,j,\infty) \end{array}$$

to pullback squares. It follows that  $\sigma$  carries the left square to a pullback square. Thus, since the restriction of  $\sigma$  to N(Q) is  $\tau$ ,  $\sigma$  carries  $\mathcal{F}_k$  to  $\mathcal{E}_k$  for all  $k \in K$  by Condition (4), where  $\mathcal{F}_k$  is defined in Remark 1.4.4. Moreover, since  $\sigma$  carries the outer and lower squares of the diagram

$$\begin{array}{ccc} (i,j,p) & \longrightarrow & (i,j,q) \\ & & & \downarrow \\ (i',j,p) & \longrightarrow & (i',j,q) \\ & & \downarrow \\ (j,j,p) & \longrightarrow & (j,j,q) \end{array}$$

to pullbacks, it carries the upper square to a pullback. Taking  $q = \infty$ , Condition (3) then implies that  $\sigma$  carries  $(i, j, p) \to (i', j, p)$  to a morphism in  $\mathcal{E}_1$ . It follows that  $\sigma$  carries  $\mathcal{F}_1 * \mathcal{F}_k$  into  $\mathcal{E}_1 *^{\operatorname{cart}} \mathcal{E}_k$  for every  $k \in K$ . Consider the cube



The image of the bottom square under  $\sigma$  can be obtained by a finite sequence of compositions from squares in  $\mathcal{E}_0 *_{\mathcal{C}}^{\operatorname{cart}} \mathcal{E}_k$ ,  $k \in K$ . Since  $\sigma$  carries the front and back squares to pullbacks as well,  $\sigma$  carries the top square to pullback. Taking  $q = \infty$ , Condition (3) then implies that  $\sigma$ carries  $(i, j, p) \to (i, j', p)$  to a morphism in  $\mathcal{E}_2$ . It follows that  $\sigma$  carries  $\mathcal{F}_2 * \mathcal{F}_k$  into  $\mathcal{E}_2 *^{\operatorname{cart}} \mathcal{E}_k$  for every  $k \in K$ . Finally, given a square S in  $\mathcal{F}_k * \mathcal{F}_l$  for distinct  $k, l \in K$ , let (i, j) be its projection in  $\operatorname{Cpt}^n$  and T its projection in  $\Delta^{[n_k]_{k \in K}}$ . Then S can be identified with the top face of a cube, product of the edge  $(i, j) \to (j, j)$  and the square T. Since  $\sigma$  carries the other five faces of the cube to pullback squares, it carries S to a pullback as well.

Case m = 1. We check Condition (2) in Remark 1.4.4. For  $0 \leq i \leq j \leq n$  and  $p \leq q$  in  $[n_k]_{k \in K}$ , consider the following cube in  $\Delta^1 \times \operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}}$ :



Since  $\sigma: \Delta^1 \times \operatorname{Cpt}^n \times \Delta^{[n_k]_{k \in K}} \to \mathbb{C}$  carries the top and bottom squares to pullbacks and carries the front square to the identity on  $\tau(j,p) \to \tau(j,q)$ , it carries the back square to a pullback. Taking  $q = \infty$ , Condition (3) then implies that  $\sigma$  carries  $(0, i, j, p) \to (1, i, j, p)$  to a morphism in  $\mathcal{E}_{\alpha}$ .

**Lemma 1.4.17.** Let P be a partially ordered set and  $f: \Lambda_0^2 \to N(P)$  a map. Assume that f(0) is the product (namely, supremum) of f(1) and f(2) in P, and  $P_{/f(1)} \cup P_{/f(2)} = P$ . Then f is cofinal [52, Definition 4.1.1.1].

*Proof.* By [52, Theorem 4.1.3.1], it suffices to show that for every  $p \in P$ , the simplicial set  $S = \Lambda_0^2 \times_{\mathcal{N}(P)} \mathcal{N}(P_{p/})$  is weakly contractible. By the second assumption, either  $p \leq f(1)$  or  $p \leq f(2)$ . If exactly one of the two inequalities holds, then S is a point. If both inequalities hold, then  $p \leq f(0)$  by the first assumption, and hence  $S = \Lambda_0^2$ .

Remark 1.4.18. In Theorem 1.4.16, the Cartesian restriction on  $\mathcal{E}_k *_{\mathbb{C}} \mathcal{E}_l$  for  $k, l \in K$  is not essential. To be more precise, under the assumptions of the theorem, consider an *I*-tiling  $\mathcal{T} = ((\mathcal{E}_i)_{i \in I}, (\mathfrak{Q}_{ij})_{i,j \in I, i \neq j})$  and a *J*-tiling  $\mathcal{T}' = ((\mathcal{E}_i)_{i \in J}, (\mathfrak{Q}_{ij})_{i,j \in J, i \neq j})$  such that  $\mathcal{Q}_{12} = \mathcal{E}_1 *_{\mathbb{C}} \mathcal{E}_2$ ,  $\mathcal{Q}_{ik} = \mathcal{E}_i *_{\mathbb{C}}^{\text{cart}} \mathcal{E}_k$  for i = 0, 1, 2 and  $k \in K$ , and  $\mathcal{Q}_{kl} \subseteq \mathcal{E}_k *_{\mathbb{C}} \mathcal{E}_l$  is stable under pullback by  $\mathcal{Q}_{1l} = \mathcal{E}_1 *_{\mathbb{C}}^{\text{cart}} \mathcal{E}_l$  in the first direction or stable under pullback by  $\mathcal{Q}_{k1} = \mathcal{E}_k *_{\mathbb{C}}^{\text{cart}} \mathcal{E}_1$  in the second direction for  $k, l \in K, k \neq l$ . Then the proof shows that the restriction map  $\mathcal{K} \text{pt}^{\alpha}_{(\mathbb{C},\mathcal{T})}(\tau) \to$  $\mathcal{K} \text{pt}^{\alpha}_{\mathbb{C},\mathcal{E}_1,\mathcal{E}_2}(\gamma)$  is a trivial Kan fibration for every  $(n, n_k)_{k \in K}$ -simplex  $\tau$  of  $\delta_*^{\{\{0\} \amalg K\} \square}(X, \mathcal{T}')$ , and if  $\mathcal{K} \text{pt}^{\alpha}_{\mathbb{C},\mathcal{E}_1,\mathcal{E}_2}(\gamma)$  is weakly contractible for every  $\gamma$ , then

$$f: \delta^*_{\{1,2\}\amalg K,L} \delta^{(\{1,2\}\amalg K)\square}_*(X,\mathfrak{T}) \to \delta^*_{\{0\}\amalg K,L} \delta^{(\{0\}\amalg K)\square}_*(X,\mathfrak{T}')$$

is a categorical equivalence.

As promised, we give sufficient conditions for the simplicial set  $\mathcal{K}pt^{\alpha}(\tau)$  to be an  $\infty$ -category.

Lemma 1.4.19. In the situation of Definition 1.4.3,

- (1) Assume that  $\mathfrak{T}_{\alpha\beta}$  is stable under composition in the first direction (Definition 1.3.21) for all  $\beta \in \{1,2\} \coprod K$ ,  $\beta \neq \alpha$ . Then the map g is an inner fibration. Moreover, if X is an  $\infty$ -category, then  $\operatorname{Kpt}^{\alpha}_{(X,\mathfrak{T})}(\tau)$  is an  $\infty$ -category.
- (2) If we have  $(X, \mathfrak{T}) = W(X, \mathfrak{E})$  and  $\mathcal{E}_{\alpha}$  is composable (Definition 1.3.17) and X is an  $\infty$ -category, then  $\operatorname{Kpt}_{X, \mathfrak{E}}^{\alpha}(\tau) = \operatorname{Kpt}_{(X, \mathfrak{T})}^{\alpha}(\tau)$  is an  $\infty$ -category.

The assumption in (1) implies that  $\mathcal{T}_{\alpha}$  is stable under composition. The assumption in (1) is satisfied if we have  $(X, \mathcal{T}) = W(X, \mathcal{E})$  and  $\mathcal{E}_{\alpha}$  is stable under composition.

Proof. By Remark 1.4.4, g satisfies the right lifting property with respect to every horn inclusion  $\Lambda_i^m \subseteq \Lambda^m$  for  $m \ge 3$ . Thus, for the first assertion of (1), it suffices to show that g satisfies the right lifting property with respect to  $\Lambda_1^2 \subseteq \Delta^2$ . We use the notation of Remark 1.4.4. Let  $\gamma$  be a 2-simplex of Map $(\Box^n \times \Delta^{[n_k]_{k \in K}}, X)$  such that the restriction of  $\gamma$  to  $\Lambda_1^2$  factorizes through Y. We regard  $\gamma$  as a map  $\Delta^2 \times (\Box^n \times \Delta^{[n_k]_{k \in K}}) \to X$ . For any square in  $\mathcal{F}_{\alpha} * \mathcal{F}_{\beta}$  of the form (1.7), consider the map  $\Delta^2 \times \Delta^1 \to \Delta^2 \times (\Box^n \times \Delta^{[n_k]_{k \in K}})$  as shown by the diagram

By assumption,  $\gamma$  carries the upper and lower squares to squares in  $\mathcal{T}_{\alpha\beta}$ . (We could replace the second row by  $(1, x') \rightarrow (1, x)$  without affecting the validity of the argument.) Since  $\mathcal{T}_{\alpha\beta}$  is stable under composition in the first direction,  $\gamma$  carries the outer square to a square in  $\mathcal{T}_{\alpha\beta}$ . Therefore, the restriction of  $\gamma$  to  $\Delta^{\{0,2\}}$  is an edge of Y.

The second assertion of (1) follows from the first assertion of (1) and the fact that res₂ is an inner fibration if X is an  $\infty$ -category (Remark 1.4.6).

For (2), note that by Remark 1.4.6, we have a diagram with pullback square

$$\begin{split} \mathcal{K}\mathrm{pt}^{\alpha}(\tau) & \longrightarrow Z & \longrightarrow Y \\ & & & \bigvee_{\mathrm{res}_{3} \circ g} \\ & & \{\tau\} & \longrightarrow \mathrm{Map}(D^{n} \times \Delta_{L}^{[n_{k}]_{k \in K}}, X), \end{split}$$

where Z denotes the fiber of the map  $\operatorname{res}_3 \circ g$  at  $\tau$ , and the map  $\operatorname{Kpt}^{\alpha}(\tau) \to Z$  is a pullback of the map  $\operatorname{res}_4$  in Remark 1.4.6, hence an inner fibration. Thus it suffices to show that Z is an  $\infty$ -category. Since  $\operatorname{res}_3$  is an inner fibration and g satisfies the right lifting property with respect to every horn inclusion  $\Lambda_i^m \subseteq \Lambda^m$  for  $m \ge 3$ , it suffices to check that Z satisfies the extension property with respect to  $\Lambda_1^2 \subseteq \Delta^2$ . Let  $f: \Lambda_1^2 \to Z$  be a map. Unwinding the definition, to show that f extends to a map  $\Delta^2 \to Z$ , we are reduced to showing the extension property



where we have  $(B, \mathfrak{G}) = (\Delta^2)^{\sharp} \times (\Box^n \times \Delta_L^{[n_k]_{k \in K}}, \mathfrak{F}_{\alpha})$  and

$$A = \Lambda_1^2 \times (\Box^n \times \Delta_L^{[n_k]_{k \in K}}) \prod_{\Lambda_1^2 \times (D^n \times \Delta_L^{[n_k]_{k \in K}})} \Delta^2 \times (D^n \times \Delta_L^{[n_k]_{k \in K}}),$$

and f' is the amalgamation of f and  $\tau$ . Every edge in  $\mathcal{G}$  that is not in A has the form  $(0, y) \to (2, x)$  with  $y \to x$  in  $\mathcal{F}_{\alpha}$ , and can be extended to a 2-simplex of B



where the oblique edges are in  $A_1 \cap \mathcal{G}$ . (Again we could replace (1, y) by (1, x).) Therefore, it suffices to apply Lemma 1.3.20.

We now give a criterion for the weak contractibility of certain  $\infty$ -categories of compactifications.

**Theorem 1.4.20.** Let  $(\mathcal{C}, \mathcal{E}_1, \mathcal{E}_2)$  be a 2-marked  $\infty$ -category. Suppose that the following conditions are satisfied:

- (1)  $\mathcal{E}_1$  and  $\mathcal{E}_2$  are composable (Definition 1.3.17).
- (2) The  $\infty$ -category  $\mathfrak{C}_{\mathcal{E}_1}$  admits pullbacks and pullbacks are preserved by the inclusion  $\mathfrak{C}_{\mathcal{E}_1} \subseteq \mathfrak{C}$ .
- (3) For every morphism f of  $\mathfrak{C}$ , there exists a 2-simplex of  $\mathfrak{C}$  of the form

(1.16)



with  $p \in \mathcal{E}_1$  and  $q \in \mathcal{E}_2$ .

Then, for every n-simplex  $\tau$  of  $\mathcal{C}$ , the simplicial set  $\operatorname{Kpt}^{1}_{\mathcal{C},\mathcal{E}_{1},\mathcal{E}_{2}}(\tau)^{op}$  is a filtered  $\infty$ -category and is weakly contractible. Moreover, the natural map

$$\delta_2^* \mathcal{C}_{\mathcal{E}_1, \mathcal{E}_2} \to \mathcal{C}$$

## is a categorical equivalence.

Recall that an  $\infty$ -category is said to be *filtered* [52, Definition 5.3.1.7] if it satisfies the extension property with respect to the inclusion  $A \subseteq A^{\triangleright}$  for every finite simplicial set A. Recall also that an ordinary category is filtered if and only if its nerve is a filtered  $\infty$ -category [52, Proposition 5.3.1.13]. Thus in the case where C is the nerve of an ordinary category, the first assertion of Theorem 1.4.20 generalizes [3, Exposé xvii, Proposition 3.2.6].

*Remark* 1.4.21. Condition (2) of Theorem 1.4.20 is satisfied if the following conditions are satisfied:

- (a) morphisms in  $\mathcal{E}_1$  admit pullbacks in  $\mathcal{C}$  by morphisms in  $\mathcal{E}_1$ ;
- (b)  $\mathcal{E}_1$  is stable under pullback by  $\mathcal{E}_1$ ;
- (c) for every 2-simplex of C of the form (1.16) such that f and p are in  $\mathcal{E}_1$ , q is in  $\mathcal{E}_1$ .

Indeed, Condition (c) implies that for every diagram  $a: A \to \mathbb{C}$ , where A is a nonempty simplicial set, the overcategory  $(\mathbb{C}_{\mathcal{E}_1})_{/a}$  is a full subcategory of  $\mathbb{C}_{/a}$ , so that a diagram  $\bar{a}: A^{\triangleleft} \to \mathbb{C}_{\mathcal{E}_1}$  is a limit diagram if the composition  $A^{\triangleleft} \xrightarrow{\bar{a}} \mathbb{C}_{\mathcal{E}_1} \to \mathbb{C}$  is a limit diagram. Note that Conditions (b) and (c) hold if  $\mathcal{E}_1$  is admissible (Definition 1.3.18).

Proof of Theorem 1.4.20. For brevity we write  $\operatorname{Kpt}^1(\tau)$  for  $\operatorname{Kpt}^1_{\mathcal{C},\mathcal{E}_1,\mathcal{E}_2}(\tau)$ . Since  $\mathcal{E}_1$  is composable,  $\operatorname{Kpt}^1(\tau)$  is an  $\infty$ -category by Lemma 1.4.19. It suffices to show that  $\operatorname{Kpt}^1(\tau)^{op}$  is filtered. In fact, every filtered  $\infty$ -category is weakly contractible [52, Lemma 5.3.1.18]. The last assertion of the proposition then follows from Corollary 1.4.15.

By [52, Remark 5.3.1.10],  $\mathcal{K}pt^1(\tau)^{op}$  is filtered if and only if  $\mathcal{K}pt^1(\tau)$  has the extension property with respect to the inclusion  $A \subseteq A^{\triangleleft}$  whenever A is the nerve of a finite partially ordered set. We fix such an A and proceed by induction on n. For n = 0,  $\mathcal{K}pt^1(\tau)$  is a point and the assertion holds trivially.

For  $n \ge 1$ , by the induction hypothesis, the composite map  $f_{-1}: A \xrightarrow{f} \mathcal{K}pt^{1}(\tau) \to \mathcal{K}pt^{1}(\tau \circ d_{n}^{n})$ extends to  $g_{-1}: A^{\triangleleft} \to \mathcal{K}pt^{1}(\tau \circ d_{n}^{n})$ . We identify  $\mathbb{C}pt^{n-1}$  with its image under  $d_{n}^{n}$ , hence with the full subcategory of  $\mathbb{C}pt^{n}$  spanned by the objects  $(i, j), 1 \le i \le j \le n-1$ . For  $0 \le k \le n$ , consider the full subcategory  $\mathbb{C}pt_{k}^{n}$  of  $\mathbb{C}pt^{n}$  spanned by  $\mathbb{C}pt^{n-1}$  and the objects (i, n) with  $n-k \le i \le n$ . We have  $\mathbb{C}pt^{n-1} \subseteq \mathbb{C}pt_{0}^{n} \subseteq \cdots \subseteq \mathbb{C}pt_{n}^{n} = \mathbb{C}pt^{n}$ . Similarly we define  $\mathbf{C}pt_{k}^{n} \subseteq \mathbf{C}pt^{n}$ . Define  $\mathcal{K}pt_{k}^{1}(\tau)$  similarly to  $\mathcal{K}pt^{1}(\tau)$  but with  $\mathbb{C}pt^{n}$ ,  $\mathbf{C}pt^{n}$  and  $\Box^{n} = \delta_{2}^{*}\mathbf{C}pt^{n}$  replaced by  $\mathbb{C}pt_{k}^{n}$ ,  $\mathbf{C}pt_{k}^{n}$ and  $\delta_{2}^{*}\mathbf{C}pt_{k}^{n}$ , respectively. We show by induction on k that there exists a map  $g_{k}: A^{\triangleleft} \to \mathcal{K}pt_{k}^{1}(\tau)$ compatible with  $f_{k}$  and  $g_{k-1}$ , where  $f_{k}$  is the composition of f and the natural map  $\mathcal{K}pt^{1}(\tau) \to \mathcal{K}pt_{k}^{1}(\tau)$ , rendering the following diagram commutative:



The map  $g_n$  will allow us to conclude the proof of the proposition.

Below are the Hasse diagrams of (the homotopy categories of)  $\operatorname{Cpt}_0^3$  and  $\operatorname{Cpt}_2^3$ , respectively. Bullets in the first diagram represent vertices in the image of the diagonal embedding  $\Delta^3 \subseteq \operatorname{Cpt}_0^3$ . Bullets in the second diagram represent vertices in the image of the embedding  $\Delta^2 \to \operatorname{Cpt}_2^3$  defined later in the proof.



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We first consider the case k = 0. The map  $f_0$  (resp.  $g_{-1}$ ) corresponds to a map  $\tilde{f}_0: A \times \operatorname{Cpt}_0^n \to \mathbb{C}$  (resp.  $\tilde{g}_{-1}: A^{\triangleleft} \times \operatorname{Cpt}_0^{n-1} \to \mathbb{C}$ ). To find the desired map  $g_0$ , it suffices to construct a map  $\tilde{g}_0: A^{\triangleleft} \times \operatorname{Cpt}_0^n \to \mathbb{C}$ , extending  $\tilde{f}_0$  and  $\tilde{g}_{-1}$  and the composition  $A^{\triangleleft} \times \Delta^n \to \Delta^n \xrightarrow{\tau} \mathbb{C}$ , where the first map is the projection, via the diagonal embedding  $\Delta^n \subseteq \operatorname{Cpt}_0^n$ . This follows if  $\mathbb{C}$  has the extension property with respect to the smash product of  $A \subseteq A^{\triangleleft}$  and  $\operatorname{Cpt}^{n-1} \coprod_{\Delta^{n-1}} \Delta^n \subseteq \operatorname{Cpt}_0^n$ . However, the latter inclusion is inner anodyne by Lemma 1.6.4 applied to  $Q = [n]^{op}$  and  $R = (\operatorname{Cpt}^{n-1})^{op}$ . Thus we may find the map  $\tilde{g}_0$  by [52, Corollary 2.3.2.4] as  $\mathbb{C}$  is an  $\infty$ -category.

For  $1 \leq k \leq n$ , consider the full subcategory  $\Delta^2 \subseteq \operatorname{Cpt}_k^n$  spanned by  $\{(n-k, n-1), (n-k, n), (n-k+1, n)\}$ . We identify  $\Delta^{\{0,2\}}$  with the subcategory of  $\operatorname{Cpt}_{k-1}^n$  spanned by  $\{(n-k, n-1), (n-k+1, n)\}$ . The inclusion  $\operatorname{Cpt}_{k-1}^n \coprod_{\Delta^{\{0,2\}}} \Delta^2 \subseteq \operatorname{Cpt}_k^n$  is inner anodyne by Lemma 1.6.2, and so is its smash product

$$S \coloneqq \left( A^{\triangleleft} \times \left( \operatorname{\mathfrak{C}pt}_{k-1}^n \coprod_{\Delta^{\{0,2\}}} \Delta^2 \right) \right) \cup (A \times \operatorname{\mathfrak{C}pt}_k^n) \subseteq A^{\triangleleft} \times \operatorname{\mathfrak{C}pt}_k^n$$

with  $A \subseteq A^{\triangleleft}$ . We define  $\mathcal{G}_1$  and  $\mathcal{G}_2$  by

$$(A^{\triangleleft} \times \delta_2^* \mathbf{Cpt}_k^n, \mathfrak{G}_1, \mathfrak{G}_2) \simeq (A^{\triangleleft})^{\sharp_{\{1\}}^2} \times \delta_{2+}^* \mathbf{Cpt}_k^n.$$

We let  $-\infty$  denote the cone point of  $A^{\triangleleft}$ . Any edge in  $\mathcal{G}_1$  but not in S has the form  $(-\infty, n - k, n) \rightarrow (l, i, n)$  with l in  $A^{\triangleleft}$  and i > n - k + 1, and can be extended to a 2-simplex



with oblique edges in  $S_1 \cap \mathfrak{G}_1$ . Any edge in  $\mathfrak{G}_2$  but not in S has the form  $(-\infty, n-k, j) \to (-\infty, n-k, n)$  with j < n-1 and can be extended to a 2-simplex



with oblique edges in  $S_1 \cap \mathcal{G}_2$ . Thus, by Condition (1) and Lemma 1.3.20, it suffices to construct a map  $(S, S_1 \cap \mathcal{G}_1, S_1 \cap \mathcal{G}_2) \to (\mathcal{C}, \mathcal{E}_1, \mathcal{E}_2)$  extending the amalgamation  $v: V := A \times \operatorname{Cpt}_k^n \coprod_{A \times \operatorname{Cpt}_{k-1}^n} A^{\triangleleft} \times \operatorname{Cpt}_{k-1}^n \to \mathcal{C}$  of  $\tilde{f}_k$  and  $\tilde{g}_k$ , where  $\tilde{f}_k: A \times \operatorname{Cpt}_k^n \to \mathcal{C}$  (resp.  $\tilde{g}_{k-1}: A^{\triangleleft} \times \operatorname{Cpt}_{k-1}^n \to \mathcal{C}$ ) is the map given by  $f_k$  (resp.  $g_{k-1}$ ). For this, it suffices to construct a map  $(A^{\triangleleft})^{\sharp_{11}^2} \times T \to (\mathcal{C}, \mathcal{E}_1, \mathcal{E}_2)$  extending the amalgamation of  $\tilde{f}_k \mid A \times \Delta^2$  and  $\tilde{g}_{k-1} \mid A^{\triangleleft} \times \Delta^{\{0,2\}}$ . Here  $T = (\Delta^2, \mathcal{F}_1, \mathcal{F}_2)$  is the 2-marked simplicial set with  $\mathcal{F}_1$  (resp.  $\mathcal{F}_2$ ) consisting of the degenerate edges and the edge  $1 \to 2$  (resp.  $0 \to 1$ ).

We now lift v to a map  $V \to \hat{\mathbb{C}}_{/\tau(n)}$ , corresponding to a map  $(V^{\triangleright}, \mathcal{G}'_1, \mathcal{G}'_2) \to (\mathbb{C}, \mathcal{E}_1, \mathcal{E}_2)$ , where  $\mathcal{G}'_1$  is the union of  $(V_1 \cap \mathcal{G}_1) \cup \{\mathrm{id}_{+\infty}\}$  and all edges  $(l, i, n) \to +\infty$  in  $V^{\triangleright}$  for  $l \in A^{\triangleleft}$ , and  $\mathcal{G}'_2 \coloneqq (V_1 \cap \mathcal{G}_2) \cup \{\mathrm{id}_{+\infty}\}$ . Here  $+\infty$  denotes the cone point of  $V^{\triangleright}$ . Consider the inclusion  $\iota: A^{\triangleleft} \to V$  induced by the inclusion  $\{(n, n)\} \subseteq \operatorname{Cpt}_{k-1}^n$ . Since the restriction of v to  $A^{\triangleleft}$  is constant of value  $\tau(n)$ , the amalgamation of v and the constant map  $A^{\triangleleft \triangleright} \to \mathbb{C}$  of value  $\tau(n)$ provides a map  $v': (C^{\triangleright}(\iota), \mathcal{G}'_1, \mathcal{G}'_2) \to (\mathbb{C}, \mathcal{E}_1, \mathcal{E}_2)$ , where we have  $C^{\triangleright}(\iota) \coloneqq V \coprod_{A^{\triangleleft}} A^{\triangleleft \triangleright}$ , and  $\mathcal{G}''_1$  is the intersection of  $\mathcal{G}'_1$  and the set of edges of  $C^{\triangleright}(\iota)$ . Since the inclusions  $\{(n, n)\} \subseteq \operatorname{Cpt}_{k-1}^n$  and  $\{(n, n)\} \subseteq \operatorname{Cpt}_k^n$  are right anodyne by [52, Lemma 4.2.3.6], and so are their products with identity maps [52, Corollary 2.1.2.7], the inclusion  $A^{\triangleleft} = A \coprod_A A^{\triangleleft} \subseteq V$  is right anodyne by Lemma 1.2.14.

By [52, Lemma 2.1.2.3], it follows that the inclusion  $C^{\triangleright}(\iota) \subseteq V^{\triangleright}$  is inner anodyne. Every edge in  $\mathcal{G}'_1$  that is not in  $\mathcal{G}''_1$  has the form  $(l, i, n) \to +\infty$  and can be extended to a 2-simplex



with oblique edges in  $\mathcal{G}_1''$ . Lemma 1.3.20 then provides the desired extension of v' and hence v. We are therefore reduced to showing that every map

$$a \colon A^{\sharp^{2}_{\{1\}}} \times T \coprod_{A^{\sharp^{2}_{\{1\}}} \times (\Delta^{\{0,2\}})^{\flat^{2}}} (A^{\triangleleft})^{\sharp^{2}_{\{1\}}} \times (\Delta^{\{0,2\}})^{\flat^{2}} \to (\mathcal{C}_{/x}, \mathcal{E}'_{1}, \mathcal{E}'_{2})$$

whose restriction to  $A \times \Delta^{\{1,2\}} \coprod_{A \times \Delta^{\{2\}}} A^{\triangleleft} \times \Delta^{\{2\}}$  factorizes through  $(\mathcal{C}_{\mathcal{E}_1})_{/x}$  extends to a map  $(A^{\triangleleft})^{\sharp_{\{1\}}^2} \times T \to (\mathcal{C}_{/x}, \mathcal{E}'_1, \mathcal{E}'_2)$ . Here x is an object of  $\mathcal{C}$  and  $\mathcal{E}'_i$  denotes the inverse image of  $\mathcal{E}_i$  via the map  $\mathcal{C}_{/x} \to \mathcal{C}$  for i = 1, 2. Recall that A is the nerve of a partially ordered set. We let  $B \subseteq A^{\triangleleft} \times \Delta^2$  denote the full subcategory spanned by all vertices except  $(-\infty, 1)$ . Consider the commutative diagram of inclusions



where the lower left (resp. right) vertical arrow carries the cone point of  $(A \times \Delta^{\{0,2\}})^{\triangleleft}$  (resp.  $(A \times \Delta^2)^{\triangleleft}$ ) to  $(-\infty, 0)$ , and the squares on the left are clearly pushouts. For any simplex  $\sigma$  of B, if  $\sigma$  is not a simplex of  $(A \times \Delta^2)^{\triangleleft}$ , then  $(-\infty, 2)$  is a vertex of  $\sigma$ , so that  $\sigma$  is a simplex of  $A^{\triangleleft} \times \Delta^{\{0,2\}}$ . Thus h' is a pushout of h, which is inner anodyne by [52, Lemma 2.1.2.3], since the inclusion  $A \times \Delta^{\{0,2\}} \subseteq A \times \Delta^2$  is left anodyne by [52, Corollary 2.1.2.7]. Thus a extends to a map  $a' \colon B \to \mathbb{C}_{/x}$ . We would like to apply [52, Lemma 4.3.2.13] to conclude that there exists a right Kan extension  $b \colon A^{\triangleleft} \times \Delta^2 \to \mathbb{C}_{/x}$  of a'. The only condition we need to check for this is that the induced diagram  $B_{(-\infty,1)/} \to B \xrightarrow{a'} \mathbb{C}_{/x}$  has a limit. However, the composite map factorizes through  $a_0 \colon B_{(-\infty,1)/} \to (\mathbb{C}_{\varepsilon_1})_{/x}$ . By Condition (2) and Lemma 1.4.22 below, the  $\infty$ -category  $(\mathbb{C}_{\varepsilon_1})_{/x}$  admits finite limits and such limits are preserved by the inclusion  $(\mathbb{C}_{\varepsilon_1})_{/x} \subseteq \mathbb{C}_{/x}$ . We therefore obtain a limit diagram  $b_0 \colon A^{\triangleleft} \times \Delta^{\{1,2\}} \to (\mathbb{C}_{\varepsilon_1})_{/x}$  extending  $a_0$  and a right Kan extension b of a'. The restriction of b to  $(A^{\triangleleft} \times \Delta^{\{1,2\}}) \cup B$  is equivalent to the amalgamation  $b_1$  of  $b_0$  and a'. Thus, by [52, Lemma 2.4.6.3], up to replacing b by an extension of  $b_1$ , we may assume that  $b \mid A^{\triangleleft} \times \Delta^{\{1,2\}}$  factorizes through  $(\mathbb{C}_{\varepsilon_1})_{/x}$ .

Note that b does not necessarily carry the edge  $(-\infty, 0) \to (-\infty, 1)$  into  $\mathcal{E}'_2$ , which is the last requirement to conclude that b gives rise to the desired extension  $(A^{\triangleleft})^{\sharp^2_{\{1\}}} \times T \to (\mathcal{C}_{/x}, \mathcal{E}'_1, \mathcal{E}'_2)$ . To overcome this problem, we apply Condition (3) to the arrow  $b((-\infty, 0) \to (-\infty, 1))$  to get a 2-simplex  $\gamma$  of  $\mathcal{C}_{/x}$ . Consider the totally ordered set  $I = \{0 < 1^- < 1 < 2\}$ , which contains  $[2] = \{0 < 1 < 2\}$ . The amalgamation of  $\gamma$  and b is a map  $c: K \to \mathcal{C}_{/x}$ , where

$$K\coloneqq A^{\triangleleft}\times \Delta^2 \coprod_{\{-\infty\}\times \Delta^1}\{-\infty\}\times \Delta^{\{0,1^-,1\}}\subseteq A^{\triangleleft}\times \Delta^I,$$

with  $c((-\infty, 0) \to (-\infty, 1^{-})) \in \mathcal{E}'_{2}$  and  $c((-\infty, 1^{-}) \to (-\infty, 1)) \in \mathcal{E}'_{1}$ . We let  $\mathcal{F}'_{1}$  (resp.  $\mathcal{F}'_{2}$ ) denote the set of all degenerate edges of  $\Delta^{I}$  and all edges of  $\Delta^{\{1^{-}, 1, 2\}}$  (resp.  $\Delta^{\{0, 1^{-}\}}$ ). Consider the pushout

$$(L, \mathcal{H}_1, \mathcal{H}_2) = (A^{\triangleleft})^{\sharp_{\{1\}}^2} \times (\Delta^I, \mathcal{F}_1', \mathcal{F}_2') \prod_{(A \times \Delta^I)^{\flat^2}} (A \times \Delta^2)^{\flat}$$

given by the degeneracy map  $I \to [2]$  identifying 1⁻ and 1. The inclusion  $K \subseteq L$  induced by the inclusion  $K \subseteq A^{\triangleleft} \times \Delta^{I}$  is a pushout of the inclusion

$$r: (\{-\infty\} \times \Delta^{0}) \star (A^{\triangleleft} \times \Delta^{\{1,2\}}) \coprod_{(\{-\infty\} \times \Delta^{0}) \star (\{-\infty\} \times \Delta^{\{1\}})} (\{-\infty\} \times \Delta^{\{0,1^{-}\}}) \star (\{-\infty\} \times \Delta^{\{1\}}) \to (\{-\infty\} \times \Delta^{\{0,1^{-}\}}) \star (A^{\triangleleft} \times \Delta^{\{1,2\}}).$$

Indeed, for any simplex  $\sigma$  of L, if  $(-\infty, 1^-)$  is a vertex of  $\sigma$ , then  $\sigma$  is a simplex of the target of r; otherwise  $\sigma$  is a simplex of  $A^{\triangleleft} \times \Delta^2$ . Moreover, r is inner anodyne by [52, Lemma 2.1.2.3], since the inclusion  $\{-\infty\} \times \Delta^{\{1\}} \subseteq A^{\triangleleft} \times \Delta^{\{1,2\}}$  is left anodyne by [52, Lemma 4.2.3.6]. Note that we have  $\mathcal{H}_2 \subseteq K_1$  and c induces a map  $(K, K_1 \cap \mathcal{H}_1, \mathcal{H}_2) \to (\mathcal{C}_{/x}, \mathcal{E}'_1, \mathcal{E}'_2)$ . Moreover, any edge in  $\mathcal{H}_1$  that is not in K has the form  $(-\infty, 1^-) \to (l, m)$  with  $m \ge 1$  and can be extended to a 2-simplex



with oblique arrows in  $K_1 \cap \mathcal{H}_1$ . Thus, by Condition (1) and Lemma 1.3.20, c extends to a map  $c' \colon (L, \mathcal{H}_1, \mathcal{H}_2) \to (\mathcal{C}_{/x}, \mathcal{E}'_1, \mathcal{E}'_2)$ . The restriction of c' to  $A^{\triangleleft} \times \Delta^{\{0,1^-,2\}} \simeq A^{\triangleleft} \times \Delta^2$  provides the desired extension.

**Lemma 1.4.22.** Let  $\mathbb{C}$  and  $\mathbb{D}$  be  $\infty$ -categories and  $f: \mathbb{C} \to \mathbb{D}$  a functor. Assume that  $\mathbb{C}$  admits pullbacks and pullbacks are preserved by f. Then, for any object x of  $\mathbb{C}$ , the overcategory  $\mathbb{C}_{/x}$  admits finite limits and such limits are preserved by the functor  $f': \mathbb{C}_{/x} \to \mathbb{D}_{/f(x)}$ .

*Proof.* The morphism  $\operatorname{id}_x$  is a final object of  $\mathbb{C}_{/x}$  and  $f(\operatorname{id}_x) = \operatorname{id}_{f(x)}$  is a final object of  $\mathcal{D}_{/f(x)}$ . By Lemma 1.4.23 below,  $\mathbb{C}_{/x}$  admits pullbacks and the functors  $\mathbb{C}_{/x} \to \mathbb{C}$  and  $\mathcal{D}_{/f(x)} \to \mathcal{D}$  preserve pullbacks. Since the latter is conservative, the functor f' preserves pullbacks. We conclude by [52, Corollaries 4.4.2.4, 4.4.2.5].

**Lemma 1.4.23.** Let A and B be simplicial sets. Assume that B is weakly contractible. Let C be an  $\infty$ -category and p:  $A \to \mathbb{C}$  a diagram. Then a diagram  $f: B \to \mathbb{C}_{/p}$  admits a limit if and only if the composition  $B \xrightarrow{f} \mathbb{C}_{/p} \to \mathbb{C}$  admits a limit. Moreover,  $\overline{f}: B^{\triangleleft} \to \mathbb{C}_{/p}$  is a limit diagram if and only if the composition  $B^{\triangleleft} \xrightarrow{\overline{f}} \mathbb{C}_{/p} \to \mathbb{C}$  is a limit diagram.

This applies in particular to the case where  $B = \Lambda_2^2$ . In this case we have  $B^{\triangleleft} \simeq \Delta^1 \times \Delta^1$ .

*Proof.* We let  $q: B \star A \to \mathcal{C}$  denote the diagram corresponding to f. We let  $q_0$  denote the restriction of q to B. Since the inclusion  $B \subseteq B \star A$  is left anodyne by [52, Lemma 4.2.3.6], the map  $\mathcal{C}_{/q} \to \mathcal{C}_{/q_0}$  is a trivial Kan fibration by [52, Proposition 2.1.2.5]. Therefore,  $\mathcal{C}_{/q}$  admits a

final object if and only if  $\mathcal{C}_{/q_0}$  admits a final object, and an object of  $\mathcal{C}_{/q}$  is a final object if and only if its image in  $\mathcal{C}_{/q_0}$  is a final object.

Remark 1.4.24. In the situation of Theorem 1.4.20, for every  $\infty$ -category  $\mathcal{D}$ , the functor

(1.17) 
$$\operatorname{Fun}(\mathcal{C}, \mathcal{D}) \to \operatorname{Fun}(\delta_2^* \mathcal{C}_{\mathcal{E}_1, \mathcal{E}_2}, \mathcal{D})$$

is an equivalence of  $\infty$ -categories. This generalizes Deligne's gluing result [3, Exposé xvii, Proposition 3.3.2], which can be interpreted as saying that (1.17) induces a bijection between the sets of equivalence classes of objects when C is the nerve of an ordinary category and  $\mathcal{D} = N(Cat_1)$ .

In the remaining part of this section, we will study a variant of the diagonal functor  $\delta_2^*$ :  $\operatorname{Set}_{2\Delta} \to \operatorname{Set}_{\Delta}$ , which will allow, among other things, to express the  $\infty$ -category of correspondences in [25] in terms of our multisimplicial nerves. This will not be used in the later sections of this article. Therefore, the uninterested reader may safely skip the remaining part of this section and proceed to Section 1.5.

**Definition 1.4.25.** Let X be a bisimplicial set. We let  $\delta_{2\nabla}^* X$  denote the simplicial set defined by  $(\delta_{2\nabla}^* X)_n = \operatorname{Hom}_{\operatorname{Set}_{2\Delta}}(\operatorname{\mathbf{Cpt}}^n, X)$ . This defines a functor  $\delta_{2\nabla}^* \colon \operatorname{Set}_{2\Delta} \to \operatorname{Set}_{\Delta}$ .

Recall that we have  $(\delta_2^* X)_n \simeq \operatorname{Hom}_{\operatorname{Set}_{2\Lambda}}(\Delta^{n,n}, X).$ 

Theorem 1.4.26. The map

$$f: \delta_2^* X \to \delta_{2\nabla}^* X$$

induced by the inclusions  $\mathbf{Cpt}^n \subseteq \Delta^{n,n}$  is a categorical equivalence.

Under our convention of representing the first direction vertically and second direction horizontally as in (1.5), the map can be described as "forgetting the lower-left corner". Before proving the theorem, let us look at a few examples.

Example 1.4.27. For  $X = \mathbf{Cpt}^n$ , we have a canonical isomorphism  $\mathfrak{Cpt}^n \simeq \delta_{2\nabla}^* \mathbf{Cpt}^n$ . An *m*simplex  $\alpha$  of  $\mathfrak{Cpt}^n$  is given by a sequence  $(i_0, j_0) \leq \ldots \leq (i_m, j_m)$  in  $\mathfrak{Cpt}^n$ . The isomorphism carries  $\alpha$  to the *m*-simplex of  $\delta_{2\nabla}^* \mathbf{Cpt}^n$  given by the map of bisimplicial sets  $\mathbf{Cpt}^m \to \mathbf{Cpt}^n$ carrying (a, b) to  $(i_a, j_b)$ . The map *f* can be identified with the inclusion  $\Box^n \subseteq \mathfrak{Cpt}^n$ , which is inner anodyne (Lemma 1.6.7), and in particular a categorical equivalence.

*Example* 1.4.28. In the situation of Theorem 1.4.20, there exists a non-canonical categorical equivalence  $\delta_{2\nabla}^* \mathcal{C}_{\mathcal{E}_1,\mathcal{E}_2} \to \mathcal{C}$  by Theorem 1.4.26 applied to the bisimplicial set  $\mathcal{C}_{\mathcal{E}_1,\mathcal{E}_2}$ .

Example 1.4.29. Given a 2-marked  $\infty$ -category ( $\mathcal{C}, \mathcal{E}_1, \mathcal{E}_2$ ) satisfying certain conditions, Gaitsgory defined an  $\infty$ -category of correspondences  $\mathcal{C}_{\operatorname{corr}:\mathcal{E}_1,\mathcal{E}_2}$  [25, §5.1.2] ( $\mathcal{E}_1 = vert, \mathcal{E}_2 = horiz$  in his notation) following an idea of Lurie. More generally, given an arbitrary 2-marked  $\infty$ -category ( $\mathcal{C}, \mathcal{E}_1, \mathcal{E}_2$ ), using the above functor  $\delta^*_{2\nabla}$ , one can define the simplicial set of correspondences to be

$$\mathcal{C}_{\operatorname{corr}:\mathcal{E}_1,\mathcal{E}_2} \coloneqq \delta_{2\nabla}^*(\operatorname{op}_{\{2\}}^2 \mathcal{C}_{\mathcal{E}_1,\mathcal{E}_2}^{\operatorname{cart}}).$$

In other words, we have

$$\mathcal{C}_{\operatorname{corr}:\mathcal{E}_1,\mathcal{E}_2})_n = \operatorname{Hom}_{\operatorname{Set}_{2\Delta}}(\mathbf{Cpt}^n, \operatorname{op}_{\{2\}}^2 \mathcal{C}_{\mathcal{E}_1,\mathcal{E}_2}^{\operatorname{cart}}).$$

Applying Theorem 1.4.26 to the bisimplicial set  $\operatorname{op}_{\{2\}}^2 \mathcal{C}_{\mathcal{E}_1,\mathcal{E}_2}^{\operatorname{cart}}$ , we know that the natural map

$$\delta_{2,\{2\}}^* \mathfrak{C}_{\mathcal{E}_1,\mathcal{E}_2}^{\operatorname{cart}} \to \mathfrak{C}_{\operatorname{corr}:\mathcal{E}_1,\mathcal{E}_2},$$

given by "forgetting the lower-right corner", is a categorical equivalence.

*Proof of Theorem 1.4.26.* The proof is very similar to that of Theorem 1.4.14. Consider a commutative diagram



as in Lemma 1.1.9. Let  $\sigma$  be an *n*-simplex of  $\delta_{2\nabla}^* X$ , corresponding to a map  $\tau \colon \mathbf{Cpt}^n \to X$ . Consider the commutative diagram

$$\begin{split} \mathcal{N}(\sigma) &\longrightarrow \mathrm{Fun}(\Delta^{l} \times \mathbb{C}\mathrm{pt}^{n}, \mathbb{D}) \xrightarrow{\mathrm{res}_{2}} & \to \mathrm{Fun}(\Delta^{l} \times \Delta^{n}, \mathbb{D}) \\ & \downarrow & \downarrow \\ \Delta^{0} & \stackrel{h}{\longrightarrow} \mathrm{Fun}(H, \mathbb{D}) \longrightarrow \mathrm{Fun}(\partial \Delta^{l} \times \mathbb{C}\mathrm{pt}^{n}, \mathbb{D}) \xrightarrow{\mathrm{res}_{2}} \mathrm{Fun}(\partial \Delta^{l} \times \Delta^{n}, \mathbb{D}) \\ & \downarrow & \downarrow \\ & \downarrow & \downarrow \\ & \mathrm{Fun}(\Delta^{l} \times \square^{n}, \mathbb{D}) \xrightarrow{\mathrm{res}_{3}} & \to \mathrm{Fun}(\Delta^{l} \times D^{n}, \mathbb{D}). \end{split}$$

In the above diagram,

- *H* and the maps  $res_i$ ,  $1 \leq i \leq 4$  are defined as in the proof of Theorem 1.4.14;
- h is the amalgamation of  $v \circ \delta_2^* \tau \colon \Box^n \to \operatorname{Fun}(\Delta^l, \mathcal{D})$  and  $w \circ \delta_{2\nabla}^* \tau \colon \operatorname{Cpt}^n \to \operatorname{Fun}(\partial \Delta^l, \mathcal{D});$
- $\mathcal{N}(\sigma)$  is defined so that the upper left square is a pullback square;
- the unnamed arrows in the middle column and in the upper right square are obvious restrictions.

By [52, Corollaries 2.3.2.4, 2.3.2.5], the map  $j: H \hookrightarrow \Delta^l \times Cpt^n$  is inner anodyne, and consequently res₁ is a trivial Kan fibration. It follows that  $\mathcal{N}(\sigma)$  is a contractible Kan complex.

We let  $\Phi(\sigma) \colon \mathcal{N}(\sigma) \to \operatorname{Fun}(\Delta^l \times \Delta^n, \mathcal{D})$  denote the composition of the upper horizontal arrows in (1.18). Then  $\Phi(\sigma)$  induces a map

$$\mathbb{N}(\sigma)^{\sharp} \times (\Delta^{n})^{\flat} \to \operatorname{Fun}(\Delta^{l}, \mathcal{D})^{\flat} \subseteq \operatorname{Fun}(\Delta^{l}, \mathcal{D})^{\natural}.$$

Thus  $\Phi(\sigma)$  induces a map  $\mathcal{N}(\sigma) \to \operatorname{Map}^{\sharp}((\Delta^n)^{\flat}, \operatorname{Fun}(\Delta^l, \mathcal{D})^{\natural})$ , which we still denote by  $\Phi(\sigma)$ . This construction is functorial in  $\sigma$ , giving rise to a morphism  $\Phi \colon \mathcal{N} \to \operatorname{Map}[\delta^*_{2\nabla} X, \operatorname{Fun}(\Delta^l, \mathcal{D})]$  in the category  $(\operatorname{Set}_{\Delta})^{(\mathbf{\Delta}/\delta^*_{2\nabla} X)^{op}}$ .

The composition  $\Delta^n \hookrightarrow \mathbb{C}\mathrm{pt}^n \xrightarrow{\delta_{2\nabla}^* \tau} X$ , where the first map is the diagonal embedding, is  $\sigma$ . Thus the composition of the middle row of (1.13) is given by  $w(\sigma)$ . Thus  $\mathrm{Map}[\delta_{2\nabla}^* X, p] \circ \Phi \colon \mathbb{N} \to \mathrm{Map}[\delta_{2\nabla}^* X, \mathrm{Fun}(\partial \Delta^l, \mathcal{D})]$  factorizes through the morphism  $\Delta^0_{(\mathbf{\Delta}/\delta_{2\nabla}^* X)^{op}} \to \mathrm{Map}[\delta_{2\nabla}^* X, \mathrm{Fun}(\partial \Delta^l, \mathcal{D})]$  corresponding to w via Remark 1.2.7.

Now let  $\sigma'$  be an *n*-simplex of  $\delta_2^* X$  corresponding to a map  $\tau' \colon \Delta^{n,n} \to X$ . The restriction of  $v \circ \delta^2 \tau' \colon \Delta^{[n,n]} \to \operatorname{Fun}(\Delta^l, \mathcal{D})$  to  $\operatorname{Cpt}^n \subseteq \Delta^{[n,n]}$  provides a vertex of  $\nu(\sigma')$  of  $\mathcal{N}(f(\sigma'))$ , whose image under  $\Phi(f(\sigma'))$  is  $v(\sigma')$ . This construction is functorial in  $\sigma'$ , giving rise to  $\nu \in \Gamma(f^*\mathcal{N})_0$ such that  $f^* \Phi \circ \nu = v$ . Applying Proposition 1.2.15 to  $\Phi$ , the map  $f \colon \delta_2^* X \to \delta_{2\nabla}^* X$  and the global section  $\nu$  of  $f^*\mathcal{N}$ , we obtain a map  $u \colon \delta_{2\nabla}^* X \to \operatorname{Fun}(\Delta^l, \mathcal{D})$  satisfying  $p \circ u = w$  such that  $u \circ f$  and v are homotopic over  $\operatorname{Fun}(\partial \Delta^l, \mathcal{D})$ , as desired.  $\Box$ 

1.5. Cartesian gluing. In §1.4, we gave a general criterion for multisimplicial descent (Theorem 1.4.14). It is often impossible to apply the theorem directly to Cartesian multisimplicial nerves, as the simplicial set of compactifications for Cartesian tilings is often empty for  $n \ge 2$ . However, we

have seen that certain bigger multisimplicial nerves do satisfy multisimplicial descent (Theorem 1.4.16 and Theorem 1.4.20). In this section, we complete the picture by comparing Cartesian multisimplicial nerves with bigger multisimplicial nerves. The basic idea is to decompose a square  $\sigma$  in an  $\infty$ -category



where the inner square is Cartesian. More precisely,  $\sigma'$  is a right Kan extension of  $\sigma$  along the full embedding  $\Delta^1 \times \Delta^1 \to (\Delta^1 \times \Delta^1)^{\triangleleft}$  carrying (0,0) to the cone point  $-\infty$  and carrying every other vertex (i,j) to (i,j). To deal with the oblique arrow  $f: w' \to w$ , we consider the square



If this square is a pullback square (which happens exactly when f is a monomorphism), we stop. Otherwise, we apply the above procedure recursively, which leads to the diagonal map  $\delta \colon w \to w \times_{w'} w$  of f, and the diagonal of  $\delta$ , and so on.

To state our result, we introduce a bit of notation. For sets of edges  $\mathcal{E}_1$ ,  $\mathcal{E}_2$ ,  $\mathcal{E}$  of an  $\infty$ -category  $\mathcal{C}$ , we let  $\mathcal{E}_1 *_{\mathcal{C}}^{\mathcal{E}} \mathcal{E}_2 \subseteq \mathcal{E}_1 *_{\mathcal{C}} \mathcal{E}_2$  denote the set of squares that admit a decomposition as above with  $w \to w'$  in  $\mathcal{E}$ . We have  $\mathcal{E}_1 *_{\mathcal{C}}^{\text{cart}} \mathcal{E}_2 = \mathcal{E}_1 *_{\mathcal{C}}^{\mathcal{E}} \mathcal{E}_2$ , where  $\mathcal{E}$  is the set of equivalences of  $\mathcal{C}$  (or the set of degenerate edges of  $\mathcal{C}$ ).

The main result of this section is the following.

**Theorem 1.5.1** (Cartesian gluing). Let  $\mathcal{C}$  be an  $\infty$ -category and K a finite set. Let  $(\mathcal{C}, \mathcal{T}) \subseteq (\mathcal{C}, \mathcal{T}')$  be two  $(\{1, 2\} \coprod K)$ -tiled  $\infty$ -categories such that  $\mathcal{T}_j = \mathcal{T}'_j$  for all  $j \in \{1, 2\} \coprod K$ , and  $\mathcal{T}_{jj'} = \mathcal{T}'_{jj'}$  for all  $j, j' \in \{1, 2\} \coprod K$  with  $j \neq j'$ , except when (j, j') = (1, 2) or (2, 1), we have  $\mathcal{T}_{12} = \mathcal{T}_1 *_{\mathcal{C}}^{\text{cart}} \mathcal{T}_2$  and  $\mathcal{T}'_{12} = \mathcal{T}_1 *_{\mathcal{C}}^{\text{cart}} \mathcal{T}_2$ , where  $\mathcal{E} \subseteq \mathcal{T}_1 \cap \mathcal{T}_2$  is a set of edges of  $\mathcal{C}$ . Suppose that the following conditions are satisfied:

- (1)  $\mathfrak{T}_1 *_{\mathfrak{C}} \mathfrak{T}_2 = \mathfrak{T}_1 *_{\mathfrak{C}}^{\mathfrak{C}_1} \mathfrak{T}_2$ ;  $\mathfrak{T}_1$  (resp.  $\mathfrak{T}_2$ ) is stable under composition and pullback by  $\mathfrak{T}_2$  (resp.  $\mathfrak{T}_1$ ).
- (2) Every morphism f in  $\mathcal{E}$  is n-truncated for some integer  $n \ge -2$  (which may depend on f) [52, Definition 5.5.6.8]. Moreover,  $\mathcal{E}$  is stable under composition, pullback by  $\mathcal{T}_1 \cup \mathcal{T}_2$ , and taking diagonals: for every edge  $y \to x$  in  $\mathcal{E}$ , its diagonal  $y \to y \times_x y$  is in  $\mathcal{E}$  (the pullback  $y \times_x y$  exists in  $\mathcal{C}$  by the first part of Condition (1)).
- (3) For every  $k \in K$ , the set  $\mathbb{T}_{1k}$  (resp.  $\mathbb{T}_{2k}$ ) is stable under composition and pullback by  $\mathbb{T}_{2k}$ (resp.  $\mathbb{T}_{1k}$ ) in the first direction, and  $\mathbb{T}_{1k} \cap \mathbb{T}_{2k}$  is stable under pullback by  $\mathbb{T}_{1k} \cup \mathbb{T}_{2k}$  in

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the first direction. Moreover, we have

See Remark 1.5.3 (3) below for an explicit description of the meaning of (1.21).

(4) For every pair k, k' ∈ K with k ≠ k', and every Cartesian square of the form (1.19) of the ∞-category Fun(Δ¹×Δ¹, C) (whose vertices are regarded as squares of C in directions k, k'), with y → x given by a (1,1,1)-simplex of δ_{*}^{{1,k,k'}□}(C, T) and z → x given by a (1,1,1)-simplex of δ_{*}^{{2,k,k'}□}(C, T) (where the obvious restrictions of T are still denoted by T), we have w ∈ T_{kk'}.

Then, for any subset  $L \subseteq K$ , the inclusion map

$$\iota \colon \delta^*_{\{1,2\}\amalg K,L} \delta^{(\{1,2\}\amalg K)\square}_*(\mathbb{C},\mathbb{T}) \hookrightarrow \delta^*_{\{1,2\}\amalg K,L} \delta^{(\{1,2\}\amalg K)\square}_*(\mathbb{C},\mathbb{T}')$$

is a categorical equivalence.

We note that unlike the theorems in the last section, Theorem 1.5.1 is symmetric in  $\mathcal{E}_1$  and  $\mathcal{E}_2$ .

Remark 1.5.2. Let us recall some facts about *n*-truncated morphisms,  $n \ge -2$ , in an  $\infty$ -category  $\mathcal{C}$ .

- A morphism f of C is (-2)-truncated (resp. (-1)-truncated) if and only if f is an equivalence (resp. a monomorphism).
- The set of *n*-truncated morphisms of  $\mathcal{C}$  is admissible. Indeed, the set is stable under pullback by [52, Remark 5.5.6.12]. It follows from the long exact sequence of homotopy groups that the set is stable under composition. Moreover, given a 2-simplex  $\sigma$  of  $\mathcal{C}$  of the form (1.3), if  $r = \sigma \circ d_1^2$  is *n*-truncated and  $p = \sigma \circ d_0^2$  is (n + 1)-truncated, then  $q = \sigma \circ d_2^2$  is *n*-truncated.
- Given a morphism  $f: y \to x$  of  $\mathcal{C}$  such that the fiber product  $y \times_x y$  exists, f is (n + 1)-truncated if and only if its diagonal  $y \to y \times_x y$  is *n*-truncated ([52, Lemma 5.5.6.15] assumes that  $\mathcal{C}$  admits finite limits, but the proof only uses the existence of  $y \times_x y$ ).
- In an (n + 1)-truncated category [52, Definition 2.3.4.1], every morphism is *n*-truncated by [52, Proposition 2.3.4.18].

Remark 1.5.3. We have the following remarks concerning the conditions in the above theorem.

- (1) The conditions of the theorem imply that the sets  $\mathcal{T}_j$ ,  $\mathcal{T}_{ij}$ ,  $\mathcal{T}'_{ij}$  and  $\mathcal{E}$  are all stable under equivalence. Indeed, the second part of Condition (1) implies that  $\mathcal{T}_1$  and  $\mathcal{T}_2$  are stable under equivalence. The second part of Condition (2) implies that  $\mathcal{E}$  is stable under equivalence. It follows that  $\mathcal{T}_{12}$  and  $\mathcal{T}'_{12}$  are stable under equivalence. The first part of Condition (3) implies that  $\mathcal{T}_{1k}$  and  $\mathcal{T}_{2k}$  are stable under equivalence. It follows that  $\mathcal{T}_k$  is stable under equivalence. Finally, Condition (4) implies that  $\mathcal{T}_{kk'}$  is stable under equivalence.
- (2) The first part of Condition (1) is satisfied if morphisms in  $\mathcal{T}_1$  admits pullback in  $\mathcal{C}$  by morphisms in  $\mathcal{T}_2$ .
- (3) The left hand side of (1.21) clearly contains the right hand side. Since  $\mathcal{T}_{1k}$  and  $\mathcal{T}_{2k}$  are stable under equivalence, the meaning of the equality is as follows. Consider a square of the form (1.19) in the  $\infty$ -category Fun( $\Delta^1, \mathcal{C}$ ) (whose vertices are regarded as edges of  $\mathcal{C}$  in direction k), such that  $y \to x, w \to z \in \mathcal{T}_{1k}$  and  $z \to x, w \to y \in \mathcal{T}_{2k}$ . If it has a decomposition of the form (1.20) with  $w \to w'$  in  $\mathcal{E} *_{\mathcal{C}} \mathcal{T}_k$ , then  $w \to w'$  is in  $\mathcal{T}_{1k} \cap \mathcal{T}_{2k}$ .
- (4) Suppose that we have  $\mathcal{T}_{jj'} = \mathcal{T}_j *_{\mathcal{C}}^{\operatorname{cart}} \mathcal{T}_{j'}$  for all  $j, j' \in \{1, 2\} \coprod K$  with  $j \neq j'$ . Then the identity (1.21) holds automatically, by (the dual of) [52, Lemma 4.4.2.1]. Moreover, the first part of Condition (3) implies Condition (4). To see this, consider a square  $\sigma$

as in Condition (4). Applying Lemma 1.3.22 to the corresponding cube (whose vertices are edges of  $\mathcal{C}$  in direction k', say), we get  $w \in \mathcal{C}_1 *_{\mathcal{C}}^{\operatorname{cart}} \mathcal{C}_1$ . Applying the first part of Condition (3) to the images of  $\sigma$  under the maps  $\operatorname{Fun}(\Delta^1 \times \Delta^1, \mathcal{C}) \to \operatorname{Fun}(\Delta^1, \mathcal{C})$  induced by  $d_0^1 \times \operatorname{id}, d_1^1 \times \operatorname{id}$ , we get  $w \in \mathcal{C}_1 *_{\mathcal{C}} \mathcal{T}_{k'}$ . Similarly, we have  $w \in \mathcal{T}_k *_{\mathcal{C}} \mathcal{C}_1$ .

(5) Suppose that we have  $\mathfrak{T}_{jj'} = \mathfrak{T}_j *_{\mathfrak{C}}^{\operatorname{cart}} \mathfrak{T}_{j'}$  for all  $j, j' \in \{1, 2\} \coprod K$  with  $j \neq j'$ , and moreover that  $\mathfrak{T}_k$  is stable under pullback by either  $\mathfrak{T}_1$  or  $\mathfrak{T}_2$  for each  $k \in K$ . Then, by Remark 1.3.23, Conditions (1) and (2) imply Condition (3), which in turn implies Condition (4).

Combining Theorem 1.5.1 with Theorem 1.4.16 and Theorem 1.4.20, we obtain the following.

**Theorem 1.5.4.** Let C be an  $\infty$ -category and let K be a finite set. We are given a  $(\{0, 1, 2\} \coprod K)$ -marked  $\infty$ -category  $(C, \mathcal{E}_0, \mathcal{E}_1, \mathcal{E}_2, \{\mathcal{E}_k\}_{k \in K})$  such that

(1)  $\mathcal{E}_1, \mathcal{E}_2 \subseteq \mathcal{E}_0$ ;  $\mathcal{E}_0$  is stable under composition. Moreover, for every morphism f in  $\mathcal{E}_0$ , there exists a 2-simplex of  $\mathcal{C}$  of the form



with  $p \in \mathcal{E}_1$  and  $q \in \mathcal{E}_2$ .

- (2) Every morphism f in  $\mathcal{E}_1 \cap \mathcal{E}_2$  is n-truncated for some integer  $n \ge -2$  (which may depend on f).
- (3)  $\mathcal{E}_k$  is stable under pullback by  $\mathcal{E}_1$  for every  $k \in K$ .
- (4) Edges in  $\mathcal{E}_1$  admit pullbacks in  $\mathcal{C}$  by edges in  $\mathcal{E}_k$  for all  $k \in K$ .
- (5)  $\mathcal{E}_1 *_{\mathcal{C}} \mathcal{E}_2 = \mathcal{E}_1 *_{\mathcal{C}}^{\mathcal{E}_1 \cap \mathcal{E}_2} \mathcal{E}_2$ . Moreover,  $\mathcal{E}_1$  (resp.  $\mathcal{E}_2$ ) is stable under composition and pullback b by  $\mathcal{E}_k$  for all  $k \in K$  and by  $\mathcal{E}_2$  (resp.  $\mathcal{E}_1$ );  $\mathcal{E}_1 \cap \mathcal{E}_2$  is stable under pullback by  $\mathcal{E}_1 \cup \mathcal{E}_2$ .
- (6)  $\mathcal{C}_{\mathcal{E}_1}$  admits pullbacks and pullbacks are preserved by the functor  $\mathcal{C}_{\mathcal{E}_1} \to \mathcal{C}_{\mathcal{E}_0}$ .

Then, for every subset  $L \subseteq K$ , the natural map

$$g\colon \delta^*_{\{1,2\}\amalg K,L} \mathcal{C}^{\operatorname{cart}}_{\mathcal{E}_1,\mathcal{E}_2,\{\mathcal{E}_k\}_{k\in K}} \to \delta^*_{\{0\}\amalg K,L} \mathcal{C}^{\operatorname{cart}}_{\mathcal{E}_0,\{\mathcal{E}_k\}_{k\in K}}$$

is a categorical equivalence (see Definition 1.3.16 for the notation).

Remark 1.5.5. If C admits pullbacks and  $\mathcal{E}_1$ ,  $\mathcal{E}_2$  are admissible, then Conditions (4), (5), and (6) of Theorem 1.5.4 hold. Moreover, in this case, Condition (1) of Theorem 1.5.4 implies that  $\mathcal{E}_0$  is admissible by Remark 1.3.19. Indeed,  $\mathcal{E}_0$  is clearly stable under pullback, and given a 2-simplex as in Condition (1), we have a diagram



where the square is a pullback by Lemma 1.5.6 below, so that the diagonal  $d_f$  of f belongs to  $\mathcal{E}_0$ .

**Lemma 1.5.6.** Let C be an  $\infty$ -category admitting pullbacks. Consider two 2-simplices of C sharing an edge as depicted by the diagram



Then we have a pullback square



where the right vertical arrow is the diagonal of  $x' \to x$ .

Proof. Indeed, we have a diagram



where the front face of the cube and the squares on the back page are pullbacks. It follows that the other two faces of the cube containing x' are pullbacks. Therefore, all the faces of the cube are pullbacks.

*Proof of Theorem 1.5.4.* Denote by  $(\mathcal{C}, \mathcal{T})$  the  $(\{1, 2\} \coprod K)$ -tiled simplicial set as in Theorem 1.4.16. Then the map g factorizes as

$$\delta^*_{\{1,2\}\amalg K,L} \mathcal{C}^{\operatorname{cart}}_{\mathcal{E}_1,\mathcal{E}_2,\{\mathcal{E}_k\}_{k\in K}} \xrightarrow{\iota} \delta^*_{\{1,2\}\amalg K,L} \delta^{\{\{1,2\}\amalg K)\square}_*(\mathcal{C},\mathfrak{T}) \xrightarrow{f} \delta^*_{\{0\}\amalg K,L} \mathcal{C}^{\operatorname{cart}}_{\mathcal{E}_0,\{\mathcal{E}_k\}_{k\in K}}$$

By Theorem 1.5.1 applied to the inclusion  $(\mathcal{C}, (\mathcal{E}_1, \mathcal{E}_2, \{\mathcal{E}_k\}_{k \in K})^{\operatorname{cart}}) \subseteq (\mathcal{C}, \mathcal{T})$  (see Definition 1.3.16 for the notation) and  $\mathcal{E} = \mathcal{E}_1 \cap \mathcal{E}_2$ , the inclusion  $\iota$  is a categorical equivalence. Indeed, by Condition (3) of Theorem 1.5.4 and Remark 1.5.3 (5), it suffices to check Conditions (1) and (2) of Theorem 1.5.1. The first part of Condition (2) of Theorem 1.5.1 is Condition (2) of Theorem 1.5.4. Condition (1) and the second part of Condition (2) of Theorem 1.5.1 follow from Condition (5) of Theorem 1.5.4. To show that f is a categorical equivalence as well, we use Theorem 1.4.16 (with  $\alpha = 1$ ). Conditions (1) and (2) of Theorem 1.4.16 follow from Condition (3) of Theorem 1.4.16 follows from Condition (5) of Theorem 1.4.16 (with  $\alpha = 1$ ). Conditions (1) and (2) of Theorem 1.4.16 follows from Condition (1) of Theorem 1.5.4. Condition (3) of Theorem 1.4.16 follows from Condition (5) of Theorem 1.4.16 (3) of Theorem 1.4.16 follows from Condition (5) of Theorem 1.4.16 (3) of Theorem 1.4.16 follows from Condition (5) of Theorem 1.4.16 (3) of Theorem 1.4.16 follows from Condition (5) of Theorem 1.4.16 (3) of Theorem 1.4.16 follows from Condition (5) of Theorem 1.4.16 (3) of Theorem 1.4.16 follows from Condition (5) of Theorem 1.5.4. Conditions (4) and (5) of Theorem 1.4.16 are Conditions (3) and (4) of Theorem 1.5.4, respectively. It remains to check that  $\operatorname{Kpt}^1_{\mathcal{C},\mathcal{E}_1,\mathcal{E}_2}(\tau)$  is weakly contractible for every simplex  $\tau$  of  $\mathcal{C}_{\mathcal{E}_0}$ , which follows from Theorem 1.4.20 applied to  $(\mathcal{C}_{\mathcal{E}_0}, \mathcal{E}_1, \mathcal{E}_2)$ . Conditions (1), (2), (3) of Theorem 1.4.20 follow from Conditions (5), (6), (1) of Theorem 1.5.4, respectively.  $\Box$ 

The rest of this section is devoted to the proof of Theorem 1.5.1. A key ingredient in the proof is an analogue of the diagram (1.20) for decompositions of simplices of higher dimensions. Such decompositions are naturally encoded by certain lattices. Let us review some basic terminology.

**Definition 1.5.7** (Lattice). By a *lattice* we mean a nonempty partially ordered set admitting products (namely, infima) and coproducts (namely, suprema) of pairs of elements, or equivalently,

admitting finite nonempty products and coproducts. In a lattice, we denote products by  $\wedge$  and coproducts by  $\vee$ . A lattice P is said to be *distributive* if  $p \wedge (q \vee r) = (p \wedge q) \vee (p \wedge r)$  for all  $p, q, r \in P$ , or equivalently,  $p \vee (q \wedge r) = (p \vee q) \wedge (p \vee r)$  for all  $p, q, r \in P$  [15, Lemma 4.3].

A map between lattices preserving finite nonempty products and coproducts is called a *morphism* of lattices. A morphism of lattices necessarily preserves order.

Note that a finite lattice admits arbitrary products and coproducts.

**Definition 1.5.8** (Sublattice). A nonempty subset of a lattice is called a *sublattice* if it is stable under finite nonempty products and coproducts. We endow the subset with the induced lattice structure.

Subsets of a lattice P of the forms  $P_{p/}$ ,  $P_{/q}$ ,  $P_{p//q}$  for  $p \leq q$  in P are necessarily sublattices of P.

**Definition 1.5.9** (Up-set lattice). Let P be a partially ordered set. A subset Q of P is called an *up-set* if  $q \in Q$  and  $p \ge q$  with  $p \in P$  imply  $p \in Q$ . We order the set  $\mathcal{U}(P)$  of up-sets of P by *inverse inclusion*:  $Q \le Q'$  if and only if  $Q \supseteq Q'$ . Then  $\mathcal{U}(P)$  becomes a distributive lattice admitting arbitrary products and coproducts. In fact, we have  $Q \lor Q' = Q \cap Q'$  and  $Q \land Q' = Q \cup Q'$ . We call  $\mathcal{U}(P)$  the *up-set lattice* of P.

We let  $\varsigma^P \colon P \to \mathcal{U}(P)$  denote the map carrying p to  $P_{p/}$ , which is a fully faithful functor (namely, an order embedding) since we have chosen the inverse inclusion order on  $\mathcal{U}(P)$ . Note that  $\varsigma^P$  preserves coproducts whenever they exist in P. On the other hand,  $\varsigma^P$  does not preserve the product of any family of elements, unless the family admits a minimum.

Remark 1.5.10. Although we do not need it in the sequel, let us recall the correspondence between finite partially ordered sets and finite distributive lattices [15, Chapter 5] via up-set lattices. An element p of a lattice L is said to be *product-irreducible* if p is not a final object (namely, maximum) of L and  $p = a \wedge b$  implies p = a or p = b for all  $a, b \in L$ . We let  $\mathcal{I}(L) \subseteq L$  denote the subset of product-irreducible elements of L. The map  $\varsigma^P$  factorizes to give an embedding  $P \to \mathcal{I}(\mathcal{U}(P))$ , which is an isomorphism if P is finite. The map  $\eta_L \colon L \to \mathcal{U}(\mathcal{I}(L))$  carrying xto  $\mathcal{I}(L)_{x/}$  is a morphism of lattices preserving initial and final objects. Birkhoff's representation theorem states that  $\eta_L$  is an isomorphism for any finite distributive lattice L.

We will need the following properties of up-set lattices.

Remark 1.5.11. We have an isomorphism  $\mathcal{U}(P^{\triangleright}) \simeq \mathcal{U}(P)^{\triangleright}$  carrying  $Q \neq \emptyset$  to  $Q \cap P$  and carrying  $\emptyset$  to the cone point of  $\mathcal{U}(P)^{\triangleright}$ . In particular,  $\mathcal{U}(P)$  can be identified with the sublattice of  $\mathcal{U}(P^{\triangleright})$  spanned by nonempty up-sets of  $P^{\triangleright}$ , or equivalently, up-sets of  $P^{\triangleright}$  that contain the cone point.

Remark 1.5.12. For  $Q \in \mathcal{U}(P)$ , we have  $Q \subseteq P$  and  $\varsigma^P(Q) \subseteq \varsigma^P(P) \subseteq \mathcal{U}(P)$ . Moreover, we have  $\varsigma^P(P)_{Q/} = \varsigma^P(Q)$ . Thus a diagram  $F \colon \mathcal{N}(\mathcal{U}(P)) \to \mathcal{C}$  in an  $\infty$ -category  $\mathcal{C}$  is a right Kan extension along  $\mathcal{N}(\varsigma^P)$  if and only if for every  $Q \in \mathcal{U}(P)$ , the restriction of F to  $\mathcal{N}(\varsigma^P(Q))^{\triangleleft}$  exhibits F(Q) as the limit of  $F \mid \mathcal{N}(\varsigma^P(Q))$ . Note that when  $Q \in \varsigma^P(P)$ , the last condition is automatic. To alleviate notation, we will write  $\varsigma^P$  for  $\mathcal{N}(\varsigma^P)$ .

**Definition 1.5.13.** Let P and P' be partially ordered sets and let  $f: P' \to P$  be an orderpreserving map. The map  $\mathcal{U}^f: \mathcal{U}(P) \to \mathcal{U}(P')$  carrying Q to  $f^{-1}(Q)$  is a morphism of lattices preserving products and coproducts. The functor  $\mathcal{U}^f$  admits a right adjoint  $\mathcal{U}_f: \mathcal{U}(P') \to \mathcal{U}(P)$ carrying an up-set Q' of P' to the up-set of P generated by f(Q'). In other words,  $\mathcal{U}_f(Q') = \bigcup_{q \in Q'} P_{f(q)/}$ . The functor  $\mathcal{U}_f$  preserves products.

We will need the following properties of the functor  $\mathcal{U}_f$ .

*Remark* 1.5.14. The following diagram commutes:



Remark 1.5.15. Suppose that P' admits nonempty coproducts and f preserves such coproducts. For  $Q' \in \mathcal{U}(P')$ , the map f restricts to a map  $Q' \to \mathcal{U}_f(Q')$ . We claim that the induced map  $N(Q')^{op} \to N(\mathcal{U}_f(Q'))^{op}$  is cofinal. Indeed, for every  $Q \in \mathcal{U}_f(Q')$ , the partially ordered set  $Q' \times_{\mathcal{U}_f(Q')} \mathcal{U}_f(Q')_{/Q}$  is nonempty and admits nonempty coproducts, hence admits a final object. Thus  $N(Q') \times_{N(\mathcal{U}_f(Q'))} N(\mathcal{U}_f(Q'))_{/Q}$  is weakly contractible and we apply the criterion of cofinality [52, Theorem 4.1.3.1].

In this case, if  $F: N(\mathcal{U}(P)) \to \mathbb{C}$  is a right Kan extension along  $\varsigma^P$ , then  $F \circ N(\mathcal{U}_f): N(\mathcal{U}(P')) \to \mathbb{C}$  is a right Kan extension along  $\varsigma^{P'}$ . Indeed, by Remark 1.5.12, it suffices to check that for every  $Q' \in \mathcal{U}(P')$  and every limit diagram  $N(\mathcal{U}_f(Q'))^{\triangleleft} \to \mathbb{C}$ , the induced map  $N(Q')^{\triangleleft} \to \mathbb{C}$  is a limit diagram, which follows from the above cofinality by [52, Proposition 4.1.1.8].

**Lemma 1.5.16.** If P' admits coproducts indexed by a set I and  $f: P' \to P$  preserves such coproducts, then  $\mathcal{U}_f$  preserves coproducts indexed by I. In particular, if P admits coproducts of pairs of elements and f preserves such coproducts, then  $\mathcal{U}_f$  is a morphism of lattices.

*Proof.* Let  $Q'_i$ ,  $i \in I$  be up-sets of P'. We have  $\bigcap_{i \in I} \mathcal{U}_f(Q'_i) \supseteq \mathcal{U}_f(\bigcap_{i \in I} Q'_i)$ . To show the inclusion in the other direction, let  $y \in \bigcap_{i \in I} \mathcal{U}_f(Q'_i)$ . For each  $i \in I$ , there exists  $x_i \in Q'_i$  such that  $f(x_i) \leq y$ . Thus  $f(\bigvee_{i \in I} x_i) = \bigvee_{i \in I} f(x_i) \leq y$ . This implies  $y \in \mathcal{U}_f(\bigcap_{i \in I} Q'_i)$  since we have  $\bigvee_{i \in I} x_i \in \bigcap_{i \in I} Q'_i$ .

**Definition 1.5.17** (Exact square). By an *exact square* in a lattice, we mean a square that is both a pushout square and a pullback square, or, equivalently, a square of the form



The left vertical arrow is called an *exact pullback* of the right vertical arrow.

Exact squares in  $\mathcal{U}(P)$  correspond to pushout squares of sets. The relevance of such squares is shown by the following lemmas.

**Lemma 1.5.18.** Every right Kan extension  $F: N(\mathcal{U}(P)) \to \mathbb{C}$  along  $\varsigma^P$  carries exact squares to pullback squares. More generally, for every full subcategory  $R \subseteq \mathcal{U}(P)$  containing  $\varsigma^P(P)$ , every functor  $F: N(R) \to \mathbb{C}$  that is a right Kan extension of  $F \mid N(\varsigma^P(P))$  carries exact squares to pullback squares.

Proof. Let

(1.22)

 $\begin{array}{ccc} Q \cup Q' & \longrightarrow & Q \\ & & & \downarrow \\ & & & \downarrow \\ Q' & \longrightarrow & Q \cap Q' \end{array}$ 

be an exact square in R. We consider  $S = \varsigma^P(P) \cup \{Q, Q', Q \cap Q'\}$ , satisfying  $\varsigma^P(P) \subseteq S \subseteq R$ . By [52, Proposition 4.3.2.8], F is a right Kan extension of  $F \mid N(S)$ . In particular, the restriction of

F exhibits  $F(Q \cup Q')$  as a limit of  $F | N(S_{Q \cup Q'})$ . By Lemma 1.4.17, the map  $\Lambda_0^2 \to N(S_{Q \cup Q'})^{op}$  induced by the square (1.22) is cofinal. Thus by [52, Proposition 4.1.1.8], F carries the square to a pullback square in  $\mathcal{C}$ .

**Lemma 1.5.19.** Let P be a finite partially ordered set. Every morphism  $Q \to Q'$  in  $\mathcal{U}(P)$  is the composition of a finite sequence of exact pullbacks of the morphisms  $\omega^P(x) : \varsigma^P(x) \to \varsigma^P(x) - \{x\}$  for  $x \in Q - Q'$ .

*Proof.* We may choose a (finite) sequence of morphisms  $Q = Q_0 \rightarrow \cdots \rightarrow Q_m = Q'$  such that for  $1 \leq i \leq m, Q_{i-1} = Q_i \cup \{x_i\}$ , where  $x_i \in Q - Q_i$  is a maximal element. For each *i*, the following diagram

is an exact square. Thus the lemma follows.

The following lattices encode generalizations of the diagram (1.20).

**Notation 1.5.20.** For  $n \ge 0$ , we let  $\operatorname{Cart}^n$  denote the sublattice of  $\mathcal{U}([n] \times [n])$  spanned by nonempty up-sets of  $[n] \times [n]$  and we let  $\varsigma^n \colon [n] \times [n] \to \operatorname{Cart}^n$  denote the map induced by  $\varsigma^{[n] \times [n]}$  carrying (p,q) to  $([n] \times [n])_{(p,q)/}$ . For an order-preserving map  $d \colon [m] \to [n]$ , we let  $\operatorname{Cart}(d) \colon \operatorname{Cart}^m \to \operatorname{Cart}^n$  denote the map induced by  $\mathcal{U}_{d \times d}$ . Put  $\operatorname{Cart}^n \coloneqq \operatorname{N}(\operatorname{Cart}^n)$  and  $\operatorname{Cart}(d) \coloneqq \operatorname{N}(\operatorname{Cart}(d))$ . We still write  $\varsigma^n$  for  $\operatorname{N}(\varsigma^n)$ .

By Remark 1.5.11, we have  $\operatorname{Cart}^n \simeq \mathcal{U}([n] \times [n] - \{(n,n)\})$ . The definition of  $\operatorname{Cart}^n$  given above has the advantage of being functorial with respect to [n]. Every up-set of  $[n] \times [n]$  has the form  $\{(p,q) \in [n] \times [n] \mid q \ge a_p\}$  for a sequence of integers  $-1 \le a_0 \le \ldots \le a_n \le n$ . Thus the cardinality of  $\operatorname{Cart}^n$  is  $\binom{2n+2}{n+1} - 1$ .

Below are the Hasse diagrams of Cart¹ and Cart², rotated so that the initial objects are shown in the upper-left corners. Bullets represent elements in the images of  $\varsigma^1$  and  $\varsigma^2$ . The dashed boxes represent Cart¹_{0,1} and Cart²_{1,2} (see Construction 1.5.30 (1) below).



The map  $\operatorname{Cart}(d)$  is a morphism of lattices by Lemma 1.5.16. Moreover,  $\varsigma^n$  preserves coproducts and final objects. In particular,  $\varsigma^n(p,q) = \varsigma^n(p,0) \lor \varsigma^n(0,q)$ . By Remark 1.5.14, the maps  $\varsigma^n$ for different *n* are compatible with *d* in the sense that we have  $\operatorname{Cart}(d)(\varsigma^m(p,q)) = \varsigma^n(d(p), d(q))$ for all  $(p,q) \in [m] \times [m]$ .

By Remark 1.5.12, a diagram  $F: \operatorname{Cart}^n \to \mathcal{C}$  in an  $\infty$ -category  $\mathcal{C}$  is a right Kan extension along  $\varsigma^n$  if and only if for every  $Q \in \operatorname{Cart}^n$ , the restriction of F to  $\operatorname{N}(\varsigma^n(Q))^{\triangleleft}$  exhibits F(Q) as the limit of  $F | \operatorname{N}(\varsigma^n(Q))$ . By Remark 1.5.15, if  $F: \operatorname{Cart}^n \to \mathcal{C}$  is a right Kan extension along  $\varsigma^n$ , then  $F \circ \operatorname{Cart}(d): \operatorname{Cart}^m \to \mathcal{C}$  is a right Kan extension along  $\varsigma^m$ .

**Definition 1.5.21.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be  $\infty$ -categories and let  $\tau : \Delta^n \times \Delta^n \times \mathcal{D} \to \mathcal{C}$  be a functor. We define  $\operatorname{Kart}(\tau)$ , the simplicial set of *Cartesianizations of*  $\tau$ , to be the fiber of the restriction map

$$\operatorname{Fun}(\operatorname{Cart}^n \times \mathcal{D}, \mathcal{C})_{\operatorname{RKE}} \xrightarrow{\operatorname{res}} \operatorname{Fun}(\Delta^n \times \Delta^n \times \mathcal{D}, \mathcal{C})$$

at  $\tau$ . Here Fun( $\operatorname{Cart}^n \times \mathcal{D}, \mathcal{C}$ )_{RKE}  $\subseteq$  Fun( $\operatorname{Cart}^n \times \mathcal{D}, \mathcal{C}$ ) is the full subcategory spanned by functors  $F: \operatorname{Cart}^n \times \mathcal{D} \to \mathcal{C}$  that are right Kan extensions of  $F \mid \Delta^n \times \Delta^n \times \mathcal{D}$  along  $\varsigma^n \times \operatorname{id}_{\mathcal{D}}$ .

*Remark* 1.5.22. By [52, Proposition 4.3.2.9], res is the composition

 $\operatorname{Fun}(\operatorname{Cart}^n \times \mathcal{D}, \mathcal{C})_{\operatorname{RKE}} \to \mathcal{K} \hookrightarrow \operatorname{Fun}(\Delta^n \times \Delta^n \times \mathcal{D}, \mathcal{C})$ 

of a trivial Kan fibration with the inclusion of the full subcategory  $\mathcal{K}$  spanned by functors  $\tau$  that admit right Kan extensions along  $\varsigma^n \times \mathrm{id}_{\mathcal{D}}$ . In particular,  $\mathcal{K}\mathrm{art}(\tau)$  is a contractible Kan complex if  $\tau$  admits a right Kan extension along  $\varsigma^n \times \mathrm{id}_{\mathcal{D}}$  and  $\mathcal{K}\mathrm{art}(\tau)$  is empty otherwise.

If  $\mathcal{C}$  admits pullbacks, then res is a trivial Kan fibration. Indeed, in this case, every diagram  $N(Q) \to \mathcal{C}$ , where  $Q \in \operatorname{Cart}^n$ , admits a limit by Lemma 1.4.22.

The following projection map will play an important role.

**Notation 1.5.23.** Let  $n \ge 0$  be an integer. We define a morphism of lattices

$$\pi^n = (\pi_1^n, \pi_2^n) \colon \operatorname{Cart}^n \to [n] \times [n]$$

to be the composite of the morphism of lattices  $-\vee \xi^n(n,n)$ :  $\operatorname{Cart}^n \to \operatorname{Cart}^n_{n,n}$ , where  $\xi^n(n,n) = \zeta^n(n,0) \wedge \zeta^n(0,n)$  and  $\operatorname{Cart}^n_{n,n} = \operatorname{Cart}^n_{\xi^n(n,n)/}$ , and the isomorphism  $\operatorname{Cart}^n_{n,n} \simeq [n] \times [n]$  carrying  $\xi^n(n,n)_{(p,q)/} = \zeta^n(p,n) \wedge \zeta^n(n,q)$  to (p,q). We still write  $\pi^n$  for  $\operatorname{N}(\pi^n)$ .

Note that  $\varsigma^n$  is a left adjoint of  $\pi^n$ , hence a section of  $\pi^n$ . We have the following characterizations of  $\pi^n$ : for  $Q \in \operatorname{Cart}^n$ , we have

$$\begin{split} \varsigma^n(\pi_1^n(Q), n) &= Q \lor \varsigma^n(0, n), \\ \varsigma^n(n, \pi_2^n(Q)) &= Q \lor \varsigma^n(n, 0), \\ \pi^n(Q) &= \left(\min_{(p,q) \in Q} p, \min_{(p,q) \in Q} q\right) \end{split}$$

The last equation implies that for every order-preserving map  $d: [m] \to [n]$ , we have  $\pi^n \circ \operatorname{Cart}(d) = (d \times d) \circ \pi^m$ . Indeed, for  $Q \in \operatorname{Cart}^m$ , we have

$$\pi^n(\operatorname{Cart}(d)(Q)) = \left(\min_{(p,q)\in Q} d(p), \min_{(p,q)\in Q} d(q)\right) = (d \times d)(\pi^n(Q)).$$

**Lemma 1.5.24.** Let  $\mathcal{C}$  be an  $\infty$ -category and  $F: \Delta^n \times \Delta^n \to \mathcal{C}$  a diagram. The following conditions are equivalent:

- (1) F is obtained from a map of bisimplicial sets  $\Delta^{n,n} \to \mathbb{C}^{\operatorname{cart}}_{\mathcal{C}_1,\mathcal{C}_1}$ .
- (2) F is a right Kan extension of  $F \mid N(\xi^n(n, n))$ .
- (3)  $F \circ \pi^n \colon \operatorname{Cart}^n \to \mathbb{C}$  is a right Kan extension along  $\varsigma^n$ .

*Proof.* By Lemma 1.4.17, the map  $\Lambda_0^2 \to N(\xi^n(n,n)_{(p,q)/})^{op}$  induced by the square

is cofinal. Thus, by [52, Proposition 4.1.1.8], (2) is equivalent to the condition that F carries the above square to a pullback. This condition is a special case of (1), and is equivalent to (1) by [52, Lemma 4.4.2.1].

Next we show that (2) implies (3). Assume that  $F \circ \pi^n \colon \operatorname{Cart}^n \to \mathbb{C}$  is a right Kan extension along  $\varsigma^n$ . Then  $F(p,q) = F(\pi^n(\varsigma^n(p,n) \land \varsigma^n(n,q)))$  is a limit of  $F \mid \operatorname{N}(\varsigma^n(p,n) \land \varsigma^n(n,q))$  by Remark 1.5.12. This implies that F is a right Kan extension of  $F \mid \operatorname{N}(\xi^n(n,n))$ . Finally we show that (3) implies (2). Assume that F is a right Kan extension of  $F|N(\xi^n(n, n))$ . Then, for every  $Q \in \operatorname{Cart}^n$ , the restriction F|N(Q) is a right Kan extension of  $F|N(Q \vee \xi^n(n, n))$ . Indeed, for any  $(p,q) \in Q$ , we have  $(Q \vee \xi^n(n, n))_{(p,q)/} = \xi^n(n, n)_{(p,q)/}$ . Moreover, the restriction of F exhibits  $F(\pi^n(Q))$  as the limit of  $F \mid Q \vee \xi^n(n, n)$  since  $\xi^n(n, n)_{\pi^n(Q)/} = Q \vee \xi^n(n, n)$ . It follows that the restriction of  $F \circ \pi^n$  exhibits  $(F \circ \pi^n)(Q)$  as a limit of  $F \circ \pi^n \mid N(\varsigma^n(Q))$ . Therefore,  $F \circ \pi^n$ :  $\operatorname{Cart}^n \to \mathbb{C}$  is a right Kan extension along  $\varsigma^n$  by Remark 1.5.12.

We now introduce a crucial 2-marking on  $Cart^n$ .

**Notation 1.5.25.** Let  $n \ge 0$  be an integer. We define a 2-marking  $\mathcal{F} = (\mathcal{F}_1, \mathcal{F}_2)$  on  $\operatorname{Cart}^n$  as follows. For i = 1, 2, we let  $\overline{\mathcal{F}}_i$  denote the set of edges of  $\epsilon_i^2 \Delta^{n,n}$ , so that  $\delta_{2+}^* \Delta^{n,n} \simeq (\Delta^n \times \Delta^n, \overline{\mathcal{F}}_1, \overline{\mathcal{F}}_2)$ . We define  $\mathcal{F}_i = (\pi^n)^{-1}(\overline{\mathcal{F}}_i)$  for i = 1, 2. Graphically,  $\mathcal{F}_1$  (resp.  $\mathcal{F}_2$ ) consists of edges whose image under  $\pi^n$  are vertical (resp. horizontal). Recall that  $\mathcal{F}$  induces a 2-tiling  $\mathcal{F}^{\operatorname{cart}}$  defined by  $\mathcal{F}_{12}^{\operatorname{cart}} = \mathcal{F}_1 *_{\operatorname{Cart}}^{\operatorname{cart}} \mathcal{F}_2$ .

For an order-preserving map  $d: [m] \to [n]$ , the map Cart(d) induces a map  $(Cart^m, \mathcal{F}) \to (Cart^n, \mathcal{F})$  of 2-marked  $\infty$ -categories, and a map  $(Cart^m, \mathcal{F}^{cart}) \to (Cart^n, \mathcal{F}^{cart})$  of 2-tiled  $\infty$ -categories.

**Construction 1.5.26.** Consider a  $(\{1,2\} \coprod K)$ -tiled  $\infty$ -category  $(\mathcal{C}, \mathcal{T})$  and a subset  $L \subseteq K$ . For brevity, we write I for  $\{1,2\} \coprod K$ . We consider the following two simplicial sets

$$\begin{aligned} Y^{n}(\mathfrak{T}) &= \epsilon_{1}^{I} \operatorname{Map}(\delta_{*}^{2+}(\operatorname{Cart}^{n},\mathfrak{F}) \boxtimes \Delta_{L}^{n_{k}|k \in K}, \delta_{*}^{I\square}(\mathfrak{C},\mathfrak{T})), \\ Z^{n}(\mathfrak{T}) &= \epsilon_{1}^{I} \operatorname{Map}(\delta_{*}^{2\square}(\operatorname{Cart}^{n},\mathfrak{F}^{\operatorname{cart}}) \boxtimes \Delta_{L}^{n_{k}|k \in K}, \delta_{*}^{I\square}(\mathfrak{C},\mathfrak{T})) \end{aligned}$$

We have a natural commutative diagram

where

• The vertical arrows are induced by the inclusions

$$\delta^{2\sqcup}_*(\operatorname{Cart}^n, \operatorname{\mathscr{F}^{cart}}) \subseteq \delta^{2+}_*(\operatorname{Cart}^n, \operatorname{\mathscr{F}}) \subseteq \delta^2_*\operatorname{Cart}^n;$$

- $f^n$  is induced by the adjunction  $\delta_2^* \delta_*^2 \operatorname{Cart}^n \to \operatorname{Cart}^n$ ;
- $g^n$  and  $h^n$  are compositions of  $f^n$  and the vertical arrows;
- In the inclusion on the second row we have used the isomorphism

$$\epsilon_1^I \operatorname{Map}(\delta_*^{2+}(\operatorname{Cart}^n, \mathfrak{F}) \boxtimes \Delta_L^{n_k|k \in K}, \delta_*^I \mathfrak{C}) \simeq \operatorname{Fun}(\delta_2^* \delta_*^{2+}(\operatorname{Cart}^n, \mathfrak{F}) \times \Delta_L^{[n_k]_{k \in K}}, \mathfrak{C})$$

in Remark 1.3.6 and similarly for the inclusion on the third row.

Moreover, we have a commutative diagram

 $n \sim$ 

$$\begin{array}{c} Y^{n}(\mathfrak{I}) \xrightarrow{y^{n}(\mathfrak{I})} \operatorname{Map}(\delta_{2}^{*}\delta_{*}^{2+}(\operatorname{Cart}^{n},\mathfrak{F}) \times \Delta^{[n_{k}]_{k \in K}}, \delta_{I,L}^{*}\delta_{*}^{I\square}(\mathfrak{C},\mathfrak{I})) \\ \downarrow \\ Z^{n}(\mathfrak{I}) \xrightarrow{z^{n}(\mathfrak{I})} \operatorname{Map}(\delta_{2}^{*}\delta_{*}^{2\square}(\operatorname{Cart}^{n},\mathfrak{F}^{\operatorname{cart}}) \times \Delta^{[n_{k}]_{k \in K}}, \delta_{I,L}^{*}\delta_{*}^{I\square}(\mathfrak{C},\mathfrak{I})) \end{array}$$

where the vertical arrows are induced by the inclusion

$$\delta^{2\sqcup}_*(\operatorname{Cart}^n, \mathcal{F}^{\operatorname{cart}}) \subseteq \delta^{2+}_*(\operatorname{Cart}^n, \mathcal{F})$$

and the horizontal arrows are induced by  $\delta_I^*$  in Remark 1.3.6. In the above notation, we have kept the datum  $\mathcal{T}$  as we will now let it vary.

**Lemma 1.5.27.** Assume that we are in the situation of Theorem 1.5.1. Let  $\mathcal{E}^i \subseteq \mathcal{E}$  be the subset of i-truncated edges, and let  $\mathbb{T}^i$  be the  $(\{1,2\} \coprod K)$ -tiling between  $\mathbb{T}$  and  $\mathbb{T}'$  determined by  $\mathbb{T}_{12}^i = \mathbb{T}_1 *_{\mathbb{C}}^{\mathcal{E}^i} \mathbb{T}_2$ . Then, for every map  $\tau \colon \Delta_L^{n,n,n_k|k \in K} \to \delta_*^{(\{1,2\} \amalg K) \square}(\mathbb{C}, \mathbb{T}^i)$ , the simplicial set  $\operatorname{Kart}(\tau)$  is a contractible Kan complex and the restriction of the map  $g^n$  (resp.  $h^n$ ) to  $\operatorname{Kart}(\tau) \subseteq \operatorname{Fun}(\operatorname{Cart}^n \times \Delta_L^{[n_k]_{k \in K}}, \mathbb{C})$  has image contained in  $Y^n(\mathbb{T}^i)$  (resp.  $Z^n(\mathbb{T}^{i-1})$  for  $i \ge -1$ ). Here  $\operatorname{Kart}(\tau)$  is the simplicial set of Cartesianizations of  $\tau$  (where  $\tau$  is regarded as a functor  $\Delta^n \times \Delta^n \times \Delta_L^{[n_k]_{k \in K}} \to \mathbb{C}$ ) in Definition 1.5.21.

In particular, we have induced maps

$$\begin{split} g(\tau) &\coloneqq y^n(\mathcal{T}^i) \circ g^n \colon \mathcal{K}\mathrm{art}(\tau) \\ &\to \mathrm{Map}(\delta_2^* \delta_*^{2+}(\mathrm{Cart}^n, \mathcal{F}) \times \Delta^{[n_k]_{k \in K}}, \delta_{\{1,2\} \amalg K, L}^* \delta_*^{(\{1,2\} \amalg K) \square}(\mathcal{C}, \mathcal{T}^i)), \end{split}$$

$$\begin{split} h(\tau) &\coloneqq z^n(\mathfrak{T}^{i-1}) \circ h^n \colon \mathfrak{K}\mathrm{art}(\tau) \\ &\to \mathrm{Map}(\delta_2^* \delta_*^{2\square}(\mathfrak{C}\mathrm{art}^n, \mathfrak{F}^{\mathrm{cart}}) \times \Delta^{[n_k]_{k \in K}}, \delta_{\{1,2\} \amalg K, L}^* \delta_*^{(\{1,2\} \amalg K) \square}(\mathfrak{C}, \mathfrak{T}^{i-1})). \end{split}$$

*Proof.* Consider an equivalence e in the  $\infty$ -category

(1.24) 
$$\operatorname{Fun}(\delta_2^* \delta_*^{2+}(\operatorname{Cart}^n, \mathcal{F}) \times \Delta_L^{\lfloor n_k \rfloor_{k \in K}}, \mathbb{C})$$

with one vertex in  $Y^n(\mathfrak{T}^i)$ . By Remark 1.5.3 (1), we know that the other vertex is also in  $Y^n(\mathfrak{T}^i)$ . Moreover, we have  $\mathcal{E}^{-2} *_{\mathbb{C}}^{\operatorname{cart}} \mathfrak{T}_{\alpha} \subseteq \mathfrak{T}_{1\alpha}^i$  for  $\alpha \in \{2\} \coprod K$ . It follows that e is in  $Y^n(\mathfrak{T}^i)$ . Thus, for any connected Kan complex S contained in (1.24), either  $Y^n(\mathfrak{T}^i) \cap S = \emptyset$  or  $S \subseteq Y^n(\mathfrak{T}^i)$ . The same holds for  $Z^n(\mathfrak{T}^{i-1})$ . As  $\operatorname{Kart}(\tau)$  is either empty or a contractible Kan complex, its images in  $\infty$ -categories are contained in connected Kan complexes. Therefore, it suffices to find one vertex F of  $\operatorname{Kart}(\tau)$  satisfying  $g^n(F) \in Y^n(\mathfrak{T}^i)$  and  $h^n(F) \in Z^n(\mathfrak{T}^{i-1})$ .

For clarity, let  $G: \Delta^{[n,n,n_k]_{k\in K}} \to \mathbb{C}$  be the functor corresponding to  $\tau$  (we have till now denoted G by  $\tau$ ). Note that G underlies a map of  $(\{1,2\} \coprod K)$ -tiled simplicial sets  $\delta^*_{I\square} \Delta^{n,n,n_k|k\in K}_L \to (\mathbb{C},\mathbb{T}^i)$ . We let  $\bar{\mathfrak{G}}_{\alpha}$  denote the set of edges of  $\epsilon^I_{\alpha} \Delta^{n,n,n_k|k\in K}_L$ . Then there are isomorphisms

$$\begin{split} \delta_{I\square}^* \Delta_L^{n,n,n_k|k \in K} &\simeq \mathsf{W} \delta_{I+}^* \Delta_L^{n,n,n_k|k \in K}, \\ \delta_{I+}^* \Delta_L^{n,n,n_k|k \in K} &\simeq (\Delta_L^{[n,n,n_k]_{k \in K}}, \{\bar{\mathfrak{g}}_{\alpha}\}_{\alpha \in I}). \end{split}$$

We define an *I*-marked simplicial set  $(\operatorname{Cart}^n \times \Delta_L^{[n_k]_{k \in K}}, \{\mathfrak{G}_\alpha\}_{\alpha \in I})$  by  $\mathfrak{G}_\alpha = (\varsigma^n \times \operatorname{id})^{-1} \overline{\mathfrak{G}}_\alpha$ . The goal is to show that *G* admits a right Kan extension  $F \colon \operatorname{Cart}^n \times \Delta_L^{[n_k]_{k \in K}} \to \mathfrak{C}$  along  $\varsigma^n \times \operatorname{id}$  such that *F* sends squares in  $\mathfrak{G}_\alpha \ast \mathfrak{G}_\beta$  to squares in  $\mathfrak{T}^i_{\alpha\beta}$  for  $\alpha, \beta \in I, \alpha \neq \beta$ , and, for  $i \ge -1$ , *F* sends squares in  $\mathfrak{G}_1 \ast^{\operatorname{cart}} \mathfrak{G}_2$  to squares in  $\mathfrak{T}^{i-1}_{12}$ .

Let us first show that there exists a right Kan extension F of G along  $\varsigma^n \times \operatorname{id}$  such that for each vertex (x, u) of  $\operatorname{Cart}^n \times \Delta_L^{[n_k]_{k \in K}}$ , the morphism  $G(\pi^n(x), u) = F(\varsigma^n(\pi^n(x)), u) \to F(x, u)$ is in  $\mathcal{E}^i$ . We construct the restriction of F to  $\operatorname{Cart}^n_{\varsigma^n(p,0)/} \times \Delta_L^{[n_k]_{k \in K}}$  by descending induction on p. In the case p = n,  $\operatorname{Cart}^n_{\varsigma^n(n,0)/}$  is contained in the image of  $\varsigma^n$  and there is nothing to prove. For  $0 \leq p \leq n-1$ , and  $x \in \operatorname{Cart}^n$  satisfying  $\pi^n(x) = (p,q)$ , consider the commutative diagram

where  $q' = \min\{q_0 \mid (p,q_0) \in x\}$ . The right square is exact. The vertical (resp. horizontal) arrows are in  $\mathcal{F}_1$  (resp.  $\mathcal{F}_2$ ). The horizontal arrows in the left square are in  $\mathcal{F}_1 \cap \mathcal{F}_2$ . By induction hypothesis, the morphism  $G(p+1,q,u) \to F(x \vee \varsigma^n(p+1,q),u)$  is in  $\mathcal{E}^i$ , so that  $G(p,q,u) \to F(x \vee \varsigma^n(p+1,q),u)$  is in  $\mathcal{T}_1$ , since  $\mathcal{T}_1$  is stable under composition. Thus, by the assumption  $\mathcal{T}_1 *_{\mathbb{C}} \mathcal{T}_2 = \mathcal{T}_1 *_{\mathbb{C}}^{\mathcal{C}_1} \mathcal{T}_2$ , the pullback  $F(x \vee \varsigma^n(p+1,q),u) \times_{G(p+1,q',u)} G(p,q',u) \to F(x,u)$ in  $\mathcal{C}$ , which provides F(x,u) by the proof of Lemma 1.5.18. The morphism  $G(p,q,u) \to F(x,u)$ is the composition

$$G(p,q,u) \to G(p+1,q,u) \times_{G(p+1,q',u)} G(p,q',u) \to F(x,u),$$

where the first arrow is in  $\mathcal{E}^i$  by the assumption that G carries  $\overline{\mathfrak{G}}_1 * \overline{\mathfrak{G}}_2$  into  $\mathfrak{T}_{12}^i = \mathfrak{T}_1 *_{\mathfrak{C}}^{\mathcal{E}^i} \mathfrak{T}_2$ , and the second arrow is in  $\mathcal{E}^i$  by the assumption that  $\mathcal{E}^i$  is stable under pullback by  $\mathfrak{T}_1$ .

We claim that F sends  $\mathfrak{G}_1$  to  $\mathfrak{T}_1$ ,  $\mathfrak{G}_2$  to  $\mathfrak{T}_2$ , and  $\mathfrak{G}_1 \cap \mathfrak{G}_2$  to  $\mathcal{E}^i$ . Let  $e: (x, u) \to (y, u)$  be an edge in  $\mathfrak{G}_1 \cup \mathfrak{G}_2$ , where  $x \to y$  in  $\mathfrak{F}_1 \cup \mathfrak{F}_2$  and u is a vertex of  $\Delta^{[n_k]_{k \in K}}$ . We show by induction on #x that  $F(e) \in \mathfrak{T}_1$  for  $e \in \mathfrak{G}_1$ ,  $F(e) \in \mathfrak{T}_2$  for  $e \in \mathfrak{G}_2$ , and  $F(e) \in \mathcal{E}^i$  for  $e \in \mathfrak{G}_1 \cap \mathfrak{G}_2$ . By Lemma 1.5.19, any morphism  $x \to y$  in  $\operatorname{Cart}^n$  is a composition of a finite sequences of morphisms of the following classes:

- (1) An exact pullback of  $\omega^n(p,n): \varsigma^n(p,n) \to \varsigma^n(p+1,n)$  by  $c \in \mathcal{F}_2$ ;
- (2) An exact pullback of  $\omega^n(n,q): \varsigma^n(n,q) \to \varsigma^n(n,q+1)$  by  $c \in \mathfrak{F}_1$ ;
- (3) An exact pullback of  $\omega^n(p,q)\colon\varsigma^n(p,q)\to\varsigma^n(p,q)-\{(p,q)\}$  by c,

where we have  $(p,q) \in [n-1] \times [n-1]$ , and  $c: x' \to y'$  satisfies #x' < #x. If  $e \in \mathcal{G}_1$  (resp.  $e \in \mathcal{G}_2$ ), then class (2) (resp. (1)) does not appear. Since  $\mathcal{T}_1$ ,  $\mathcal{T}_2$  and  $\mathcal{E}^i$  are stable under composition, we may assume that  $x \to y$  is in one of the three classes. In class (1),  $\omega^n(p,n)$  is in  $\varsigma^n(\bar{\mathcal{F}}_1)$ , and we conclude by Lemma 1.5.18 and the assumption that  $\mathcal{T}_1$  is stable under pullback by  $\mathcal{T}_2$ . In class (2),  $\omega^n(n,q)$  is in  $\varsigma^n(\bar{\mathcal{F}}_2)$ , and we conclude by Lemma 1.5.18 and the assumption that  $\mathcal{T}_2$  is stable under pullback by  $\mathcal{T}_1$ . In class (3), c is a composition of an edge in  $\mathcal{F}_1$  and an edge in  $\mathcal{F}_2$ both satisfying the induction hypothesis, and we have a diagram



with exact square in Cartⁿ. By Lemma 1.5.18, the morphism  $F(\omega^n(p,q) \times id_u)$  can be identified with the induced morphism

$$G(p,q,u) \to G(p+1,q,u) \times_{G(p+1,q+1,u)} G(p,q+1,u)$$

which belongs to  $\mathcal{E}^i$  since G carries  $\overline{\mathfrak{G}}_1 * \overline{\mathfrak{G}}_2$  into  $\mathfrak{T}_{12}^i = \mathfrak{T}_1 *_{\mathfrak{C}}^{\mathcal{E}^i} \mathfrak{T}_2$ . We conclude by the assumption that  $\mathcal{E}^i$  is stable under pullback by  $\mathfrak{T}_1 \cup \mathfrak{T}_2$ . This finishes the proof of the claim.

Similarly, applying Condition (3), we see that F carries  $\mathcal{G}_1 * \mathcal{G}_k$  into  $\mathcal{T}_{1k}$  and  $\mathcal{G}_2 * \mathcal{G}_k$  into  $\mathcal{T}_{2k}$  for all  $k \in K$ .

Next we show that F carries squares in  $\mathcal{G}_k * \mathcal{G}_l$  into  $\mathcal{T}_{kl}$  for all  $k, l \in K, k \neq l$ . Consider such a square and let  $x \in \operatorname{Cart}^n$  be its projection. For x in the image of  $\varsigma^n$ , this follows from the assumption that G carries  $\overline{\mathcal{G}}_k * \overline{\mathcal{G}}_l$  to  $\mathcal{T}_{kl}$ . For the general case, we proceed by descending induction on  $\pi_1(x)$ . If  $\pi(x) = (n,q)$ , then  $x = \varsigma^n(n,q)$  is in the image of  $\varsigma^n$ . For  $\pi(x) = (p,q)$ with p < n, we consider the right square of (1.25). We conclude by Condition (4) and the induction hypothesis applied to  $x \vee \varsigma^n(p+1,q)$ .

Finally we show that F carries  $\mathcal{G}_1 * \mathcal{G}_2$  into  $\mathcal{T}_{12}^i$  and carries  $\mathcal{G}_1 *^{\operatorname{cart}} \mathcal{G}_2$  into  $\mathcal{T}_{12}^{i-1}$ . Every square in  $\mathcal{F}_1 *_{\operatorname{Cart}^n} \mathcal{F}_2$  of the form (1.19) has a canonical decomposition



where the vertical (resp. horizontal) arrows are in  $\mathcal{F}_1$  (resp.  $\mathcal{F}_2$ ), and oblique arrows are in  $\mathcal{F}_1 \cap \mathcal{F}_2$ . Note that  $\mathcal{F}_{12}^{cart}$  is the set of squares such that  $w = y \wedge z$ . Multiplying by  $\mathrm{id}_u$  and applying F, we obtain a similar diagram where the inner square is a pullback by Lemma 1.5.18 and the oblique arrows are in  $\mathcal{E}^i$  by the previous claim. Since we have already proved that F carries  $\mathcal{G}_1 * \mathcal{G}_2$  into  $\mathcal{T}_1 *_{\mathbb{C}} \mathcal{T}_2$ , all we need to show is that the induced morphism  $F(y \wedge z, u) \to F(y, u) \times_{F(x, u)} F(z, u)$  belongs to  $\mathcal{E}^{i-1}$ . However, by Lemma 1.5.6, this morphism can be identified with the left vertical arrow of the pullback square

$$\begin{array}{c} F(y,u) \times_{F(x',u)} F(z,u) & \longrightarrow F(x',u) \\ & \downarrow \\ F(y,u) \times_{F(x,u)} F(z,u) & \longrightarrow F(x',u) \times_{F(x,u)} F(x',u), \end{array}$$

where for brevity we have written x' for  $y \vee z$ , and the right vertical arrow is the diagonal of  $F(x', u) \to F(x, u)$  and hence belongs to  $\mathcal{E}^{i-1}$ . The lower horizontal arrow is a composition of a pullback of a morphism in  $\mathcal{T}_1$  by a morphism in  $\mathcal{T}_2$  and a pullback of a morphism in  $\mathcal{T}_2$  by a morphisms in  $\mathcal{T}_1$ . Since  $\mathcal{E}^{i-1}$  is stable under pullback by  $\mathcal{T}_1 \cup \mathcal{T}_2$ , the left vertical arrow belongs to  $\mathcal{E}^{i-1}$  as well.

The functor  $g^n$  in Construction 1.5.26 is induced by the map

(1.26) 
$$\delta_2^* \delta_*^{2+}(\operatorname{Cart}^n, \mathfrak{F}) \to \operatorname{Cart}^n,$$

which carries a square in  $\mathcal{F}_1 *_{\operatorname{Cart}^n} \mathcal{F}_2$  to its diagonal. We now construct a family of sections of this map.

**Construction 1.5.28.** Let  $n \ge 0$  be an integer.

(1) For  $x \leq y$  in Cartⁿ and (p,q) in  $[n] \times [n]$ , we define two elements of Cartⁿ_{x//y}:

$$\lambda_p^n(x,y) = (\varsigma^n(\pi_1^n(y) \lor p, 0) \lor x) \land y, \qquad \mu_q^n(x,y) = (\varsigma^n(0,\pi_2^n(y) \lor q) \lor x) \land y.$$

These formulas are increasing in p, q, x and y. Moreover, we have the properties

(1.27) 
$$\lambda_p^n(x,x) = \mu_q^n(x,x) = x,$$

and

(1.28) 
$$\pi^n(\lambda_p^n(x,y)) = (\pi_1^n(y), \pi_2^n(x)), \quad \pi^n(\mu_q^n(x,y)) = (\pi_1^n(x), \pi_2^n(y)).$$

(2) We construct a map

$$\alpha^n \colon A^n \coloneqq (\Delta^n \times \Delta^n)^{\sharp} \times (\operatorname{Cart}^n)^{\flat} \to (\delta_2^* \delta_*^{2+} (\operatorname{Cart}^n, \mathfrak{F}))^{\flat}$$

as follows. For an *m*-simplex  $\tau = (\tau_1, \tau_2, \tau_3): \Delta^m \to \Delta^n \times \Delta^n \times \operatorname{Cart}^n$ , we define  $\alpha^n(\tau)$  to be the map  $\Delta^m \times \Delta^m \to \operatorname{Cart}^n$  carrying (a, b) to  $\lambda^n_{\tau_1(b)}(\tau_3(b), \tau_3(a))$  for  $a \ge b$ , and to  $\mu^n_{\tau_2(a)}(\tau_3(a), \tau_3(b))$  for  $a \le b$ . By (1.27), the two definitions coincide for a = b. By (1.28),  $\alpha^n(\tau)$  is an *m*-simplex of  $\delta^*_2 \delta^{2+}_*(\operatorname{Cart}^n, \mathcal{F})$ . In particular,  $\alpha^n$  carries an edge  $(p, q, x) \to (p', q', y)$  of  $\Delta^n \times \Delta^n \times \operatorname{Cart}^n$  to the square

(1.29) 
$$\begin{array}{c} x \longrightarrow \mu_q^n(x,y) \\ \downarrow \\ \lambda_q^n(x,y) \longrightarrow y \end{array}$$

in  $\mathfrak{F}_1 *_{\operatorname{Cart}^n} \mathfrak{F}_2$ . By (1.27),  $\alpha^n$  carries marked edges of  $A^n$  to degenerate edges. The composition

 $A^n \xrightarrow{\alpha^n} (\delta_2^* \delta_*^{2+}(\operatorname{Cart}^n, \mathfrak{F}))^{\flat} \to (\operatorname{Cart}^n)^{\flat},$ 

where the second map is (1.26), is the projection.

*Remark* 1.5.29. For an order-preserving map  $d: [m] \to [n]$ , we have identities

$$\begin{split} &\operatorname{Cart}(d)(\lambda_p^m(x,y)) = \lambda_{d(p)}^n(\operatorname{Cart}(d)(x),\operatorname{Cart}(d)(y)),\\ &\operatorname{Cart}(d)(\mu_q^m(x,y)) = \mu_{d(q)}^n(\operatorname{Cart}(d)(x),\operatorname{Cart}(d)(y)). \end{split}$$

Thus the maps  $\alpha^n$  for different *n* are compatible with Cart(d) in the obvious sense.

Next we define a restriction of  $\alpha^n$ , taking values in  $\delta_2^* \delta_*^{2\square}(\operatorname{Cart}^n, \mathcal{F}^{\operatorname{cart}})$ .

**Construction 1.5.30.** Let  $n \ge 0$  be an integer.

(1) We define order-preserving maps

$$\xi^n, \eta^n \colon [n] \times [n] \to \operatorname{Cart}^n$$

by

$$\xi^n(p,q) = \varsigma^n(p,0) \land \varsigma^n(0,q), \quad \eta^n(p,q) = \varsigma^n(p,n) \land \varsigma^n(n,q).$$

We have  $\xi^n(p,q) \leq \varsigma^n(p,q) \leq \eta^n(p,q)$ . We define a sublattice of Cartⁿ by

$$\operatorname{Cart}_{p,q}^n \coloneqq \operatorname{Cart}_{\xi^n(p,q)//\eta^n(p,q)}^n$$

and we put  $\boxplus_{p,q}^n\coloneqq \mathcal{N}(\mathrm{Cart}_{p,q}^n).$  We put

$$\boxplus^n \coloneqq \bigcup_{0 \leqslant p, q \leqslant n} \boxplus_{p,q}^n \subseteq \operatorname{Cart}^n.$$

Note that  $\eta^n$  induces an isomorphism of lattices  $[n] \times [n] \simeq \operatorname{Cart}_{n,n}^n = \operatorname{Cart}_{\xi^n(n,n)/}^n$ via which  $\pi^n \colon \operatorname{Cart}^n \to [n] \times [n]$  can be identified with the morphism of lattices  $-\vee \xi^n(n,n) \colon \operatorname{Cart}^n \to \operatorname{Cart}_{n,n}^n$ . (2) We define a marked simplicial subset  $B^n$  of  $A^n$  by

$$B^n = \bigcup_{x \leqslant y} \mathrm{N}(I_{x,y})^{\sharp} \times (\operatorname{Cart}^n_{x//y})^{\flat} \subseteq (\Delta^n \times \Delta^n)^{\sharp} \times (\boxplus^n)^{\flat} \subseteq A^n.$$

Here x and y run over elements of  $\operatorname{Cart}^n$  and  $I_{x,y} \subseteq [n] \times [n]$  denote the full subcategory spanned by pairs (p,q) satisfying

(1.30) 
$$\xi^n(p,q) \leqslant x \leqslant y \leqslant \eta^n(p,q),$$

or, equivalently, satisfying  $\operatorname{Cart}_{x//y}^n \subseteq \bigoplus_{p,q}^n$ . We note that  $\eta^n$  is a right adjoint of  $\pi^n$ :  $y \leq \eta^n(p,q)$  if and only if  $\pi^n(y) \leq (p,q)$ .

We refer the reader to (1.23) for graphic depictions of  $\operatorname{Cart}_{p,q}^n$  for some small values of n, p, q.

Remark 1.5.31. Let  $d \colon [m] \to [n]$  be an order-preserving map. For  $0 \leq p, q \leq m$ , we have

$$\operatorname{Cart}(d)(\xi^m(p,q)) = \varsigma^n(d(p), d(0)) \land \varsigma^n(d(0), d(q)) \ge \xi^n(d(p), d(q)),$$
  
$$\operatorname{Cart}(d)(\eta^m(p,q)) = \varsigma^n(d(p), d(m)) \land \varsigma^n(d(m), d(q)) \le \eta^n(d(p), d(q)).$$

Thus  $\operatorname{Cart}(d)$  induces morphisms of lattices  $\operatorname{Cart}_{p,q}^m \to \operatorname{Cart}_{d(p),d(q)}^n$  and hence maps  $\boxplus_{p,q}^m \to \boxplus_{d(p),d(q)}^n$  and  $B^m \to B^n$ .

**Lemma 1.5.32.** The map  $\alpha^n$  induces a map

$$\beta^n \colon B^n \to (\delta_2^* \delta_*^{2\square}(\operatorname{Cart}^n, \mathcal{F}^{\operatorname{cart}}))^{\flat}.$$

Proof. It suffices to show that for every *m*-simplex  $\tau$  of the underlying simplicial set of  $B^n$ , the diagram  $\alpha^n(\tau): \Delta^m \times \Delta^m \to \operatorname{Cart}^n$  carries the square spanned by the vertices (a, b), (a + 1, b), (a, b + 1), (a + 1, b + 1) to a pullback. For a = b, the assertion amounts to saying that for every edge  $(p, q, x) \leq (p', q', y)$  of  $B^n$ , the square (1.29) is a pullback. We have

$$\lambda_p^n(x,y) \wedge \mu_q^n(x,y) = (\xi^n(\pi^n(y) \vee (p,q)) \vee x) \wedge y,$$

which equals x by the assumption  $\xi^n(p,q) \leq x$ . For a > b, the assertion amounts to saying that for every 3-simplex  $(p,q,x) \leq (p',q',y) \leq (p'',q'',z) \leq (p''',q''',w)$  of  $B^n$ , the square

$$\begin{array}{c} \lambda_p^n(x,z) \longrightarrow \lambda_{p'}^n(y,z) \\ & \downarrow \\ \lambda_p^n(x,w) \longrightarrow \lambda_{p'}^n(y,w) \end{array}$$

is a pullback. This is clear since  $\lambda_p^n(x,z) = (\varsigma^n(p,0) \lor x) \land z$  by the assumption  $\pi_1(z) \le p$  and similarly for the other vertices of the squares. The case a < b is similar, with  $\lambda_p^n$  replaced by  $\mu_q^n$ .

Remark 1.5.33. The proof shows in fact that  $\alpha^n$  carries the the simplicial subset  $S \subseteq \Delta^n \times \Delta^n \times \text{Cart}^n$  spanned by those edges  $(p, q, x) \to (p', q', y)$  satisfying (1.30) (with no restrictions on (p', q')) into  $\delta_2^* \delta_*^{2\square}(\text{Cart}^n, \mathcal{F}^{\text{cart}})$ . Note that S is bigger than the underlying simplicial set of  $B^n$  for  $n \ge 1$ .

**Lemma 1.5.34.** The inclusion  $B^n \subseteq A^n$  is a trivial cofibration in the category  $\operatorname{Set}_{\Delta}^+$  for the Cartesian model structure.

*Proof.* Choose an exhaustion of  $\mathbb{H}^n$  by a sequence of simplicial subsets

$$\emptyset = K^0 \subseteq K^1 \subseteq \dots \subseteq K^N = \boxplus^n$$

such that each  $K^i$ ,  $1 \leq i \leq N$  is obtained from  $K^{i-1}$  by adjoining a single nondegenerate simplex  $\sigma^i \colon \Delta^{l_i} \to K^i$ . This induces inclusions

$$B^n = L^0 \subseteq L^1 \subseteq \dots \subseteq L^N = (\Delta^n \times \Delta^n)^{\sharp} \times (\boxplus^n)^{\flat}$$

where  $L^i = B^n \cup ((\Delta^n \times \Delta^n)^{\sharp} \times (K^i)^{\flat})$ . By Lemma 1.6.8,  $(\boxplus^n)^{\flat} \subseteq (\operatorname{Cart}^n)^{\flat}$  is a trivial cofibration in  $\operatorname{Set}_{\Delta}^+$ , so that  $(\Delta^n \times \Delta^n)^{\sharp} \times (\boxplus^n)^{\flat} \subseteq A^n$  is a trivial cofibration in  $\operatorname{Set}_{\Delta}^+$  by [52, Corollary 3.1.4.3]. Therefore, it suffices to show that the inclusion  $L^{i-1} \subseteq L^i$  is a trivial cofibration in  $\operatorname{Set}_{\Delta}^+$  for all  $1 \leq i \leq N$ . However, this inclusion is a pushout of the map

$$(\Delta^n \times \Delta^n)^{\sharp} \times (\partial \Delta^{l_i})^{\flat} \prod_{\mathcal{N}(I_{x,y})^{\sharp} \times (\partial \Delta^{l_i})^{\flat}} \mathcal{N}(I_{x,y})^{\sharp} \times (\Delta^{l_i})^{\flat} \to (\Delta^n \times \Delta^n)^{\sharp} \times (\Delta^{l_i})^{\flat},$$

where  $x = \sigma^i(0)$ ,  $y = \sigma^i(l_i)$ . By the assumption that  $\sigma^i$  is a simplex of  $\mathbb{H}^n$ , the partially ordered set  $I_{x,y}$  is nonempty, and admits an initial object  $\pi^n(y)$ . Thus the inclusion  $N(I_{x,y}) \subseteq \Delta^n \times \Delta^n$  is anodyne. It follows that the inclusion  $N(I_{x,y})^{\sharp} \subseteq (\Delta^n \times \Delta^n)^{\sharp}$  is a trivial cofibration in Set⁺_{\Delta} (by Remark 1.3.11), and so is its smash product with  $(\partial \Delta^{l_i})^{\flat} \subseteq (\Delta^{l_i})^{\flat}$  by [52, Corollary 3.1.4.3].  $\Box$ 

Proof of Theorem 1.5.1. We adopt the notation of Lemma 1.5.27. By the first part of Condition (2), we have  $\mathcal{E} = \bigcup_{i \geq -2} \mathcal{E}^i$ ,  $\mathfrak{T}' = \bigcup_{i \geq -2} \mathfrak{T}^i$ , and

$$W_{\infty} \coloneqq \delta^*_{\{1,2\}\amalg K,L} \delta^{(\{1,2\}\amalg K)\square}_* (\mathcal{C}, \mathfrak{I}') = \bigcup_{i \geqslant -2} W_i,$$

where  $W_i = \delta^*_{\{1,2\} \amalg K, L} \delta^{(\{1,2\} \amalg K) \square}_* (\mathcal{C}, \mathcal{T}^i)$ . Since  $\mathcal{E}^{-2}$  is the set of equivalences of  $\mathcal{C}$ , we have  $\mathcal{T}^{-2} = \mathcal{T}$ . Thus, the map  $\iota$  in question is the transfinite composition of inclusions

$$W_{-2} \to W_{-1} \to \cdots \to W_i \to \cdots \to W_{\infty}.$$

Since the Joyal model structure on Set_{$\Delta$} is combinatorial, the trivial cofibrations form a weakly saturated class [52, Definition A.1.2.2]. Thus it suffices to show that each inclusion  $W_{-2} \rightarrow W_i$  is a categorical equivalence for every integer  $i \ge -1$ . By Lemma 1.1.9 and induction, it suffices to show that for every  $i \ge -1$  and every commutative diagram

where f and f' are inclusions and p is induced by the inclusion  $\partial \Delta^l \subseteq \Delta^l$ , there exists a map  $u: W_i \to \operatorname{Fun}(\Delta^l, \mathcal{D})$  satisfying  $p \circ u = w$  such that  $u \circ f \circ f'$  and  $v \circ f'$  are homotopic over  $\operatorname{Fun}(\partial \Delta^l, \mathcal{D})$ . The proof is mostly parallel to the proof of Theorem 1.4.14.

Let  $\sigma$  be an *n*-simplex of  $W_i$ , corresponding to a map

$$\tau: \Delta_L^{n,n,n_k|k\in K} \to \delta_*^{(\{1,2\}\amalg K)\Box}(\mathcal{C},\mathfrak{T}^i),$$

where  $n_k = n$ . We consider the maps

$$w_*g(\tau)\colon \operatorname{Kart}(\tau) \to \operatorname{Fun}(\delta_2^* \delta_*^{2+}(\operatorname{Cart}^n, \mathcal{F}) \times \Delta^{[n_k]_{k \in K}}, \operatorname{Fun}(\partial \Delta^l, \mathcal{D})),$$
$$v_*h(\tau)\colon \operatorname{Kart}(\tau) \to \operatorname{Fun}(\delta_2^* \delta_*^{2\square}(\operatorname{Cart}^n, \mathcal{F}^{\operatorname{cart}}) \times \Delta^{[n_k]_{k \in K}}, \operatorname{Fun}(\Delta^l, \mathcal{D})),$$

compositions of the maps  $g(\tau)$  and  $h(\tau)$  defined after the statement of Lemma 1.5.27 and the maps induced by w and v, respectively. Since  $\operatorname{Kart}(\tau)$  is a contractible Kan complex, the maps

 $w_*g(\tau)$  and  $v_*h(\tau)$  factorize through

$$\begin{split} w_*g(\tau)\colon \operatorname{Kart}(\tau) &\to \operatorname{Map}^{\sharp}((\partial \Delta^l)^{\flat} \times (\delta_2^* \delta_*^{2+}(\operatorname{Cart}^n, \mathcal{F}))^{\flat} \times (\Delta^{\lfloor n_k \rfloor_{k \in K}})^{\flat}, \mathcal{D}^{\natural}), \\ v_*h(\tau)\colon \operatorname{Kart}(\tau) \to \operatorname{Map}^{\sharp}((\Delta^l)^{\flat} \times (\delta_2^* \delta_*^{2\square}(\operatorname{Cart}^n, \mathcal{F}^{\operatorname{cart}}))^{\flat} \times (\Delta^{\lfloor n_k \rfloor_{k \in K}})^{\flat}, \mathcal{D}^{\natural}), \end{split}$$

respectively. Composing with  $\beta^n$  and  $\alpha^n$ , respectively, we obtain maps

$$\psi(\tau) \colon \operatorname{Kart}(\tau) \to \operatorname{Map}^{\sharp}((\partial \Delta^{l})^{\flat} \times A^{n} \times (\Delta^{[n_{k}]_{k \in K}})^{\flat}, \mathcal{D}^{\natural})$$
$$\phi(\tau) \colon \operatorname{Kart}(\tau) \to \operatorname{Map}^{\sharp}((\Delta^{l})^{\flat} \times B^{n} \times (\Delta^{[n_{k}]_{k \in K}})^{\flat}, \mathcal{D}^{\natural}).$$

Consider the commutative diagram

In the above diagram,

• res₁ is induce by

$$j \colon H = (\Delta^l)^{\flat} \times B^n \coprod_{(\partial \Delta^l)^{\flat} \times B^n} (\partial \Delta^l)^{\flat} \times A^n \hookrightarrow (\Delta^l)^{\flat} \times A^n;$$

- h is the amalgamation of  $\phi(\tau)$  and  $\psi(\tau)$ ;
- $\mathcal{N}(\sigma)$  is defined so that the upper square is a pullback square;
- the two maps res₂ are both induced by the composite embedding

$$\Delta^{n} \xrightarrow{\text{diag}} \Delta^{n} \times \Delta^{n} \times \Delta^{n} \times \Delta^{n} \times \Delta^{n} \times \Delta^{[n_{k}]_{k \in K}}$$
$$\xrightarrow{\text{id}_{\Delta^{n} \times \Delta^{n} \times \varsigma^{n} \times \text{id}_{\Delta^{[n_{k}]_{k \in K}}}} \Delta^{n} \times \Delta^{n} \times Cart^{n} \times \Delta^{[n_{k}]_{k \in K}};$$

• the unmarked arrows in the lower square are obvious restrictions.

By Lemma 1.5.34 and [52, Corollary 3.1.4.3], the map  $j \times \mathrm{id}_{(\Delta^{[n_k]_{k \in K})^{\flat}}}$  is a trivial cofibration in  $\mathrm{Set}_{\Delta}^+$  and consequently res₁ is a trivial Kan fibration. Thus  $\mathcal{N}(\sigma)$  is a contractible Kan complex.

We let  $\Phi(\sigma) \colon \mathbb{N}(\sigma) \to \operatorname{Map}^{\sharp}((\Delta^n)^{\flat}, \operatorname{Fun}(\Delta^l, \mathcal{D})^{\natural})$  denote the composition of the vertical arrows in the first column of (1.31). This construction is functorial in  $\sigma$ , giving rise to a morphism  $\Phi \colon \mathbb{N} \to \operatorname{Map}[W_i, \operatorname{Fun}(\Delta^l, \mathcal{D})]$  in  $(\operatorname{Set}_{\Delta})^{(\mathbf{\Delta}_{/W_i})^{op}}$ . The composition of the vertical arrows in the second column of (1.31) is constant of value  $w(\sigma)$ . Thus  $\operatorname{Map}[W_i, p] \circ \Phi$  factors through the morphism  $\Delta^0_{(\mathbf{\Delta}_{/W_i})^{op}}$  corresponding to w via Remark 1.2.7.

Let  $\sigma'$  be an *n*-simplex of  $W_{-2}$  corresponding to a map

$$\tau' \colon \Delta_L^{n,n,n_k|k \in K} \to \delta_*^{(\{1,2\} \amalg K) \square}(\mathfrak{C}, \mathfrak{T}^{-2}).$$

The composition

$$\operatorname{Cart}^n \times \Delta_L^{[n_k]_{k \in K}} \xrightarrow{\pi^n \times \operatorname{id}} \Delta^n \times \Delta^n \times \Delta_L^{[n_k]_{k \in K}} \xrightarrow{\tau'} \mathcal{C}$$
is a vertex of  $\operatorname{Kart}(\tau')$  by Lemma 1.5.24 and the equality  $\operatorname{T}_{12}^{-2} = \operatorname{T}_1 *_{\mathbb{C}}^{\operatorname{cart}} \operatorname{T}_2$ . This vertex, together with the composition

$$\begin{split} \Delta^n \times \Delta^n \times \operatorname{Cart}^n \times \Delta^{[n_k]_{k \in K}} &\to \operatorname{Cart}^n \times \Delta^{[n_k]_{k \in K}} \\ & \xrightarrow{\pi^n \times \operatorname{id}} \Delta^n \times \Delta^n \times \Delta^{[n_k]_{k \in K}} \to \operatorname{Fun}(\Delta^l, \mathcal{D}), \end{split}$$

where the first map is the projection and the last map corresponds to the composition

$$\Delta^{n,n,n_k|k\in K} \xrightarrow{\tau'} \operatorname{op}_L^{\{1,2\}\amalg K} \delta_*^{\{\{1,2\}\amalg K)\square}(\mathcal{C}, \mathcal{T}^{-2}) \xrightarrow{\operatorname{vof}'} \delta_*^{\{1,2\}\amalg K} \operatorname{Fun}(\Delta^l, \mathcal{D}),$$

provides a vertex of  $\mathbb{N}(f(f'(\sigma')))$ , whose image under  $\Phi(f(f'(\sigma')))$  is  $v(f'(\sigma'))$ . This construction is functorial in  $\sigma'$ , giving rise to  $\nu \in \Gamma((f \circ f')^* \mathbb{N})_0$  satisfying  $(f \circ f')^* \Phi \circ \nu = v \circ f'$ . Applying Proposition 1.2.15 to  $\Phi$ , the map  $f \circ f'$ , and the global section  $\nu$ , we obtain a map  $u: W_i \to$  $\operatorname{Fun}(\Delta^l, \mathcal{D})$  satisfying  $p \circ u = w$  such that  $u \circ f \circ f'$  and  $v \circ f'$  are homotopic over  $\operatorname{Fun}(\partial \Delta^l, \mathcal{D})$ , as desired.  $\Box$ 

Remark 1.5.35. As a special case of Theorem 1.5.1, the inclusion

 $\delta_2^* \delta_*^{2\square}(\operatorname{Cart}^n, \mathcal{F}^{\operatorname{cart}}) \subseteq \delta_2^* \delta_*^{2+}(\operatorname{Cart}^n, \mathcal{F})$ 

is a categorical equivalence. If we have a direct proof of this special case, Construction 1.5.28 through Lemma 1.5.34 are not necessary and the proof of Theorem 1.5.1 can be achieved with  $\psi(\tau)$  and  $\phi(\tau)$  replaced by  $g(\tau)$  and  $h(\tau)$ , respectively.

1.6. Some trivial cofibrations. In this section, we prove that certain inclusions of simplicial sets defined in combinatorial manners are inner anodyne or categorical equivalences. In particular, they are trivial cofibrations in  $\text{Set}_{\Delta}$  for the Joyal model structure [52, Theorem 2.2.5.1]. Only Lemma 1.6.7 and Lemma 1.6.8 are used in previous sections, namely, in 1.4 and 1.5, respectively.

We let  $\star$  denote *joins* of categories and simplicial sets [52, §1.2.8].

**Lemma 1.6.1.** Let  $A_0 \subseteq A$ ,  $B_0 \subseteq B$ ,  $C_0 \subseteq C$  be inclusions of simplicial sets. If  $A_0 \subseteq A$  is right anodyne and  $C_0 \subseteq C$  is left anodyne [52, Definition 2.0.0.3], then the induced inclusion

$$A \star B_0 \star C \coprod_{A_0 \star B_0 \star C_0} A_0 \star B \star C_0 \subseteq A \star B \star C$$

is inner anodyne.

*Proof.* Consider the commutative diagram of inclusions with pushout squares

$$\begin{array}{c} A_0 \star B_0 \star C_0 & \longrightarrow & A_0 \star B \star C_0 \\ & \downarrow & & \downarrow \\ A \star B_0 \star C_0 & \longrightarrow & A \star B_0 \star C_0 \coprod_{A_0 \star B_0 \star C_0} A_0 \star B \star C_0 & \stackrel{f}{\longrightarrow} & A \star B \star C_0 \\ & \downarrow & & \downarrow \\ A \star B_0 \star C & \longrightarrow & A \star B_0 \star C \coprod_{A_0 \star B_0 \star C_0} A_0 \star B \star C_0 & \stackrel{f'}{\longrightarrow} & A \star B_0 \star C \coprod_{A \star B_0 \star C_0} A \star B \star C_0 & \stackrel{g}{\longrightarrow} & A \star B \star C. \end{array}$$

By [52, Lemma 2.1.2.3], f is inner anodyne since  $A_0 \subseteq A$  is right anodyne; g is inner anodyne since  $C_0 \subseteq C$  is left anodyne. It follows that  $g \circ f'$  is inner anodyne.

**Lemma 1.6.2.** Let S be a partially ordered set and let  $Q = [2] \subseteq S$ ,  $R = S - \{1\} \subseteq S$  be full inclusions. Assume that 0 is a final object of  $R_{/1}$  and 2 is an initial object of  $R_{1/}$ . Then the inclusion  $N(Q) \cup N(R) \subseteq N(S)$  is inner anodyne.

*Proof.* Consider the commutative diagram of inclusions



in which the square on the left are clearly pushouts. Note that for any simplex  $\sigma$  of N(S), if  $\sigma$  is not a simplex of N(R), then 1 is a vertex of  $\sigma$ , so that  $\sigma$  is a simplex of  $N(R_{/1} \star \{1\} \star R_{1/})$ . Thus the lower outer square is a pushout. It follows that g is a pushout of f. By assumption and [52, Lemma 4.2.3.6],  $N(\{0\}) \subseteq N(R_{/1})$  is right anodyne and  $N(\{2\}) \subseteq N(R_{1/})$  is left anodyne. It follows that f is inner anodyne by Lemma 1.6.1. Therefore, g is inner anodyne.

Remark 1.6.3. Let  $P \subseteq Q$  and  $P \subseteq R$  be full inclusions of partially ordered sets. The pushout  $S = Q \coprod_P R$  in the category of partially ordered sets admits the following description. The underlying set of S is the set-theoretic pushout. The partial order on S is uniquely characterized by the following properties:

- (1)  $Q \subseteq S$  and  $R \subseteq S$  are full inclusions; and
- (2) for  $q \in Q$ ,  $r \in R$ , we have  $q \leq r$  (resp.  $q \geq r$ ) if and only if there exists  $p \in P$  satisfying  $q \leq p \leq r$  (resp.  $q \geq p \geq r$ ).

**Lemma 1.6.4.** Let  $P \subseteq Q$  and  $P \subseteq R$  be full inclusions of partially ordered sets and  $S = Q \coprod_P R$  the pushout in the category of partially ordered sets. Suppose that the following conditions are satisfied:

- (1) Q admits pushouts and pushouts are preserved by the inclusion  $Q \subseteq S$ .
- (2) Q P is finite.

(3) P is an up-set of Q, that is, a subset such that  $p \in P$  and  $q \ge p$  with  $q \in Q$  imply  $q \in P$ . Then the inclusion  $N(Q) \cup N(R) \subseteq N(S)$  is inner anodyne.

Proof. We proceed by induction on n = #(Q - P). For n = 0, we have R = S and the assertion is trivial. For n = 1, put  $Q - P \coloneqq \{q\}$ . Then Condition (3) means that q is a minimal element of Q, hence of S. Note that  $N(R) \cup N(S_{q/}) = N(S)$ . Indeed, for any simplex  $\sigma$  of N(S), if  $\sigma$  is a simplex of N(R), then q is a vertex of  $\sigma$ , so that  $\sigma$  is a simplex of  $N(S_{q/})$ . Thus the inclusion  $N(Q) \cup N(R) \subseteq N(S)$  is a pushout of the inclusion  $N(Q_{q/}) \cup N(R_{q/}) \subseteq N(S_{q/})$ . The latter is isomorphic to the inclusion

(1.32) 
$$\mathbf{N}(P_{q/})^{\triangleleft} \prod_{\mathbf{N}(P_{q/})} \mathbf{N}(R_{q/}) \subseteq \mathbf{N}(R_{q/})^{\triangleleft}.$$

By Condition (1), for every  $r \in R_{q/}$ , the partially ordered set  $P_{q//r}$  is filtered. Indeed, for  $p, p' \in P_{q//r}$ , the pushout  $p \vee_q p'$  is a common upper bound in  $P_{q//r}$ . Thus  $N(P_{q//r})$  is weakly contractible by [52, Theorem 5.3.1.13, Lemma 5.3.1.18]. It follows that  $N(P_{q/})^{op} \subseteq N(R_{q/})^{op}$  is cofinal by [52, Theorem 4.1.3.1], thus right anodyne by [52, Proposition 4.1.1.3(4)]. Therefore, (1.32) is inner anodyne by [52, Lemma 2.1.2.3].

For  $n \ge 2$ , we choose a minimal element q of Q - P. Then Condition (3) implies that q is a minimal element of Q, hence of S. Put  $S' := S - \{q\} \supseteq R$  and  $Q' := Q - \{q\} = S' \cap Q$ . Consider

the diagram of inclusions with pushout square

$$\begin{array}{c} \mathcal{N}(Q') \cup \mathcal{N}(R) & \stackrel{f}{\longrightarrow} \mathcal{N}(S') \\ & \downarrow & \downarrow \\ \mathcal{N}(Q) \cup \mathcal{N}(R) & \longrightarrow \mathcal{N}(Q) \cup \mathcal{N}(S') \stackrel{g}{\longrightarrow} \mathcal{N}(S) \end{array}$$

By the induction hypothesis applied to the inclusions  $P \subseteq Q'$  and  $P \subseteq R$ , we know that f is inner anodyne. Indeed, we have  $P = Q' \cap R$  and S' is the pushout  $Q' \coprod_P R$  in the category of partially ordered set, by the description in Remark 1.6.3. Condition (1) holds since q is a minimal element of Q, the partially ordered set Q' admits pushouts and pushouts are stable under the inclusion  $Q' \subseteq Q$ , hence under the inclusions  $Q' \subseteq S$  and  $Q' \subseteq S'$ ; for Condition (2), we have #(Q' - P) = n - 1; and for Condition (3), P is an up-set of Q, hence of Q'.

By the induction hypothesis applied to the inclusions  $Q' \subseteq Q$  and  $Q' \subseteq S'$ , we know that g is inner anodyne as well. Indeed, we have  $Q' = Q \cap S'$  and S is the pushout  $Q \coprod_{Q'} S$  in the category of partially ordered sets; Condition (1) remains unchanged; for Condition (2), we have #(Q - Q') = 1; and for Condition (3), Q' is an up-set of Q since q is minimal.

Therefore, the inclusion  $N(Q) \cup N(R) \subseteq N(S)$  is inner anodyne.

**Lemma 1.6.5.** Let P be a finite partially ordered set admitting pushouts and let  $p_0 \leq \ldots \leq p_s$ ;  $q_0 \leq \ldots \leq q_s$  be elements of P such that  $p_i \leq q_{i-1}$  for  $1 \leq i \leq s$ . Then the inclusion

$$\bigcup_{i=0}^{s} \mathcal{N}(P_{p_i//q_i}) \subseteq \mathcal{N}\left(\bigcup_{i=0}^{s} P_{p_i//q_i}\right)$$

is inner anodyne.

*Proof.* Put  $P_i := P_{p_i/q_i}$ . The inclusion can be decomposed as  $Q_0 \subseteq \cdots \subseteq Q_n$ , where

$$Q_j = \operatorname{N}\left(\bigcup_{i=0}^{j} P_i\right) \cup \bigcup_{i=j+1}^{n} \operatorname{N}(P_i).$$

For  $1 \leq j \leq n$ , the inclusion  $Q_{j-1} \subseteq Q_j$  is a pushout of

(1.33) 
$$\operatorname{N}\left(\bigcup_{i=0}^{j-1} P_i\right) \cup \operatorname{N}(P_j) \subseteq \operatorname{N}\left(\bigcup_{i=0}^{j} P_i\right).$$

Indeed, for k > j, we have  $P_k \cap \left(\bigcup_{i=0}^j P_i\right) \subseteq P_j$ . It then suffices to check that (1.33) satisfies the assumptions of Lemma 1.6.4. We denote coproducts in  $P_{p_0/}$  by  $\vee$ . Take  $x \in A = \bigcup_{i=0}^{j-1} P_i$ and  $y \in P_j$ . If  $x \ge y$ , then  $x, y \in P_{p_j//q_{j-1}} = P_{j-1} \cap P_j$ . If  $x \le y$ , then  $x \le x \lor p_j \le y$ , where  $x \lor p_j \in P_{j-1} \cap P_j$  by the assumption that  $p_j \le q_{j-1}$ . Thus  $\bigcup_{i=0}^j P_i$  is the pushout  $A \coprod_{A \cap P_j} P_j$ in the category of partially ordered sets, by Remark 1.6.3. Condition (1) of Lemma 1.6.4 follows from the fact that for  $x \in P_i$ ,  $y \in P_{i'}$ , we have  $x \lor y \in P_{\max\{i,i'\}}$ . Condition (2) is clear. For Condition (3), it suffices to note that  $A \cap P_j = A_{p_j/}$ .

By an *interval sublattice* of a finite lattice P, we mean a subset of the form  $P_{p//q}$ , where  $p \leq q$  are in P.

**Lemma 1.6.6.** Let P be a finite lattice and let  $p_0 \leq \ldots \leq p_s \leq q_0 \leq \ldots \leq q_s$  be elements of P satisfying  $\bigcup_{i=0}^{s} P_{p_i/q_i} = P$ . Let  $Q_1, \ldots, Q_t$  be interval sublattices of P. Then the inclusion

$$\bigcup_{i=0}^s \mathcal{N}(P_{p_i//q_i}) \cup \bigcup_{j=1}^t \mathcal{N}(Q_j) \subseteq \mathcal{N}(P)$$

is a categorical equivalence.

Note that the assumptions imply that  $p_0$  is the minimum of P and  $q_s$  is the maximum of P.

Proof. We proceed by induction on t. Put  $P_i := P_{p_i//q_i}$  and  $R_j := \bigcup_{i=0}^s \operatorname{N}(P_i) \cup \bigcup_{k=1}^j \operatorname{N}(Q_k)$ . We need to show that  $R_t \subseteq N(P)$  is a categorical equivalence. By Lemma 1.6.5, the inclusion  $R_0 = \bigcup_{i=0}^s \operatorname{N}(P_i) \subseteq \operatorname{N}(P)$  is inner anodyne, thus a categorical equivalence [52, Lemma 2.2.5.2]. Thus for t = 0 we are done. For  $t \ge 1$ , it suffices to show that the inclusions  $R_0 \subseteq \cdots \subseteq R_t$  are categorical equivalences. For  $1 \le j \le t$ , the inclusion  $R_{j-1} \subseteq R_j$  is a pushout of

(1.34) 
$$\bigcup_{i=0}^{s} \mathcal{N}(P_i \cap Q_j) \cup \bigcup_{k=1}^{j-1} \mathcal{N}(Q_k \cap Q_j) \subseteq \mathcal{N}(Q_j)$$

by an inclusion. By [52, Lemma A.2.4.3], it suffices to show that (1.34) is a categorical equivalence, which follows from the induction hypothesis. In fact, if we write  $Q_j = P_{p_i \vee p//q_i}$ , then  $P_i \cap Q_j = P_{p_i \vee p//q_i \wedge q}$ , and for  $0 \leq i, i' \leq s$  such that  $P_i \cap Q_j \neq \emptyset$ ,  $P_{i'} \cap Q_j \neq \emptyset$ , we have  $p_i \vee p \leq q_{i'} \wedge q$ .

**Lemma 1.6.7.** The inclusion  $\Box^n \subseteq Cpt^n$  is inner anodyne.

*Proof.* We apply Lemma 1.6.5 to the lattice  $P = [n] \times [n]$ , with s = n,  $p_i = (0, i)$  and  $q_i = (i, n)$ . We have  $p_0 \leq \ldots \leq p_n = q_0 \leq \ldots \leq q_n$ . Thus, the inclusion

$$\Box^{n} = \bigcup_{i=0}^{n} \mathcal{N}\left(\operatorname{Cpt}_{(0,i)//(i,n)}^{n}\right) \subseteq \mathcal{N}\left(\bigcup_{i=0}^{n} \operatorname{Cpt}_{(0,i)//(i,n)}^{n}\right) = \mathcal{N}(\operatorname{Cpt}^{n}) = \mathfrak{Cpt}^{n}$$
dyne. 
$$\Box$$

is inner anodyne.

**Lemma 1.6.8.** The inclusion  $\bigcup_{0 \leq p \leq n} \mathbb{H}_{p,n}^n \subseteq \operatorname{Cart}^n$  is inner anolyne and the inclusion  $\mathbb{H}^n \subseteq \operatorname{Cart}^n$  is a categorical equivalence.

*Proof.* We apply Lemma 1.6.5 and Lemma 1.6.6 to the lattice  $P = \operatorname{Cart}^n$ , with s = n,  $p_i = \xi^n(i,n)$ ,  $q_i = \eta^n(i,n)$ , and the  $Q_j$ 's given by  $\operatorname{Cart}^n_{p,q}$  with  $0 \leq p \leq n$  and  $0 \leq q < n$ . We have  $\xi^n(0,n) \leq \ldots \leq \xi^n(n,n) \leq \eta^n(0,n) \leq \ldots \leq \eta^n(n,n)$ . It remains to show  $\operatorname{Cart}^n = \bigcup_{p=0}^n \operatorname{Cart}^n_{p,n}$ . For  $Q \in \operatorname{Cart}^n$ , we let p denote  $\pi_1^n(Q) = \min_{(p',q') \in Q} p'$ . Then we have

$$\xi^n(p,n) \leqslant \varsigma^n(p,0) \leqslant Q \leqslant \varsigma^n(p,n) = \eta^n(p,n),$$

so that  $Q \in \operatorname{Cart}_{p,n}^n$ .

## 2. More preliminaries on $\infty$ -categories

This chapter is a further collection of preliminaries on  $\infty$ -categories. In §2.1, we record some basic lemmas. In §2.2, we develop a method of taking partial adjoints, namely, taking adjoint functors along given directions. This will be used to construct the initial enhanced operation map for schemes. In §2.3, we collect some general facts and constructions related to symmetric monoidal  $\infty$ -categories.

2.1. Elementary lemmas. Let us start with the following lemma, which appears as [54, Lemma 2.4.6]. We include a proof for the convenience of the reader.

**Lemma 2.1.1.** Let C be a nonempty  $\infty$ -category that admits product of two objects. Then the geometric realization |C| is contractible.

*Proof.* Fix an object X of C and a functor  $C \to C$  sending Y to  $X \times Y$ . The projections  $X \times Y \to X$  and  $X \times Y \to Y$  define functors  $h, h' \colon \Delta^1 \times C \to C$  such that

- $h \mid \Delta^{\{0\}} \times \mathfrak{C} = h' \mid \Delta^{\{0\}} \times \mathfrak{C};$
- $h \mid \Delta^{\{1\}} \times \mathcal{C}$  is the constant functor of value X;
- $h' \mid \Delta^{\{1\}} \times \mathcal{C} = \mathrm{id}_{\mathcal{C}}.$

Then |h| and |h'| provide a homotopy between  $id_{|\mathcal{C}|}$  and the constant map of value X.

The following is a variant of the Adjoint Functor Theorem [52, 5.5.2.9].

**Lemma 2.1.2.** Let  $F: \mathbb{C} \to \mathbb{D}$  be a functor between presentable  $\infty$ -categories. Let  $hF: h\mathbb{C} \to h\mathbb{D}$  be the functor of (unenriched) homotopy categories.

- (1) The functor F has a right adjoint if and only if it preserves pushouts and hF has a right adjoint.
- (2) The functor F has a left adjoint if and only if it is accessible and preserves pullbacks and hF has a left adjoint.

*Proof.* The necessity follows from [52, 5.2.2.9]. The sufficiency in (1) follows from the fact that small colimits can be constructed out of pushouts and small coproducts [52, 4.4.2.7] and preservation of small coproducts can be tested on hF. The sufficiency in (2) follows from dual statements.

We will apply the above lemma in the following form.

**Lemma 2.1.3.** Let  $F: \mathcal{C} \to \mathcal{D}$  be a functor between presentable stable  $\infty$ -categories. Let  $hF: h\mathcal{C} \to h\mathcal{D}$  be the functor of (unenriched) homotopy categories. Then

- (1) The functor F admits a right adjoint if and only if hF is a triangulated functor and admits a right adjoint.
- (2) The functor F admits a left adjoint if F admits a right adjoint and hF admits a left adjoint.

*Proof.* By [53, Lemma 1.2.4.14], a functor G between stable  $\infty$ -categories is exact if and only if hG is triangulated. The lemma then follows from Lemma 2.1.2 and [53, Proposition 1.1.4.1].  $\Box$ 

**Lemma 2.1.4.** Let  $F: \mathcal{A} \to \mathcal{B}$  be a left exact functor between Grothendieck Abelian categories that commutes with small coproducts. Assume that F has finite cohomological dimension. Then the right derived functor  $RF: \mathcal{D}(\mathcal{A}) \to \mathcal{D}(\mathcal{B})$  admits a right adjoint.

*Proof.* By the previous lemma, it suffices to show that h(RF) commutes with small coproducts. This is standard. See [45, Proposition 14.3.4(ii)].

2.2. Partial adjoints. We first recall the notion of adjoints of squares.

**Definition 2.2.1.** Consider diagrams of  $\infty$ -categories

$$\begin{array}{c|c} \mathbb{C} \xleftarrow{F} & \mathbb{D} & \mathbb{C} \xrightarrow{G} \mathbb{D} \\ U \middle| & \sigma & \middle| V & U \middle| & \tau & \middle| V \\ \mathbb{C}' \xleftarrow{F'} \mathbb{D}' & \mathbb{C}' \xrightarrow{G'} \mathbb{D}' \end{array}$$

that commute up to specified equivalences  $\alpha \colon F' \circ V \to U \circ F$  and  $\beta \colon V \circ G \to G' \circ U$ . We say that  $\sigma$  is a *left adjoint* to  $\tau$  and  $\tau$  is a *right adjoint* to  $\sigma$ , if F is a left adjoint of G, F' is a left adjoint of G', and  $\alpha$  is equivalent to the composite transformation

$$F' \circ V \to F' \circ V \circ G \circ F \xrightarrow{\beta} F' \circ G' \circ U \circ F \to U \circ F.$$

Remark 2.2.2. The diagram  $\tau$  has a left adjoint if and only if  $\tau$  is left adjointable in the sense of [52, 7.3.1.2] and [53, Definition 4.7.4.13]. If G and G' are equivalences, then  $\tau$  is left adjointable. We have analogous notions for ordinary categories. A square  $\tau$  of  $\infty$ -categories is left adjointable if and only if G and G' admit left adjoints and the square  $h\tau$  of homotopy categories is left adjointable. When visualizing a square  $\Delta^1 \times \Delta^1 \to \mathbb{C}$ , we adopt the convention that the first factor of  $\Delta^1 \times \Delta^1$  is vertical and the second factor is horizontal.

Lemma 2.2.3. Consider a diagram of right Quillen functors



of model categories, that commutes up to a natural equivalence  $\beta: V \circ G \to G' \circ U$  and is endowed with Quillen equivalences (F, G) and (F', G'). Assume that U preserves weak equivalences and all objects of **B**' are cofibrant. Let  $\alpha$  be the composite transformation

$$F' \circ V \to F' \circ V \circ G \circ F \xrightarrow{\beta} F' \circ G' \circ U \circ F \to U \circ F.$$

Then for every fibrant-cofibrant object Y of **B**, the morphism  $\alpha(Y) \colon (F' \circ V)(Y) \to (U \circ F)(Y)$ is a weak equivalence.

*Proof.* The square  $R\beta$ 

$$h\mathbf{A} \xrightarrow{RG} h\mathbf{B}$$
$$_{RU} \bigvee \qquad \qquad \downarrow RV$$
$$h\mathbf{A}' \xrightarrow{RG'} h\mathbf{B}'$$

of homotopy categories is left adjointable. Let  $\sigma: LF' \circ RV \to RU \circ LF$  be its left adjoint. For fibrant-cofibrant  $Y, \alpha(Y)$  computes  $\sigma(Y)$ .

We apply Lemma 2.2.3 to the straightening functor [52, §3.2.1]. Let  $p: S' \to S$  be a map of simplicial sets, and  $\pi: \mathcal{C}' \to \mathcal{C}$  a functor of simplicial categories fitting into a diagram

$$\begin{array}{c} \mathfrak{C}[S'] \xrightarrow{\phi'} \mathfrak{C}'^{op} \\ \mathfrak{C}_{[p]} \\ \downarrow \\ \mathfrak{C}[S] \xrightarrow{\phi} \mathfrak{C}^{op} \end{array}$$

which is commutative up to a simplicial natural equivalence. By [52, Proposition 3.2.1.4], we have a diagram

$$\begin{split} (\operatorname{Set}_{\Delta}^+)^{\mathcal{C}} & \xrightarrow{\operatorname{Un}_{\phi}^+} (\operatorname{Set}_{\Delta}^+)_{/S} \\ \pi^* \bigg| & \hspace{1cm} \bigg|_{p^*} \\ (\operatorname{Set}_{\Delta}^+)^{\mathcal{C}'} & \xrightarrow{\operatorname{Un}_{\phi'}^+} (\operatorname{Set}_{\Delta}^+)_{/S'}, \end{split}$$

which satisfies the assumptions of Lemma 2.2.3 if  $\phi$  and  $\phi'$  are equivalences of simplicial categories. In this case, for every fibrant object  $f: X \to S$  of  $(\text{Set}^+_{\Lambda})_{/S}$ , endowed with the Cartesian model structure, the morphism

$$(St^+_{\phi'} \circ p^*)X \to (\pi^* \circ St^+_{\phi})X$$

is a pointwise Cartesian equivalence.

Similarly, if  $g: \mathcal{C} \to \mathcal{D}$  is a functor of (V-small) categories, then [52, Remark 3.2.5.14] provides a diagram

satisfying the assumptions of Lemma 2.2.3. Thus, for every fibrant object Y of  $(\text{Set}^+_{\Lambda})_{(N(\mathcal{D})})$ , endowed with the coCartesian model structure, the morphism

$$\mathfrak{F}^+_{\mathcal{N}(a)^*Y}(\mathfrak{C}) \to g^*\mathfrak{F}^+_Y(\mathfrak{D})$$

is a pointwise coCartesian equivalence.

**Proposition 2.2.4** (partial adjoint). Consider quadruples (I, J, R, f) where I is a set,  $J \subseteq I$ , R is an I-simplicial set and  $f: \delta_I^* R \to \operatorname{Cat}_{\infty}$  is a functor, satisfying the following conditions:

- (1) For every  $j \in J$  and every edge e of  $\epsilon_j^I R$ , the functor f(e) has a left adjoint.
- (2) For every  $i \in J^c := I \setminus J$ , every  $j \in J$  and every  $\tau \in (\epsilon_{i,j}^I R)_{1,1}$ , the square  $f(\tau) : \Delta^1 \times$  $\Delta^1 \to \operatorname{Cat}_{\infty}$  is left adjointable.

There exists a way to associate, to every such quadruple, a functor  $f_J \colon \delta^*_{I,J} R \to \operatorname{Cat}_{\infty}$ , satisfying the following conclusions:

- (1)  $f_J \mid \delta^*_{J^c}(\mathbf{\Delta}^{\iota})_* R = f \mid \delta^*_{J^c}(\mathbf{\Delta}^{\iota})_* R$ , where  $\iota \colon J^c \to I$  is the inclusion.
- (2) For every  $j \in J$  and every edge e of  $\epsilon_j^I R$ , the functor  $f_J(e)$  is a left adjoint of f(e).
- (3) For every  $i \in J^c$ , every  $j \in J$  and every  $\tau \in (\epsilon_{i,j}^I R)_{1,1}$ , the square  $f_J(\tau)$  is a left adjoint of  $f(\tau)$ .
- (4) For two quadruples (I, J, R, f), (I', J', R', f') and maps  $\mu: I' \to I$ ,  $u: (\Delta^{\mu})^* R' \to R$ such that  $J' = \mu^{-1}(J)$  and  $f' = f \circ \delta_I^* u$ , the functor  $f'_{J'}$  is equivalent to  $f_J \circ \delta_{I,J}^* u$ .

Note that in conclusion (1),  $\delta_{J^c}^*(\mathbf{\Delta}^{\iota})_*R$  is naturally a simplicial subset of both  $\delta_I^*R$  and  $\delta_{I,J}^*R$ . When visualizing (1,1)-simplices of  $\epsilon_{i,i}^{I}R$ , we adopt the convention that direction i is vertical and direction j is horizontal. If  $J^c$  is nonempty, then condition (2) implies condition (1), and conclusion (3) implies conclusion (2).

Proof. Recall that we have fixed a fibrant replacement functor Fibr:  $\operatorname{Set}_{\Delta}^+ \to \operatorname{Set}_{\Delta}^+$ . Let  $\sigma \in (\delta_{I,J}^*R)_n$  be an object of  $\Delta_{/\delta_{I,J}^*R}$ , corresponding to  $\Delta_J^{n_i|i\in I} \to R$ , where  $n_i = n$ . It induces a functor  $f(\sigma) \colon \mathcal{N}(D) \simeq \Delta_J^{[n_i]_{i \in I}} \to \mathfrak{Cat}_{\infty}$ , where D is the partially ordered set  $S \times T^{op}$ with  $S = [n]^{J^c}$  and  $T = [n]^J$ . This corresponds to a projectively fibrant simplicial functor  $\mathfrak{F}: \mathfrak{C}[\mathcal{N}(D)] \to \mathfrak{Set}_{\Delta}^+$ . Let  $\phi_D: \mathfrak{C}[\mathcal{N}(D)] \to D$  be the canonical equivalence of simplicial categories and put

$$\mathcal{F}' \coloneqq (\operatorname{Fibr}^D \circ St^+_{\phi^{op}_D} \circ \operatorname{Un}^+_{\mathcal{N}(D)^{op}}) \mathcal{F} \colon D \to \operatorname{Set}^+_{\Delta}.$$

We have weak equivalences

$$\begin{aligned} \mathcal{F} \leftarrow (St^+_{\mathcal{N}(D)^{op}} \circ \mathrm{Un}^+_{\mathcal{N}(D)^{op}}) \mathcal{F} \to (\phi^*_D \circ \phi_{D!} \circ St^+_{\mathcal{N}(D)^{op}} \circ \mathrm{Un}^+_{\mathcal{N}(D)^{op}}) \mathcal{F} \\ \simeq (\phi^*_D \circ St^+_{\phi^{op}_D} \circ \mathrm{Un}^+_{\mathcal{N}(D)^{op}}) \mathcal{F} \to \phi^*_D(\mathcal{F}'). \end{aligned}$$

Thus, for every  $\tau \in (\epsilon_{i,j}^I \mathbb{N}(D))_{1,1}$ , the square  $\mathcal{F}'(\tau)$  is equivalent to  $f(\tau)$ , both taking values in  $\operatorname{Cat}_{\infty}$ .

Let  $\mathcal{F}''$  be the composition

$$S \to (\operatorname{Set}_{\Delta}^+)^{T^{op}} \xrightarrow{\operatorname{Un}_{\phi_T}^+} (\operatorname{Set}_{\Delta}^+)_{/\mathcal{N}(T)}$$

where the first functor is induced by  $\mathcal{F}'$ . For every  $s \in S$ , the value  $\mathcal{F}''(s): X(s) \to N(T)$  is a fibrant object of  $(\operatorname{Set}^+_\Delta)_{/N(T)}$  with respect to the Cartesian model structure. In other words, there exists a Cartesian fibration  $p(s): Y(s) \to N(T)$  and an isomorphism  $X(s) \simeq Y(s)^{\natural}$ . By condition (1), for every morphism  $t \to t'$  of T, the induced functor  $Y(s)_{t'} \to Y(s)_t$  has a left adjoint. By [52, Corollary 5.2.2.5], p(s) is also a coCartesian fibration. We consider the object  $(p(s), \mathcal{E}(s))$  of  $(\operatorname{Set}^+_\Delta)_{/N(T)}$ , where  $\mathcal{E}(s)$  is the set of *p*-coCartesian edges of Y(s). By condition (2), this construction is functorial in *s*, giving rise to a functor  $\mathcal{G}': S \to (\operatorname{Set}^+_\Delta)_{/N(T)}$ .

The composition

$$S \xrightarrow{\mathfrak{G}'} (\mathfrak{Set}_{\Delta}^+)_{/\mathcal{N}(T)} \xrightarrow{\mathfrak{F}_{\bullet}^+(T)} (\mathfrak{Set}_{\Delta}^+)^T \xrightarrow{\mathrm{Fibr}^T} (\mathfrak{Set}_{\Delta}^+)^T$$

induces a projectively fibrant diagram

$$\mathfrak{G}\colon S\times T\to \mathfrak{Set}^+_\Lambda$$

We denote by  $\mathfrak{G}_{\sigma} \colon [n] \to \mathfrak{Set}_{\Delta}^+$  the composition

$$[n] \to S \times T \to \operatorname{Set}_{\Delta}^+,$$

where the first functor is the diagonal functor. The construction of  $\mathcal{G}_{\sigma}$  is not functorial in  $\sigma$  because the straightening functors do not commute with pullbacks, even up to natural equivalences. Nevertheless, for every morphism  $d: \sigma \to \tilde{\sigma}$  in  $\Delta_{\delta_{I,J}^*R}$ , we have a canonical morphism  $\mathcal{G}_{\sigma} \to d^*\mathcal{G}_{\tilde{\sigma}}$  in  $(\operatorname{Set}^+_{\Lambda})^{[n]}$ , which is a weak equivalence by Lemma 2.2.3. The functor

$$(\mathbf{\Delta}_{/\delta^*_{I-I}R})_{\sigma/} \to (\operatorname{Set}^+_{\Delta})^{[n]}$$

sending  $d: \sigma \to \tilde{\sigma}$  to  $d^* \mathcal{G}_{\tilde{\sigma}}$  induces a map

$$\mathcal{N}(\sigma) := \mathcal{N}((\mathbf{\Delta}_{/\delta^*_{I,J}R})_{\sigma/}) \to \mathrm{Map}^{\sharp}((\mathbf{\Delta}^n)^{\flat}, (\mathfrak{Cat}_{\infty})^{\natural}).$$

which we denote by  $\Phi(\sigma)$ . Since the category  $(\Delta_{\delta_{I,J}^*R})_{\sigma/}$  has an initial object, the simplicial set  $\mathcal{N}(\sigma)$  is weakly contractible. This construction is functorial in  $\sigma$  so that  $\Phi: \mathcal{N} \to \operatorname{Map}[\delta_{I,J}^*R, \operatorname{Cat}_{\infty}]$  is a morphism of  $(\operatorname{Set}_{\Delta})^{(\Delta_{\delta_{I,J}^*R})^{op}}$ . Applying Corollary 1.2.9(1), we obtain a functor  $\widetilde{f_J}: \delta_{I,J}^*R \to \operatorname{Cat}_{\infty}$  satisfying conclusions (2) and (3) up to homotopy.

Under the situation of conclusion (4),  $\delta_{I,J}^* u: \delta_{I',J'}^* R' \to \delta_{I,J}^* R$  induces  $\varphi: \mathcal{N}' \to (\delta_{I,J}^* u)^* \mathcal{N}$ . By construction, there exists a homotopy between  $\Phi'$  and  $((\delta_{I,J}^* u)^* \Phi) \circ \varphi$ . By Corollary 1.2.9(2), this implies that  $\widetilde{f_{J'}} \circ \delta_{I,J}^* u$  are homotopic.

By construction, there exists a homotopy between  $r^*\Phi$  and the composite map  $r^*\mathcal{N} \to \Delta_Q^0 \xrightarrow{f|Q} Map[Q, Cat_\infty]$ , where  $Q = \delta_{J^c}^*(\Delta^\iota)_*R$  and  $r: Q \to \delta_{I,J}^*R$  is the inclusion. By Corollary 1.2.9(2), this implies that  $\widetilde{f_J} \mid Q$  and  $f \mid Q$  are homotopic. Since the inclusion

$$Q^{\natural} \times (\Delta^{1})^{\sharp} \coprod_{Q^{\natural} \times (\Delta^{\{0\}})^{\sharp}} (\delta^{*}_{I,J}R)^{\natural} \times (\Delta^{\{0\}})^{\sharp} \to (\delta^{*}_{I,J}R)^{\natural} \times (\Delta^{1})^{\sharp}$$

is marked anodyne, there exists  $f_J: \delta_{I,J}^* R \to \operatorname{Cat}_{\infty}$  homotopic to  $\widetilde{f_J}$  such that  $f_J | Q = f | Q$ .  $\Box$ 

Remark 2.2.5. We have the following remarks concerning Proposition 2.2.4.

- (1) There is an obvious dual version of Proposition 2.2.4 for right adjoints.
- (2) Proposition 2.2.4 holds without the (implicit) convention that R is  $\mathcal{V}$ -small. To see this, it suffices to apply the proposition to the composite map  $\delta_I^* R \xrightarrow{f} \operatorname{Cat}_{\infty} \to \operatorname{Cat}_{\infty}^{\mathcal{W}}$ , where  $\mathcal{W} \supseteq \mathcal{V}$  is a universe containing R and  $\operatorname{Cat}_{\infty}^{\mathcal{W}}$  is the  $\infty$ -category of  $\infty$ -categories in  $\mathcal{W}$ .
- (3) Consider the 2-tiled  $\infty$ -category ( $\mathfrak{Cat}_{\infty}, \mathfrak{T}$ ) where  $\mathfrak{T}_1 = (\mathfrak{Cat}_{\infty})_1, \mathfrak{T}_2$  consists of all functors that admit a left adjoint, and  $T_{12}$  consists of all squares that are left adjointable. Let

$$\phi \colon \delta^{2 \sqcup}_{*}(\operatorname{Cat}_{\infty}, \mathfrak{T}) \hookrightarrow \delta^{*}_{2} \delta_{2*} \operatorname{Cat}_{\infty} \to \operatorname{Cat}_{\infty}$$

be the natural functor induced by the counit map. Applying Proposition 2.2.4 (and Remark 2.2.5(2)) to the quadruple  $(\{1,2\},\{2\},\delta^{2\square}_*(\operatorname{Cat}_{\infty},\mathfrak{T}),\phi)$ , we get a functor

$$\phi_{\{2\}} \colon \delta^*_{2,\{2\}} \delta^{2 \sqcup}_* (\operatorname{Cat}_{\infty}, \mathfrak{T}) \to \operatorname{Cat}_{\infty}$$

This functor is *universal* in the sense that for any quadruple (I, J, R, f) satisfying the conditions in Proposition 2.2.4, if we denote by  $\mu: I \to \{1, 2\}$  the map given by  $\mu^{-1}(2) = J$ , then  $f: \delta_2^*(\mathbf{\Delta}^{\mu})^* R \to \operatorname{Cat}_{\infty}$  uniquely determines a map  $u: (\mathbf{\Delta}^{\mu})^* R \to \delta_{2*} \operatorname{Cat}_{\infty}$  by adjunction which factorizes through  $\delta_*^{2\square}(\operatorname{Cat}_{\infty}, \mathfrak{I})$  and  $f_J$  can be taken to be the composite functor

$$\delta_{I,J}^* R \simeq \delta_{2,\{2\}}^* (\mathbf{\Delta}^{\mu})^* R \xrightarrow{\delta_{2,\{2\}}^* u} \delta_{2,\{2\}}^* \delta_*^{2\square}(\operatorname{Cat}_{\infty}, \mathfrak{T}) \xrightarrow{\phi_{\{2\}}} \operatorname{Cat}_{\infty}.$$

- (4) For the quadruple ({1}, {1},  $\mathfrak{Pr}^{\mathbf{R}}, \phi$ ) where  $\phi \colon \mathfrak{Pr}^{\mathbf{R}} \to \mathfrak{Cat}_{\infty}$  is the natural inclusion, the functor  $\phi_{\{1\}}$  constructed in Proposition 2.2.4 induces an equivalence  $\phi_{\mathcal{P}r} : (\mathcal{P}r^{\mathbf{R}})^{op} \to \mathcal{P}r^{\mathbf{L}}$ . This gives another proof of the second assertion of [52, Corollary 5.5.3.4]. By restriction, this equivalence induces an equivalence  $\phi_{\mathfrak{Pr}_{st}} : \mathfrak{Pr}_{st}^{\mathrm{L}} \to (\mathfrak{Pr}_{st}^{\mathrm{R}})^{op}$  of  $\infty$ -categories. (5) For the quadruple ({1,2}, {1}, S^{op} \boxtimes \mathrm{Fun}^{\mathrm{LAd}}(S^{op}, \mathfrak{Cat}_{\infty}), f) where

$$f: S^{op} \times \operatorname{Fun}^{\operatorname{LAd}}(S^{op}, \operatorname{Cat}_{\infty}) \to \operatorname{Cat}_{\infty}$$

is the evaluation map, the functor

$$f_{\{1\}}: S \times \operatorname{Fun}^{\operatorname{LAd}}(S^{op}, \operatorname{Cat}_{\infty}) \to \operatorname{Cat}_{\infty}$$

constructed in Proposition 2.2.4 induces an equivalence  $\operatorname{Fun}^{\operatorname{LAd}}(S^{op}, \operatorname{Cat}_{\infty})$  $\operatorname{Fun}^{\operatorname{RAd}}(S, \operatorname{Cat}_{\infty})$ . This gives an alternative proof of [53, Corollary 4.7.4.18(3)].

2.3. Symmetric monoidal  $\infty$ -categories. Let Fin_{*} be the category of pointed finite sets defined in [53, Notation 2.0.0.2]. It is (equivalent to) the category whose objects are sets  $\langle n \rangle =$  $\langle n \rangle^{\circ} \cup \{*\}$ , where  $\langle n \rangle^{\circ} = \{1, \ldots, n\}$  ( $\langle 0 \rangle^{\circ} = \emptyset$ ) for  $n \ge 0$ , and morphisms are maps of sets that map * to *.

Let C be an  $\infty$ -category that admits finite products. By [53, Proposition 2.4.1.5], we have a symmetric monoidal  $\infty$ -category [53, Definition 2.0.0.7]  $\mathcal{C}^{\times} \to \mathcal{N}(\mathcal{F}in_*)$ , known as the *Cartesian* symmetric monoidal  $\infty$ -category associated to C. We put  $\operatorname{CAlg}(\mathcal{C}) \coloneqq \operatorname{CAlg}(\mathcal{C}^{\times})$  [53, Definition 2.1.3.1 as the  $\infty$ -category of commutative algebra objects in C. We have the functor

by evaluating at  $\langle 1 \rangle$ .

Remark 2.3.1. In the above construction, if we put  $\mathcal{C} \coloneqq \operatorname{Cat}_{\infty}$ , then  $\operatorname{CAlg}(\operatorname{Cat}_{\infty})$  is canonically equivalent to  $\operatorname{Cat}_{\infty}^{\otimes}$ , the  $\infty$ -category of symmetric monoidal  $\infty$ -categories [53, Variant 2.1.4.13]. The functor G restricts to a functor  $\operatorname{Cat}_{\infty}^{\otimes} \to \operatorname{Cat}_{\infty}$  sending  $\operatorname{C}^{\otimes}$  to its underlying  $\infty$ -category  $\operatorname{C}$ .

Recall that a symmetric monoidal  $\infty$ -category  $\mathbb{C}^{\otimes}$  is *closed* [53, Definition 4.1.1.15] if the functor  $-\otimes -: \mathbb{C} \times \mathbb{C} \to \mathbb{C}$ , written as  $\mathbb{C} \to \operatorname{Fun}(\mathbb{C}, \mathbb{C})$ , factorizes through  $\operatorname{Fun}^{\mathrm{L}}(\mathbb{C}, \mathbb{C})$ .

**Definition 2.3.2.** We define a subcategory  $CAlg(Cat_{\infty})_{pr}^{L}$  (resp.  $CAlg(Cat_{\infty})_{pr,st}^{L}$ ) of  $CAlg(Cat_{\infty})$  as follows:

- An object that belongs to this subcategory is a symmetric monoidal ∞-categories C[⊗] such that C = G(C[⊗]) is presentable (resp. and stable).
- A morphism that belongs to this subcategory is a symmetric monoidal functor  $F^{\otimes} \colon \mathbb{C}^{\otimes} \to \mathbb{D}^{\otimes}$  such that the underlying functor  $F = \mathcal{G}(F^{\otimes})$  is a left adjoint functor.

In particular, we have functors

$$G: \operatorname{CAlg}(\operatorname{Cat}_{\infty})_{\operatorname{pr}}^{\operatorname{L}} \to \operatorname{Pr}^{\operatorname{L}}, \quad G: \operatorname{CAlg}(\operatorname{Cat}_{\infty})_{\operatorname{pr},\operatorname{st}}^{\operatorname{L}} \to \operatorname{Pr}_{\operatorname{st}}^{\operatorname{L}},$$

Moreover, we define  $\operatorname{CAlg}(\operatorname{Cat}_{\infty})_{cl} \subseteq \operatorname{CAlg}(\operatorname{Cat}_{\infty})$ ,  $\operatorname{CAlg}(\operatorname{Cat}_{\infty})_{pr,cl}^{L} \subseteq \operatorname{CAlg}(\operatorname{Cat}_{\infty})_{pr}^{L}$  and  $\operatorname{CAlg}(\operatorname{Cat}_{\infty})_{pr,st,cl}^{L} \subseteq \operatorname{CAlg}(\operatorname{Cat}_{\infty})_{pr,st}^{L}$  to be the full subcategories spanned by closed symmetric monoidal  $\infty$ -categories.

*Remark* 2.3.3. The  $\infty$ -categories  $\operatorname{CAlg}(\operatorname{Cat}_{\infty})_{\operatorname{pr,cl}}^{\operatorname{L}}$  and  $\operatorname{CAlg}(\operatorname{Cat}_{\infty})_{\operatorname{pr,st,cl}}^{\operatorname{L}}$  admit small limits and such limits are preserved under the inclusions

$$\operatorname{CAlg}(\operatorname{Cat}_{\infty})_{\operatorname{pr.st.cl}}^{\operatorname{L}} \subseteq \operatorname{CAlg}(\operatorname{Cat}_{\infty})_{\operatorname{pr.cl}}^{\operatorname{L}} \subseteq \operatorname{CAlg}(\operatorname{Cat}_{\infty}).$$

In fact, we only have to show that for a small simplicial set S and a diagram  $p^{\otimes} : S \to \operatorname{CAlg}(\operatorname{Pr}^{\mathrm{L}})$ such that  $p^{\otimes}(s) = \mathbb{C}_s^{\otimes}$  is closed for every vertex s of S, the limit  $\lim_{t \to \infty} (p^{\otimes})$  is closed. Let  $p: S \to \operatorname{CAlg}(\operatorname{Pr}^{\mathrm{L}}) \to \operatorname{Pr}^{\mathrm{L}}$  (resp.  $p': S \to \operatorname{CAlg}(\operatorname{Pr}^{\mathrm{L}}) \to \operatorname{Fun}(\Delta^1, \operatorname{Cat}_{\infty}))$  be the diagram induced by evaluating at the object  $\langle 1 \rangle$  (resp. unique active map  $\langle 2 \rangle \to \langle 1 \rangle$ ) of N( $\mathcal{Fin}_*$ ). For every object c of  $\mathbb{C} = \lim_{t \to \infty} (p)$ , the diagram p' induces a diagram  $p'_c: S \to \operatorname{Fun}(\Delta^1, \operatorname{Pr}^{\mathrm{L}})$  such that  $p'_c(s)$  is the functor  $f_s^* c \otimes -: \mathbb{C}_s \to \mathbb{C}_s$  that admits right adjoints, where  $f_s^*: \mathbb{C} \to \mathbb{C}_s$  is the obvious functor. Since  $\operatorname{Pr}^{\mathrm{L}} \subseteq \operatorname{Cat}_{\infty}$  is stable under small limits, the limit  $\lim_{t \to \infty} (p'_c)$  is an object of  $\operatorname{Fun}^{\mathrm{L}}(\mathbb{C}, \mathbb{C})$ , which shows that the limit  $\lim_{t \to \infty} (p^{\otimes})$  is closed.

A diagram  $p \colon S^{\triangleleft} \xrightarrow{\searrow} \operatorname{CAlg}(\operatorname{Cat}_{\infty})^{\operatorname{L}}_{\operatorname{pr,st,cl}}$  is a limit diagram if and only if

$$\mathrm{G} \circ p \colon S^{\triangleleft} \to \mathrm{CAlg}(\mathfrak{Cat}_{\infty})^{\mathrm{L}}_{\mathrm{pr,st,cl}} \xrightarrow{\mathrm{G}} \mathfrak{Cat}_{\infty}$$

is a limit diagram, by the dual version of [52, Corollary 5.1.2.3].

Let  $\mathcal{C}$  be an  $\infty$ -category. Recall that by [53, Construction 2.4.3.1, Proposition 2.4.3.3], we have an  $\infty$ -operad  $p: \mathcal{C}^{\Pi} \to \mathcal{N}(\mathcal{F}in_*)$ . Suppose that  $\mathcal{C}$  is a fibrant simplicial category. We define  $\mathcal{C}^{\Pi}$  to be the fibrant simplicial category such that an object of  $\mathcal{C}^{\Pi}$  consists of an object  $\langle n \rangle \in \mathcal{F}in_*$  together with a sequence of objects  $(Y_1, \ldots, Y_n)$  in  $\mathcal{C}$ , and

$$\operatorname{Map}_{\mathcal{C}^{\mathrm{II}}}((X_1,\ldots,X_m),(Y_1,\ldots,Y_n)) = \prod_{\alpha} \prod_{i \in \alpha^{-1} \langle n \rangle^{\circ}} \operatorname{Map}_{\mathcal{C}}(X_i,Y_{\alpha(i)}),$$

where  $\alpha$  runs through all maps of pointed sets from  $\langle m \rangle$  to  $\langle n \rangle$ . By construction, we have a forgetful functor  $\mathcal{C}^{II} \to \mathcal{F}in_*$ , and its simplicial nerve  $N(\mathcal{C}^{II}) \to N(\mathcal{F}in_*)$  is canonically isomorphic to  $N(\mathcal{C})^{II} \to N(\mathcal{F}in_*)$ .

**Definition 2.3.4.** Let  $p: \mathcal{C} \to \mathcal{N}(\mathcal{F}in_*)$  be a functor of  $\infty$ -categories. We say that a diagram in  $\mathcal{C}$  is *p*-static (or simply static if *p* is clear) if its composition with *p* is constant.

**Lemma 2.3.5.** Let  $\mathcal{C}$  be an  $\infty$ -category that admits finite colimits. Then a square

in  $C^{II}$  with static vertical morphisms is a pushout square if and only if for every  $1 \leq j \leq n$ , the induced square

$$\begin{array}{c|c} \coprod_{\alpha(i)=j} X_i \longrightarrow Y_j \\ & & \downarrow \\ & & \downarrow \\ \coprod_{\alpha(i)=j} X'_i \longrightarrow Y'_j \end{array}$$

in C is a pushout square.

*Proof.* It follows from the fact that for every pair of objects  $\{X_i\}_{1 \leq i \leq m}$ ,  $\{Y_j\}_{1 \leq j \leq m}$  of  $\mathcal{C}^{II}$ , the mapping space  $\operatorname{Map}_{\mathcal{C}^{II}}(\{X_i\}_{1 \leq i \leq m}, \{Y_j\}_{1 \leq j \leq m})$  is naturally equivalent to

$$\prod_{\alpha \in \operatorname{Hom}_{\mathcal{F}in_*}(\langle m \rangle, \langle n \rangle)} \prod_{i \in \alpha^{-1} \langle n \rangle^{\circ}} \operatorname{Map}_{\mathfrak{C}}(X_i, Y_{\alpha(i)}),$$

and the discussion in [52, \$4.4.2].

Remark 2.3.6. Let  $\mathbf{T}: \mathbb{C}^{\mathrm{II}} \to \mathrm{Cat}_{\infty}$  be a functor that is a *lax Cartesian structure* [53, Definition 2.4.1.1]. Then we have an induced  $\infty$ -operad map  $\mathbf{T}^{\otimes}: \mathbb{C}^{\mathrm{II}} \to \mathrm{Cat}_{\infty}^{\times}$  [53, Proposition 2.4.1.7], which is an object of  $\mathrm{Alg}_{\mathbb{C}^{\mathrm{II}}}(\mathrm{Cat}_{\infty}^{\times})$ . The choice of such  $\mathbf{T}^{\otimes}$  is parameterized by a trivial Kan complex. Since the obvious map  $\mathrm{Alg}_{\mathbb{C}^{\mathrm{II}}}(\mathrm{Cat}_{\infty}^{\times}) \to \mathrm{Fun}(\mathbb{C}, \mathrm{CAlg}(\mathrm{Cat}_{\infty}))$  is a trivial Kan fibration [53, Theorem 2.4.3.18], in what follows, we will regard  $\mathbf{T}^{\otimes}$  as a functor  $\mathcal{C} \to \mathrm{CAlg}(\mathrm{Cat}_{\infty})$ .

### 3. Enhanced operations for ringed topol and schemes

In this chapter, we construct the enhanced operation maps for the category of ringed topoi and for the category of coproducts of quasi-compact and separated schemes, and establish several properties of the maps.

The construction is based on the flat model structure. This marks a major difference with the study of quasi-coherent sheaves. For the latter one can simply start with the projective model structure constructed in [53, Remark 7.1.2.9], because the category of quasi-coherent sheaves on affine schemes have enough projectives. The flat model structure for a ringed topological space has been constructed by Gillespie in [28] and [29]. In §3.1, we adapt the construction to every topos with enough points.

In §3.2, we construct a functor  $\mathbf{T}$  (3.1) and its induced functor  $\mathbf{T}^{\otimes}$  (3.2) that enhance the derived *-pullback and derived tensor product for ringed topoi. It also encodes the symmetric monoidal structures in a homotopy-coherent way. This serves as a starting point for the construction of the enhanced operation map.

In §3.3, we introduce an abstract notion of (universal) descent and collect some basic properties. In §3.4, we construct the enhanced operation maps (3.8) and (3.13) based on the ones constructed for ringed topoi. In §3.5, we establish some properties of the maps constructed in the previous section, including an enhanced version of (co)homological descent for smooth coverings. This property is crucial for the extension of the enhanced operation map to algebraic spaces and stacks in Chapter 5.

3.1. The flat model structure. Let  $(X, \mathcal{O}_X)$  be a ringed topos. In other words, X is a (Grothendieck) topos and  $\mathcal{O}_X$  is a sheaf of rings in X. An  $\mathcal{O}_X$ -module C is called *cotorsion* if  $\text{Ext}^1(F, C) = 0$  for every flat  $\mathcal{O}_X$ -module F. The following definition is a special case of [29, Definition 2.1].

**Definition 3.1.1.** Let K be a cochain complex of  $\mathcal{O}_X$ -modules.

- K is called a *flat complex* if it is exact and  $Z^n K$  is flat for all n.
- K is called a *cotorsion complex* if it is exact and  $Z^n K$  is cotorsion for all n.
- K is called a *dg-flat complex* if  $K^n$  is flat for every n, and every cochain map  $K \to C$ , where C is a cotorsion complex, is homotopic to zero.
- K is called a *dg-cotorsion complex* if  $K^n$  is cotorsion for every n, and every cochain map  $F \to K$ , where F is a flat complex, is homotopic to zero.

**Lemma 3.1.2.** Let  $(f, \gamma): (Y, \mathcal{O}_Y) \to (X, \mathcal{O}_X)$  be a morphism of ringed topoi. Then

- (1)  $(f, \gamma)^*$  preserves flat modules, flat complexes, and dg-flat complexes;
- (2)  $(f, \gamma)_*$  preserves cotorsion modules, cotorsion complexes, and dg-cotorsion complexes.

Recall that the functor  $(f, \gamma)^* = \mathcal{O}_Y \otimes_{f^*\mathcal{O}_X} f^* - : \operatorname{Mod}(X, \mathcal{O}_X) \to \operatorname{Mod}(Y, \mathcal{O}_Y)$  is a left adjoint of the functor  $(f, \gamma)_* : \operatorname{Mod}(Y, \mathcal{O}_Y) \to \operatorname{Mod}(X, \mathcal{O}_X)$ .

Proof. Let  $F \in Mod(X, \mathcal{O}_X)$  be flat, and  $C \in Mod(Y, \mathcal{O}_Y)$  cotorsion. We have a monomorphism  $Ext^1(F, (f, \gamma)_*C) \to Ext^1((f, \gamma)^*F, C) = 0$ . Thus,  $(f, \gamma)_*C$  is cotorsion. Moreover, since short exact sequences of cotorsion  $\mathcal{O}_Y$ -modules are exact as sequences of presheaves,  $(f, \gamma)_*$  preserves short exact sequences of cotorsion modules, hence it preserves cotorsion complexes. It follows that  $(f, \gamma)^*$  preserves dg-flat complexes.

It is well known that  $(f, \gamma)^*$  preserves flat modules and short exact sequences of flat modules. It follows that  $(f, \gamma)^*$  preserves flat complexes and hence  $(f, \gamma)_*$  preserves dg-cotorsion complexes.

The model structure in the following generalization of [29, Corollary 7.8] is called the *flat* model structure.

**Proposition 3.1.3.** Assume that X has enough points. Then there exists a combinatorial model structure on  $Ch(Mod(X, \mathcal{O}_X))$  such that

- The cofibrations are the monomorphisms with dg-flat cokernels.
- The fibrations are the epimorphisms with dg-cotorsion kernels.
- The weak equivalences are quasi-isomorphisms.

Furthermore, this model structure is monoidal with respect to the usual tensor product of chain complexes.

For a morphism  $(f, \gamma): (Y, \mathcal{O}_Y) \to (X, \mathcal{O}_X)$  of ringed topoi with enough points, the pair of functors  $((f, \gamma)^*, (f, \gamma)_*)$  is a Quillen adjunction between the categories  $\operatorname{Ch}(\operatorname{Mod}(Y, \mathcal{O}_Y))$  and  $\operatorname{Ch}(\operatorname{Mod}(X, \mathcal{O}_X))$  endowed with the flat model structures.

Remark 3.1.4. We have the following remarks about different model structures.

- (1) The functor id:  $\operatorname{Ch}(\operatorname{Mod}(X, \mathcal{O}_X))^{\operatorname{flat}} \to \operatorname{Ch}(\operatorname{Mod}(X, \mathcal{O}_X))^{\operatorname{inj}}$  is a right Quillen equivalence. Here  $\operatorname{Ch}(\operatorname{Mod}(X, \mathcal{O}_X))^{\operatorname{flat}}$  (resp.  $\operatorname{Ch}(\operatorname{Mod}(X, \mathcal{O}_X))^{\operatorname{inj}})$  is the model category  $\operatorname{Ch}(\operatorname{Mod}(X, \mathcal{O}_X))$  endowed with the flat model structure (resp. the injective model structure [53, Proposition 1.3.5.3]).
- (2) If X = * and  $\mathcal{O}_X = R$  is a (commutative) ring, then id:  $\operatorname{Ch}(\operatorname{Mod}(*, R))^{\operatorname{proj}} \to \operatorname{Ch}(\operatorname{Mod}(*, R))^{\operatorname{flat}}$  is a symmetric monoidal left Quillen equivalence between symmetric monoidal model categories. Here  $\operatorname{Ch}(\operatorname{Mod}(*, R))^{\operatorname{proj}}$  is the model category

Ch(Mod(*, R)) endowed with the (symmetric monoidal) projective model structure [53, Proposition 7.1.2.11].

To prove Proposition 3.1.3, we adapt the proof of [29, Corollary 7.8]. Let S be a site, and G a small topologically generating family [3, Exposé ii, Définition 3.0.1] of S. For a presheaf F on S, we put  $|F|_G \coloneqq \sup_{U \in G} \operatorname{card}(F(U))$ .

**Lemma 3.1.5.** Let  $\beta \ge \operatorname{card}(G)$  be an infinite cardinal such that  $\beta \ge \operatorname{card}(\operatorname{Hom}(U,V))$  for all U and V in G, and  $\kappa$  a cardinal  $\ge 2^{\beta}$ . Let F be a presheaf on S such that  $|F|_G \le \kappa$ , and  $F^+$  the sheaf associated to F. Then  $|F^+|_G \le \kappa$ .

*Proof.* By the construction in [3, Exposé ii, Définition 3.5], we have  $F^+ = LLF$ , where

$$(LF)(U) = \varinjlim_{R \in J(U)} \operatorname{Hom}_{\hat{S}}(R, F)$$

for  $U \in S$  in which J(U) is the set of sieves covering U and  $\hat{S}$  is the category of presheaves on S. By [3, Exposé ii, Proposition 3.0.4] and its proof,  $|LF|_G \leq \beta^2 \kappa^{\beta^2} = \kappa$ .

Let  $\mathcal{O}_S$  be a sheaf of rings on S. For an element  $U \in S$ , we denote by  $j_{U!}$  the left adjoint of the restriction functor  $\operatorname{Mod}(S, \mathcal{O}_S) \to \operatorname{Mod}(U, \mathcal{O}_U)$ . Using the fact that  $(j_{U!}\mathcal{O}_U)_{U \in G}$  is a family of flat generators of  $\operatorname{Mod}(S, \mathcal{O}_S)$ , we have the following analogue of [29, Lemma 7.7] with essentially the same proof.

**Lemma 3.1.6.** Let  $\beta \ge \operatorname{card}(G)$  be an infinite cardinal such that  $\beta \ge \operatorname{card}(\operatorname{Hom}(U, V))$  for all U and V in G. Let  $\kappa \ge \max\{2^{\beta}, |\mathfrak{O}_S|_G\}$  be a cardinal such that  $j_{U!}\mathfrak{O}_U$  is  $\kappa$ -generated for every U in G. Then the following conditions are equivalent for an  $\mathfrak{O}_S$ -module F:

- (1)  $|F|_G \leq \kappa$ ;
- (2) F is  $\kappa$ -generated;
- (3) F is  $\kappa$ -presentable.

Let F be an  $\mathcal{O}_S$ -premodule. We say that an  $\mathcal{O}_S$ -subpremodule  $E \subseteq F$  is G-pure if  $E(U) \subseteq F(U)$  is pure for every U in G. This implies that  $E^+ \subseteq F^+$  is pure. As in [19, Proposition 2.4], one proves the following.

**Lemma 3.1.7.** Let  $\beta \ge \operatorname{card}(G)$  be an infinite cardinal such that  $\beta \ge \operatorname{card}(\operatorname{Hom}(U, V))$  for all U and V in G. Let  $\kappa \ge \max\{2^{\beta}, |\mathfrak{O}_S|_G\}$  be a cardinal, and let  $E \subseteq F$  be  $\mathfrak{O}_S$ -premodules such that  $|E|_G \le \kappa$ . Then there exists a G-pure  $\mathfrak{O}_S$ -subpremodule E' of F containing E such that  $|E'|_G \le \kappa$ .

Proof of Proposition 3.1.3. We choose a site S of X, and a small topologically generating family G, and a cardinal  $\kappa$  satisfying the assumptions of Lemma 3.1.6. Using the previous lemmas, one shows as in the proof of [29, Corollary 7.8] that the conditions of [29, Theorem 4.12 & Theorem 5.1] are satisfied for  $\kappa$ , which finishes the proof.

Remark 3.1.8. Using the sheaves  $i_*(\mathbb{Q}/\mathbb{Z})$ , where *i* runs through points  $P \to X$  of X, one can show as in [28, Proposition 5.6] that a complex K of  $\mathcal{O}_X$ -modules is dg-flat if and only if  $K^n$  is flat for each n and  $K \otimes_{\mathcal{O}_X} L$  is exact for each exact sequence L of  $\mathcal{O}_X$ -modules.

3.2. Enhanced operations for ringed topoi. Let us start by recalling the category of ringed topoi.

**Definition 3.2.1.** Let  $\Re$  inged  $\mathcal{P}$ Topos be the (2, 1)-category of ringed  $\mathcal{U}$ -topoi in  $\mathcal{V}$  with enough points:

• An object of Ringed PTopos is a ringed topos  $(X, \mathcal{O}_X)$  such that X has enough points.

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- A morphism  $(X, \mathcal{O}_X) \to (X', \mathcal{O}_{X'})$  in Ringed PTopos is a morphism of ringed topoi in the sense of [3, Exposé iv, Définition 13.3], namely a pair  $(f, \gamma)$ , where  $f: X \to X'$  is a morphism of topoi and  $\gamma: f^* \mathcal{O}_{X'} \to \mathcal{O}_X$ .
- A 2-morphism  $(f_1, \gamma_1) \to (f_2, \gamma_2)$  in RingedPTopos is an equivalence  $\epsilon \colon f_1 \to f_2$  such that  $\gamma_2$  equals the composition  $f_2^* \mathcal{O}_{X'} \xrightarrow{\epsilon^*} f_1^* \mathcal{O}_{X'} \xrightarrow{\gamma_1} \mathcal{O}_X$ .
- Composition of morphisms and 2-morphisms are defined in the obvious way.

We sometimes simply write X for an object of Ringed PTopos if the structure sheaf is insensitive.

Our goal in this section is to construct a functor

(3.1) 
$$\mathbf{T}: \mathbf{N}(\mathfrak{R}inged \mathcal{P} \mathcal{T}opos^{op})^{\mathrm{II}} \to \mathfrak{Cat}_{\infty}$$

that is a lax Cartesian structure such that the induced functor  $\mathbf{T}^{\otimes}$  (see Remark 2.3.6) factorizes through  $\operatorname{CAlg}(\operatorname{Cat}_{\infty})_{\mathrm{pr,st,cl}}^{\mathrm{L}} \subseteq \operatorname{CAlg}(\operatorname{Cat}_{\infty})$ . In other words, we have the induced functor

(3.2) 
$$\mathbf{T}^{\otimes} : \mathbf{N}(\mathfrak{R}_{inged}\mathfrak{P}\mathcal{T}_{opos}^{op}) \to \mathbf{CAlg}(\mathfrak{C}_{at_{\infty}})_{pr \ st \ cl}^{L}$$

where  $\operatorname{CAlg}(\operatorname{Cat}_{\infty})_{\operatorname{pr,st,cl}}^{\operatorname{L}}$  is defined in Definition 2.3.2.

Let  $\operatorname{Cat}_1^+$  be the (2,1)-category of *marked categories*, namely pairs ( $\mathcal{C}, \mathcal{E}$ ) consisting of an (ordinary) category  $\mathcal{C}$  and a set of arrows  $\mathcal{E}$  containing all identity arrows. We have a simplicial functor  $\operatorname{Cat}_1^+ \to \operatorname{Set}_\Delta^+$  sending ( $\mathcal{C}, \mathcal{E}$ ) to (N( $\mathcal{C}$ ),  $\mathcal{E}$ ). We start by constructing a pseudofunctor

$$\Gamma : (\mathfrak{R}inged \mathcal{P} \mathcal{T}opos^{op})^{\amalg} \to \mathfrak{C}at_1^+.$$

Recall that to every object  $X \in \mathbb{R}$ inged  $\mathbb{P}$ Topos, we can associate a marked simplicial set

$$(N(Ch(Mod(X))_{dg-flat}), W(X)),$$

where  $\operatorname{Ch}(\operatorname{Mod}(X))_{\operatorname{dg-flat}} \subseteq \operatorname{Ch}(\operatorname{Mod}(X))$  is the full subcategory spanned by the dg-flat complexes, and W(X) is the set of quasi-isomorphisms. We define the image of an object  $(X_1, \ldots, X_m)$  under T to be

$$\prod_{i=1}^{m} (\operatorname{Ch}(\operatorname{Mod}(X_i))_{\operatorname{dg-flat}}, W(X_i)).$$

By definition, a (1-)morphism  $f: (X_1, \ldots, X_m) \to (Y_1, \ldots, Y_n)$  in  $(\text{RingedPTopos}^{op})^{\text{II}}$  consists of a map  $\alpha: \langle m \rangle \to \langle n \rangle$  and a morphism  $f_i: Y_{\alpha(i)} \to X_i$  in RingedPTopos for every  $i \in \alpha^{-1} \langle n \rangle^{\circ}$ . Now we define the image of f under T to be the functor

$$\prod_{i=1}^{m} (\operatorname{Ch}(\operatorname{Mod}(X_{i}))_{\operatorname{dg-flat}}, W(X_{i})) \to \prod_{j=1}^{n} (\operatorname{Ch}(\operatorname{Mod}(Y_{j}))_{\operatorname{dg-flat}}, W(Y_{j}))_{\operatorname{dg-flat}}, W(Y_{j}))_{\operatorname{dg-$$

where we take the unit object as the tensor product over an empty set. The image of 2-morphisms are defined in the obvious way. Composing with the simplicial functor  $\operatorname{Cat}_1^+ \to \operatorname{Set}_{\Delta}^+ \xrightarrow{\operatorname{Fibr}} (\operatorname{Set}_{\Delta}^+)^\circ$ and taking nerves, we obtain the desired functor  $\mathbf{T}$  (3.1).

#### Lemma 3.2.2. We have that

- (1) the functor  $\mathbf{T}$  is a lax Cartesian structure [53, Definition 2.4.1.1];
- (2) the functor  $\mathbf{T}^{\otimes}$  factorizes through  $\operatorname{CAlg}(\operatorname{Cat}_{\infty})_{\operatorname{pr,st,cl}}^{\operatorname{L}}$ ; and
- (3) the functor  $\mathbf{T}^{\otimes}$  sends small coproducts to products.

*Proof.* Part (1) is clear from the construction.

For (2), we note that for an object X of RingedPTopos, its image under **T**, denoted by  $\mathcal{D}(X)$ , is the fibrant replacement of  $(N(Ch(Mod(X))_{dg-flat}), W(X))$ . In particular, by Remark 3.1.4(1) and [53, Remark 1.3.4.16, Proposition 1.3.5.15],  $\mathcal{D}(X)$  is equivalent to the derived  $\infty$ -category of Mod(X) defined in [53, Definition 1.3.5.8]. It is a presentable stable  $\infty$ -category by [53, Propositions 1.3.5.9, 1.3.5.21(1)]. Combining this with Lemma 2.1.3, we deduce that the image of  $\mathbf{T}^{\otimes}$  is actually contained in CAlg( $\operatorname{Cat}_{\infty})_{\operatorname{pr.st.cl.}}^{\operatorname{Lr}}$ . This proves part (2).

Part (3) follows from the construction and Remark 2.3.3.

**Notation 3.2.3.** For an object X of Ringed PTopos, we denote the image of X under  $\mathbf{T}^{\otimes}$  by  $\mathcal{D}(X)^{\otimes}$ , which is a symmetric monoidal  $\infty$ -category, whose underlying  $\infty$ -category is denoted by  $\mathcal{D}(X)$  as in the proof of the previous lemma.

*Remark* 3.2.4. We have the following remarks.

- (1) The  $\infty$ -category  $\mathbf{T}((X_1, \ldots, X_m))$  is equivalent to  $\prod_{i=1}^m \mathcal{D}(X_i)$ .
- (2) By Remark 3.1.4(2) and [53, Remark 4.1.7.5], for every (commutative) ring R,  $\mathcal{D}(*, R)^{\otimes}$  is equivalent to the symmetric monoidal  $\infty$ -category  $\mathcal{D}(Ch(R))^{\otimes}$  defined in [53, Remark 7.1.2.12].
- (3) Let  $f: X \to X'$  be a morphism of Ringed PTopos. It follows from Remark 3.1.8 and [45, Lemma 14.4.1, Theorem 18.6.4] that the functors  $f^*: D(X') \to D(X)$  and  $-\otimes_X -: D(X) \times D(X) \to D(X)$  induced by  $\mathbf{T}^{\otimes}$  are equivalent to the respective functors constructed in [45, §18.6], where  $D(X) = h\mathcal{D}(X)$  and  $D(X') = h\mathcal{D}(X')$ .

Let Ring be the category of (small commutative) rings. To deal with torsion and adic coefficients simultaneously. We introduce the category Rind of ringed diagrams as follows.

**Definition 3.2.5** (Ringed diagram). We define a category Rind as follows:

- An object of Rind is a pair  $(\Xi, \Lambda)$ , called a *ringed diagram*, where  $\Xi$  is a small partially ordered set and  $\Lambda: \Xi^{op} \to Ring$  is a functor. We identify  $(\Xi, \Lambda)$  with the topos of presheaves on  $\Xi$ , ringed by  $\Lambda$ . A typical example is  $(\mathbb{N}, n \mapsto \mathbb{Z}/\ell^{n+1}\mathbb{Z})$  with transition maps given by projections.
- A morphism of ringed diagrams  $(\Xi', \Lambda') \to (\Xi, \Lambda)$  is a pair  $(\Gamma, \gamma)$  where  $\Gamma: \Xi' \to \Xi$  is a functor (that is, an order-preserving map) and  $\gamma: \Gamma^*\Lambda := \Lambda \circ \Gamma^{op} \to \Lambda'$  is a morphism of  $\operatorname{Ring}^{\Xi'^{op}}$ .

For an object  $(\Xi, \Lambda)$  of  $\Re$  and an object  $\xi$  of  $\Xi$ , we define the over ringed diagram  $(\Xi, \Lambda)_{/\xi}$  to be the ringed diagram whose underlying category is  $\Xi_{/\xi}$  and the corresponding functor is  $\Lambda_{/\xi} := \Lambda \mid \Xi_{/\xi}$ .

For a topos X and a small partially ordered set  $\Xi$ , we denote by  $X^{\Xi}$  the topos Fun $(\Xi^{op}, X)$ . If  $(\Xi, \Lambda)$  is a ringed diagram, then  $\Lambda$  defines a sheaf of rings on  $X^{\Xi}$ , which we still denote by  $\Lambda$ . We thus obtain a pseudofunctor

$$(3.3) \qquad \qquad \mathbb{P}\mathsf{Topos} \times \mathfrak{R}\mathsf{ind} \to \mathfrak{R}\mathsf{inged} \mathbb{P}\mathsf{Topos}$$

carrying  $(X, (\Xi, \Lambda))$  to  $(X^{\Xi}, \Lambda)$ , where  $\mathcal{P}$ Topos is the (2, 1)-category of ringed topoi with enough points. Composing the nerve of (3.3) with **T** (3.1), we obtain a functor

that is a lax Cartesian structure.

**Notation 3.2.6.** By abuse of notation, we denote by  $\mathcal{D}(X,\lambda)^{\otimes}$  the image of an object  $(X,\lambda)$  of  $\mathcal{P}$ Topos ×  $\mathcal{R}$ ind under the induced functor

$$_{\mathcal{PT}opos} \mathrm{EO}^{\otimes} \coloneqq (_{\mathcal{PT}opos} \mathrm{EO}^{1})^{\otimes} \colon \mathrm{N}(\mathcal{PT}opos)^{op} \times \mathrm{N}(\mathcal{R}ind)^{op} \to \mathrm{CAlg}(\mathfrak{Cat}_{\infty})^{\mathrm{L}}_{\mathrm{pr,st,cl}},$$

whose underlying  $\infty$ -category is denoted by  $\mathcal{D}(X, \lambda)$  which is (equivalent to) the image of  $(X, \lambda, \langle 1 \rangle, \{1\})$  under the functor  $_{\mathcal{PTopos}} \mathrm{EO}^{\mathrm{I}}$ .

**Definition 3.2.7.** A morphism  $(\Gamma, \gamma)$ :  $(\Xi', \Lambda') \to (\Xi, \Lambda)$  of Rind is said to be *perfect* if for every  $\xi \in \Xi', \Lambda'(\xi)$  is a perfect complex in the derived category of  $\Lambda(\Gamma(\xi))$ -modules.

**Lemma 3.2.8.** Let  $f: Y \to X$  be a morphism of PTopos, and  $\pi: \lambda' \to \lambda$  a perfect morphism of Rind. Then the square

$$\begin{array}{ccc} (3.5) & \mathcal{D}(Y,\lambda') < \stackrel{f^*}{-\!\!\!\!-} \mathcal{D}(X,\lambda') \\ & \pi^* & & & & \\ \pi^* & & & & \\ \mathcal{D}(Y,\lambda) < \stackrel{f^*}{-\!\!\!-} \mathcal{D}(X,\lambda) \end{array}$$

is right adjointable and its transpose is left adjointable.

*Proof.* Write  $\lambda = (\Xi, \Lambda)$  and  $\lambda' = (\Xi', \Lambda')$ . For  $\xi \in \Xi'$ , we denote by  $e_{\xi}$  the natural morphism  $(\{\xi\}, \Lambda'(\xi)) \to (\Xi', \Lambda')$ . We show that (3.5) is right adjointable and  $\pi^*$  preserves small limits. As the family of functors  $(e_{\xi}^*)_{\xi \in \Xi'}$  is conservative, it suffices to show these assertions with  $\pi$  replaced by  $e_{\xi}$  and by  $\pi \circ e_{\xi}$ . In other words, we may assume  $\Xi' = \{*\}$ . We decompose  $\pi$  as

$$(\{*\}, \Lambda') \xrightarrow{t} (\{\zeta\}, \Lambda(\zeta)) \xrightarrow{s} (\Xi, \Lambda)_{/\zeta} \xrightarrow{i} (\Xi, \Lambda).$$

We show that the assertions hold with  $\pi^*$  replaced by  $i^*$ , by  $s^*$ , and by  $t^*$ . The assertions for  $i^*$  follow from Lemma 3.2.9 below. The assertions for  $s^*$  are trivial as  $s^* \simeq p_*$ , where  $p: (\Xi, \Lambda)_{/\zeta} \to (\{\zeta\}, \Lambda(\zeta))$ . As  $t_*$  is conservative, the assertions for  $t^*$  follow from the assertions for  $t_*$  and  $t_*t^* - \simeq \mathcal{H}om_{\Lambda(\zeta)}(\Lambda'^{\vee}, -)$ , which are trivial. Here we used the fact that for any perfect complex M in the derived category of  $\Lambda(\zeta)$ -modules, the natural transformation  $M \otimes_{\Lambda(\zeta)} - \to$  $\mathcal{H}om_{\Lambda(\zeta)}(M^{\vee}, -)$  is a natural equivalence, where  $M^{\vee} = \operatorname{Hom}_{\Lambda(\zeta)}(M, \Lambda(\zeta))$ . This applies to  $M = \Lambda'$  by the assumption that  $\pi$  is perfect.  $\Box$ 

**Lemma 3.2.9.** Let  $f: (X', \Lambda') \to (X, \Lambda)$  be a morphism of ringed topoi, and  $j: V \to U$  a morphism of X. Put  $j' \coloneqq f^{-1}(j): V' = f^{-1}(V) \to f^{-1}(U) = U'$ . Then the square

$$\begin{array}{c|c} \mathcal{D}(X_{/U}, \Lambda \times U) & \xrightarrow{j} \mathcal{D}(X_{/V}, \Lambda \times V) \\ f_{/U}^{*} & & \downarrow f_{/V}^{*} \\ \mathcal{D}(X_{/U'}', \Lambda' \times U') & \xrightarrow{j'^{*}} \mathcal{D}(X_{/V'}', \Lambda' \times V') \end{array}$$

is left adjointable and its transpose is right adjointable.

Proof. The functor  $j_!: \operatorname{Mod}(X_{/V}, \Lambda \times V) \to \operatorname{Mod}(X_{/U}, \Lambda \times U)$  is exact and induces a functor  $\mathcal{D}(X_{/V}, \Lambda \times V) \to \mathcal{D}(X_{/U}, \Lambda \times U)$ , left adjoint of  $j^*$ . The same holds for  $j'_!$ . The first assertion of the lemma follows from the existence of these left adjoints and the second assertion. The second assertion follows from the fact that  $j'^*$  preserves fibrant objects in  $\operatorname{Ch}(\operatorname{Mod}(-))^{\operatorname{inj}}$ .

Remark 3.2.10. Let  $\Xi$  be a poset and let  $\Lambda$  be a ring. Let  $\Lambda_{\Xi} \colon \Xi^{\text{op}} \to \Lambda$  be the constant functor of value  $\Lambda$  and let  $\rho \colon (\Xi, \Lambda_{\Xi}) \to (*, \Lambda)$  be the obvious morphism of ringed diagrams. By Remark 3.1.4(1) and [53, Proposition 1.3.4.25], for any topos X with enough points, we have an equivalence of  $\infty$ -categories  $\mathcal{D}(X, (\Xi, \Lambda_{\Xi})) \to \operatorname{Fun}(N(\Xi^{\text{op}}), \mathcal{D}(X, \Lambda))$ , via which  $\rho^*$  can be identified with the diagonal embedding  $\mathcal{D}(X, \Lambda) \to \operatorname{Fun}(N(\Xi^{\text{op}}), \mathcal{D}(X, \Lambda))$ . 3.3. Abstract descent properties. We start from the definition of morphisms with descent properties.

**Definition 3.3.1** (*F*-descent). Let  $\mathcal{C}$  be an  $\infty$ -category admitting pullbacks,  $F: \mathcal{C}^{op} \to \mathcal{D}$  a functor of  $\infty$ -categories, and  $f: X_0^+ \to X_{-1}^+$  a morphism of  $\mathcal{C}$ . We say that f is of *F*-descent if  $F \circ (X_{\bullet}^+)^{op}: \mathbb{N}(\Delta_+) \to \mathcal{D}$  is a limit diagram in  $\mathcal{D}$ , where  $X_{\bullet}^+: \mathbb{N}(\Delta_+)^{op} \to \mathcal{C}$  is a Čech nerve of f (see the definition after [52, Proposition 6.1.2.11]). We say that f is of universal *F*-descent if every pullback of f in  $\mathcal{C}$  is of *F*-descent. Dually, for a functor  $G: \mathcal{C} \to \mathcal{D}$ , we say that f is of *G*-codescent (resp. of universal *G*-codescent) if it is of  $G^{op}$ -descent (resp. of universal *G*-operator).

We say that a morphism f of an  $\infty$ -category  $\mathcal{C}$  is a *retraction* if it is a retraction in the homotopy category h $\mathcal{C}$ . Equivalently, f is a retraction if it can be completed into a weak retraction diagram [52, Definition 4.4.5.4] Ret  $\rightarrow \mathcal{C}$  of  $\mathcal{C}$ , corresponding to a 2-cell of  $\mathcal{C}$  of the form



The following is an  $\infty$ -categorical version of [30, Propositions 10.10, 10.11] (for ordinary descent) and [3, Exposé vbis, Proposition 3.3.1] (for cohomological descent).

**Lemma 3.3.2.** Let  $\mathcal{C}$  be an  $\infty$ -category admitting pullbacks, and  $F: \mathcal{C}^{op} \to \mathcal{D}$  a functor of  $\infty$ -categories. Then

- (1) Every retraction f in C is of universal F-descent.
- (2) Let

(3.6)



be a pullback diagram in  $\mathbb{C}$  such that the base change of f to  $(Z/X)^i$  is of F-descent for  $i \ge 0$  and the base change of p to  $(Y/X)^j$  is of F-descent for  $j \ge 1$ . Then p is of F-descent.

(3) Let



be a 2-cell of C such that h is of universal F-descent. Then f is of universal F-descent. (4) Let



be a 2-cell of C such that f is of F-descent and g is of universal F-descent. Then h is of F-descent.

The assumptions on f and p in (2) are satisfied if f is of F-descent and g and q are of universal F-descent.

*Proof.* For (1), it suffices to show that f is of F-descent. Consider the map  $N(\Delta_+)^{op} \times \text{Ret} \to \mathbb{C}$ , right Kan extension along the inclusion

$$K = \{[-1]\} \times \operatorname{Ret} \coprod_{\{[-1]\} \times \{\emptyset\}} \operatorname{N}(\boldsymbol{\Delta}_{+}^{\leqslant 0})^{op} \times \{\emptyset\} \subseteq \operatorname{N}(\boldsymbol{\Delta}_{+})^{op} \times \operatorname{Ret}$$

of the map  $K \to \mathbb{C}$  corresponding to the diagram



Then by [53, Corollary 4.7.2.9], the Čech nerve of f is split. Therefore, the assertion follows from the dual version of [52, Lemma 6.1.3.16].

For (2), let  $X_{\bullet\bullet}^+$ :  $N(\Delta_+)^{op} \times N(\Delta_+)^{op} \to \mathbb{C}$  be an augmented bisimplicial object of  $\mathbb{C}$  such that  $X_{\bullet\bullet}^+$  is a right Kan extension of (3.6), considered as a diagram  $N(\Delta_+^{\leq 0})^{op} \times N(\Delta_+^{\leq 0})^{op} \to \mathbb{C}$ . By assumption,  $F \circ (X_{i\bullet}^+)^{op}$  is a limit diagram in  $\mathcal{D}$  for  $i \ge -1$  and  $F \circ (X_{\bullet}^+)^{op}$  is a limit diagram in  $\mathcal{D}$  for  $j \ge 0$ . By the dual version of [52, Lemma 5.5.2.3],  $F \circ (X_{\bullet-1}^+)^{op}$  is a limit diagram in  $\mathcal{D}$ , which proves (2) since  $X_{\bullet-1}^+$  is a Čech nerve of p.

For (3), it suffices to show that f is of F-descent. Consider the diagram



in C. Since  $\operatorname{pr}_Z$  is a retraction, it is of universal *F*-descent by (1). It then suffices to apply (2). For (4), consider the diagram (3.7). By (3),  $\operatorname{pr}_Y$  is of universal *F*-descent. It then suffices to apply (2).

Next, we prove a descent lemma for general topoi. Let X be a topos that has enough points, with a fixed final object e. Let  $u_0: U_0 \to e$  be a covering, which induces a hypercovering  $u_{\bullet}: U_{\bullet} \to e$  by taking the Čech nerve. Let  $\Lambda$  be a sheaf of rings in X, and put  $\Lambda_n := \Lambda \times U_n$ . In particular, we obtain an augmented simplicial ringed topoi  $(X_{/U_{\bullet}}, \Lambda_{\bullet})$ , where  $U_{-1} = e$  and  $\Lambda_{-1} = \Lambda$ . Suppose that for every  $n \ge -1$ , we are given a strictly full subcategory  $\mathcal{C}_n$  ( $\mathcal{C} = \mathcal{C}_{-1}$ ) of  $\operatorname{Mod}(X_{/U_n}, \Lambda_n)$  such that for every morphism  $\alpha: [m] \to [n]$  of  $\Delta_+, u_{\alpha}^*: \operatorname{Mod}(X_{/U_n}, \Lambda_n) \to \operatorname{Mod}(X_{/U_m}, \Lambda_m)$  sends  $\mathcal{C}_n$  to  $\mathcal{C}_m$ . Then, applying the functor  $\mathcal{G} \circ \mathbf{T}^{\otimes}$  (3.2), we obtain an augmented cosimplicial  $\infty$ -category  $\mathcal{D}_{\mathfrak{C}_{\bullet}}(X_{/U_{\bullet}}, \Lambda_{\bullet})$ , where  $\mathcal{D}_{\mathfrak{C}_n}(X_{/U_n}, \Lambda_n)$  is the full subcategory of  $\mathcal{D}(X_{/U_n}, \Lambda_n)$  spanned by complexes whose cohomology sheaves belong to  $\mathcal{C}_n$ .

**Lemma 3.3.3.** Assume that for every object  $\mathscr{F}$  of  $Mod(X, \Lambda)$  such that  $u_{d_0^0}^*\mathscr{F}$  belongs to  $\mathfrak{C}_0$ , we have  $\mathscr{F} \in \mathfrak{C}$ . Then the natural map

$$\mathcal{D}_{\mathcal{C}}(X,\Lambda) \to \varprojlim_{n \in \mathbf{\Delta}} \mathcal{D}_{\mathcal{C}_n}(X_{/U_n},\Lambda_n)$$

is an equivalence of  $\infty$ -categories.

(3.7)

*Proof.* We first consider the case where  $C_n = \text{Mod}(X_{/U_n}, \Lambda_n)$  for  $n \ge -1$ . We apply [53, Corollary 4.7.5.3]: Assumption (1) follows from the fact that  $u_{d_0}^* : \mathcal{D}(X, \Lambda) \to \mathcal{D}(X_{/U_0}, \Lambda_0)$  is a morphism of  $\Pr_{\text{rst}}^{\text{L}}$ ; and the functor  $u_{d_0}^*$  is conservative since  $u_0$  is a covering. Therefore, it remains to check Assumption (2) of [53, Corollary 4.7.5.3], that is, the left adjointability of the diagram

$$\begin{array}{c|c} \mathcal{D}(X_{/U_m}, \Lambda_m) \xrightarrow{u_{d_0}^{*m+1}} \mathcal{D}(X_{/U_{m+1}}, \Lambda_{m+1}) \\ & u_{\alpha}^* \\ \mathcal{D}(X_{/U_n}, \Lambda_n) \xrightarrow{u_{d_0}^{*n+1}} \mathcal{D}(X_{/U_{n+1}}, \Lambda_{n+1}) \end{array}$$

for every morphism  $\alpha \colon [m] \to [n]$  of  $\Delta_+$ , where  $\alpha' \colon [m+1] \to [n+1]$  is the induced morphism. This is a special case of Lemma 3.2.9.

Now the general case follows from Lemma 3.3.4 below and the fact that  $u_{d_0}^*$  is exact.

**Lemma 3.3.4.** Let  $p: K^{\triangleleft} \to \operatorname{Cat}_{\infty}$  be a limit diagram. Suppose that for each vertex k of  $K^{\triangleleft}$ , we are given a strictly full subcategory  $\mathcal{D}_k \subseteq \mathcal{C}_k = p(k)$  such that

- (1) For every morphism  $f: k \to k'$ , the induced functor p(f) sends  $\mathcal{D}_k$  to  $\mathcal{D}_{k'}$ .
- (2) An object c of  $\mathcal{C}_{\infty}$  belongs to  $\mathcal{D}_{\infty}$  if and only if for every vertex k of K,  $p(f_k)(c)$  belongs to  $\mathcal{D}_k$ , where  $\infty$  denotes the cone point of  $K^{\triangleleft}$ ,  $f_k \colon \infty \to k$  is the unique edge.

Then the induced diagram  $q: K^{\triangleleft} \to \operatorname{Cat}_{\infty}$  sending k to  $\mathbb{D}_k$  is also a limit diagram.

Proof. Let  $\tilde{p}: X \to (K^{op})^{\triangleright}$  be a Cartesian fibration classified by p [52, Definition 3.3.2.2]. Let  $Y \subseteq X$  be the simplicial subset spanned by vertices in each fiber  $X_k$  that are in the essential image of  $\mathcal{D}_k$  for all vertices k of  $K^{\triangleleft}$ . The map  $\tilde{q} = \tilde{p} \mid Y: Y \to (K^{op})^{\triangleright}$  has the property that if  $f: x \to y$  is  $\tilde{p}$ -Cartesian and y belongs to Y, then x also belongs to Y by assumption (1), and f is  $\tilde{q}$ -Cartesian by the dual version of [52, Proposition 2.4.1.8]. It follows that  $\tilde{q}$  is a Cartesian fibration, which is in fact classified by q. By assumption (2) and [52, Corollary 3.3.3.2], q is a limit diagram.

# 3.4. Enhanced operations for quasi-compact and separated schemes.

**Notation 3.4.1.** For a property (P) in the category  $\Re$ ing, we say that a ringed diagram ( $\Gamma, \Lambda$ ) (Definition 3.2.5) has the property (P) if for every object  $\xi$  of  $\Xi$ , the ring  $\Lambda(\xi)$  has the property (P). We denote by  $\Re$ ind_{tor} the full subcategory of  $\Re$ ind consisting of torsion ringed diagrams.

Let  $\operatorname{Sch}^{\operatorname{qc.sep}} \subseteq \operatorname{Sch}$  be the full subcategory spanned by (small) coproducts of quasi-compact and separated schemes. For each object X of Sch (resp.  $\operatorname{Sch}^{\operatorname{qc.sep}}$ ), we denote by  $\operatorname{\acute{Et}}(X) \subseteq \operatorname{Sch}_{/X}(\operatorname{resp.} \operatorname{\acute{Et}}^{\operatorname{qc.sep}}(X) \subseteq \operatorname{Sch}_{/X}^{\operatorname{qc.sep}})$  the full subcategory spanned by the étale morphisms, which is naturally a site. We denote by  $X_{\operatorname{\acute{et}}}(\operatorname{resp.} X_{\operatorname{qc.sep.\acute{et}}})$  the associated topos, namely the category of sheaves on  $\operatorname{\acute{Et}}(X)$  (resp.  $\operatorname{\acute{Et}}^{\operatorname{qc.sep}}(X)$ ). In [3, Exposé vii, §1.2],  $\operatorname{\acute{Et}}(X)$  is called the étale site of X and  $X_{\operatorname{\acute{et}}}$  is called the étale topos of X. The inclusion  $\operatorname{\acute{Et}}^{\operatorname{qc.sep}}(X) \subseteq \operatorname{\acute{Et}}(X)$  induces an equivalence of topoi  $X_{\operatorname{\acute{et}}} \to X_{\operatorname{qc.sep.\acute{et}}}$ . In this chapter, we will not distinguish between  $X_{\operatorname{\acute{et}}}$  and  $X_{\operatorname{qc.sep.\acute{et}}}$ .

**Definition 3.4.2.** In what follows, we will often deal with  $\infty$ -categories of the form

$$(\mathfrak{C}^{op} \times \mathfrak{D}^{op})^{\mathrm{II}, op} \coloneqq ((\mathfrak{C}^{op} \times \mathfrak{D}^{op})^{\mathrm{II}})^{op}$$

where  $\mathcal{C}$  is an  $\infty$ -category and  $\mathcal{D}$  is a subcategory of N( $\mathcal{R}$ ind). Suppose that  $\mathcal{E}$  is a subset of edges of  $\mathcal{C}$  that contains every isomorphism.

We say that an edge  $f: (\{(X'_i, Y'_i)\}_{1 \leq i \leq m}) \to (\{(X_i, Y_i)\}_{1 \leq i \leq m})$  of  $(\mathcal{C}^{op} \times \mathcal{D}^{op})^{\mathrm{II}, op}$  statically belongs to  $\mathcal{E}$  if  $f^{op}$  is static (Definition 2.3.4) and the corresponding edge  $X'_i \to X_i$  (resp.  $Y'_i \to Y_i$ ) of  $\mathcal{C}$  (resp.  $\mathcal{D}$ ) belongs to  $\mathcal{E}$  (resp. is an isomorphism). By abuse of notation, we will denote again by  $\mathcal{E}$  the subset of edges of  $(\mathcal{C}^{op} \times \mathcal{D}^{op})^{\prod, op}$  that statically belong to  $\mathcal{E}$ . Moreover, if sometimes  $\mathcal{E}$  is defined by a property P, then edges that statically belong to  $\mathcal{E}$  are said to *statically have the property* P. We also denote by "all" the set of all edges of  $(\mathcal{C}^{op} \times \mathcal{D}^{op})^{\prod, op}$ .

For  $\mathcal{C} = N(Sch^{qc.sep})$ , we denote by

- F the set of morphisms of C locally of finite type;
- $P \subseteq F$  the subset consisting of proper morphisms;
- $I \subseteq F$  the subset consisting of local isomorphisms.

**Lemma 3.4.3.** Let  $\mathcal{D}$  be a subcategory of N(Rind). The natural map

$$\delta^*_{3,\{3\}}((\mathcal{N}(\operatorname{Sch}^{\operatorname{qc.sep}})^{op} \times \mathcal{D}^{op})^{\mathrm{II},op})^{\operatorname{cart}}_{P,I,\operatorname{all}} \to \delta^*_{2,\{2\}}((\mathcal{N}(\operatorname{Sch}^{\operatorname{qc.sep}})^{op} \times \mathcal{D}^{op})^{\mathrm{II},op})^{\operatorname{cart}}_{F,\operatorname{all}}$$

is a categorical equivalence.

*Proof.* The proof is similar to Corollary 1.0.4. Let  $F_{\text{ft}} \subseteq F$  be the set consisting of morphisms of finite type, and put  $I_{\text{ft}} := I \cap F_{\text{ft}}$ . Consider the following commutative diagram

$$\begin{split} \delta^*_{4,\{4\}}((\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op}\times\mathcal{D}^{op})^{\mathrm{II},op})^{\mathrm{cart}}_{P,I_{\mathrm{ft}},I,\mathrm{all}} &\longrightarrow \delta^*_{3,\{3\}}((\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op}\times\mathcal{D}^{op})^{\mathrm{II},op})^{\mathrm{cart}}_{F_{\mathrm{ft}},I,\mathrm{all}} \\ & \downarrow \\ \delta^*_{3,\{3\}}((\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op}\times\mathcal{D}^{op})^{\mathrm{II},op})^{\mathrm{cart}}_{P,I,\mathrm{all}} &\longrightarrow \delta^*_{2,\{2\}}((\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op}\times\mathcal{D}^{op})^{\mathrm{II},op})^{\mathrm{cart}}_{F,\mathrm{all}}. \end{split}$$

To show that the lower horizontal map is a categorical equivalence, it suffices to show that the other three maps are categorical equivalences.

In Theorem 1.0.1, we set k = 4,  $\mathcal{C} = (N(\mathrm{Sch}^{\mathrm{qc.sep}})^{op} \times \mathcal{D}^{op})^{\mathrm{II},op}$ ,  $\mathcal{E}_0 = F_{\mathrm{ft}}$ ,  $\mathcal{E}_1 = P$ ,  $\mathcal{E}_2 = I_{\mathrm{ft}}$ ,  $\mathcal{E}_3 = I$ , and  $\mathcal{E}_4 = \mathrm{all}$ . Note that we have a canonical isomorphism

$$(\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op} \times \mathcal{D}^{op})^{\mathrm{II}} \simeq (\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op})^{\mathrm{II}} \times_{\mathrm{N}(\mathcal{F}\mathrm{in}_*)} (\mathcal{D}^{op})^{\mathrm{II}}.$$

By Nagata compactification theorem [11, Theorem 4.1], condition (2) of Theorem 1.0.1 is satisfied. The other conditions are also satisfied by Lemma 2.3.5. It follows that the map in the upper horizontal arrow is a categorical equivalence. Similarly, using Theorem 1.0.1, one proves that the vertical arrows are also categorical equivalences.  $\Box$ 

*Remark* 3.4.4. The same proof shows that the lemma also holds with  $Sch^{qc.sep}$  replaced by the category of disjoint unions of quasi-compact *quasi*-separated schemes and *F* replaced by the set of *separated* morphisms locally of finite type.

Our goal is to construct a map (3.13) which encodes  $f^*$ ,  $f_!$  and the monoidal structure given by tensor product.

We start by encoding  $f^*$  and the monoidal structure. Composing the nerve of the pseudofunctor Sch^{qc.sep}  $\rightarrow \mathcal{P}$ Topos carrying X to  $X_{\acute{e}t}$  with  $\mathcal{P}_{\mathcal{P}$ Topos} EO^I (3.4), we obtain a functor

(3.8) 
$${}_{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}^{\mathrm{I}} \colon (\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op} \times \mathrm{N}(\mathrm{\mathcal{R}ind})^{op})^{\mathrm{II}} \to \mathrm{Cat}_{\infty}$$

that is a lax Cartesian structure, which induces a functor (Notation 3.2.3)

by Lemma 3.2.2.

To encode  $f_!$ , we resort to the technique of taking partial adjoints. Consider the composite map

$$(3.10) \quad \delta^*_{3,\{1,2,3\}}((\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op} \times \mathrm{N}(\mathrm{\mathcal{R}ind})^{op})^{\mathrm{II},op})^{\mathrm{cart}}_{P,I,\mathrm{all}} \\ \rightarrow (\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op} \times \mathrm{N}(\mathrm{\mathcal{R}ind})^{op})^{\mathrm{II}} \xrightarrow{\mathrm{Sch}^{\mathrm{qc.sep}}\mathrm{EO}^{\mathrm{I}}(3.8)} \mathrm{Cat}_{\infty}.$$

First, we apply the dual version of Proposition 2.2.4 to (3.10) for direction 1 to construct the partial right adjoint

(3.11) 
$$\delta_{3,\{2,3\}}^*((\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op} \times \mathrm{N}(\mathrm{\mathfrak{R}ind_{tor}})^{op})_{P,I,\mathrm{all}}^{\mathrm{L},op} \to \mathrm{Cat}_{\infty}$$

The adjointability condition for direction (1,2) is a special case of that for direction (1,3). We check the latter as follows.

**Lemma 3.4.5.** Let  $\alpha: \langle m \rangle \to \langle n \rangle$  be a morphism of  $\mathfrak{Fin}_*$ . Let  $f_i: X'_i \to X_i$  be proper morphisms of schemes in Sch^{qc.sep} and take  $\lambda_i \in \mathfrak{Rind}_{tor}$  for  $1 \leq i \leq m$ . For pullback squares



of schemes in  $\operatorname{Sch}^{\operatorname{qc.sep}}$  and morphisms  $\mu_j \to \prod_{\alpha(i)=j} \lambda_i$  in  $\operatorname{Rind_{tor}}$  for  $1 \leq j \leq n$ , the square

given by pullback and tensor product is right adjointable.

Note that the right adjoints of the horizontal arrows admit right adjoints. Indeed, for the lower arrow we may assume  $X_i$  quasi-compact and apply Lemma 2.1.4.

*Proof.* Decomposing the product categories with respect to  $\langle n \rangle$ , we are reduced to two cases: (a) n = 0; (b) n = 1 and  $\alpha(\langle m \rangle^{\circ}) \subseteq \{1\}$ . Case (a) is trivial. For case (b), writing  $(f_i)_{1 \leq i \leq m}$  as a composition, we may further assume that at most one  $f_i$  is not the identity. Changing notation, we are reduced to showing that for every pullback square

$$\begin{array}{c|c} Y' & \xrightarrow{f'} Y \\ g' & & & \downarrow g \\ X' & \xrightarrow{f} X \end{array}$$

of schemes in Sch^{qc.sep} with f proper and every morphism  $\pi: \mu \to \lambda$  in  $\Re$ ind_{tor}, the diagram

$$\mathcal{D}(Y',\mu) \overset{f'^{*}}{\longleftarrow} \mathcal{D}(Y,\mu)$$
$$\overset{(g',\pi)^{*}-\otimes f'^{*}\mathsf{K}}{\uparrow} \overset{\uparrow}{\longleftarrow} \overset{(g,\pi)^{*}-\otimes\mathsf{K}}{\underbrace{\mathcal{D}}(X',\lambda)} \overset{f^{*}}{\longleftarrow} \mathcal{D}(X,\lambda)$$

is right adjointable for every  $\mathsf{K} \in \mathcal{D}(Y,\mu)$ . As in the proof of Lemma 3.2.8, we easily reduce to the case with  $\lambda = (\{*\}, \Lambda)$  and  $\mu = (\{*\}, M)$ . This case is the combination of proper base change and projection formula. See [3, Exposé xvii, Théorème 4.3.1] for a proof in D⁻. Finally, the right completeness of unbounded derived categories [53, Proposition 1.3.5.21] implies that every object L of  $\mathcal{D}(X,\lambda)$  is the sequential colimit of  $\tau^{\leq n} L$ . The unbounded case follow since the vertical arrows and the right adjoints of the horizontal arrows preserve sequential colimits.  $\Box$ 

Second, we apply Proposition 2.2.4 to (3.11) for direction 2 to construct a map

(3.12) 
$$\delta_{3,\{3\}}^*((\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op} \times \mathrm{N}(\mathrm{\mathcal{R}ind_{tor}})^{op})_{P,I,\mathrm{all}}^{\mathrm{H},op}) \xrightarrow{\mathrm{cart}} \mathcal{C}at_{\infty}.$$

The adjointability condition for direction (2,1) follows from the fact that, for every separated étale morphism f of finite type between quasi-separated and quasi-compact schemes, the functor  $f_!$ constructed in [3, Exposé xvii, Théorème 5.1.8] is a left adjoint of  $f^*$  [3, Exposé xvii, Proposition 6.2.11]. The adjointability condition for direction (2,3) follows from étale base change and a trivial projection formula [45, Proposition 18.2.5].

Third, we compose (3.12) with (a quasi-inverse) of the categorical equivalence in Lemma 3.4.3 to construct a map

$$(3.13) \qquad \qquad \underset{\&ch^{qc.sep} \to C}{\operatorname{Sch}^{qc.sep} \to C} \operatorname{EO}^{\mathrm{II}} \colon \delta_{2,\{2\}}^{*} ((\mathsf{N}(\&ch^{qc.sep})^{op} \times \mathsf{N}(\operatorname{\mathcal{R}ind_{tor}})^{op})^{\mathrm{LI},op})_{F,\mathrm{all}}^{\mathrm{cart}} \to \operatorname{Cat}_{\infty}.$$

Now we explain how to encode  $f_*$  and  $f^!$  via adjunction. Note that we have a natural map from  $\delta_{2,\{2\}}^*((N(\operatorname{Sch}^{\operatorname{qc.sep}})^{op} \times N(\operatorname{Rind}_{\operatorname{tor}})^{op})^{\operatorname{II},op})_{F,\operatorname{all}}^{\operatorname{cart}}$  to  $N(\operatorname{Fin}_*)$ , whose fiber over  $\langle 1 \rangle$  is isomorphic to  $\delta_{2,\{2\}}^*N(\operatorname{Sch}^{\operatorname{qc.sep}})_{F,\operatorname{all}}^{\operatorname{qc.sep}} \times N(\operatorname{Rind}_{\operatorname{tor}})^{op}$ . Denote by  $_{\operatorname{Sch}^{\operatorname{qc.sep}}} \operatorname{EO}_!^*$  the restriction of  $_{\operatorname{Sch}^{\operatorname{qc.sep}}} \operatorname{EO}_!^{\operatorname{II}}$ to the above fiber. By construction, we see that the image of  $_{\operatorname{Sch}^{\operatorname{qc.sep}}} \operatorname{EO}_!^*$  actually factorizes through the subcategory  $\operatorname{Pr}_{\operatorname{st}}^{\operatorname{L}} \subseteq \operatorname{Cat}_{\infty}$ . In other words, (3.13) induces a map

(3.14) 
$$\operatorname{Sch}^{\operatorname{qc.sep}} \operatorname{EO}_{!}^{*} \colon \delta_{2,\{2\}}^{*} \operatorname{N}(\operatorname{Sch}^{\operatorname{qc.sep}})_{F,\operatorname{all}}^{\operatorname{cart}} \times \operatorname{N}(\operatorname{\operatorname{Rind}}_{\operatorname{tor}})^{op} \to \operatorname{Pr}_{\operatorname{st}}^{\operatorname{L}}.$$

Evaluating (3.9) at the object  $\langle 1 \rangle \in \mathcal{F}in_*$ , we obtain the map

(3.15) 
$$_{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}^* \colon \mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op} \times \mathrm{N}(\mathrm{\mathcal{R}ind})^{op} \to \mathcal{P}\mathrm{r}^{\mathrm{L}}_{\mathrm{st}}.$$

Note that this is equivalent to the map obtained by restricting (3.14) to the second direction, on N(Sch^{qc.sep})^{op} × N( $\Re$ ind_{tor})^{op}. Composing the equivalence  $\phi_{\mathcal{P}r_{st}}$  in Remark 2.2.5 with Sch^{qc.sep}EO^{*}, we obtain the map

$$_{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}_* \colon \mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}}) \times \mathrm{N}(\mathrm{\mathcal{R}ind}) \to \mathrm{\mathcal{P}r}^{\mathrm{R}}_{\mathrm{st}}.$$

Restricting (3.14) to the first direction, we obtain the map

(3.16) 
$$\operatorname{Sch}^{\operatorname{qc.sep}} \operatorname{EO}_{!} \colon \operatorname{N}(\operatorname{Sch}^{\operatorname{qc.sep}})_{F} \times \operatorname{N}(\operatorname{\operatorname{Rind}}_{\operatorname{tor}})^{op} \to \operatorname{Pr}_{\operatorname{st}}^{\operatorname{L}}.$$

Composing the equivalence  $\phi_{\mathcal{P}r_{st}}$  in Remark 2.2.5 with  $_{\mathrm{Sch}^{qc.sep}}\mathrm{EO}_!$ , we obtain the map

(3.17) 
$$\operatorname{Sch}^{\operatorname{qc.sep}} \operatorname{EO}^{!} \colon \operatorname{N}(\operatorname{Sch}^{\operatorname{qc.sep}})_{F}^{op} \times \operatorname{N}(\operatorname{\mathfrak{R}ind_{tor}}) \to \operatorname{Pr}_{\operatorname{st}}^{\operatorname{R}}.$$

**Variant 3.4.6.** Let  $Q(\subseteq F) \subseteq \operatorname{Ar}(\operatorname{Sch}^{\operatorname{qc.sep}})$  be the set of locally quasi-finite morphisms [1, 01TD]. Recall that base change for an integral morphism [3, Exposé viii, Corollaire 5.6] holds for all Abelian sheaves. Replacing proper base change by finite base change in the construction of (3.13), we obtain

$${}^{\mathrm{lqf}}_{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}^{\mathrm{II}} \colon \delta^*_{2,\{2\}}((\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op} \times \mathrm{N}(\mathrm{\mathcal{R}ind})^{op})^{\mathrm{II},op})^{\mathrm{cart}}_{Q,\mathrm{all}} \to \mathrm{Cat}_{\infty}.$$

When restricted to their common domain of definition, this map is equivalent to  $_{\text{Sch}^{qc.sep}} EO^{II}$  (3.13).

Notation 3.4.7. We introduce the following notation.

- (1) For an object  $(X, \lambda)$  of  $\operatorname{Sch}^{\operatorname{qc.sep}} \times \operatorname{Rind}$ , we denote its image under  $\operatorname{Sch}^{\operatorname{qc.sep}} \operatorname{EO}^{\otimes}$  by  $\mathcal{D}(X, \lambda)^{\otimes}$ , with the underlying  $\infty$ -category  $\mathcal{D}(X, \lambda)$ . In other words, we have  $\mathcal{D}(X, \lambda)^{\otimes} = \mathcal{D}(X_{\operatorname{\acute{e}t}}, \lambda)^{\otimes}$  and  $\mathcal{D}(X, \lambda) = \mathcal{D}(X_{\operatorname{\acute{e}t}}, \lambda)$ . By construction and Remark 3.2.4(2),  $\mathcal{D}(X, \lambda)$  is equivalent to the derived  $\infty$ -category of  $\operatorname{Mod}(X_{\operatorname{\acute{e}t}}^{\Xi}, \Lambda)$  if  $\lambda = (\Xi, \Lambda)$ , and the monoidal structure on  $\mathcal{D}(X, \lambda)^{\otimes}$  is an  $\infty$ -categorical enhancement of the usual (derived) tensor product in the classical derived category.
- (2) For a morphism  $f: (X', \lambda') \to (X, \lambda)$  of  $\operatorname{Sch}^{\operatorname{qc.sep}} \times \operatorname{Rind}$ , we denote its image under  $\operatorname{Sch}^{\operatorname{qc.sep}} \operatorname{EO}^{\otimes}$  by

$$f^{*\otimes} \colon \mathcal{D}(X,\lambda)^{\otimes} \to \mathcal{D}(X',\lambda')^{\otimes},$$

with the underlying functor  $f^*: \mathcal{D}(X, \lambda) \to \mathcal{D}(X', \lambda')$ . Note that  $f^*$  is an  $\infty$ -categorical enhancement of the usual (derived) pullback functor in the classical derived category, which is monoidal. If  $\lambda' \to \lambda$  is the identity, we denote the image of f under  $_{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}_*$  by

$$f_*: \mathcal{D}(Y,\lambda) \to \mathcal{D}(X,\lambda),$$

which is an  $\infty$ -categorical enhancement of the usual (derived) pushforward functor.

(3) For a morphism  $f: Y \to X$  locally of finite type of Sch^{qc.sep} and an object  $\lambda$  of  $\operatorname{Rind}_{\operatorname{tor}}$ , we denote its image under  $\operatorname{Sch}^{\operatorname{qc.sep}}\operatorname{EO}_!$  and  $\operatorname{Sch}^{\operatorname{qc.sep}}\operatorname{EO}^!$  by

$$f_! \colon \mathcal{D}(Y,\lambda) \to \mathcal{D}(X,\lambda), \quad f^! \colon \mathcal{D}(X,\lambda) \to \mathcal{D}(Y,\lambda)$$

which are  $\infty$ -categorical enhancement of the usual  $f_!$  and  $f'_!$  in the classical derived category, respectively.

Remark 3.4.8. In the previous discussion, we have constructed two maps

$$_{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}^{\mathrm{I}}, \quad _{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}^{\mathrm{II}}$$

from which we deduce the other six maps

$$_{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}^{\otimes}, \ _{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}^{*}_{!}, \ _{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}^{*}, \ _{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}_{*}, \$$

Moreover, maps  $_{\text{Sch}^{qc.sep}} \text{EO}^{\text{I}}$  and  $_{\text{Sch}^{qc.sep}} \text{EO}^{\text{II}}$  are equivalent on their common part of domain, which is  $(N(\text{Sch}^{qc.sep})^{op} \times N(\text{Rind}_{tor})^{op})^{\text{II}}$ .

Now we explain how Künneth Formula is encoded in the map _{Sch^{qc.sep}}EO^{II}. In particular, as special cases, Base Change and Projection Formula are also encoded. Suppose that we have a diagram

$$\begin{array}{c|c} Y_1 < \stackrel{q_1}{\longleftarrow} Y \stackrel{q_2}{\longrightarrow} Y_2 \\ f_1 & & & \downarrow f & & \downarrow f_2 \\ X_1 < \stackrel{p_1}{\longleftarrow} X \stackrel{p_2}{\longrightarrow} X_2, \end{array}$$

which exhibits Y as the limit  $Y_1 \times_{X_1} \times X \times_{X_2} Y_2$  and such that  $f_1$  and  $f_2$  (hence f) are locally of finite type. Fix an object  $\lambda$  of  $\operatorname{Rind}_{tor}$ . They together induce an edge

$$\begin{array}{c} ((Y_1,\lambda),(Y_2,\lambda)) \longrightarrow (Y,\lambda) \\ & \downarrow \\ ((X_1,\lambda),(X_2,\lambda)) \longrightarrow (X,\lambda) \end{array}$$

of  $\delta_{2,\{2\}}^*((N(\operatorname{Sch}^{qc.sep})^{op} \times N(\operatorname{Rind}_{tor})^{op})^{\amalg,op})_{F,\operatorname{all}}^{\operatorname{cart}}$  above the unique active map  $\langle 2 \rangle \to \langle 1 \rangle$  of  $\operatorname{Fin}_*$ . Applying the map  $_{\operatorname{Sch}^{qc.sep}} \operatorname{EO}^{II}$  and by adjunction, we obtain the following square

$$\begin{array}{c} \mathcal{D}(Y_1,\lambda) \times \mathcal{D}(Y_2,\lambda) \xrightarrow{q_1^* - \otimes_Y q_2^* -} \mathcal{D}(Y,\lambda) \\ f_{1!} \times f_{2!} \\ \downarrow \\ \mathcal{D}(X_1,\lambda) \times \mathcal{D}(X_2,\lambda) \xrightarrow{p_1^* - \otimes_X p_2^* -} \mathcal{D}(X,\lambda) \end{array}$$

in  $Cat_{\infty}$ . At the level of homotopy categories, this recovers the classical Künneth Formula.

We end this section by the following adjointability result.

**Lemma 3.4.9.** Let  $f: Y \to X$  be a morphism locally of finite type of Sch^{qc.sep}, and  $\pi: \lambda' \to \lambda$ a perfect morphism of Rind_{tor} (Definition 3.2.7). Then the square

$$\begin{aligned} \mathcal{D}(Y,\lambda') & \stackrel{f_!}{\longrightarrow} \mathcal{D}(X,\lambda') \\ \pi^* & \uparrow \\ \mathcal{D}(Y,\lambda) & \stackrel{f_!}{\longrightarrow} \mathcal{D}(X,\lambda), \end{aligned}$$

is right adjointable and its transpose is left adjointable.

*Proof.* The assertion being trivial for f in I, we may assume f in P. As in the proof of Lemma 3.2.8, we are reduced to the case where  $\pi^*$  is replaced  $e_{\zeta}^*$  and  $t_* \circ t^*$ , respectively. Here, we have maps  $(\{*\}, \Lambda') \xrightarrow{t} (\{\zeta\}, \Lambda(\zeta)) \xrightarrow{e_{\zeta}} (\Xi, \Lambda)$ .

The assertion for  $t_* \circ t^*$  is trivial, since a left adjoint of  $t_* \circ t^*$  is  $-\bigotimes_{\Lambda(\zeta)} \Lambda'^{\vee} \simeq \mathcal{H}om_{\Lambda(\zeta)}(\Lambda', -)$ , where  $\Lambda'^{\vee} = \mathcal{H}om_{\Lambda(\zeta)}(\Lambda', \Lambda(\zeta))$ . We denote by  $e_{\zeta^{\dagger}}$  a left adjoint of  $e_{\zeta}^*$ . For  $\xi \in \Xi$ , since  $e_{\xi}^*$ commutes with  $f_*$  by Lemma 3.2.8, it suffices to check that  $e_{\xi}^* \circ e_{\zeta^{\dagger}}$  commutes with  $f_*$ . Here  $e_{\xi} : (\{\xi\}, \Lambda(\xi)) \to (\Xi, \Lambda)$  is the obvious morphism. For  $\xi \leq \zeta$ , we have  $e_{\xi}^* \circ e_{\zeta^{\dagger}} \simeq -\bigotimes_{\Lambda(\zeta)} \Lambda(\xi)$ and the assertion follows from projection formula. For other  $\xi \in \Xi$ , the map  $e_{\xi}^* \circ e_{\zeta^{\dagger}}$  is zero.  $\Box$ 

3.5. **Poincaré duality and (co)homological descent.** For an object X of Sch^{qc.sep} and an object  $\lambda = (\Xi, \Lambda)$  of Rind, we have a t-structure  $(\mathcal{D}^{\leq 0}(X, \lambda), \mathcal{D}^{\geq 0}(X, \lambda))$  on  $\mathcal{D}(X, \lambda)$ ,¹⁰ which induces the usual t-structure on its homotopy category  $D(X_{\text{ét}}^{\Xi}, \Lambda)$ . We denote by  $\tau^{\leq 0}$  and  $\tau^{\geq 0}$  the corresponding truncation functors. The heart

$$\mathcal{D}^{\heartsuit}(X,\lambda) \coloneqq \mathcal{D}^{\leqslant 0}(X,\lambda) \cap \mathcal{D}^{\geqslant 0}(X,\lambda) \subseteq \mathcal{D}(X,\lambda)$$

is canonically equivalent to (the nerve of) the Abelian category

$$\operatorname{Mod}(X,\lambda) \coloneqq \operatorname{Mod}(X_{\operatorname{\acute{e}t}}^{\Xi},\Lambda).$$

The constant sheaf  $\lambda_X$  on  $X^{\Xi}$  of value  $\Lambda$  is an object of  $\mathcal{D}^{\heartsuit}(X,\lambda)$ .

We fix a nonempty set  $\Box$  of rational primes. Recall that a ring R is a  $\Box$ -torsion ring if each element is killed by an integer that is a product of primes in  $\Box$ . In particular, a  $\Box$ -torsion ring is a torsion ring. We denote by  $\operatorname{Rind}_{\Box\text{-tor}} \subseteq \operatorname{Rind}_{tor}$  the full subcategory spanned by  $\Box$ -torsion ringed diagrams. Recall that a scheme X is  $\Box$ -coprime if  $\Box$  does not contain any residue characteristic of X. Let  $\operatorname{Sch}_{\Box}^{\operatorname{qc.sep}}$  be the full subcategory of  $\operatorname{Sch}_{\Box}^{\operatorname{qc.sep}}$  spanned by  $\Box$ -coprime schemes. In particular,  $\operatorname{Spec} \mathbb{Z}[\Box^{-1}]$  is a final object of  $\operatorname{Sch}_{\Box}^{\operatorname{qc.sep}}$ . By abuse of notation, we still use A and F to denote  $A \cap \operatorname{Ar}(\operatorname{Sch}_{\Box}^{\operatorname{qc.sep}})$  and  $F \cap \operatorname{Ar}(\operatorname{Sch}_{\Box}^{\operatorname{qc.sep}})$ , respectively. Moreover, let  $L \subseteq F$  be the set of smooth morphisms.

 $^{^{10}}$ We use a *cohomological* indexing convention, which is different from [53, Definition 1.2.1.4].

## **Definition 3.5.1** (Tate twist). We define a functor

$$\operatorname{tw} \colon (\operatorname{N}(\operatorname{\mathcal{R}ind}_{\Box\operatorname{-tor}})^{op})^{\triangleleft} \to \operatorname{Cat}_{\infty}$$

such that

- (1) the restriction of tw to  $N(\Re ind_{\Box-tor})^{op}$  coincides with the restriction of the functor  $_{\mathrm{Sch}^{qc.sep}}\mathrm{EO}^*$  (3.15) to  $\{\operatorname{Spec}\mathbb{Z}[\Box^{-1}]\} \times N(\Re ind_{\Box-tor})^{op};$
- (2) tw( $-\infty$ ) equals  $\Delta^0$ ;
- (3) for every object  $\lambda$  of  $\Re$ ind_{$\Box$ -tor}, the image of 0 under the functor tw $(-\infty \rightarrow \lambda)$  is the Tate twisted sheaf, denoted by  $\lambda_{\Box}(1)$ , is dualizable in the symmetric monoidal  $\infty$ -category  $\mathcal{D}(\operatorname{Spec} \mathbb{Z}[\Box^{-1}], \lambda)^{\otimes}$ .

Let  $(X, \lambda)$  be an object of  $\operatorname{Sch}_{\Box}^{\operatorname{qc.sep}} \times \operatorname{Rind}_{\Box\operatorname{-tor}}$ . We define the following functor

$$-\langle 1 \rangle \coloneqq (- \otimes s_X^* \lambda_{\Box}(1))[2] \colon \mathcal{D}(X, \lambda) \to \mathcal{D}(X, \lambda),$$

where  $s_X \colon X \to \operatorname{Spec} \mathbb{Z}[\square^{-1}]$  is the structure morphism. We know that  $-\langle 1 \rangle$  is an autoequivalence since  $\lambda_{\square}(1)$  is dualizable and  $s_X^*$  is monoidal. In general, for  $d \in \mathbb{Z}$ , we define  $-\langle d \rangle$  to be the (inverse of the, if d < 0) |d|-th iteration of  $-\langle 1 \rangle$ .

We adapt the classical theory of trace maps and the Poincaré duality to the  $\infty$ -categorical setting, as follows. Let  $f: Y \to X$  be a flat morphism in  $\mathrm{Sch}_{\square}^{\mathrm{qc.sep}}$ , locally of finite presentation, and such that every geometric fiber has dimension  $\leq d$ . Let  $\lambda$  be an object of  $\mathrm{Rind}_{\square\text{-tor}}$ . In [3, Exposé xviii, Théorème 2.9], Deligne constructed the trace map

(3.18) 
$$\operatorname{Tr}_{f} = \operatorname{Tr}_{f,\lambda} \colon \tau^{\geq 0} f_{!} \lambda_{Y} \langle d \rangle \to \lambda_{X},$$

which turns out to be a morphism of  $\mathcal{D}^{\heartsuit}(X, \lambda)$ . The construction satisfies the following functorial properties.

**Lemma 3.5.2** (Functoriality of trace maps). The trace maps  $\text{Tr}_f$  for all such f and  $\lambda$  are functorial in the following sense:

(1) For every morphism  $\lambda \to \lambda'$  of  $\operatorname{Rind}_{\Box-\operatorname{tor}}$ , the diagram

$$\tau^{\geq 0} f_! \lambda_Y \langle d \rangle$$

$$\tau^{\geq 0}((\tau^{\geq 0} f_! \lambda'_Y \langle d \rangle) \otimes_{\lambda'_X} \lambda_X) \xrightarrow{\tau^{\geq 0}(\operatorname{Tr}_{f,\lambda'} \otimes_{\lambda'_X} \lambda_X)} \lambda_X$$

commutes.

(2) For every Cartesian diagram

$$\begin{array}{c|c} Y' \xrightarrow{f'} X' \\ v \\ v \\ Y \xrightarrow{f} X \end{array}$$

of  $\operatorname{Sch}_{\square}^{\operatorname{qc.sep}}$ , the diagram

$$\begin{array}{c|c} u^* \tau^{\geq 0} f_! \lambda_Y \langle d \rangle & \xrightarrow{u^* \operatorname{Tr}_f} & u^* \lambda_X \\ \simeq & & & \downarrow \simeq \\ \tau^{\geq 0} f'_! \lambda_{Y'} \langle d \rangle & \xrightarrow{\operatorname{Tr}_{f'}} & \lambda_{X'} \end{array}$$

commutes.

(3) Consider a 2-cell



of  $N(Sch_{\Box}^{qc.sep})$  with f (resp. g) flat, locally of finite presentation, and such that every geometric fiber has dimension  $\leq d$  (resp.  $\leq e$ ). Then h is flat, locally of finite presentation, and such that every geometric fiber has dimension  $\leq d + e$ , and the diagram



commutes.

Proof. This is [3, Exposé xviii, §2].

Let  $f: Y \to X$  be as above. We have the following 2-cell



of  $\operatorname{Cat}_{\infty}$ . If we abuse of notation by writing  $f^*\langle d \rangle$  for  $-\langle d \rangle \circ f^*$ , then the composition

$$(3.19) u_f \colon f_! \circ f^* \langle d \rangle \xrightarrow{\sim} f_! \lambda_Y \langle d \rangle \otimes - \to \tau^{\geq 0} f_! \lambda_Y \langle d \rangle \otimes - \xrightarrow{\operatorname{Tr}_f \otimes -} \lambda_X \otimes - \xrightarrow{\sim} \operatorname{id}_X$$

is a natural transformation, where  $id_X$  is the identity functor of  $\mathcal{D}(X,\lambda)$ .

**Lemma 3.5.3.** If  $f: Y \to X$  is smooth and of pure relative dimension d, then  $u_f$  is a counit transformation. In particular, the functors  $f^*\langle d \rangle$  and  $f^!$  are equivalent.

*Proof.* This follows from [3, Exposé xviii, Théorème 3.2.5] and the fact that  $f^!$  is right adjoint to  $f_!$ .

Remark 3.5.4. Let  $f: Y \to X$  be a morphism in Sch^{qc.sep} that is flat, locally quasi-finite, and locally of finite presentation. Let  $\lambda$  be an object of  $\Re$  ind (see Variant 3.4.6 for the definition of the enhanced operation map in this setting). In [3, Exposé xvii, Théorème 6.2.3], Deligne constructed the trace map

$$\operatorname{Tr}_f: \tau^{\geq 0} f_! \lambda_Y \to \lambda_X,$$

which is a morphism of  $\mathcal{D}^{\heartsuit}(X,\lambda)$ . It coincides with the trace map (3.18) when both are defined, and satisfies similar functorial properties. Moreover, by [3, Exposé xvii, Proposition 6.2.11], the map  $u_f: f_! \circ f^* \to \operatorname{id}_X$  constructed similarly as (3.19) is a counit transform when f is étale. Thus, the functors  $f^!$  and  $f^*$  are equivalent in this case.

The following proposition will be used in the construction of the enhanced operation map for quasi-separated schemes.

**Proposition 3.5.5** ((Co)homological descent). Let  $f: X_0^+ \to X_{-1}^+$  be a smooth and surjective morphism of Sch^{qc.sep}. Then

- (1)  $(f, \mathrm{id}_{\lambda})$  is of universal  $_{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}^{\otimes}$ -descent (3.9), where  $\lambda$  is an arbitrary object of Rind;
- (2)  $(f, id_{\lambda})$  is of universal  $_{Sch^{qc.sep}}EO_{!}$ -codescent (3.16), where  $\lambda$  is an arbitrary object of  $\mathcal{R}ind_{tor}$ .

### See Definition 3.3.1 for the definition of universal (co)descent.

Proof. We first prove the case where f is étale. For (1), let  $X^+_{\bullet}$  be a Čech nerve of f, and put  $(\mathcal{D}^{\otimes *})^{\bullet}_{+} \coloneqq {}_{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}^{\otimes} \circ ((X^+_{\bullet})^{op} \times \{\lambda\})$ . By Remark 2.3.3, we only need to check that  $(\mathcal{D}^*)^{\bullet}_{+} = \mathrm{G} \circ (\mathcal{D}^{\otimes *})^{\bullet}_{+}$  is a limit diagram, where G is the functor (2.1). This is a special case of Lemma 3.3.3 by letting  $U_{\bullet}$  be the sheaf represented by  $X^+_{\bullet}$ , and  $\mathcal{C}_{\bullet}$  be the whole category. For (2), we only need to prove that  $(\mathcal{D}^!)^{\bullet}_{+} \coloneqq \phi \circ_{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}^! \circ ((X^+_{\bullet})^{op} \times \{\lambda\})$  is a limit diagram, where  $\phi \colon \mathrm{Pr}^{\mathrm{R}}_{\mathrm{st}} \to \mathrm{Cat}_{\infty}$  is the natural inclusion, and the functor  ${}_{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}^!$  is the one in (3.17). By Poincaré duality for étale morphisms recalled in Remark 3.5.4,  $(\mathcal{D}^!)^{\bullet}_{+}$  is equivalent to  $(\mathcal{D}^*)^{\bullet}_{+}$ , which is a limit diagram as we have already seen.

The general case where u is smooth follows from the above case by Lemma 3.3.2(3) (and its dual version), and the fact that there exists an étale surjective morphism  $g: Y \to X$  of Sch^{qc.sep} that factorizes through f [31, Corollaire 17.16.3(ii)].

### 4. The program DESCENT

From Remark 3.4.8, we know that all useful information of six operations for  $Sch^{qc.sep}$  is encoded in the maps  $_{Sch^{qc.sep}}EO^{I}$  (3.8) and  $_{Sch^{qc.sep}}EO^{II}$  (3.13) constructed in §3.4. In this chapter, we develop a program called DESCENT, which is an abstract categorical procedure to extend the above two maps to larger categories. The extended maps satisfy similar properties as the original ones. This program will be run in the next chapter to extend our theory successively to quasi-separated schemes, to algebraic spaces, to Artin stacks, and eventually to higher Deligne– Mumford and higher Artin stacks.

In §4.1, we describe the program by formalizing the data for Sch^{qc.sep}. In §4.2, we construct the extension of the maps. In §4.3, we prove the required properties of the extended maps.

4.1. **Description.** In §3.4, we constructed two maps  $_{\text{Sch}^{qc.sep}} \text{EO}^{I}$  (3.8) and  $_{\text{Sch}^{qc.sep}} \text{EO}^{II}$  (3.13). They satisfy certain properties such as descent for smooth morphisms (Proposition 3.5.5). We would like to extend these maps to maps defined on the  $\infty$ -category of higher Deligne–Mumford or higher Artin stacks, satisfying similar properties. We will achieve this in many steps, by first extending the maps to quasi-separated schemes, and then to algebraic spaces, and then to Artin stacks, and so on. All the steps are similar to each other. The output of one step provides the input for the next step. We will think of this as recursively running a program, which we name DESCENT. In this section, we axiomatize the input and output of this program in an abstract setting.

Let us start with a toy model.

**Proposition 4.1.1.** Let  $(\tilde{\mathbb{C}}, \tilde{\mathbb{E}})$  be a marked  $\infty$ -category such that  $\tilde{\mathbb{C}}$  admits pullbacks and  $\tilde{\mathbb{E}}$  is stable under composition and pullback. Let  $\mathbb{C} \subseteq \tilde{\mathbb{C}}$  be a full subcategory stable under pullback such that for every object X of  $\tilde{\mathbb{C}}$ , there exists a morphism  $Y \to X$  in  $\tilde{\mathbb{E}}$  representable in  $\mathbb{C}$  with Y in  $\mathbb{C}$ . Let  $\mathfrak{D}$  be an  $\infty$ -category such that  $\mathfrak{D}^{op}$  admits geometric realizations. Let  $\operatorname{Fun}^{\mathbb{E}}(\mathbb{C}^{op}, \mathfrak{D}) \subseteq$  $\operatorname{Fun}(\mathbb{C}^{op}, \mathfrak{D})$  (resp.  $\operatorname{Fun}^{\tilde{\mathcal{E}}}(\tilde{\mathbb{C}}^{op}, \mathfrak{D}) \subseteq \operatorname{Fun}(\tilde{\mathbb{C}}^{op}, \mathfrak{D})$ ) be the full subcategory spanned by functors F such that every edge in  $\mathbb{E} = \tilde{\mathbb{E}} \cap \mathbb{C}_1$  (resp. in  $\tilde{\mathbb{E}}$ ) is of F-descent. Then the restriction map

$$\operatorname{Fun}^{\mathcal{E}}(\tilde{\mathcal{C}}^{op}, \mathcal{D}) \to \operatorname{Fun}^{\mathcal{E}}(\mathcal{C}^{op}, \mathcal{D})$$

is a trivial fibration.

The proof will be given at the end of §4.2.

*Example* 4.1.2. Let  $\mathrm{Sch}^{\mathrm{qs}} \subseteq \mathrm{Sch}$  be the full subcategory spanned by quasi-separated schemes. It contains  $\mathrm{Sch}^{\mathrm{qc.sep}}$  as a full subcategory. By Proposition 3.5.5(1), we may apply Proposition 4.1.1 to

- $\tilde{\mathbb{C}} = (\mathrm{N}(\mathrm{Sch}^{\mathrm{qs}})^{op} \times \mathrm{N}(\mathrm{\mathcal{R}ind})^{op})^{\mathrm{II},op},$   $\mathbb{C} = (\mathrm{N}(\mathrm{Sch}^{\mathrm{qc.sep}})^{op} \times \mathrm{N}(\mathrm{\mathcal{R}ind})^{op})^{\mathrm{II},op},$
- $\mathcal{D} = \operatorname{Cat}_{\infty},$

• and the set  $\tilde{\mathcal{E}}$  consists of edges f that are statically smooth surjective (Definition 3.4.2). Then we obtain an extension of the map  ${}_{\mathrm{Sch}^{\mathrm{qc.sep}}}\mathrm{EO}^{\mathrm{I}}$  with larger source  $(\mathrm{N}(\mathrm{Sch}^{\mathrm{qs}})^{op} \times$  $N(\mathcal{R}ind)^{op})^{II}$ .

Now we describe the program in full. We begin by summarizing the categorical properties we need on the geometric side into the following definition.

**Definition 4.1.3.** An  $\infty$ -category C is *geometric* if it admits small coproducts and pullbacks such that

(1) Coproducts are disjoint: every coCartesian diagram



is also Cartesian, where  $\emptyset$  denotes an initial object of  $\mathcal{C}$ .

(2) Coproducts are universal: For a small collection of Cartesian diagrams



 $i \in I$ , the diagram

$$\bigvee_{i\in I} X_i \longrightarrow Z$$

is also Cartesian.

*Remark* 4.1.4. We have the following remarks about geometric categories.

- (1) Let C be geometric. Then a small coproduct of Cartesian diagrams of C is again Cartesian.
- (2) The  $\infty$ -categories N(Sch^{qc.sep}), N(Sch^{qs}), N(Esp), N(Chp),  $\check{C}hp^{k-Ar}$  and  $\check{C}hp^{k-DM}$  ( $k \ge 0$ ) appearing in this article are all geometric.

We now describe the input and the output of the program. The input has three parts: 0, I, and II. The output has two parts: I and II. We refer the reader to Example 4.1.12 for a typical example.

**Input 0**. We are given

- A 5-marked  $\infty$ -category  $(\tilde{\mathcal{C}}, \tilde{\mathcal{E}}_s, \tilde{\mathcal{E}}', \tilde{\mathcal{E}}'', \tilde{\mathcal{E}}_t, \tilde{\mathcal{F}})$ , a full subcategory  $\mathcal{C} \subseteq \tilde{\mathcal{C}}$ , and a morphism  $\mathsf{s}''\to\mathsf{s}'$  of (-1)-truncated objects of  $\mathfrak{C}$  [52, Definition 5.5.6.1].
- For each d ∈ Z ∪ {-∞}, a subset č["]_d of č["].
  A sequence of inclusions of ∞-categories L["] ⊆ L' ⊆ L.

• A function dim⁺:  $\tilde{\mathcal{F}} \to \mathbb{Z} \cup \{-\infty, +\infty\}$ .

Put  $\mathcal{E}_{s} := \tilde{\mathcal{E}}_{s} \cap \mathcal{C}_{1}, \, \mathcal{E}' := \tilde{\mathcal{E}}' \cap \mathcal{C}_{1}, \, \mathcal{E}'' := \tilde{\mathcal{E}}'' \cap \mathcal{C}_{1}, \, \mathcal{E}''_{d} := \tilde{\mathcal{E}}''_{d} \cap \mathcal{C}_{1} \, (d \in \mathbb{Z} \cup \{-\infty\}), \, \mathcal{E}_{t} := \tilde{\mathcal{E}}_{t} \cap \mathcal{C}_{1},$ and  $\mathcal{F} := \tilde{\mathcal{F}} \cap \mathcal{C}_{1}$ . Let  $\mathcal{C}'$  (resp.  $\tilde{\mathcal{C}}', \, \mathcal{C}''$ , and  $\tilde{\mathcal{C}}''$ ) be the full subcategory of  $\mathcal{C}$  (resp.  $\tilde{\mathcal{C}}, \, \mathcal{C}$ , and  $\tilde{\mathcal{C}}$ ) spanned by those objects that admit morphisms to s' (resp. s', s'', and s''). Put  $\mathcal{F}' \coloneqq \mathcal{F} \cap \mathcal{C}'_1$  and  $\tilde{\mathcal{F}}' \coloneqq \tilde{\mathcal{F}} \cap \tilde{\mathcal{C}}'_1$ . They satisfy

- (1)  $\tilde{\mathcal{C}}$  is geometric, and the inclusion  $\mathcal{C} \subseteq \tilde{\mathcal{C}}$  is stable under finite limits. Moreover, for every small coproduct  $X = \prod_{i \in I} X_i$  in  $\tilde{\mathcal{C}}$ , X belongs to  $\mathcal{C}$  if and only if  $X_i$  belongs to  $\mathcal{C}$  for all  $i \in I$ .
- (2)  $\mathcal{L}'' \subseteq \mathcal{L}'$  and  $\mathcal{L}' \subseteq \mathcal{L}$  are full subcategories. (3)  $\tilde{\mathcal{E}}_{s}, \tilde{\mathcal{E}}', \tilde{\mathcal{E}}'', \tilde{\mathcal{E}}_{t}, \tilde{\mathcal{F}}$  are stable under composition, pullback and small coproducts; and  $\tilde{\mathcal{E}}' \subseteq$  $\tilde{\mathcal{E}}'' \subseteq \tilde{\mathcal{E}}_{t} \subseteq \mathcal{F}.$
- (4) For every object X of  $\tilde{\mathbb{C}}$ , there exists an edge  $f: Y \to X$  in  $\tilde{\mathcal{E}}_{s} \cap \tilde{\mathcal{E}}'$  representable in  $\mathcal{C}$ with Y in  $\mathcal{C}$ . Such an edge f is called an *atlas* for X.
- (5) For every edge  $f: Y \to X$  in  $\tilde{\mathcal{E}}''$ , there exist 2-simplices

(4.1) 
$$Y = f_{d} \qquad f_{d} \qquad f_{d} \qquad X$$



- of  $\tilde{\mathcal{C}}$  with  $f_d$  in  $\tilde{\mathcal{E}}''_d$  for  $d \in \mathbb{Z}$ , such that the edges  $i_d$  exhibit Y as the coproduct  $\coprod_{d \in \mathbb{Z}} Y_d$ . (6) For every  $d \in \mathbb{Z} \cup \{-\infty\}$ , we have  $\tilde{\mathcal{E}}''_d \subseteq \tilde{\mathcal{E}}''$ , that  $\tilde{\mathcal{E}}''_d$  is stable under pullback and small coproducts, and that  $\tilde{\mathcal{E}}''_{-\infty}$  is the set of edges whose source is an initial object. For distinct integers d and e, we have  $\tilde{\mathcal{E}}''_d \cap \tilde{\mathcal{E}}''_e = \tilde{\mathcal{E}}''_{-\infty}$ .
- (7) For every small set I and every pair of objects X and Y of  $\tilde{\mathbb{C}}$ , the morphisms  $X \to X \coprod Y$ and  $\coprod_I X \to X$  are in  $\tilde{\mathcal{E}}_0^{\prime\prime}$ . For every 2-cell



of  $\tilde{\mathcal{C}}$  with f in  $\tilde{\mathcal{E}}''_d$  and g in  $\tilde{\mathcal{E}}''_e$ , where d and e are integers, h is in  $\tilde{\mathcal{E}}''_{d+e}$ .

- (8) The function  $\dim^+$  satisfies the following conditions.
  - (a) dim⁺(f) =  $-\infty$  if and only if f is in  $\tilde{\mathcal{E}}''_{-\infty}$ .
  - (b) The restriction of dim⁺ to  $\tilde{\mathcal{E}}''_d \tilde{\mathcal{E}}''_{-\infty}$  is of constant value d.
  - (c) For every 2-cell (4.2) in  $\tilde{\mathcal{C}}$  with edges in  $\tilde{\mathcal{F}}$ , we have  $\dim^+(h) \leq \dim^+(f) + \dim^+(g)$ , and that the equality holds when g belongs to  $\tilde{\mathcal{E}}_{s} \cap \tilde{\mathcal{E}}''$ .
  - (d) For every Cartesian diagram

$$\begin{array}{c|c} W & \stackrel{g}{\longrightarrow} Z \\ q & & \downarrow p \\ \gamma & & \downarrow p \\ Y & \stackrel{f}{\longrightarrow} X \end{array}$$

in  $\tilde{\mathcal{C}}$  with f (and hence g) in  $\tilde{\mathcal{F}}$ , we have  $\dim^+(g) \leq \dim^+(f)$ , and equality holds when p belongs to  $\mathcal{E}_{s}$ .

(e) For every edge  $f: Y \to X$  in  $\tilde{\mathcal{F}}$  and every small collection



of 2-simplices with  $g_i$  in  $\tilde{\mathcal{E}}'_{d_i}$  such that the morphism  $\coprod_{i \in I} Z_i \to Y$  is in  $\tilde{\mathcal{E}}_s$ , we have  $\dim^+(f) = \sup_{i \in I} \{\dim^+(h_i) - d_i\}.$ 

(9) We have  $\tilde{\mathcal{E}}' = \tilde{\mathcal{E}}''_0$ .

Remark 4.1.5. In Input 0, by (7) and (8c,d,e), for every small collection  $\{Y_i \xrightarrow{f_i} X_i\}_{i \in I}$  of edges in  $\tilde{\mathcal{F}}$ , we have  $\dim^+(\coprod_{i \in I} f_i) = \sup_{i \in I} \{\dim^+(f_i)\}.$ 

Input I. Input I consists of two maps as follows.

• The first abstract operation map:

$${}_{\mathcal{C}}\mathrm{EO}^{\mathrm{I}}\colon (\mathfrak{C}^{op}\times\mathcal{L}^{op})^{\mathrm{II}}\to \mathfrak{C}\mathrm{at}_{\infty}.$$

• The second abstract operation map:

$${}_{\mathcal{C}'}\mathrm{EO}^{\mathrm{II}}\colon \delta^*_{2,\{2\}}((\mathcal{C}'^{op}\times\mathcal{L}'^{op})^{\mathrm{II},op})^{\mathrm{cart}}_{\mathcal{F}',\mathrm{all}}\to \mathfrak{C}\mathrm{at}_{\infty}.$$

Input I is subject to the following properties:

- **P0:** Monoidal symmetry. The functor  ${}_{\mathbb{C}}\mathrm{EO}^{\mathrm{I}}$  is a lax Cartesian structure, and the induced functor  ${}_{\mathbb{C}}\mathrm{EO}^{\otimes} := ({}_{\mathbb{C}}\mathrm{EO}^{\mathrm{I}})^{\otimes}$  factorizes through  $\mathrm{CAlg}(\mathrm{Cat}_{\infty})^{\mathrm{L}}_{\mathrm{pr,st,cl}}$  (see Remark 2.3.6).
- **P1:** Disjointness. The map  ${}_{\mathbb{C}}\mathrm{EO}^{\otimes}$  sends small coproducts to products.
- **P2:** Compatibility. The restrictions of  ${}_{\mathcal{C}}\mathrm{EO}^{\mathrm{II}}$  and  ${}_{\mathcal{C}'}\mathrm{EO}^{\mathrm{II}}$  to  $(\mathcal{C}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II}}$  are equivalent functors.

Before stating the remaining properties, we have to fix some notation. Similar to the construction of (3.14), we obtain a map

$${}_{\mathcal{C}'}\mathrm{EO}_{!}^{*}\colon \delta_{2,\{2\}}^{*}\mathcal{C}_{\mathcal{F}',\mathcal{C}_{1}'}^{\prime\mathrm{cart}}\times\mathcal{L}^{\prime op}\to \mathfrak{Pr}_{\mathrm{st}}^{\mathrm{L}}.$$

from  $_{\mathcal{O}'} \mathrm{EO}^{\mathrm{II}}$ . Similar to the construction of (3.15) and (3.16), we obtain maps

$${}_{\mathcal{C}}\mathrm{EO}^* \colon \mathcal{C}^{op} \times \mathcal{L}^{op} \to \mathfrak{Pr}^{\mathrm{L}}_{\mathrm{st}}, \quad {}_{\mathcal{C}'}\mathrm{EO}_! \colon \mathcal{C}'_{\mathcal{F}'} \times \mathcal{L}'^{op} \to \mathfrak{Pr}^{\mathrm{L}}_{\mathrm{st}}$$

Moreover, we will use similar notation as in Notation 3.4.7 for the image of 0 and 1-cells under above maps, after replacing  $\operatorname{Sch}^{\operatorname{qc.sep}}$  (resp.  $\operatorname{Rind}$ ) by  $\mathcal{C}$  (resp.  $\mathcal{L}$ ). Now we are ready to state the remaining properties.

- **P3:** Conservativeness. If  $f: Y \to X$  belongs to  $\mathcal{E}_s$ , then  $f^*: \mathcal{D}(X, \lambda) \to \mathcal{D}(Y, \lambda)$  is conservative for every object  $\lambda$  of  $\mathcal{L}$ .
- **P4:** Descent. Let f be a morphism of  $\mathcal{C}$  (resp.  $\mathcal{C}'$ ) and  $\lambda$  an object of  $\mathcal{L}$  (resp.  $\mathcal{L}'$ ). If f belongs to  $\mathcal{E}_{s} \cap \mathcal{E}''$  (resp.  $\mathcal{E}_{s} \cap \mathcal{C}'_{1}$ ), then  $(f, id_{\lambda})$  is of universal  ${}_{\mathcal{C}}\mathrm{EO}^{\otimes}$ -descent (resp.  ${}_{\mathcal{C}'}\mathrm{EO}_{1}$ -codescent).

**P5:** Adjointability for  $\mathcal{E}'$ . Let



be a Cartesian diagram of  $\mathcal{C}'$  with f in  $\mathcal{E}'$ , and  $\lambda$  an object of  $\mathcal{L}'$ . Then

(1) The square

$$\begin{array}{c|c} \mathcal{D}(Z,\lambda) < \stackrel{p^*}{\longrightarrow} \mathcal{D}(X,\lambda) \\ g^* & \downarrow f^* \\ \mathcal{D}(W,\lambda) < \stackrel{q^*}{\longleftarrow} \mathcal{D}(Y,\lambda) \end{array}$$

has a right adjoint which is a square of  $\mathfrak{Pr}_{st}^{R}$ .

(2) If p is also in  $\mathcal{E}'$ , then the square

$$\begin{array}{c|c} \mathcal{D}(X,\lambda) \xleftarrow{f_!} \mathcal{D}(Y,\lambda) \\ p^* & \downarrow \\ p^* & \downarrow \\ \mathcal{D}(Z,\lambda) \xleftarrow{g_!} \mathcal{D}(W,\lambda) \end{array}$$

is right adjointable.

- **P5^{bis}:** Adjointability for  $\mathcal{E}''$ . We have the same statement as in (P5) after replacing  $\mathcal{C}'$  by  $\mathcal{C}''$ ,  $\mathcal{E}'$  by  $\mathcal{E}''$ , and  $\mathcal{L}'$  by  $\mathcal{L}''$ .
- Input II. Input II consists of the following data.
  - A functor tw:  $(\mathcal{L}''^{op})^{\triangleleft} \to \operatorname{Cat}_{\infty}$  satisfying that
    - the restriction of tw to  $\mathcal{L}^{\prime\prime op}$  coincides with the restriction of  ${}_{\mathbb{C}}\mathrm{EO}^*$  to  $\{\mathbf{s}^{\prime\prime}\} \times \mathcal{L}^{\prime\prime op}$ ; - tw $(-\infty)$  equals  $\Delta^0$ ;
    - for every object  $\lambda$  of  $\operatorname{Rind}_{\square\operatorname{-tor}}$ , if we denote the image of 0 under the functor  $\operatorname{tw}(-\infty \to \lambda) \colon \Delta^0 \to \mathcal{D}(\mathbf{s}'', \lambda)$  by  $\lambda(1)$ , then it is dualizable in the symmetric monoidal  $\infty$ -category  $\mathcal{D}(\mathbf{s}'', \lambda)^{\otimes}$ .
  - A t-structure on  $\mathcal{D}(X,\lambda)$  for every object X of C and every object  $\lambda$  of  $\mathcal{L}$ .
  - (*Trace map* for  $\mathcal{E}_t$ ) A map  $\operatorname{Tr}_f: \tau^{\geq 0} f_! \lambda_Y \langle d \rangle \to \lambda_X$  for every edge  $f: Y \to X$  in  $\mathcal{E}_t \cap \mathcal{C}''_1$ , every integer  $d \geq \dim^+(f)$ , and every object  $\lambda$  of  $\mathcal{L}''$ . Here,  $\lambda_X$  is a unit object of the monoidal  $\infty$ -category  $\mathcal{D}(X, \lambda)$  and similarly for  $\lambda_Y; -\langle d \rangle$  is defined in the same way as in Definition 3.5.1.
  - (*Trace map* for  $\mathcal{E}'$ ) A map  $\operatorname{Tr}_f: \tau^{\geq 0} f_! \lambda_Y \to \lambda_X$  for every edge  $f: Y \to X$  in  $\mathcal{E}' \cap \mathcal{C}'_1$  and every object  $\lambda$  of  $\mathcal{L}'$ , which coincides with the one above for  $f \in \mathcal{E}' \cap \mathcal{C}''_1$ .

Input II is subject to the following properties.

- **P6:** *t-structure.* Let  $\lambda$  be an arbitrary object of  $\mathcal{L}$ . We have
  - (1) For every object X of  $\mathcal{C}$ , we have  $\lambda_X \in \mathcal{D}^{\heartsuit}(X, \lambda)$ .
  - (2) If  $\lambda$  belongs to  $\mathcal{L}''$  and X is an object of  $\mathcal{C}''$ , then the auto-equivalence  $-\otimes s_X^*\lambda(1)$  of  $\mathcal{D}(X,\lambda)$  is t-exact.
  - (3) For every object X of C, the t-structure on D(X, λ) is accessible, right complete, and D^{≤-∞}(X, λ) := ∩_n D^{≤-n}(X, λ) consists of zero objects.
    (4) For every morphism f: Y → X of C, the functor f*: D(X, λ) → D(Y, λ) is t-exact.
- (4) For every morphism  $f: Y \to X$  of  $\mathcal{C}$ , the functor  $f^*: \mathcal{D}(X, \lambda) \to \mathcal{D}(Y, \lambda)$  is t-exact. **P7:** Poincaré duality for  $\mathcal{E}''$ . We have
  - (1) For every f in  $\mathcal{E}_t \cap \mathcal{C}''_1$ , every integer  $d \ge \dim^+(f)$ , and every object  $\lambda$  of  $\mathcal{L}''$ , the source of the trace map  $\operatorname{Tr}_f$  belongs to the heart  $\mathcal{D}^{\heartsuit}(X,\lambda)$ . Moreover,  $\operatorname{Tr}_f$  is functorial in the same way as in Lemma 3.5.2. See Remark 4.1.6 below for more details.
  - (2) For every f in  $\mathcal{E}''_d \cap \mathcal{C}''_1$ , and every object  $\lambda$  of  $\mathcal{L}''$ , the map  $u_f \colon f_! \circ f^* \langle d \rangle \to \mathrm{id}_X$ , induced by the trace map  $\mathrm{Tr}_f \colon \tau^{\geq 0} f_! \lambda_Y \langle d \rangle \to \lambda_X$  similarly as (3.19), is a counit transformation. Here  $\mathrm{id}_X$  is the identity functor of  $\mathcal{D}(X, \lambda)$ .

**P7^{bis}:** Poincaré duality for  $\mathcal{E}'$ . We have the same statement as in (P7) after letting d = 0, and replacing  $\mathcal{C}''$  by  $\mathcal{C}'$ ,  $\mathcal{E}_t$  by  $\mathcal{E}'$ , and  $\mathcal{L}''$  by  $\mathcal{L}'$ .

*Remark* 4.1.6. In (P7)(1) above, the trace maps  $\text{Tr}_f$  for all such f and  $\lambda$  are functorial in the following sense:

(1) For every morphism  $\lambda \to \lambda'$  of  $\mathcal{L}''$ , the diagram

$$\tau^{\geq 0} f_! \lambda_Y \langle d \rangle$$

$$\tau^{\geq 0} ((\tau^{\geq 0} f_! \lambda'_Y \langle d \rangle) \otimes_{\lambda'_X} \lambda_X) \xrightarrow{\tau^{\geq 0} (\operatorname{Tr}_{f,\lambda'} \otimes_{\lambda'_X} \lambda_X)} \lambda_X$$

commutes.

(2) For every Cartesian diagram

$$\begin{array}{c|c} Y' \xrightarrow{f'} X' \\ v & \downarrow u \\ Y \xrightarrow{f} X \end{array}$$

of  $\mathcal{C}''$ , the diagram



commutes.

(3) Consider a 2-cell



of  $\mathcal{C}''$  with  $f, g \in \mathcal{E}_t \cap \mathcal{C}''_1$  such that  $\dim^+(f) \leq d$  and  $\dim^+(g) \leq e$ . In particular, we have  $h \in \mathcal{E}_t \cap \mathcal{C}''_1$  and  $\dim^+(h) \leq d + e$ . Then the diagram

commutes.

Remark 4.1.7. We have the following remarks concerning input.

- (1) (P0) and (P4) imply the following: If f is an edge of  $(\mathcal{C}^{op} \times \mathcal{L}^{op})^{\mathrm{II},op}$  that statically belongs to  $\mathcal{E}_{\mathrm{s}} \cap \mathcal{E}''$ , then it is of universal  ${}_{\mathrm{e}}\mathrm{EO}^{\mathrm{I}}$ -descent.
- (2) (P4) implies that (P3) holds for  $f \in \mathcal{E}_{s} \cap \mathcal{E}''$ .
- (3) If  $d > \dim^+(f)$ , then the trace map  $\operatorname{Tr}_f$  is not interesting because its source  $\tau^{\geq 0} f_! \lambda_Y \langle d \rangle$  is a zero object. We have included such maps in the data in order to state the functoriality as in Remark 4.1.6 more conveniently.

(4) We extend the trace map to morphisms  $f: Y \to X$  in  $\mathcal{E}_t \cap \mathcal{C}''_1$  endowed with 2-simplices (4.1) satisfying  $\dim^+(f_d) \leq d$  and such that the morphisms  $i_d$  exhibit Y as  $\coprod_{d \in \mathbb{Z}} Y_d$ . For every object  $\lambda$  of  $\mathcal{L}''$ , the map

$$\mathcal{D}(Y,\lambda) \to \prod_{d \in \mathbb{Z}} \mathcal{D}(Y_d,\lambda),$$

induced by  $i_d$  is an equivalence by (P1). We write  $-\langle \dim^+ \rangle \colon \mathcal{D}(Y,\lambda) \to \mathcal{D}(Y,\lambda)$  for the product of  $(-\langle d \rangle \colon \mathcal{D}(Y_d,\lambda) \to \mathcal{D}(Y_d,\lambda))_{d \in \mathbb{Z}}$ . Since  $\lambda_Y \simeq \bigoplus_{d \in \mathbb{Z}} i_{d!}\lambda_{Y_d}$ , the maps  $\operatorname{Tr}_{f_d}$  induce a map  $\operatorname{Tr}_f \colon \tau^{\geq 0} f_! \lambda_Y \langle \dim^+ \rangle \to \lambda_X$ . Moreover, the trace map is functorial in the sense that an analogue of Remark 4.1.6 holds.

(5) (P7)(2) still holds for morphisms  $f: Y \to X$  in  $\mathcal{E}'' \cap \mathcal{C}''_1$ . For such morphisms, the 2-simplices in Input 0(5) are unique up to equivalence by Input 0(6). We write  $-\langle \dim f \rangle : \mathcal{D}(Y,\lambda) \to \mathcal{D}(Y,\lambda)$  for the product of  $(-\langle d \rangle : \mathcal{D}(Y_d,\lambda) \to \mathcal{D}(Y_d,\lambda))_{d \in \mathbb{Z}}$ . Then, (P7)(2) for the morphisms  $f_d$  implies that the map  $u_f : f_! \circ f^* \langle \dim f \rangle \to \mathrm{id}_X$  induced by the trace map  $\mathrm{Tr}_f : \tau^{\geq 0} f_! \lambda_Y \langle d \rangle \to \lambda_X$  is a counit transformation.

The output has two parts: I & II.

**Output I**. Output I consists of two maps as follows.

• The first abstract operation map:

$$_{\tilde{\mathfrak{C}}}\mathrm{EO}^{\mathrm{I}}\colon (\tilde{\mathfrak{C}}^{op}\times\mathcal{L}^{op})^{\mathrm{II}}\to \mathrm{Cat}_{\infty}$$

extending  ${}_{\mathbb{C}}\mathrm{EO}^{\mathrm{I}}$ .

• The second abstract operation map:

$$_{\tilde{\mathcal{C}}'}\mathrm{EO}^{\mathrm{II}}\colon \delta^*_{2,\{2\}}((\tilde{\mathcal{C}}'^{op}\times\mathcal{L}'^{op})^{\mathrm{II},op})^{\mathrm{cart}}_{\tilde{\mathcal{F}}',\mathrm{all}}\to \mathfrak{C}\mathrm{at}_\infty$$

extending _{e'}EO^{II}.

Output II. Output II consists of the following data, all extending the existed data in Input II.

- A functor tw:  $(\mathcal{L}''^{op})^{\triangleleft} \to \operatorname{Cat}_{\infty}$  same as in Input II.
- A t-structure on  $\mathcal{D}(X,\lambda)$  for every object X of  $\tilde{\mathcal{C}}$  and every object  $\lambda$  of  $\mathcal{L}$ .
- (*Trace map* for  $\tilde{\mathcal{E}}_t$ ) A map  $\operatorname{Tr}_f: \tau^{\geq 0} f_! \lambda_Y \langle d \rangle \to \lambda_X$  for every edge  $f: Y \to X$  in  $\tilde{\mathcal{E}}_t \cap \tilde{\mathcal{C}}_1''$ , every integer  $d \geq \dim^+(f)$ , and every object  $\lambda$  of  $\mathcal{L}''$ .
- (*Trace map* for  $\tilde{\mathcal{E}}'$ ) A map  $\operatorname{Tr}_f: \tau^{\geq 0} f_! \lambda_Y \to \lambda_X$  for every edge  $f: Y \to X$  in  $\tilde{\mathcal{E}}' \cap \tilde{\mathcal{C}}'_1$  and every object  $\lambda$  of  $\mathcal{L}'$ , which coincides with the one above for  $f \in \tilde{\mathcal{E}}' \cap \tilde{\mathcal{C}}''_1$ .

We introduce properties (P0) through (P7^{bis}) for Output I and II by replacing  $\mathcal{C}'$ ,  $\mathcal{C}''$  and  $(\mathcal{C}, \mathcal{E}_s, \mathcal{E}', \mathcal{E}'', \mathcal{E}_t, \mathcal{F})$  by  $\tilde{\mathcal{C}}', \tilde{\mathcal{C}}''$  and  $(\tilde{\mathcal{C}}, \tilde{\mathcal{E}}_s, \tilde{\mathcal{E}}', \tilde{\mathcal{E}}'', \tilde{\mathcal{E}}_t, \tilde{\mathcal{F}})$ , respectively. The following theorem shows how our program works.

# Theorem 4.1.8. Fix an Input 0. Then

- (1) Every Input I satisfying (P0) through (P5^{bis}) can be extended to an Output I satisfying (P0) through (P5^{bis}).
- (2) For given Input I, II satisfying (P0) through (P7^{bis}) and given Output I extending Input I and satisfying (P0) through (P5^{bis}), there exists an Output II extending Input II and satisfying (P6), (P7), (P7^{bis}).

Output I will be accomplished in 4.2. Output II and the proof of properties (P1) through (P7^{bis}) will be accomplished in 4.3.

**Variant 4.1.9.** Let us introduce a variant of DESCENT. In Input 0, we let  $\tilde{\mathcal{E}}' = \tilde{\mathcal{E}}''$ ,  $\mathbf{s}' \to \mathbf{s}''$  be a degenerate edge,  $\mathcal{L}' = \mathcal{L}''$ , and *ignore* (9). In Input II (resp. Output II), we also *ignore* the trace map for  $\mathcal{E}'$  (resp.  $\tilde{\mathcal{E}}'$ ) and property (P7^{bis}). In particular, (P5) and (P5^{bis}) coincide. Theorem 4.1.8 for this variant still holds and will be applied to (higher) Artin stacks.

*Remark* 4.1.10. We have the following remarks concerning Theorem 4.1.8.

- If the only goal is to extend the first and second operation maps, the statement of Theorem 4.1.8(1) can be made more compact: every Input I satisfying properties (P0), (P2), (P4), and (P5) can be extended to an Output I satisfying (P0), (P2), (P4), and (P5). This will follow from our proof of Theorem 4.1.8 in this chapter.
- (2) The Output I in Theorem 4.1.8(1) is unique up to equivalence. More precisely, we can define a simplicial set K classifying those Input I that satisfy (P2) and (P4). The vertices of K are triples ( ${}_{c}\text{EO}^{\text{I}}, {}_{c}\text{EO}^{\text{II}}, h$ ), where h is the equivalence in (P2). Similarly, let  $\tilde{K}$  be the simplicial set classifying those Output II that satisfy (P2) and (P4). Then the restriction map  $\tilde{K} \to K$  satisfies the right lifting property with respect to  $\partial \Delta^{n} \subseteq \Delta^{n}$  for all  $n \ge 1$ . One can show this by adapting our proof of Theorem 4.1.8. Moreover, in all the above, h can be taken to be the identity without loss of generality.
- (3) The Output II in Theorem 4.1.8(2) is also unique up to equivalence. More precisely, let us fix an Output I extending Input I and satisfying (P2) and (P4). Note that the functor tw remains the same. Fix an assignment of t-structures for the Input satisfying (P6). Then there exists a unique extension to the Output satisfying (P6). Moreover, for every assignment of traces for the Input satisfying (P7) (resp. (P7^{bis})), there exists a unique extension to the Output satisfying (P7) is defined in the heart, so that no homotopy issue arises.

**Definition 4.1.11.** For a morphism  $f: Y \to X$  locally of finite type between algebraic spaces, we define the *upper relative dimension* of f to be

$$\sup\{\dim(Y \times_X \operatorname{Spec} \Omega)\} \in \mathbb{Z} \cup \{-\infty, +\infty\}$$

[1, 04N6], where the supremum is taken over all geometric points  $\operatorname{Spec} \Omega \to X$ . We adopt the convention that the empty scheme has dimension  $-\infty$ .

Example 4.1.12. The initial input for DESCENT is the following:

- $\mathcal{C} = \mathcal{N}(\mathrm{Sch}^{\mathrm{qc.sep}})$ , and  $\mathbf{s}'' \to \mathbf{s}'$  is the unique morphism  $\operatorname{Spec} \mathbb{Z}[\Box^{-1}] \to \operatorname{Spec} \mathbb{Z}$ . In particular,  $\mathcal{C}' = \mathcal{C}$  and  $\tilde{\mathcal{C}}' = \tilde{\mathcal{C}}$ .
- $\tilde{\mathcal{E}}_{s}$  is the set of *surjective* morphisms.
- $\tilde{\mathcal{E}}'$  is the set of *étale* morphisms.
- $\tilde{\mathcal{E}}''$  is the set of *smooth* morphisms.
- $\tilde{\mathcal{E}}_d''$  is the set of *smooth* morphisms of pure relative dimension *d*.
- $\tilde{\mathcal{E}}_t$  is the set of morphisms that are flat and locally of finite presentation.
- $\tilde{\mathcal{F}}$  is the set of morphisms *locally of finite type*.
- $\mathcal{L} = \mathcal{N}(\mathcal{R}ind)^{op}$ ,  $\mathcal{L}' = \mathcal{N}(\mathcal{R}ind_{tor})^{op}$ , and  $\mathcal{L}'' = \mathcal{N}(\mathcal{R}ind_{\Box-tor})^{op}$ .
- dim⁺ is the (function of) upper relative dimension (Definition 4.1.11).
- $_{C}EO^{I}$  is (3.8), and  $_{C'}EO^{II}$  is (3.13).
- tw is defined in Definition 3.5.1.
- $\mathcal{D}(X,\lambda)$  is endowed with its usual t-structure recalled at the beginning of §3.5.
- The trace maps are the classical ones (3.18); see also Remark 3.5.4.

Properties (P0) through (P7^{bis}) are satisfied as follows:

- (P0) This is Lemma 3.2.2(1,2).
- (P1) This is Lemma 3.2.2(3).
- (P2) This follows from our construction. In fact, the two maps are equal in this case.
- (P3) This is obvious.
- (P4) This is Proposition 3.5.5.

- (P5) This follows from Lemma 4.1.13 below. Part (1) of (P5), namely the étale base change, is trivial.
- (P5^{bis}) This follows from Lemma 4.1.13 below. Part (1) of (P5^{bis}) is the smooth base change.
  - (P6) Part (3) follows from [53, Proposition 1.3.5.21]. The rest follows from construction.
  - (P7) This has been recalled in Lemma 3.5.2 and Lemma 3.5.3.
- (P7^{bis}) This has been recalled in Remark 3.5.4.

Lemma 4.1.13. Assume (P7). Then (P5) holds. In fact, we have the stronger result that part (2) of (P5) holds without the assumption that p is also in  $\mathcal{E}'$ . The similar statements hold concerning  $(P7^{bis})$  and  $(P5^{bis})$ .

*Proof.* We denote by  $p_*$  (resp.  $q_*$ ) a right adjoint of  $p^*$  (resp.  $q^*$ ) and by  $f^!$  (resp.  $g^!$ ) a right adjoint of  $f_!$  (resp.  $g_!$ ).

By (P7) or (P7^{bis}),  $f^*$  and  $g^*$  have left adjoints. Moreover, the diagram



is commutative up to homotopy. It follows that the top horizontal arrow is an equivalence. Since the diagram

is commutative up to homotopy, the bottom horizontal arrow is an equivalence.

4.2. Construction. The goal of this subsection is to construct the maps  $_{\tilde{\rho}} EO^{I}$  and  $_{\tilde{\rho}'} EO^{II}$  in Output I in §4.1. We will construct Output II and check the properties  $(P0) - (P7^{bis})$  in the next section.

Let us start from the construction of second abstract operation map  $_{\tilde{e}'} EO^{II}$ . The first one  $_{\tilde{\rho}}$ EO^I will be constructed at the end of this section, after the proof of Proposition 4.1.1.

Let  $\mathcal{R} \subseteq \tilde{\mathcal{F}}'$  be the subset of morphisms that are representable in  $\mathcal{C}'$ . We have successive inclusions

$$\begin{split} \delta^*_{2,\{2\}}((\mathcal{C}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II},op})^{\mathrm{cart}}_{\mathcal{F}',\mathrm{all}} &\subseteq \delta^*_{2,\{2\}}((\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II},op})^{\mathrm{cart}}_{\mathcal{R},\mathrm{all}} \\ &\subseteq \delta^*_{2,\{2\}}((\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II},op})^{\mathrm{cart}}_{\mathcal{F}',\mathrm{all}}. \end{split}$$

We proceed in two steps.

**Step 1**. We first extend  $_{C'}EO^{II}$  to the map  $_{C'}^{\mathcal{R}}EO^{II}$  with the new source

$$\delta_{2,\{2\}}^*((\tilde{\mathfrak{C}}'^{op} \times \mathcal{L}'^{op})^{\amalg,op})_{\mathcal{R},\mathrm{all}}^{\mathrm{cart}}.$$

An n-cell of the above source is given by a functor

$$\sigma \colon \Delta^n \times (\Delta^n)^{op} \to (\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\amalg, op}$$

We define  $Cov(\sigma)$  to be the full subcategory of

$$\operatorname{Fun}(\Delta^{n} \times (\Delta^{n})^{op} \times \operatorname{N}(\mathbf{\Delta}_{+})^{op}, (\tilde{\mathcal{C}}^{\prime op} \times \mathcal{L}^{\prime op})^{\amalg, op}) \times_{\operatorname{Fun}(\Delta^{n} \times (\Delta^{n})^{op} \times \{[-1]\}, (\tilde{\mathcal{C}}^{\prime op} \times \mathcal{L}^{\prime op})^{\amalg, op})} \{\sigma\}$$

spanned by functors  $\sigma^0: \Delta^n \times (\Delta^n)^{op} \times \mathcal{N}(\mathbf{\Delta}_+)^{op} \to (\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II},op}$  such that

- for every  $0 \leq j \leq n$ , the restriction  $\sigma^0 \mid \Delta^{(n,j)} \times \mathrm{N}(\Delta^{\leq 0}_+)^{op}$ , regarded as an edge of • for every 0 ≤ f ≤ n, the restriction 1 + 1
  (Č'^{op} × L'^{op})^{II,op}, is statically an atlas (see Definition 3.4.2 and Input 0(4));
  • σ⁰ is a right Kan extension of σ⁰ | Δ^{n} × (Δⁿ)^{op} × N(Δ^{≤0}₊)^{op} ∪ Δⁿ × (Δⁿ)^{op} × {[-1]}
- along the obvious inclusion.

In particular, for every object (i, j) of  $\Delta^n \times (\Delta^n)^{op}$ , the restriction  $\sigma^0 \mid \Delta^{(i,j)} \times \mathcal{N}(\mathbf{\Delta}_+)^{op}$  is a Čech nerve of the restriction  $\sigma^0 | \Delta^{(i,j)} \times \mathrm{N}(\Delta_+^{\leq 0})^{op}$ .

The  $\infty$ -category  $\text{Cov}(\sigma)$  is nonempty by Input 0(4), and admits product of two objects. Indeed, for every pair of objects  $\sigma_1^0$  and  $\sigma_2^0$  of  $\text{Cov}(\sigma)$ , the assignment

$$(i, j, [k]) \mapsto \sigma_1^0(i, j, [k]) \times_{\sigma(i,j)} \sigma_2^0(i, j, [k])$$

induces a product of  $\sigma_1^0$  and  $\sigma_2^0$  by Lemma 2.3.5. Therefore, by Lemma 2.1.1,  $Cov(\sigma)$  is a weakly contractible Kan complex.

Since atlases are representable in C by Input 0(4), by restriction,  $Cov(\sigma)$  induces a functor

$$\operatorname{Cov}(\sigma) \to \operatorname{Fun}(\operatorname{N}(\boldsymbol{\Delta})^{op} \times \Delta^n \times (\Delta^n)^{op}, (\mathcal{C}'^{op} \times \mathcal{L}'^{op})^{\amalg, op}),$$

which induces a map

$$\operatorname{Cov}(\sigma)^{op} \to \operatorname{Fun}(\operatorname{N}(\mathbf{\Delta}), \operatorname{Fun}(\Delta^n, \delta^*_{2,\{2\}}((\mathfrak{C}'^{op} \times \mathcal{L}'^{op})^{\amalg, op})^{\operatorname{cart}}_{\mathcal{F}', \operatorname{all}})).$$

Composing with the map  $_{C'}EO^{II}$ , we obtain a functor

$$\phi(\sigma)\colon \operatorname{Cov}(\sigma)^{op}\to\operatorname{Fun}(\operatorname{N}(\boldsymbol{\Delta}),\operatorname{Fun}(\Delta^n,\operatorname{Cat}_\infty)).$$

Let  $\mathcal{K} \subseteq \operatorname{Fun}(\operatorname{N}(\Delta_+), \operatorname{Fun}(\Delta^n, \operatorname{Cat}_\infty))$  be the full subcategory spanned by those functors  $F: \mathbb{N}(\mathbf{\Delta}_+) \to \operatorname{Fun}(\mathbf{\Delta}^n, \operatorname{Cat}_{\infty})$  that are right Kan extensions of  $F \mid \mathbb{N}(\mathbf{\Delta})$ . Consider the following diagram

$$\begin{split} & \mathcal{N}(\sigma) \xrightarrow{} \operatorname{Cov}(\sigma)^{op} \\ & \bigvee_{\mathsf{res}_1^*\phi(\sigma)} & \bigvee_{\mathsf{f}^{(\sigma)}} \\ & \operatorname{Fun}(\Delta^n, \operatorname{Cat}_\infty) \overset{\operatorname{res}_2}{\overset{} \longrightarrow} \mathcal{K} \xrightarrow{} \operatorname{Fun}(\operatorname{N}(\mathbf{\Delta}), \operatorname{Fun}(\Delta^n, \operatorname{Cat}_\infty)) \end{split}$$

in which the right square is Cartesian, and res₂ is the restriction to  $\{[-1]\}$ . Put

$$\Phi(\sigma) \coloneqq \operatorname{res}_2 \circ \operatorname{res}_1^* \phi(\sigma) \colon \mathcal{N}(\sigma) \to \operatorname{Fun}(\Delta^n, \operatorname{Cat}_\infty).$$

It is easy to see that the above process is functorial so that the collection of  $\Phi(\sigma)$  defines a morphism  $\Phi$  of the category

$$(\operatorname{Set}_{\Delta})^{(\Delta_{\delta^*_{2,\{2\}}}((\tilde{\mathfrak{C}}'^{op}\times \mathcal{L}'^{op})^{\amalg,op})^{\operatorname{cart}},)^{op}}_{\mathcal{R},\operatorname{all}}}.$$

**Lemma 4.2.1.** The map  $\Phi(\sigma)$  takes values in  $\operatorname{Map}^{\sharp}((\Delta^n)^{\flat}, \operatorname{Cat}_{\infty}^{\natural})$ .
*Proof.* Let  $X_{-1}$  be an object of  $(\tilde{\mathcal{C}}^{\prime op} \times \mathcal{L}^{\prime op})^{\mathrm{II}, op}$ , and  $\mathrm{Cov}(X_{-1})$  the full subcategory of

$$\operatorname{Fun}(\operatorname{N}(\boldsymbol{\Delta}_{+})^{op}, (\mathcal{C}^{\prime op} \times \mathcal{L}^{\prime op})^{\amalg, op}) \times_{\operatorname{Fun}(\{[-1]\}, (\tilde{\mathcal{C}}^{\prime op} \times \mathcal{L}^{\prime op})^{\amalg, op})} \{X_{-1}\}$$

spanned by functors  $X_{\bullet}$  such that the edge  $X_0 \to X_{-1}$  is statically an atlas and  $X_{\bullet}$  is a Čech nerve of  $X_0 \to X_{-1}$ . By (P2), it suffices to show that for every morphism f of  $\text{Cov}(X_{-1})$ , considered as a functor  $f: \Delta^1 \times N(\Delta_+)^{op} \to (\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\coprod,op}$ , and every right Kan extension F of  ${}_{\mathbb{C}}\text{EO}^{\text{I}} \circ (f \mid \Delta^1 \times N(\Delta)^{op})^{op}$ , the morphism  $F \mid (\Delta^1 \times \{[-1]\})^{op}$  is an equivalence in  $\text{Cat}_{\infty}$ .

In fact, let  $f: X^0_{\bullet} \to X^1_{\bullet}$  be a morphism of  $\operatorname{Cov}(X_{-1})$ . Let  $X^2_{\bullet}$  be an object of  $\operatorname{Cov}(X_{-1})$ . Then we have a diagram

Here products are taken in  $\operatorname{Cov}(X_{-1})$ . Thus, it suffices to show the assertion for the projection  $X_{\bullet} \times X'_{\bullet} \to X'_{\bullet}$ , where  $X_{\bullet}$  and  $X'_{\bullet}$  are objects of  $\operatorname{Cov}(X_{-1})$ .

Let  $Y_{\bullet \bullet} \colon \mathcal{N}(\mathbf{\Delta}_+)^{op} \times \mathcal{N}(\mathbf{\Delta}_+)^{op} \to \tilde{\mathcal{C}}'$  be an augmented bisimplicial object of  $\tilde{\mathcal{C}}'$  such that

- $Y_{-1\bullet} = X'_{\bullet}, Y_{\bullet-1} = X_{\bullet}.$
- $Y_{\bullet\bullet}$  is a right Kan extension of  $Y_{-1\bullet} \cup Y_{\bullet-1}$ .

Let  $\delta: [1] \times \mathbf{\Delta}^{op}_{+} \to \mathbf{\Delta}^{op}_{+} \times \mathbf{\Delta}^{op}_{+}$  be the functor sending (0, [n]) to ([n], [n]) and (1, [n]) to ([-1], [n]). It suffices to show the assertion for  $Y_{\bullet\bullet} \circ \mathbf{N}(\delta)$ , regarded as a morphism of  $\operatorname{Cov}(X_{-1})$ . This follows from Lemma 4.2.2 below by taking p to be  $\operatorname{Cat}_{\infty} \to *$  and  $c^{\bullet\bullet}$  to be a right Kan extension of  ${}_{\mathbb{C}}\operatorname{EO^{I}} \circ (Y_{\bullet\bullet} | \mathbf{N}(\mathbf{\Delta}_{++})^{op})^{op}$ . Here,  $\mathbf{\Delta}_{++} \subseteq \mathbf{\Delta}_{+} \times \mathbf{\Delta}_{+}$  is the full subcategory spanned by all objects except the initial one. Assumptions (2) and (3) of Lemma 4.2.2 are satisfied thanks to (P0) and (P4); see Remark 4.1.7(1).

**Lemma 4.2.2.** Let  $p: \mathbb{C} \to \mathcal{D}$  be a categorical fibration of  $\infty$ -categories. Let  $c^{\bullet\bullet}: N(\mathbf{\Delta}_+) \times N(\mathbf{\Delta}_+) \to \mathbb{C}$  be an augmented bicosimplicial object of  $\mathbb{C}$ . For  $n \ge -1$ , put  $c^{n\bullet} \coloneqq c^{\bullet\bullet} \mid \{[n]\} \times N(\mathbf{\Delta}_+)$  and  $c^{\bullet n} \coloneqq c^{\bullet\bullet} \mid N(\mathbf{\Delta}_+) \times \{[n]\}$ , respectively. Assume that

- (a)  $c^{\bullet\bullet}$  is a p-limit [52, Definition 4.3.1.1] of  $c^{\bullet\bullet} | N(\Delta_{++})$ , where  $\Delta_{++} \subseteq \Delta_+ \times \Delta_+$  is the full subcategory spanned by all objects except the initial one.
- (b) For every  $n \ge 0$ ,  $c^{n\bullet}$  is a p-limit of  $c^{n\bullet} \mid \mathcal{N}(\Delta)$ .
- (c) For every  $n \ge 0$ ,  $c^{\bullet n}$  is a p-limit of  $c^{\bullet n} | \mathcal{N}(\Delta)$ .

Then

- (1)  $c^{-1\bullet}$  is a p-limit of  $c^{-1\bullet} \mid \{[-1]\} \times \mathcal{N}(\Delta)$ .
- (2)  $c^{\bullet-1}$  is a p-limit of  $c^{\bullet-1} \mid N(\Delta) \times \{[-1]\}.$
- (3)  $c^{\bullet \bullet} \mid N(\mathbf{\Delta}_{+})_{diag}$  is a p-limit of  $c^{\bullet \bullet} \mid N(\mathbf{\Delta})_{diag}$ , where  $N(\mathbf{\Delta}_{+})_{diag} \subseteq N(\mathbf{\Delta}_{+}) \times N(\mathbf{\Delta}_{+})$  is the image of the diagonal inclusion diag:  $N(\mathbf{\Delta}_{+}) \rightarrow N(\mathbf{\Delta}_{+}) \times N(\mathbf{\Delta}_{+})$  and  $N(\mathbf{\Delta})_{diag}$  is defined similarly.

Proof. For (1), we apply (the dual version of) [52, Proposition 4.3.2.8] to p and  $N(\Delta_+ \times \Delta) \subseteq N(\Delta_{++}) \subseteq N(\Delta_+ \times \Delta_+)$ . By (the dual version of) [52, Proposition 4.3.2.9] and assumption (b), the restriction  $c^{\bullet\bullet} | N(\Delta \times \Delta_+)$  is a p-right Kan extension of the restriction  $c^{\bullet\bullet} | N(\Delta \times \Delta)$  [52, Definition 4.3.2.2]. It follows that  $c^{\bullet\bullet} | N(\Delta_{++})$  is a p-right Kan extension of  $c^{\bullet\bullet} | N(\Delta_+ \times \Delta)$ . By assumption (a),  $c^{\bullet\bullet}$  is a p-right Kan extension of  $c^{\bullet\bullet} | N(\Delta_+ \times \Delta)$ . By assumption (a),  $c^{\bullet\bullet} = N(\Delta_+ \times \Delta)$ . By [52, Proposition 4.3.2.9] again,  $c^{-1\bullet}$  is a p-limit of  $c^{-1\bullet} | \{[-1]\} \times N(\Delta)$ .

For (2), it follows from conclusion (1) by symmetry.

For (3), we view  $(\mathbf{\Delta} \times \mathbf{\Delta})^{\triangleleft}$  as a full subcategory of  $\mathbf{\Delta}_+ \times \mathbf{\Delta}_+$  by sending the cone point to the initial object. By [52, Lemma 4.3.2.7], we find that  $c^{\bullet\bullet} \mid (\mathbf{\Delta} \times \mathbf{\Delta})^{\triangleleft}$  is a *p*-limit diagram. By [52, Lemma 5.5.8.4], the simplicial set  $N(\mathbf{\Delta})^{op}$  is *sifted* [52, Definition 5.5.8.1], that is, the diagonal map  $N(\mathbf{\Delta})^{op} \to N(\mathbf{\Delta})^{op} \times N(\mathbf{\Delta})^{op}$  is cofinal. Therefore,  $c^{\bullet\bullet} \mid N(\mathbf{\Delta}_+)_{diag}$  is a *p*-limit of  $c^{\bullet\bullet} \mid N(\mathbf{\Delta})_{diag}$ .

Since res₁ is a trivial fibration by [52, Proposition 4.3.2.15], the simplicial set  $\mathcal{N}(\sigma)$  is weakly contractible. By Lemma 4.2.1, we can apply Proposition 1.2.15 to

$$K = \delta^*_{2,\{2\}}((\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\amalg,op})^{\text{cart}}_{\mathcal{R},\text{all}}, \quad K' = \delta^*_{2,\{2\}}((\mathcal{C}'^{op} \times \mathcal{L}'^{op})^{\amalg,op})^{\text{cart}}_{\mathcal{F}',\text{all}}, \quad g \colon K' \hookrightarrow K,$$

and the section  $\nu$  given by  $_{C'}EO^{II}$ . This extends  $_{C'}EO^{II}$  to a map

$${}^{\mathcal{R}}_{\tilde{\mathcal{C}}'}\mathrm{EO}^{\mathrm{II}}\colon \delta^*_{2,\{2\}}((\tilde{\mathcal{C}}'^{op}\times \mathcal{L}'^{op})^{\mathrm{II},op})^{\mathrm{cart}}_{\mathcal{R},\mathrm{all}}\to \mathbb{C}\mathrm{at}_{\infty}$$

**Step 2**. Now we are going to extend  $\overset{\mathcal{R}}{\sim}_{e'} EO^{II}$  to the map  $\underset{\sim}{\sim}_{e'} EO^{II}$  with the new source

$$\delta_{2,\{2\}}^*((\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\amalg,op})_{\tilde{\mathfrak{T}}'}^{\mathrm{cart}}$$

An n-cell of the above source is given by a functor

$$\varsigma \colon \Delta^n \times (\Delta^n)^{op} \to (\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II}, op}$$

We define  $Kov(\varsigma)$  to be the full subcategory of

$$\operatorname{Fun}(\Delta^{n} \times (\Delta^{n})^{op} \times \operatorname{N}(\boldsymbol{\Delta}_{+})^{op}, (\tilde{\mathfrak{C}}^{\prime op} \times \mathcal{L}^{\prime op})^{\amalg, op}) \times_{\operatorname{Fun}(\Delta^{n} \times (\Delta^{n})^{op} \times \{[-1]\}, (\tilde{\mathfrak{C}}^{\prime op} \times \mathcal{L}^{\prime op})^{\amalg, op})} \{\varsigma\}$$

spanned by functors  $\varsigma^0 \colon \Delta^n \times (\Delta^n)^{op} \times \mathrm{N}(\mathbf{\Delta}_+)^{op} \to (\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II},op}$  such that

- for every  $0 \leq i \leq n$ , the restriction  $\varsigma^0 \mid \Delta^{(i,0)} \times \mathrm{N}(\mathbf{\Delta}_+^{\leq 0})^{op}$ , regarded as an edge of  $(\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II},op}$ , statically belongs to  $\tilde{\mathcal{E}}_{\mathrm{s}} \cap \tilde{\mathcal{E}}' \cap \mathcal{R}$ ;
- $\varsigma^0$  is a right Kan extension of  $\varsigma^0 \mid \Delta^n \times (\Delta^{\{0\}})^{op} \times \mathrm{N}(\mathbf{\Delta}^{\{0\}})^{op} \cup \Delta^n \times (\Delta^n)^{op} \times \{[-1]\}$  along the obvious inclusion;
- the restriction  $\varsigma^0 \mid \Delta^n \times (\Delta^{\{0\}})^{op} \times \{[0]\}$  corresponds to an *n*-cell of  $(\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II},op})_{\mathcal{R}}$ . In particular, for every object (i,j) of  $\Delta^n \times (\Delta^n)^{op}$ , the restriction  $\varsigma^0 \mid \Delta^{(i,j)} \times \mathrm{N}(\mathbf{\Delta}_+)^{op}$  is a Čech

nerve of the restriction  $\varsigma^0 | \Delta^{(i,j)} \times \mathcal{N}(\Delta^{\leq 0}_+)^{op}$ . Moreover, the restriction  $\varsigma^0 | \Delta^n \times (\Delta^n)^{op} \times \{[0]\}$  corresponds to an *n*-cell of  $\delta^*_{2,\{2\}}((\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\coprod, op})^{\text{cart}}_{\mathcal{R},\text{all}}$ .

Similar to  $Cov(\sigma)$ , the  $\infty$ -category  $Kov(\varsigma)$  is nonempty and admits product of two objects. Therefore, by Lemma 2.1.1,  $Kov(\varsigma)$  is a weakly contractible Kan complex.

The restriction functor

$$\operatorname{Kov}(\varsigma) \to \operatorname{Fun}(\operatorname{N}(\mathbf{\Delta})^{op} \times \Delta^n \times (\Delta^n)^{op}, (\mathcal{C}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II}, op})$$

induces a map

$$\operatorname{Kov}(\varsigma) \to \operatorname{Fun}(\operatorname{N}(\boldsymbol{\Delta})^{op}, \operatorname{Fun}(\Delta^n, \delta^*_{2,\{2\}}((\mathcal{C}'^{op} \times \mathcal{L}'^{op})^{\amalg, op})^{\operatorname{cart}}_{\mathcal{F}', \operatorname{all}})).$$

Composing with the map  $\stackrel{\mathcal{R}}{\tilde{e}'} \mathrm{EO}^{\mathrm{II}}$ , we obtain a functor

$$\phi(\varsigma) \colon \operatorname{Kov}(\sigma) \to \operatorname{Fun}(\operatorname{N}(\mathbf{\Delta})^{op}, \operatorname{Fun}(\Delta^n, \operatorname{Cat}_{\infty})).$$

Let  $\mathcal{K}' \subseteq \operatorname{Fun}(\operatorname{N}(\mathbf{\Delta}_+)^{op}, \operatorname{Fun}(\mathbf{\Delta}^n, \operatorname{Cat}_\infty))$  be the full subcategory spanned by those functors  $F: \operatorname{N}(\mathbf{\Delta}_+)^{op} \to \operatorname{Fun}(\mathbf{\Delta}^n, \operatorname{Cat}_\infty)$  that are left Kan extensions of  $F|\operatorname{N}(\mathbf{\Delta})^{op}$ . Consider the following diagram

$$\begin{split} & \mathcal{N}(\varsigma) \xrightarrow{} \operatorname{Kov}(\varsigma) \\ & \bigvee_{\gamma}^{\operatorname{res}_{1}^{*}\phi(\varsigma)} & \bigvee_{\gamma}^{\phi(\varsigma)} \\ & \operatorname{Fun}(\Delta^{n},\operatorname{Cat}_{\infty}) \overset{\operatorname{res}_{2}}{\longleftrightarrow} \mathcal{K}' \xrightarrow{\operatorname{res}_{1}} \operatorname{Fun}(\operatorname{N}(\mathbf{\Delta})^{op},\operatorname{Fun}(\Delta^{n},\operatorname{Cat}_{\infty})) \end{split}$$

in which the right square is Cartesian, and res₂ is the restriction to  $\{[-1]\}$ . Put

$$\Phi(\varsigma) := \operatorname{res}_2 \circ \operatorname{res}_1^* \phi(\varsigma) \colon \mathcal{N}(\varsigma) \to \operatorname{Fun}(\Delta^n, \operatorname{Cat}_\infty).$$

It is easy to see that the above process is functorial so that the collection of  $\Phi(\varsigma)$  defines a morphism  $\Phi$  of the category

$$(\operatorname{Set}_{\Delta})^{(\Delta_{\delta^*_{2,\{2\}}((\tilde{\mathbb{C}}'^{op}\times\mathcal{L}'^{op})^{\amalg},op)_{\tilde{\mathcal{F}}',\operatorname{all}})^{op}}}_{\mathcal{F}',\operatorname{all}}.$$

**Lemma 4.2.3.** The map  $\Phi(\varsigma)$  takes values in  $\operatorname{Map}^{\sharp}((\Delta^n)^{\flat}, \operatorname{Cat}_{\infty}^{\natural})$ .

Proof. Let  $X_{\bullet} \colon \mathrm{N}(\mathbf{\Delta}_{+})^{op} \to (\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II},op}$  be an augmented simplicial object that is a Čech nerve of  $f \colon X_{0} \to X_{-1}$  such that f statically belongs to  $\tilde{\mathcal{E}}_{\mathrm{s}} \cap \tilde{\mathcal{E}}' \cap \mathcal{R}$ . By the construction of  $\Phi(\varsigma)$ , it suffices to show that  $R \circ X_{\bullet}$  is a left Kan extension of  $R \circ X_{\bullet} \mid \mathrm{N}(\mathbf{\Delta})^{op}$ , where  $R = \frac{\mathcal{R}}{\tilde{e}'} \mathrm{EO^{II}} \mid ((\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II},op})_{\mathcal{R}}$  is the restriction along direction 1.

Since res₁ is a trivial fibration by [52, Proposition 4.3.2.15], the simplicial set  $\mathcal{N}(\varsigma)$  is weakly contractible. By Lemma 4.2.3, we can apply Proposition 1.2.15 to

$$K = \delta_{2,\{2\}}^* ((\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II},op})_{\tilde{\mathcal{F}}',\mathrm{all}}^{\mathrm{cart}}, \quad K' = \delta_{2,\{2\}}^* ((\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II},op})_{\mathcal{R},\mathrm{all}}^{\mathrm{cart}}, \quad g \colon K' \hookrightarrow K,$$

and the section  $\nu$  given by  $\stackrel{\mathcal{R}}{\scriptscriptstyle \tilde{\rho}'} EO^{II}$ . This extends  $\stackrel{\mathcal{R}}{\scriptscriptstyle \tilde{\rho}} EO^{II}$  to a map

$$_{\tilde{\mathcal{C}}'}\mathrm{EO}^{\mathrm{II}}\colon \delta^*_{2,\{2\}}((\tilde{\mathcal{C}}'^{op}\times\mathcal{L}'^{op})^{\mathrm{II},op})^{\mathrm{cart}}_{\tilde{\mathcal{F}}',\mathrm{all}}\to \mathrm{Cat}_{\infty},$$

as demanded.

Now we prove Proposition 4.1.1, which will be applied to construct the first abstract operation map  $_{\tilde{e}} EO^{I}$  in Output I.

Proof of Proposition 4.1.1. The proof is similar to Step 1 above. Consider the diagram

$$\partial \Delta^{n} \xrightarrow{G} \operatorname{Fun}^{\hat{\varepsilon}} (\tilde{\mathbb{C}}^{op}, \mathcal{D})$$

$$\bigwedge^{\sigma} \xrightarrow{\rho} \operatorname{Fun}^{\varepsilon} (\mathbb{C}^{op}, \mathcal{D}).$$

Let  $\sigma: (\Delta^m)^{op} \to \mathcal{C}$  be an *m*-cell of  $\tilde{\mathcal{C}}^{op}$ . We denote by  $\operatorname{Cov}(\sigma)$  the full subcategory of

$$\operatorname{Fun}((\Delta^m)^{op} \times \operatorname{N}(\mathbf{\Delta}_+)^{op}, \mathfrak{C}) \times_{\operatorname{Fun}((\Delta^m)^{op} \times \{[-1]\}, \tilde{\mathfrak{C}})} \{\sigma\}$$

spanned by Čech nerves  $\sigma^0: (\Delta^m)^{op} \times \mathcal{N}(\Delta_+)^{op} \to \tilde{\mathbb{C}}$  such that  $\sigma^0 | (\Delta^m)^{op} \times \mathcal{N}(\Delta)^{op}$  factorizes through  $\mathfrak{C}$ , and that  $\sigma^0 | \Delta^{\{j\}} \times \mathcal{N}(\Delta_+^{\leqslant 0})^{op}$  belongs to  $\tilde{\mathcal{E}}$  and is representable in  $\mathfrak{C}$  for all  $0 \leqslant j \leqslant m$ . Since  $\operatorname{Cov}(\sigma)$  admits product of two objects, it is a contractible Kan complex by Lemma 2.1.1.

Let  $\mathcal{K} \subseteq \operatorname{Fun}(\operatorname{N}(\Delta_+), \operatorname{Fun}(\Delta^m, \mathcal{D}))$  be the full subcategories spanned by augmented cosimplicial objects  $X_{\bullet}^+$  that are right Kan extensions of  $X_{\bullet}^+ | \operatorname{N}(\Delta)$ . By [52, Proposition 4.3.2.15], the restriction map  $\mathcal{K} \to \operatorname{Fun}(\operatorname{N}(\Delta), \operatorname{Fun}(\Delta^m, \mathcal{D}))$  is a trivial fibration. We have a diagram



where the square is Cartesian,  $\alpha$  is induced by F, and  $\beta$  is induced by G. Consider the diagram

where the square is Cartesian and res₂ is the restriction to  $\{[-1]\}$ . Since res₁ is a trivial fibration,  $\mathcal{N}(\sigma)$  is a contractible Kan complex.

Put  $\Phi(\sigma) \coloneqq \operatorname{res}_2 \circ \operatorname{res}_1^* \phi$ . The construction is functorial in  $\sigma$  in the sense that it defines a morphism  $\Phi$  of the category  $(\text{Set}_{\Delta})^{(\Delta_{\tilde{e}^{op}})^{op}}$ . Moreover,  $\Phi(\sigma)$  takes values in  $\operatorname{Map}^{\sharp}((\Delta^m)^{\flat}, \operatorname{Fun}(\Delta^n, \mathcal{D})^{\natural})$ . In fact, this is trivial for n > 0 and the proof of Lemma 4.2.1 can be easily adapted to treat the case n = 0. Applying Corollary 1.2.9 to  $\Phi$  and a = G, we obtain a lifting  $\tilde{F} \colon \Delta^n \to \operatorname{Fun}(\tilde{\mathfrak{C}}^{op}, \mathfrak{D})$  of F extending G.

It remains to show that  $\tilde{F}$  factorizes through  $\operatorname{Fun}^{\tilde{\mathcal{E}}}(\tilde{\mathbb{C}}^{op}, \mathcal{D})$ . This is trivial for n > 0. For n = 0, we need to show that every morphism  $f: Y \to X$  in  $\tilde{\mathcal{E}}$  is of  $\tilde{F}$ -descent, where we regard  $\tilde{F}$  as a functor  $\mathcal{C}^{op} \to \mathcal{D}$ . Let  $u: X' \to X$  be a morphism in  $\tilde{\mathcal{E}}$  with X' in  $\mathcal{C}$ , and v the composite morphism  $Y' \xrightarrow{w} Y \times_X X' \to Y$  of the pullback of u and a morphism w in  $\mathcal{E}$  with Y' in  $\mathcal{C}$ . This provides a diagram



where u and v are in  $\tilde{\mathcal{E}}$  and f' belongs to  $\mathcal{E}$ . Then f' and u are of  $\tilde{F}$ -descent by construction. It follows that f is of F-descent by Lemma 3.3.2(3,4). 

Thanks to (P0) and (P4) (see Remark 4.1.7(1)), we may apply Proposition 4.1.1 to

- $\tilde{\mathbb{C}} = (\tilde{\mathbb{C}}^{op} \times \mathcal{L}^{op})^{\mathrm{II},op},$   $\mathcal{C} = (\mathbb{C}^{op} \times \mathcal{L}^{op})^{\mathrm{II},op},$
- $\mathcal{D} = \operatorname{Cat}_{\infty},$
- and the set  $\tilde{\mathcal{E}}$  consists of edges f that statically belong to  $\tilde{\mathcal{E}}_{s} \cap \tilde{\mathcal{E}}''$ ,

and obtain an extension of the functor  ${}_{c}\mathrm{EO^{I}}$  to a functor

$$_{\tilde{\mathbb{C}}} \mathrm{EO}^{1} \colon (\mathbb{C}^{op} \times \mathcal{L}^{op})^{\Pi} \to \mathbb{C}\mathrm{at}_{\infty}$$

as demanded.

4.3. **Properties.** We construct Output II and prove that Output I and Output II satisfy all required properties.

**Lemma 4.3.1** (P0). The functor  $_{\tilde{\mathbb{C}}} \mathrm{EO}^{\mathrm{I}}$  is a lax Cartesian structure, and the induced functor  $_{\tilde{\mathbb{C}}} \mathrm{EO}^{\otimes} := (_{\tilde{\mathbb{C}}} \mathrm{EO}^{\mathrm{I}})^{\otimes}$  factorizes through  $\mathrm{CAlg}(\mathrm{Cat}_{\infty})_{\mathrm{pr,st,cl}}^{\mathrm{L}}$ .

*Proof.* This follows from the construction of  $_{\tilde{C}}EO^{I}$  as the properties in (P0) are preserved under limits.

**Lemma 4.3.2** (P1). The map  $_{\tilde{e}} EO^{\otimes}$  sends small coproducts to products.

*Proof.* Since C is geometric (Definition 4.1.3), small coproducts commute with pullbacks. Therefore, forming Čech nerves commutes with the such coproducts. Then the lemma follows from the construction of  $_{\tilde{C}} EO^{\otimes}$  and the property (P1) for  $_{C} EO^{\otimes}$ .

**Lemma 4.3.3** (P2). The restrictions of  $_{\tilde{\mathbb{C}}} \mathrm{EO}^{\mathrm{I}}$  and  $_{\tilde{\mathbb{C}}'} \mathrm{EO}^{\mathrm{II}}$  to the subcategory  $(\tilde{\mathbb{C}}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II}}$  are equivalent functors.

Proof. By Proposition 4.1.1 and the original (P2), it suffices to show that the restriction  $F := {}_{\tilde{\mathcal{C}}'} \mathrm{EO}^{\mathrm{II}} | (\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II}}$  belongs to  $\mathrm{Fun}^{\tilde{\mathcal{E}}} ((\tilde{\mathcal{C}}'^{op} \times \mathcal{L}'^{op})^{\mathrm{II}}, \mathrm{Cat}_{\infty})$  where set  $\tilde{\mathcal{E}}$  consists of edges f of that statically belong to  $\tilde{\mathcal{E}}_{\mathrm{s}} \cap \tilde{\mathcal{E}}'' \cap \tilde{\mathcal{C}}'_{1}$ . In other words, it suffices to show that f is of F-descent.

By construction, the assertions are true if f is statically an atlas. Moreover, by the original (P4), the assertions are also true if f is a morphism of  $\mathcal{C}'$ . In the general case, consider a diagram

$$\begin{array}{c|c} Y' & \stackrel{f'}{\longrightarrow} X' \\ v & & \downarrow u \\ Y & \stackrel{f}{\longrightarrow} X \end{array}$$

where u is an atlas and f' belongs to  $\mathcal{E}_{s} \cap \mathcal{E}''$ . For example, we can take v to be an atlas of  $Y \times_X X'$ . The proposition then follows from Lemma 3.3.2(3,4).

**Lemma 4.3.4** (P3). If  $f: Y \to X$  belongs to  $\tilde{\mathcal{E}}_s$ , then  $f^*: \mathcal{D}(X, \lambda) \to \mathcal{D}(Y, \lambda)$  is conservative for every object  $\lambda$  of  $\mathcal{L}$ .

*Proof.* We may put f into the following diagram

$$\begin{array}{c|c} Y' & \stackrel{f'}{\longrightarrow} X' \\ v & & \downarrow u \\ Y & \stackrel{f}{\longrightarrow} X \end{array}$$

where u is an atlas, Y belongs to  $\mathcal{C}$  and f' belongs to  $\mathcal{E}_s$ . Then we only need to show that  $v^* \circ f^*$ , which is equivalent to  $f'^* \circ u^*$ , is conservative. By [53, Theorem 4.7.5.2(3)],  $u^*$  is conservative, and  $f'^*$  is also conservative by the original (P3). Therefore,  $f^*$  is conservative.

**Proposition 4.3.5** (P4). Let f be a morphism of  $\tilde{\mathbb{C}}^{op}$  (resp.  $\tilde{\mathbb{C}}'$ ).

- (1) If f belongs to  $\tilde{\mathcal{E}}_{s} \cap \tilde{\mathcal{E}}''$ , then  $(f, id_{\lambda})$  is of universal  ${}_{\tilde{\mathbb{C}}} EO^{\otimes}$ -descent for every object  $\lambda$  of  $\mathcal{L}$ .
- (2) If f belongs to  $\tilde{\mathcal{E}}_{s} \cap \tilde{\mathcal{E}}'' \cap \tilde{\mathcal{C}}'_{1}$ , then  $(f, id_{\lambda})$  is of universal  $_{\tilde{\mathcal{C}}'} EO_{!}$ -codescent for every object  $\lambda$  of  $\mathcal{L}'$ .

*Proof.* Part (1) follows from the construction of  $_{\tilde{C}}EO^{I}$ . Part (2) follows from the same argument as in Lemma 4.3.3.

We will only check (P5), and (P5^{bis}) follows in the same way.

Proposition 4.3.6 (P5). Let



be a Cartesian diagram of C
[´] with f in E
[´], and λ an object of L[']. Then
(1) The square

(4.4)

$$\begin{aligned} \mathcal{D}(Z,\lambda) &\stackrel{p^*}{\longleftarrow} \mathcal{D}(X,\lambda) \\ g^* \middle| & & \downarrow f^* \\ \mathcal{D}(W,\lambda) &\stackrel{q^*}{\longleftarrow} \mathcal{D}(Y,\lambda) \end{aligned}$$

has a right adjoint which is a square of  $\operatorname{Pr}_{\mathrm{st}}^{\mathrm{R}}$ .

(2) If p is also in  $\tilde{\mathcal{E}}'$ , the square

(4.5) 
$$\begin{aligned} \mathcal{D}(X,\lambda) &\longleftarrow \mathcal{D}(Y,\lambda) \\ p^* \middle| & & \downarrow q^* \\ \mathcal{D}(Z,\lambda) &\xleftarrow{g_!} \mathcal{D}(W,\lambda) \end{aligned}$$

is right adjointable.

We first prove a technical lemma.

**Lemma 4.3.7.** Let K be a simplicial set, and  $p: K \to \operatorname{Fun}(\Delta^1 \times \Delta^1, \operatorname{Cat}_{\infty})$  a diagram of squares of  $\infty$ -categories. We view p as a functor  $K \times \Delta^1 \times \Delta^1 \to \operatorname{Cat}_{\infty}$ . If for every edge  $\sigma: \Delta^1 \to K \times \Delta^1$ , the induced square  $p \circ (\sigma \times \operatorname{id}_{\Delta^1}): \Delta^1 \times \Delta^1 \to \operatorname{Cat}_{\infty}$  is right adjointable (resp. left adjointable), then the limit square  $\lim(p)$  is right adjointable (resp. left adjointable).

Recall from the remark following Proposition 2.2.4 that when visualizing squares, we adopt the convention that direction 1 is vertical and direction 2 is horizontal.

*Proof.* Let us prove the right adjointable case, the proof of the other case being essentially the same. The assumption allows us to view p as a functor

$$p': K \to \operatorname{Fun}(\Delta^1, \operatorname{Fun}^{\operatorname{RAd}}(\Delta^1, \operatorname{Cat}_{\infty}))$$

[53, Definition 4.7.4.16]. By [53, Corollary 4.7.4.18] and (the dual version of) [52, Corollary 5.1.2.3], the  $\infty$ -category Fun( $\Delta^1$ , Fun^{RAd}( $\Delta^1$ , Cat_{$\infty$})) admits all limits and these limits are preserved by the inclusion

$$\operatorname{Fun}(\Delta^1, \operatorname{Fun}^{\operatorname{RAd}}(\Delta^1, \operatorname{Cat}_{\infty})) \subseteq \operatorname{Fun}(\Delta^1, \operatorname{Fun}(\Delta^1, \operatorname{Cat}_{\infty})).$$

Therefore, the limit square  $\lim(p)$  is equivalent to  $\lim(p')$  which is right adjointable.

Proof of Proposition 4.3.6. For (1), it is clear from the construction and the original (P5)(1) that both  $f^*$  and  $g^*$  admit left adjoints. Therefore, we only need to show that (4.4) is right adjointable. By Lemma 4.3.7, we may assume that f belongs to  $\mathcal{E}'$ . Then it reduces to show that the transpose of (4.4) is left adjointable, which allows us to assume that p is a morphism of  $\mathcal{C}'$ , again by Lemma 4.3.7. Then it follows from the original (P5)(1).

For (2), by Lemma 4.3.7, we may assume that p belongs to  $\mathcal{E}'$ . Then  $p^*$  and  $q^*$  admit left adjoints. Therefore, we only need to prove that the transpose of (4.5) is left adjointable, which allows us to assume that f is also in  $\mathcal{E}'$ , again by Lemma 4.3.7. Then it follows from the original (P5)(2). $\square$ 

Next we define the t-structure. Let X be an object of  $\tilde{\mathbb{C}}$  and let  $\lambda$  be an object of  $\mathcal{L}$ . For an atlas  $f: X_0 \to X$ , we denote by  $\mathcal{D}_f^{\leqslant 0}(X,\lambda) \subseteq \mathcal{D}(X,\lambda)$  (resp.  $\mathcal{D}_f^{\geqslant 0}(X,\lambda) \subseteq \mathcal{D}(X,\lambda)$ ) the full subcategory spanned by complexes K such that  $f^*\mathsf{K}$  belongs to  $\mathcal{D}^{\leqslant 0}(X_0,\lambda)$  (resp.  $\mathcal{D}^{\geqslant 0}(X_0,\lambda)$ ).

## Lemma 4.3.8. We have

- The pair of subcategories (D^{≤0}_f(X,λ), D^{≥0}_f(X,λ)) determine a t-structure on D(X,λ).
   The pair of subcategories (D^{≤0}_f(X,λ), D^{≥0}_f(X,λ)) do not depend on the choice of f.

In what follows, we will write  $(\mathcal{D}^{\leq 0}(X,\lambda), \mathcal{D}^{\geq 0}(X,\lambda))$  for  $(\mathcal{D}_{f}^{\leq 0}(X,\lambda), \mathcal{D}_{f}^{\geq 0}(X,\lambda))$  for an arbitrary atlas f. Moreover, if X is an object of  $\mathcal{C}$ , then the new t-structure coincides with the old one since  $id_X \colon X \to X$  is an atlas.

*Proof.* For (1), let  $f_{\bullet}: X_{\bullet} \to X$  be a Čech nerve of  $f_0 = f$ . We need to check the axioms of [53, Definition 1.2.1.1]. To check axiom (1), let K be an object of  $\mathcal{D}_{f}^{\leq 0}(X,\lambda)$  and L an object of  $\mathcal{D}_{f}^{\geq 1}(X,\lambda)$ . By (P6) for the input and Proposition 4.3.5(1), Map(K, L) is a homotopy limit of  $\operatorname{Map}(f_n^*\mathsf{K}, f_n^*\mathsf{L})$  by [52, Theorem 4.2.4.1, Corollary A.3.2.28] and is thus a weakly contractible Kan complex. Axiom (2) is trivial. By (P6) for the input, we have a cosimplicial diagram  $p: \mathbb{N}(\Delta) \to \operatorname{Fun}(\Delta^1, \operatorname{Cat}_{\infty})$  sending [n] to the functor  $\mathcal{D}(X_n, \lambda) \to \operatorname{Fun}(\Delta^1 \times \Delta^1, \mathcal{D}(X_n, \lambda))$  that corresponds to the following Cartesian diagram of functors:



where  $\tau_n^{\leq 0}$  and  $\tau_n^{\geq 1}$  (resp.  $\mathrm{id}_{X_n}$ ) are the truncation functors (resp. is the identity functor) of  $\mathcal{D}(X_n,\lambda)$ . Axiom (3) follows from the fact that  $\lim(p)$  provides a similar Cartesian diagram of endofunctors of  $\mathcal{D}(X, \lambda)$ .

For (2), by (1) it suffices to show that for every other atlas  $f': X'_0 \to X$ , we have  $\mathcal{D}_f^{\leq 0}(X, \lambda) =$  $\mathcal{D}_{f'}^{\leq 0}(X,\lambda)$ . Let K be an object of  $\mathcal{D}_{f}^{\leq 0}(X,\lambda)$  and form a Cartesian diagram

$$\begin{array}{c|c} Y \xrightarrow{g} X'_0 \\ g' \\ \downarrow & & \downarrow f' \\ X_0 \xrightarrow{f} X. \end{array}$$

By (P6) for the input, the functors  $g^*$  and  $g'^*$  are t-exact, so that

$$g^*\tau^{\ge 1}f'^*\mathsf{K}\simeq\tau^{\ge 1}g^*f'^*\mathsf{K}\simeq\tau^{\ge 1}g'^*f^*\mathsf{K}\simeq g'^*\tau^{\ge 1}f^*\mathsf{K}=0.$$

As  $g^*$  is conservative by (P3) for the input, we have  $\tau^{\geq 1} f'^* \mathsf{K} = 0$ . In other words,  $f'^* \mathsf{K}$  belongs to  $\mathcal{D}^{\leqslant 0}_{\{0\}}(X'_0,\lambda)$ . Therefore, we have  $\mathcal{D}^{\leqslant 0}_f(X,\lambda) \subseteq \mathcal{D}^{\leqslant 0}_{f'}(X,\lambda)$ . By symmetry, we have  $\mathcal{D}^{\leqslant 0}_f(X,\lambda) \supseteq \mathcal{D}^{\leqslant 0}_{f'}(X,\lambda)$ . It follows that  $\mathcal{D}^{\leqslant 0}_f(X,\lambda) = \mathcal{D}^{\leqslant 0}_{f'}(X,\lambda)$ .  $\Box$ 

**Lemma 4.3.9** (P6). Let  $\lambda$  be an arbitrary object of  $\mathcal{L}$ . We have

(1) For every object X of  $\tilde{\mathbb{C}}$ , we have  $\lambda_X \in \mathcal{D}^{\heartsuit}(X, \lambda)$ .

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- (2) If  $\lambda$  belongs to  $\mathcal{L}''$  and X is an object of  $\tilde{\mathbb{C}}''$ , then the auto-equivalence  $-\otimes s_X^*\lambda(1)$  of  $\mathcal{D}(X,\lambda)$  is t-exact.
- (3) For every object X of  $\tilde{\mathbb{C}}$ , the t-structure on  $\mathcal{D}(X,\lambda)$  is accessible, right complete, and  $\mathcal{D}^{\leqslant -\infty}(X,\lambda) := \bigcap_n \mathcal{D}^{\leqslant -n}(X,\lambda)$  consists of zero objects.
- (4) For every morphism  $f: Y \to X$  of  $\tilde{\mathbb{C}}$ , the functor  $f^*: \mathbb{D}(X, \lambda) \to \mathbb{D}(Y, \lambda)$  is t-exact.

*Proof.* We choose an atlas  $f: X_0 \to X$ . Then (1) and (2) follows from (4), the definition of the t-structure, and that  $f^*\lambda_X \simeq \lambda_{X_0}$ . Moreover, (3) follows from the construction, the conservativeness of  $f^*$ , and the corresponding properties for  $X_0$ . Therefore, it remains to show (4).

However, we may put  $f: Y \to X$  into a diagram



where u and v are both atlases. Then the assertion follows from the definition of the t-structure and the fact that  $f'^*$  is t-exact.

Finally we construct the trace maps. We will construct the trace maps for  $\tilde{\mathcal{E}}_t$  and check (P7). Construction of the trace maps for  $\tilde{\mathcal{E}}'$  and verification of (P7^{bis}) are similar and in fact easier.

Same as before, we have two steps. We first construct the trace maps for  $\mathcal{R} \cap \tilde{\mathcal{E}}_t$ .

Lemma 4.3.10. There exists a unique way to define the trace map

$$\operatorname{Tr}_f: \tau^{\geq 0} f_! \lambda_Y \langle d \rangle \to \lambda_X,$$

for morphisms  $f: Y \to X$  in  $\mathcal{R} \cap \tilde{\mathcal{E}}_t \cap \tilde{\mathcal{C}}''_1$  and integers  $d \ge \dim^+(f)$ , satisfying (P7)(1) and extending the input. In particular, for such a morphism f, we have  $f_!\lambda_Y\langle d \rangle \in \mathcal{D}^{\leq 0}(X,\lambda)$ .

Proof. Let

(4.6) 
$$Y_0 \xrightarrow{f_0} X_0$$
$$y_0 \bigvee_{\substack{y_0 \\ y - f \\ Y \xrightarrow{f} X}} X$$

be a Cartesian diagram in  $\tilde{\mathcal{C}}''$ , where  $x_0$  and hence  $y_0$  are atlases. Let  $N(\mathbf{\Delta}_+)^{op} \times \Delta^1 \to \tilde{\mathcal{C}}''$  be a Čech nerve, as shown in the following diagram



We call such a diagram a simplicial Cartesian atlas of f. We have  $\dim^+(f_n) = \dim^+(f)$  for every  $n \ge 0$ . By Base Change which is encoded in  $_{\tilde{C}'} EO^{II}$  and the definition of  $-\langle d \rangle$ , we have

$$x_0^* f_! \lambda_Y \langle d \rangle \simeq f_{0!} y_0^* \lambda_Y \langle d \rangle \simeq f_{0!} \lambda_{Y_0} \langle d \rangle \in \mathcal{D}^{\leqslant 0}(X_0, \lambda),$$

which implies that  $f_!\lambda_Y\langle d\rangle$  belongs to  $\mathcal{D}^{\leq 0}(X,\lambda)$  by the definition of the t-structure. The uniqueness of the trace map follows from condition (2) of Remark 4.1.6 applied to the diagram (4.6) and (P3) applied to  $x_0$ .

For  $n \ge 0$ , we have trace maps  $\operatorname{Tr}_{f_n} : \tau^{\ge 0} f_{n!} \lambda_{Y_n} \langle d \rangle \to \lambda_{X_n}$ . By condition (2) of Remark 4.1.6 applied to the squares induced by  $f_{\bullet}$ , we know that  $\tau^{\le 0} x_{\bullet*} \operatorname{Tr}_{f_{\bullet}}$  is a morphism of cosimplicial objects of  $\mathcal{D}^{\heartsuit}(X, \lambda)$ . Taking limit, we obtain a map

$$\lim_{n \in \mathbf{\Delta}} \tau^{\leqslant 0} x_{n*} \operatorname{Tr}_{f_n} \colon \lim_{n \in \mathbf{\Delta}} \tau^{\leqslant 0} x_{n*} \tau^{\geqslant 0} f_{n!} \lambda_{Y_n} \langle d \rangle \to \lim_{n \in \mathbf{\Delta}} \tau^{\leqslant 0} x_{n*} \lambda_{X_n} \simeq \lambda_X.$$

However, the left-hand side is isomorphic to

$$\begin{split} \lim_{n \in \mathbf{\Delta}} \tau^{\leqslant 0} x_{n*} \tau^{\geqslant 0} f_{n!} y_n^* \lambda_Y \langle d \rangle &\simeq \lim_{n \in \mathbf{\Delta}} \tau^{\leqslant 0} x_{n*} \tau^{\geqslant 0} x_n^* f_! \lambda_Y \langle d \rangle \\ &\simeq \lim_{n \in \mathbf{\Delta}} \tau^{\leqslant 0} x_{n*} x_n^* \tau^{\geqslant 0} f_! \lambda_Y \langle d \rangle \simeq \tau^{\geqslant 0} f_! \lambda_Y \langle d \rangle. \end{split}$$

Therefore, we obtain a map  $\operatorname{Tr}_{f_{\bullet}} : \tau^{\geq 0} f_! \lambda_Y \langle d \rangle \to \lambda_X.$ 

This extends the trace map of the input. In fact, for f in  $\mathcal{C}''_1$ , by condition (2) of Remark 4.1.6 applied to (4.7),  $\operatorname{Tr}_{f_{\bullet}}$  can be identified with  $\lim_{n \in \Delta} x_{n*}x_n^*\operatorname{Tr}_f$ . Moreover, condition (2) of Remark 4.1.6 holds in general if one interprets  $\operatorname{Tr}_f$  as  $\operatorname{Tr}_{f_{\bullet}}$  and  $\operatorname{Tr}_{f'}$  as  $\operatorname{Tr}_{f_{\bullet}}$ , where  $f'_{\bullet}$  is a simplicial Cartesian atlas of f', compatible with  $f_{\bullet}$ . In fact, by condition (2) of Remark 4.1.6 for the input, the bottom square of the diagram



is commutative, where all the limits are taken over  $n \in \Delta$ . Since the vertical squares are commutative, it follows that the top square is commutative as well. The case of condition (2) of Remark 4.1.6 where u is an atlas then implies that  $\operatorname{Tr}_{f_{\bullet}}$  does not depend on the choice of  $f_{\bullet}$ . We may therefore denote it by  $\operatorname{Tr}_{f}$ .

It remains to check conditions (1) and (3) of Remark 4.1.6. Similarly to the situation of condition (2), these follow from the input by taking limits.  $\Box$ 

**Lemma 4.3.11.** If  $f: Y \to X$  belongs to  $\mathcal{R} \cap \tilde{\mathcal{E}}''_d \cap \tilde{\mathcal{C}}''_1$ , then the induced natural transformation

$$f^*\langle d \rangle = \mathrm{id}_Y \circ f^*\langle d \rangle \to f^! \circ f_! \circ f^*\langle d \rangle \xrightarrow{f^! \circ u_f} f^!$$

is an equivalence, where the first arrow is given by the unit transformation and  $u_f$  is defined similarly as (3.19).

Proof. Consider diagram (4.7). We need to show that for every object K of  $\mathcal{D}(X,\lambda)$ , the natural map  $f^*\mathsf{K}\langle d\rangle \to f^!\mathsf{K}$  is an equivalence. By Proposition 4.3.5(1), the map  $\mathsf{K} \to \varprojlim_{n \in \Delta} u_{n*}u_n^*\mathsf{K}$  is an equivalence. Moreover,  $f^!$  preserves small limits, and, by  $(\mathrm{P5}^{\mathrm{bis}})(1)$ , so does  $f^*$ , since f belongs to  $\tilde{\mathcal{E}}''$ . Therefore, we may assume  $\mathsf{K} = x_{n*}\mathsf{L}$ , where  $\mathsf{L} \in \mathcal{D}(X_n,\lambda)$ . Similarly to (4.3), the

diagram



is commutative up to homotopy. The upper horizontal arrow is an equivalence by  $(P5^{bis})(1)$ , the lower horizontal arrow is an equivalence by  $\tilde{e}' EO_!^*$ , and the right vertical arrow is an equivalence by (P6) for the input. It follows that the left vertical arrow is an equivalence.

**Proposition 4.3.12** (P7(1)). There exists a unique way to define the trace map

$$\operatorname{Tr}_f \colon \tau^{\geq 0} f_! \lambda_Y \langle d \rangle \to \lambda_X,$$

for morphisms  $f: Y \to X$  in  $\tilde{\mathcal{E}}_t \cap \tilde{\mathcal{C}}''_1$  and integers  $d \ge \dim^+(f)$ , satisfying (P7)(1) and extending the input. In particular, for such a morphism f, we have  $f_!\lambda_Y\langle d \rangle \in \mathcal{D}^{\leq 0}(X,\lambda)$ .

Proof. Let  $Y_{\bullet} \colon \mathcal{N}(\mathbf{\Delta}_{+})^{op} \to \tilde{\mathbb{C}}'$  be a Čech nerve of an atlas  $y_{0} \colon Y_{0} \to Y$ , and form a triangle (4.8) Y



For  $n \ge 0$ , we have  $f_n \in \mathcal{R} \cap \tilde{\mathcal{E}}_t \cap \tilde{\mathcal{C}}''_1$ . By Proposition 4.3.5(2), we have equivalences

$$\lim_{n \in \mathbf{\Delta}^{op}} f_{n!} y_n^! \lambda_Y \simeq \lim_{n \in \mathbf{\Delta}^{op}} f_! y_{n!} y_n^! \lambda_Y \xrightarrow{\sim} f_! \lim_{n \in \mathbf{\Delta}^{op}} y_{n!} y_n^! \lambda_Y \xrightarrow{\sim} f_! \lambda_Y.$$

Since  $y_n$  belongs to  $\mathcal{R} \cap \tilde{\mathcal{E}}'' \cap \tilde{\mathcal{C}}''_1$ , by Lemma 4.3.11 and Remark 4.1.7(5), we have equivalences

$$\lim_{n\in \mathbf{\Delta}^{op}} f_{n!}\lambda_{Y_n}\langle d + \dim y_n \rangle \simeq \lim_{n\in \mathbf{\Delta}^{op}} f_{n!}y_n^*\lambda_Y\langle d + \dim y_n \rangle \xrightarrow{\sim} \lim_{n\in \mathbf{\Delta}^{op}} f_{n!}y_n^!\lambda_Y\langle d \rangle.$$

Combining the above ones, we obtain an equivalence

$$\lim_{n\in\mathbf{\Delta}^{op}}f_{n!}\lambda_{Y_n}\langle d+\dim y_n\rangle\xrightarrow{\sim} f_!\lambda_Y\langle d\rangle.$$

By Lemma 4.3.10,  $f_{n!}\lambda_{Y_n}\langle d + \dim y_n \rangle$  belongs to  $\mathcal{D}^{\leq 0}(X,\lambda)$  for every  $n \geq 0$ . It follows that the colimit is as well by [53, Corollary 1.2.1.6]. Moreover, the composite map

$$\begin{split} \tau^{\geqslant 0} f_{n!} \lambda_{Y_n} \langle d + \dim y_n \rangle &\to \lim_{n \in \overrightarrow{\Delta}^{o_p}} \tau^{\geqslant 0} f_{n!} \lambda_{Y_n} \langle d + \dim y_n \rangle \\ & \xrightarrow{\sim} \tau^{\geqslant 0} \lim_{n \in \overrightarrow{\Delta}^{o_p}} f_{n!} \lambda_{Y_n} \langle d + \dim y_n \rangle \xrightarrow{\sim} \tau^{\geqslant 0} f_! \lambda_Y \langle d \rangle \end{split}$$

is induced by  $\operatorname{Tr}_{f_n}$ . The uniqueness of  $\operatorname{Tr}_f$  then follows from condition (3) of Remark 4.1.6 applied to the triangle (4.8).

Condition (3) of Remark 4.1.6 applied to the triangles induced by  $f_{\bullet}$  implies the compatibility of

$$\operatorname{Tr}_{f_n} \colon \tau^{\geq 0} f_{n!} \lambda_{Y_n} \langle d + \dim y_n \rangle \to \lambda_X$$

with the transition maps, so that we obtain a map  $\operatorname{Tr}_{f_{\bullet}}: \tau^{\geq 0} f_! \lambda_Y \langle d \rangle \to \lambda_X$ . This extends the trace map of Lemma 4.3.10, by condition (3) of Remark 4.1.6 applied to (4.8) for  $f \in \mathcal{R} \cap \tilde{\mathcal{E}}_t \cap \tilde{\mathcal{C}}''_1$ . Moreover, condition (3) of Remark 4.1.6 holds for  $g \in \mathcal{R} \cap \tilde{\mathcal{E}}_t \cap \tilde{\mathcal{C}}''_1$ , if we interpret  $\operatorname{Tr}_f$  as  $\operatorname{Tr}_{f_{\bullet}}$  and

 $\operatorname{Tr}_h \text{ as } \operatorname{Tr}_{h_{\bullet}}$ , where  $h_{\bullet} \colon Y_{\bullet} \times_Y Z \to X$ . In fact, by condition (3) of Remark 4.1.6 for morphisms in  $\mathcal{R} \cap \tilde{\mathcal{E}}_t \cap \tilde{\mathcal{C}}_1''$ , the diagram



commutes, where all the colimits are taken over  $n \in \Delta^{op}$ . It follows that  $\operatorname{Tr}_{f_{\bullet}}$  does not depend on the choice of  $f_{\bullet}$ . We may therefore denote it by  $\operatorname{Tr}_{f}$ .

It remains to check the functoriality of the trace map. Similarly to the above special case of condition (2) of Remark 4.1.6, this follows from the functoriality of the trace map for morphisms in  $\mathcal{R} \cap \tilde{\mathcal{E}}_t \cap \tilde{\mathcal{C}}_1''$  by taking colimits.

**Proposition 4.3.13** (P7(2)). If  $f: Y \to X$  belongs to  $\tilde{\mathcal{E}}''_d \cap \tilde{\mathcal{C}}''_1$ , the induced natural transformation

$$f^*\langle d \rangle = \mathrm{id}_Y \circ f^*\langle d \rangle \to f^! \circ f_! \circ f^*\langle d \rangle \xrightarrow{f^! \circ u_f} f^!$$

is an equivalence, where the first arrow is given by the unit transformation and  $u_f$  is defined similarly as (3.19).

*Proof.* We need to show that  $f^*\mathsf{K}\langle d \rangle \to f^!\mathsf{K}$  is an equivalence of every object  $\mathsf{K}$  of  $\mathcal{D}(X, \lambda)$ . Let  $y_0: Y_0 \to Y$  be an atlas. Since  $v_0^*$  is conservative by Lemma 4.3.4, we only need to show that the composite map

$$y_0^*\mathsf{K}\langle \dim f_0 \rangle \xrightarrow{\sim} y_0^*f^*\mathsf{K}\langle d + \dim y_0 \rangle \to y_0^*f^!\mathsf{K}\langle \dim y_0 \rangle \xrightarrow{\sim} y_0^!f^!\mathsf{K} \xrightarrow{\sim} f_0^!\mathsf{K}$$

is an equivalence, where  $f_0: Y_0 \to X$  is a composite of f and  $y_0$ . However, this follows from Lemma 4.3.11 applied to  $f_0$ .

#### 5. Running Descent

In this chapter, we run the program DESCENT recursively to construct the theory of six operations of quasi-separated schemes in §5.1, algebraic spaces in §5.2, (classical) Artin stacks in §5.3, and eventually higher Artin stacks in §5.4. Moreover, we start from algebraic spaces to construct the theory for higher Deligne–Mumford (DM) stacks as well in §5.5. We would like to point out that although higher DM stacks are special cases of higher Artin stacks, we have less restrictions on the coefficient rings for the former.

Throughout this chapter, we fix a nonempty set  $\Box$  of rational primes. See Remark 5.5.5 for the relevance on  $\Box$ .

5.1. Quasi-separated schemes. Recall from Example 4.1.12 that  $Sch^{qs}$  is the full subcategory of Sch spanned by quasi-separated schemes, which contains  $Sch^{qc.sep}$  as a full subcategory. We run the program DESCENT with the input data in Example 4.1.12. Then the output consists of the following two maps: a functor

(5.1) 
$$\operatorname{Sch}^{qs} \mathrm{EO}^{1} \colon (\mathrm{N}(\mathrm{Sch}^{qs})^{op} \times \mathrm{N}(\mathrm{\mathcal{R}ind})^{op})^{\mathrm{II}} \to \mathrm{Cat}_{\infty}$$

that is a lax Cartesian structure, and a map

and Output II. Here we recall that F denotes the set of morphisms locally of finite type of quasi-separated schemes.

For each object X of  $\operatorname{Sch}^{\operatorname{qs}}$ , we denote by  $\operatorname{\acute{Et}}^{\operatorname{qs}}(X)$  the quasi-separated étale site of X. Its underlying category is the full subcategory of  $\operatorname{Sch}_{/X}^{\operatorname{qs}}$  spanned by étale morphisms. We denote by  $X_{qs.\acute{e}t}$  the associated topos, namely the category of sheaves on  $\acute{E}t^{qs}(X)$ . For every object X of  $\operatorname{Sch}^{\operatorname{qc.sep}}$ , the inclusions  $\operatorname{\acute{Et}}^{\operatorname{qc.sep}}(X) \subseteq \operatorname{\acute{Et}}^{\operatorname{qs}}(X) \subseteq \operatorname{\acute{Et}}(X)$  induce equivalences of topoi  $\begin{array}{l} X_{\mathrm{qc.sep.\acute{e}t}} \to X_{\mathrm{qs.\acute{e}t}} \to X_{\mathrm{\acute{e}t}}.\\ \text{The pseudofunctor $Sch^{\mathrm{qs}} \times \Re ind} \to \Re inged \ensuremath{\mathcal{P}}\xspace{-2pt} \text{fopos sending } (X,(\Xi,\Lambda)) \text{ to } (X^{\Xi}_{\mathrm{qs.\acute{e}t}},\Lambda) \text{ induces } X^{\Xi}_{\mathrm{qs.\acute{e}t}},\Lambda) \\ \end{array}$ 

a map  $N(Sch^{qs}) \times N(Rind) \rightarrow N(Ringed \mathcal{P}Topos)$ . Composing with **T** (3.1), we obtain a functor

(5.3) 
$$\substack{\operatorname{qs.et}\\\operatorname{Sch}^{\operatorname{qs}}\operatorname{EO}^{\mathrm{l}}\colon(\operatorname{N}(\operatorname{Sch}^{\operatorname{qs}})^{op}\times\operatorname{N}(\operatorname{\operatorname{Rind}})^{op})^{\mathrm{ll}}\to\operatorname{Cat}_{\infty} }$$

that is a lax Cartesian structure. It is clear that the restriction of  $\frac{qs.\acute{e}t}{sch^{qs}}EO^{I}$  to  $(N(Sch^{qc.sep})^{op} \times$  $N(\mathcal{R}ind)^{op})^{II}$  is equivalent to  $Sch^{qc.sep}EO^{I}$ .

**Proposition 5.1.1** (Cohomological descent for étale topoi). Let f be an edge of  $(N(Sch^{qs})^{op} \times$  $N(Rind)^{op})^{II}$  that is statically a smooth surjective morphism of quasi-separated schemes. Then f is of universal  ${}_{\mathrm{Sch}^{\mathrm{qs.\acute{e}t}}}^{\mathrm{qs.\acute{e}t}}\mathrm{EO}^{\mathrm{I}}$ -descent.

*Proof.* This follows from the same proof of Proposition 3.5.5(1).

**Proposition 5.1.2.** The two functors  $_{\text{Sch}^{qs}}\text{EO}^{\text{I}}$  (5.1) and  $_{\text{Sch}^{qs}}^{\text{qs.\acute{e}t}}\text{EO}^{\text{I}}$  (5.3) are equivalent.

*Proof.* This follows from Proposition 4.1.1 and the previous proposition.

Remark 5.1.3. Let X be object of Sch^{qs}, and  $\lambda = (\Xi, \Lambda)$  an object of Rind. Then it is easy to see that the usual t-structure on  $\mathcal{D}(X_{qs.\acute{e}t}^{\Xi}, \Lambda)$  coincides with the one on  $\mathcal{D}(X, \lambda)$  obtained in Output II of the program DESCENT.

5.2. Algebraic spaces. Let & sp be the category of algebraic spaces (§0.1). It contains & ch^{qs} as a full subcategory. We run the program DESCENT with the following input:

- $\tilde{\mathcal{C}} = \mathcal{N}(\mathcal{E}sp)$ . It is geometric.
- $\mathcal{C} = N(\operatorname{Sch}^{\operatorname{qs}})$ , and  $s'' \to s'$  is the unique morphism  $\operatorname{Spec} \mathbb{Z}[\Box^{-1}] \to \operatorname{Spec} \mathbb{Z}$ . In particular,  $\mathcal{C}' = \mathcal{C} \text{ and } \tilde{\mathcal{C}}' = \tilde{\mathcal{C}}.$
- $\tilde{\boldsymbol{\xi}}_{\mathrm{s}}$  is the set of *surjective* morphisms of algebraic spaces.
- $\tilde{\mathcal{E}}'$  is the set of *étale* morphisms of algebraic spaces.
- $\tilde{\mathcal{E}}''$  is the set of *smooth* morphisms of algebraic spaces.
- $\tilde{\mathcal{E}}'_{d}$  is the set of *smooth* morphisms of algebraic spaces of pure relative dimension d. In particular,  $\tilde{\mathcal{E}}' = \tilde{\mathcal{E}}''_0$ .
- $\tilde{\mathcal{E}}_t$  is the set of *flat* morphisms *locally of finite presentation* of algebraic spaces.
- $\tilde{\mathfrak{F}} = F$  is the set of morphisms locally of finite type of algebraic spaces.
- $\mathcal{L} = \mathcal{N}(\mathcal{R}ind)^{op}, \, \mathcal{L}' = \mathcal{N}(\mathcal{R}ind_{tor})^{op}, \, \text{and} \, \mathcal{L}'' = \mathcal{N}(\mathcal{R}ind_{\Box-tor})^{op}.$
- dim⁺ is the upper relative dimension (Definition 4.1.11).

• Input I and II are the output of §5.1. In particular,  ${}_{\mathbb{C}}\mathrm{EO}^{\mathrm{I}}$  is (5.1), and  ${}_{\mathbb{C}'}\mathrm{EO}^{\mathrm{II}}$  is (5.2).

Then the output consists of the following two maps: a functor

(5.4) 
$$\mathcal{E}_{sp} EO^{1} \colon (N(\mathcal{E}sp)^{op} \times N(\mathcal{R}ind)^{op})^{\Pi} \to Cat_{\infty}$$

that is a lax Cartesian structure, and a map

 ${}_{\operatorname{\mathcal{E}sp}}\mathrm{EO}^{\mathrm{II}}\colon \delta^*_{2,\{2\}}((\mathrm{N}(\operatorname{\mathcal{E}sp})^{op}\times\mathrm{N}(\operatorname{\mathcal{R}ind}_{\mathrm{tor}})^{op})^{\mathrm{II},op})_{F,\mathrm{all}}^{\mathrm{cart}}\to \operatorname{\mathcal{C}at}_{\infty},$ (5.5)

and Output II.

For each object X of  $\mathcal{E}$ sp, we denote by  $\mathrm{\acute{E}t^{esp}}(X)$  the spatial étale site of X. Its underlying category is the full subcategory of  $\mathcal{E}_{sp/X}$  spanned by étale morphisms. We denote by  $X_{esp.\acute{e}t}$  the associated topos, namely the category of sheaves on  $\mathrm{\acute{E}t^{esp}}(X)$ . For every object X of  $\mathrm{Sch}^{qs}$ , the inclusion of the original étale site  $\mathrm{\acute{E}t^{qs}}(X)$  of X into  $\mathrm{\acute{E}t^{esp}}(X)$  induces an equivalence of topoi  $X_{esp.\acute{e}t} \to X_{qs.\acute{e}t}$ .

As in  $\S5.1$ , we obtain a functor

(5.6) 
$$\underset{\mathcal{E}sp}{\overset{\text{esp.\acute{e}t}}{\overset{\text{esp.\acute{e}t}}{\overset{\text{e}sp.}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}t}{\overset{\text{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}sp.\acute{e}$$

that is a lax Cartesian structure. It is clear that the restriction  $\mathop{}_{\mathcal{E}sp}^{\operatorname{esp}.\acute{e}t} \mathrm{EO}^{\mathrm{I}} \mid (\mathrm{N}(\mathrm{Sch}^{\operatorname{qs}})^{op} \times \mathrm{N}(\mathrm{\mathcal{R}ind})^{op})^{\mathrm{II}}$  is equivalent to  $\mathop{}_{\mathrm{Sch}^{\operatorname{qs}}} \mathrm{EO}^{\mathrm{I}}$ .

**Proposition 5.2.1** (Cohomological descent for étale topoi). Let f be an edge of  $(N(\mathcal{E}sp)^{op} \times N(\mathcal{R}ind)^{op})^{II}$  that is statically a smooth surjective morphism of algebraic spaces. Then f is of universal  $\mathop{}_{\mathcal{E}sp}^{esp.\acute{e}t} EO^{I}$ -descent.

*Proof.* This follows from the same proof of Proposition 3.5.5(1).

**Proposition 5.2.2.** The two functors  $_{\mathcal{E}sp}EO^{I}$  (5.4) and  $_{\mathcal{E}sp}^{esp.\acute{e}t}EO^{I}$  (5.6) are equivalent.

*Proof.* This follows from Proposition 4.1.1 and the previous proposition.

Remark 5.2.3. Let X be object of  $\mathcal{E}$ sp, and  $\lambda = (\Xi, \Lambda)$  an object of  $\mathcal{R}$ ind. Then it is easy to see that the usual t-structure on  $\mathcal{D}(X_{qs.\acute{e}t}^{\Xi}, \Lambda)$  coincides with the one on  $\mathcal{D}(X, \lambda)$  obtained in Output II of the program DESCENT.

Remark 5.2.4. In our construction of the map (3.13) in §3.4, the essential facts we used from algebraic geometry are Nagata's compactification and proper base change. Nagata's compactification has been extended to separated morphisms of finite type between quasi-compact and quasi-separated algebraic spaces [12, Theorem 1.2.1]. Proper base change for algebraic spaces follows from the case of schemes by cohomological descent and Chow's lemma for algebraic spaces [60, Première partie, Corollaire 5.7.13] or the existence theorem of a finite cover by a scheme. The latter is a special case of [63, Theorem B] and also follows from the Noetherian case [50, Théorème 16.6] by Noetherian approximation of algebraic spaces [12, Theorem 1.2.2].

Therefore, if we denote by  $\mathcal{E}sp^{qc.sep}$  the full subcategory of  $\mathcal{E}sp$  spanned by (small) coproducts of quasi-compact and separated algebraic spaces (hence contains  $\mathcal{S}ch^{qc.sep}$  as a full subcategory), and repeat the process in §3.4, then we obtain a map

$$\underset{\mathcal{E}sp^{qc.sep}}{\overset{\text{var}}{\text{EO}^{\text{II}}}: \delta_{2,\{2\}}^{*}((N(\mathcal{E}sp^{qc.sep})^{op} \times N(\mathcal{R}ind_{tor})^{op})_{F,all}^{\text{LI},op}) \xrightarrow{\text{cart}}{\overset{\text{cart}}{\text{F}}} \rightarrow \mathcal{C}at_{\infty},$$

whose restriction to  $\delta_{2,\{2\}}^*((N(\operatorname{Sch}^{\operatorname{qc.sep}})^{op} \times N(\operatorname{Rind}_{\operatorname{tor}})^{op})^{\operatorname{II},op})_{F,\operatorname{all}}^{\operatorname{cart}}$  is equivalent to the map  $\operatorname{Sch}^{\operatorname{qc.sep}} \operatorname{EO}^{\operatorname{II}}$ .

Moreover, the restriction  $_{\mathcal{E}sp} EO^{II} | \delta^*_{2,\{2\}} ((N(\mathcal{E}sp^{qc.sep})^{op} \times N(\mathcal{R}ind_{tor})^{op})^{II,op})^{cart}_{F,all}$  is equivalent to the map  $_{\mathcal{E}sp^{qc.sep}} EO^{II}$ . In fact, by Remark 4.1.10(2), it suffices to prove that  $_{\mathcal{E}sp^{qc.sep}} EO^{II}$ satisfies (P4). For this, we can repeat the proof of Proposition 3.5.5. The analogue of Remark 3.5.4 holds for algebraic spaces because the definition of trace maps is local for the étale topology on the target.

5.3. Artin stacks. Let Chp be the (2, 1)-category of Artin stacks (§0.1). It contains  $\mathcal{E}$ sp as a full subcategory. We run the *simplified* DESCENT (see Variant 4.1.9) with the following input:

- $\tilde{\mathcal{C}} = \mathcal{N}(\mathcal{C}hp)$ . It is geometric.
- $\mathcal{C} = N(\mathcal{E}sp)$ , and  $\mathbf{s}'' \to \mathbf{s}'$  is the identity morphism of Spec  $\mathbb{Z}[\Box^{-1}]$ . In particular,  $\mathcal{C}' = \mathcal{C}'' = N(\mathcal{E}sp_{\Box})$  (resp.  $\tilde{\mathcal{C}}' = \tilde{\mathcal{C}}'' = N(\mathcal{C}hp_{\Box})$ ), where  $\mathcal{E}sp_{\Box}$  (resp.  $\mathcal{C}hp_{\Box}$ ) is the category of  $\Box$ -coprime algebraic spaces (resp. Artin stacks).

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- $\tilde{\mathcal{E}}_{s}$  is the set of *surjective* morphisms of Artin stacks.
- $\tilde{\mathcal{E}}' = \tilde{\mathcal{E}}''$  is the set of *smooth* morphisms of Artin stacks.
- $\tilde{\mathcal{E}}''_d$  is the set of *smooth* morphisms of Artin stacks of pure relative dimension d.
- $\tilde{\mathcal{E}}_t$  is the set of *flat* morphisms *locally of finite presentation* of Artin stacks.
- $\mathcal{F} = F$  is the set of morphisms *locally of finite type* of Artin stacks.
- $\mathcal{L} = \mathcal{N}(\mathcal{R}ind)^{op}$ , and  $\mathcal{L}' = \mathcal{L}'' = \mathcal{N}(\mathcal{R}ind_{\Box-tor})^{op}$ .
- dim⁺ is upper relative dimension, which is defined as a special case in Definition 5.4.4 later.
- Input I and II are given by the output of §5.2. In particular,  ${}_{c}EO^{I}$  is (5.5), and  ${}_{c}EO^{II} = {}_{\mathcal{E}sp_{\square}}EO^{II}$  is defined as the restriction of  ${}_{\mathcal{E}sp}EO^{I}$  (5.4) to

$$\delta_{2,\{2\}}^*((\mathrm{N}(\mathrm{\mathcal{E}sp}_{\Box})^{op} \times \mathrm{N}(\mathrm{\mathcal{R}ind}_{\Box \operatorname{-tor}})^{op})^{\amalg,op})_{F,\mathrm{all}}^{\mathrm{cart}}.$$

Then the output consists of the following two maps: a functor

(5.7) 
$$\operatorname{Chp} \mathrm{EO}^{1} \colon (\mathrm{N}(\mathrm{Chp})^{op} \times \mathrm{N}(\mathrm{Rind})^{op})^{\mathrm{II}} \to \mathrm{Cat}_{\infty}$$

that is a lax Cartesian structure, and a map

$${}_{\operatorname{Chp}_{\square}}\operatorname{EO}^{\operatorname{II}} \colon \delta_{2,\{2\}}^{*}((\operatorname{N}(\operatorname{Chp}_{\square})^{op} \times \operatorname{N}(\operatorname{\mathcal{R}ind}_{\square\operatorname{-tor}})^{op})^{\operatorname{II},op})_{F,\operatorname{all}}^{\operatorname{cart}} \to \operatorname{Cat}_{\infty},$$

and Output II.

Now we study the values of objects under the above two maps. Let us recall the lisse-étale site Lis-ét(X) of an Artin stack X. Its underlying category, the full subcategory (which is in fact an ordinary category) of  $\operatorname{Chp}_{/X}$  spanned by smooth morphisms whose sources are algebraic spaces, is equivalent to a  $\mathcal{U}$ -small category. In particular, Lis-ét(X) endowed with the étale topology is a  $\mathcal{U}$ -site. We denote by  $X_{\text{lis-ét}}$  the associated topos. Let  $M \subseteq \operatorname{Ar}(\operatorname{Chp})$  be the set of smooth representable morphisms of Artin stacks. The lisse-étale topos has enough points by [50, Remarque 12.2.2], and is functorial with respect to M, so that we obtain a functor  $\operatorname{Chp}_M \times \operatorname{Rind} \to \operatorname{Ringed} \operatorname{PTopos}$ . Composing with  $\mathbf{T}$  (3.1), we obtain a functor

(5.8) 
$$(\mathrm{N}(\mathrm{Chp})_M^{op} \times \mathrm{N}(\mathrm{Rind})^{op})^{\mathrm{II}} \to \mathrm{Cat}_{\infty}$$

that is a lax Cartesian structure.

To simplify the notation, for an algebraic space U, we will write  $U_{\text{\acute{e}t}}$  instead of  $U_{\text{esp.\acute{e}t}}$  in what follows. Let  $\lambda = (\Xi, \Lambda)$  be an object of  $\Re$  ind. We denote by

$$\mathcal{D}_{\text{cart}}(X_{\text{lis-\acute{e}t}},\lambda) \subseteq \mathcal{D}(X_{\text{lis-\acute{e}t}},\lambda)$$

(Notation 3.2.6) the full subcategory consisting of complexes whose cohomology sheaves are all Cartesian (§0.1), or, equivalently, complexes K such that for every morphism  $f: Y' \to Y$  of Lis-ét(X), the map  $f^*(\mathsf{K} | Y_{\acute{e}t}) \to (\mathsf{K} | Y'_{\acute{e}t})$  is an equivalence. This full subcategory is *functorial under* **T** in the sense that (5.8) restricts to a new functor

(5.9) 
$$\underset{\text{Chp}}{\overset{\text{lis-ét}}{\to}} \text{EO}^{1} \colon (\text{N}(\text{Chp})_{M}^{op} \times \text{N}(\text{Rind})^{op})^{\Pi} \to \text{Cat}_{\infty}$$

that is a lax Cartesian structure, whose value at  $(X, \lambda)$  is  $\mathcal{D}_{cart}(X_{lis-\acute{e}t}, \lambda)$ . It is clear that the restrictions of  $^{lis-\acute{e}t}_{Chp} EO^{I}$  and  $_{\mathcal{E}sp} EO^{I}$  (5.4) to  $(N(\mathcal{E}sp)^{op}_{M'} \times N(\mathcal{R}ind)^{op})^{II}$  are equivalent, where  $M' = M \cap Ar(\mathcal{E}sp)$ . In order to compare  $^{lis-\acute{e}t}_{Chp} EO^{I}$  and  $_{Chp} EO^{I}$  more generally, we start from the following lemma, which is a variant of Proposition 4.1.1.

**Lemma 5.3.1.** Let  $(\tilde{\mathbb{C}}, \tilde{\mathbb{E}}, \tilde{\mathbb{F}})$  be a 2-marked  $\infty$ -category such that  $\tilde{\mathbb{C}}$  admits pullbacks and  $\tilde{\mathbb{E}} \subseteq \tilde{\mathbb{F}}$  are stable under composition and pullback. Let  $\mathbb{C} \subseteq \tilde{\mathbb{C}}$  be a full subcategory stable under pullback such that every edge in  $\tilde{\mathbb{F}}$  is representable in  $\mathbb{C}$  and for every object X of  $\tilde{\mathbb{C}}$ , there exists a morphism  $Y \to X$  in  $\tilde{\mathbb{E}}$  with Y in  $\mathbb{C}$ . Let  $\mathbb{D}$  be an  $\infty$ -category such that  $\mathbb{D}^{op}$  admits

geometric realizations. Put  $\mathcal{E} := \tilde{\mathcal{E}} \cap \mathcal{C}_1$  and  $\mathcal{F} := \tilde{\mathcal{F}} \cap \mathcal{C}_1$ . Let  $\operatorname{Fun}^{\mathcal{E}}(\mathcal{C}^{op}_{\mathcal{F}}, \mathcal{D}) \subseteq \operatorname{Fun}(\mathcal{C}^{op}_{\mathcal{F}}, \mathcal{D})$ (resp.  $\operatorname{Fun}^{\tilde{\mathcal{E}}}(\tilde{\mathcal{C}}^{op}_{\tilde{\mathcal{F}}}, \mathcal{D}) \subseteq \operatorname{Fun}(\tilde{\mathcal{C}}^{op}_{\tilde{\mathcal{F}}}, \mathcal{D})$ ) be the full subcategory spanned by functors F such that for every edge  $f: X_0^+ \to X_{-1}^+$  in  $\mathcal{E}$  (resp. in  $\tilde{\mathcal{E}}$ ),  $F \circ (X_{\bullet}^{s,+})^{op}: \operatorname{N}(\Delta_{s,+}) \to \mathcal{D}$  is a limit diagram, where  $X_{\bullet}^{s,+}$  is a semisimplicial Čech nerve of f in  $\mathcal{C}$  (resp.  $\tilde{\mathcal{C}}$ ) [52, Notation 6.5.3.6]. Then the restriction map

$$\operatorname{Fun}^{\mathcal{E}}(\tilde{\mathcal{C}}^{op}_{\tilde{\mathcal{F}}}, \mathcal{D}) \to \operatorname{Fun}^{\mathcal{E}}(\mathcal{C}^{op}_{\mathcal{F}}, \mathcal{D})$$

is a trivial fibration.

*Proof.* The proof is similar to Proposition 4.1.1, whose details we leave to the reader.

For an object  $V \to X$  of Lis-ét(X), we denote by  $\tilde{V}$  the sheaf in  $X_{\text{lis-ét}}$  represented by V. The overcategory  $(X_{\text{lis-ét}})_{/\tilde{V}}$  is equivalent to the topos defined by the site Lis-ét $(X)_{/V}$  endowed with the étale topology [3, Exposé iii, Proposition 5.4]. A morphism  $f: U \to U'$  of Lis-ét $(X)_{/V}$ induces a 2-commutative diagram



of topoi [3, Exposé iv, §5.5].

For an object  $\lambda = (\Xi, \Lambda)$  of Rind, let  $\mathcal{D}_{cart}((X_{lis-\acute{e}t})_{/\widetilde{V}}, \lambda)^{\otimes} \subseteq \mathcal{D}((X_{lis-\acute{e}t})_{/\widetilde{V}}, \lambda)^{\otimes}$  be the full (monoidal) subcategory spanned by complexes on which the natural transformation  $f^* \circ \epsilon_{U'*} \circ u'^* \to \epsilon_{U*} \circ u^*$  is an isomorphism for all f. We have a functor

 $[1] \times \text{Lis-\acute{e}t}(X) \times \Re$ ind  $\rightarrow \Re$ inged  $\Re$  Topos

sending  $[1] \times \{f : U \to V\} \times \{\lambda\}$  to the square

Composing with the functor  $\mathbf{T}^{\otimes}$  (3.2), we obtain a functor

$$F: (\Delta^1)^{op} \times \mathrm{N}(\mathrm{Lis}\operatorname{-\acute{e}t}(X))^{op} \times \mathrm{N}(\mathfrak{R}\mathrm{ind})^{op} \to \mathrm{CAlg}(\mathfrak{Cat}_{\infty})^{\mathrm{L}}_{\mathrm{pr,st,cl}}$$

By construction,  $F(0, V, \lambda) = \mathcal{D}((X_{\text{lis-\acute{e}t}})_{/\widetilde{V}}, \lambda)^{\otimes}$ . Replacing  $F(0, V, \lambda)$  by the full subcategory  $\mathcal{D}_{\text{cart}}((X_{\text{lis-\acute{e}t}})_{/\widetilde{V}}, \lambda)^{\otimes}$ , we obtain a new functor

$$F': (\Delta^1)^{op} \times \mathcal{N}(\text{Lis-\acute{e}t}(X))^{op} \times \mathcal{N}(\mathfrak{R}ind)^{op} \to \operatorname{CAlg}(\mathfrak{Cat}_{\infty})$$

sending  $(\Delta^1)^{op} \times \{f \colon U \to V\} \times \{\lambda\}$  to the square

$$\mathcal{D}_{cart}((X_{lis-\acute{et}})_{/\widetilde{U}},\lambda)^{\otimes} \stackrel{\epsilon_{U}}{\longleftarrow} \mathcal{D}(U_{\acute{et}},\lambda)^{\otimes}$$

$$f^{*} \uparrow \qquad \uparrow f^{*}_{\acute{et}}$$

$$\mathcal{D}_{cart}((X_{lis-\acute{et}})_{/\widetilde{V}},\lambda)^{\otimes} \stackrel{\epsilon^{*}_{V}}{\longleftarrow} \mathcal{D}(V_{\acute{et}},\lambda)^{\otimes}.$$

We have the following two lemmas.

**Lemma 5.3.2.** The functor F', viewed as an edge of

 $\operatorname{Fun}(\operatorname{N}(\operatorname{Lis-\acute{e}t}(X))^{op} \times \operatorname{N}(\operatorname{\mathcal{R}ind})^{op}, \operatorname{CAlg}(\operatorname{Cat}_{\infty})),$ 

is an equivalence. In particular, the functor F' factorizes through  $\operatorname{CAlg}(\operatorname{Cat}_{\infty})^{\mathrm{L}}_{\mathrm{pr.st.cl}}$ .

*Proof.* We only need to prove that for every object V of  $\text{Lis-\acute{e}t}(X)$ , the functor

 $\epsilon_V^* \colon \mathcal{D}(V_{\text{\'et}}, \lambda) \to \mathcal{D}_{\text{cart}}((X_{\text{lis-\'et}})_{\widetilde{V}}, \lambda)$ 

is an equivalence. This follows from the fact that

 $\epsilon_V^* \colon \operatorname{Mod}(V_{\operatorname{\acute{e}t}}, \lambda) \to \operatorname{Mod}_{\operatorname{cart}}((X_{\operatorname{lis-\acute{e}t}})_{\widetilde{V}}, \lambda)$ 

is an equivalence of categories and that the functor

$$\epsilon_{V*} \colon \operatorname{Mod}((X_{\text{lis-\acute{e}t}})_{/\widetilde{V}}, \lambda) \to (V_{\acute{e}t}, \lambda)$$

is exact, by the following lemma.

**Lemma 5.3.3.** Let  $F: \mathcal{A} \to \mathcal{B}$  be an exact fully faithful functor between Grothendieck Abelian categories that admit an exact right adjoint G. Then F induces an equivalence of  $\infty$ -categories  $\mathcal{D}(\mathcal{A}) \to \mathcal{D}_{\mathcal{A}}(\mathcal{B})$ , where  $\mathcal{D}_{\mathcal{A}}(\mathcal{B})$  denotes the full subcategory of  $\mathcal{D}(\mathcal{B})$  spanned by complexes with cohomology in the essential image of  $\epsilon$ .

*Proof.* This is standard. The pair (F, G) induce a pair of t-exact adjoint between  $\mathcal{D}(\mathcal{A})$  and  $\mathcal{D}_{\mathcal{A}}(\mathcal{B})$ . To check that the unit and counit are natural equivalences, we may reduce to objects in the Abelian categories, for which the assertion follows from the assumptions.

**Lemma 5.3.4.** Let  $v: V \to X$  be an object of Lis-ét(X), viewed as a morphism of Chp. Assume that v is surjective. Then a complex  $\mathsf{K} \in \mathcal{D}(X_{\mathrm{lis-\acute{e}t}}, \lambda)$  belongs to  $\mathcal{D}_{\mathrm{cart}}(X_{\mathrm{lis-\acute{e}t}}, \lambda)$  if and only if  $v^*\mathsf{K}$  belongs to  $\mathcal{D}_{\mathrm{cart}}((X_{\mathrm{lis-\acute{e}t}})_{/\widetilde{V}}, \lambda)$ .

Proof. The necessity is trivial. Assume that  $v^*\mathsf{K}$  belongs to  $\mathcal{D}_{\mathrm{cart}}((X_{\mathrm{lis}-\mathrm{\acute{e}t}})_{/\widetilde{V}}, \lambda)$ . We need to show that for every morphism  $f: Y' \to Y$  of  $\mathrm{Lis}-\mathrm{\acute{e}t}(X)$ , the map  $f^*(\mathsf{K} \mid Y_{\mathrm{\acute{e}t}}) \to (\mathsf{K} \mid Y'_{\mathrm{\acute{e}t}})$  is an equivalence. The problem is local for the étale topology on Y. However, locally for the étale topology on Y, the morphism  $Y \to X$  factorizes through v [31, Corollaire 17.16.3 (ii)]. The assertions thus follows from the assumption.

Now let  $V_{\bullet}: \mathbb{N}(\Delta_{+})^{op} \to \mathbb{N}(\mathbb{Chp})$  be a Čech nerve of v where  $v: V \to X$  be an object of Lis-ét(X), which can be viewed as a simplicial object of Lis-ét(X). By Lemma 5.3.4, we can apply Lemma 3.3.3 to  $U_{\bullet} = \widetilde{V_{\bullet}}^{\Xi}$  and  $\mathcal{C}_{\bullet} = \mathrm{Mod}_{\mathrm{cart}}((X_{\mathrm{lis-\acute{e}t}})^{\Xi}_{\widetilde{V_{\bullet}}}, \Lambda)$ . We obtain a natural equivalence of symmetric monoidal  $\infty$ -categories

(5.10) 
$$\mathcal{D}_{\operatorname{cart}}(X_{\operatorname{lis-\acute{e}t}},\lambda)^{\otimes} \xrightarrow{\sim} \varprojlim_{n \in \Delta} \mathcal{D}_{\operatorname{cart}}((X_{\operatorname{lis-\acute{e}t}})_{/\widetilde{V_n}},\lambda)^{\otimes}.$$

**Proposition 5.3.5** (Cohomological descent for lisse-étale topoi). Let X be an Artin stack, V an algebraic space, and  $v: V \to X$  a surjective smooth morphism. Then there is an equivalence in Fun(N(Rind)^{op}, CAlg(Cat_{$\infty$})^L_{pr,st,cl}) sending  $\lambda$  to the equivalence

$$\mathcal{D}_{\operatorname{cart}}(X_{\operatorname{lis-\acute{e}t}},\lambda)^{\otimes} \xrightarrow{\sim} \varprojlim_{n \in \mathbf{\Delta}} \mathcal{D}(V_{n,\operatorname{\acute{e}t}},\lambda)^{\otimes},$$

where  $V_{\bullet}$  is a Čech nerve of v.

*Proof.* This follows from (5.10) and a quasi-inverse of the equivalence in Lemma 5.3.2.

The previous proposition has the following four corollaries.

**Corollary 5.3.6.** Let  $f: Y \to X$  be a smooth surjective representable morphism of Artin stacks,  $\lambda$  an object of Rind, and  $Y_{\bullet}$  a Čech nerve of f. Then the functor

$$\mathcal{D}_{\text{cart}}(X_{\text{lis-\acute{e}t}},\lambda)^{\otimes} \xrightarrow{\sim} \varprojlim_{n \in \mathbf{\Delta}_s} \mathcal{D}_{\text{cart}}(Y_{n,\text{lis-\acute{e}t}},\lambda)^{\otimes}$$

is an equivalence.

**Corollary 5.3.7.** The functor  ${}^{\text{lis-\acute{e}t}}_{\text{Chp}} \text{EO}^{\text{I}}$  (5.9) belongs to  $\text{Fun}^{\tilde{\mathcal{E}}}(\tilde{\mathbb{C}}^{op}_{\tilde{\mathcal{F}}}, \text{Cat}_{\infty})$  with the notation in Lemma 5.3.1, where

- $\tilde{\mathcal{C}} = (\mathrm{N}(\mathrm{Chp})^{op} \times \mathrm{N}(\mathrm{Rind})^{op})^{\mathrm{II},op};$
- $\tilde{\mathfrak{F}}$  consists of edges of that statically belong to M; and
- $\tilde{\mathcal{E}} \subseteq \tilde{\mathcal{F}}$  consists of edges that are also statically surjective.

**Corollary 5.3.8.** The functor  ${}^{\text{lis-\acute{e}t}}_{\text{Chp}} \text{EO}^{\text{I}}$  (5.9) is equivalent to the restriction of the functor  ${}_{\text{Chp}}\text{EO}^{\text{I}}$  (5.7) to  $(\text{N}(\text{Chp})_{M}^{op} \times \text{N}(\text{Rind})^{op})^{\text{II}}$ . In particular, for every Artin stack X and every object  $\lambda$  of Rind, we have an equivalence

$$\mathcal{D}_{\text{cart}}(X_{\text{lis-\acute{e}t}},\lambda)^{\otimes} \simeq \mathcal{D}(X,\lambda)^{\otimes}$$

of symmetric monoidal  $\infty$ -categories. Consequently,  $\mathbb{D}_{cart}(X_{lis-\acute{e}t}, \lambda)^{\otimes}$  is a closed presentable stable symmetric monoidal  $\infty$ -category. Here we recall that  $\mathbb{D}(X, \lambda)^{\otimes}$  is the value of  $(X, \lambda, \langle 1 \rangle, \{1\})$  under the functor  $_{Chp} EO^{I}$ .

**Corollary 5.3.9.** Let X be an Artin stack, and  $\lambda$  an object of Rind. Under the equivalence in Corollary 5.3.8, the usual t-structure on  $\mathcal{D}_{cart}(X_{lis-\acute{e}t}, \lambda)$  coincides with the t-structure on  $\mathcal{D}(X, \lambda)$  obtained in Output II. In particular, the heart of  $\mathcal{D}(X, \lambda)$  is equivalent to (the nerve of)  $\operatorname{Mod}_{cart}(X_{lis-\acute{e}t}^{\Xi}, \Lambda)$ , the Abelian category of Cartesian  $(X_{lis-\acute{e}t}^{\Xi}, \Lambda)$ -modules.

Remark 5.3.10 (de Jong). The *-pullback encoded by  $_{\operatorname{Chp}}\operatorname{EO^{I}}$  can be described more directly using big étale topoi of Artin stacks. For any Artin stack X, we consider the full subcategories  $\operatorname{Esp}_{\operatorname{lfp}/X} \subseteq \operatorname{Chp}_{\operatorname{rep.lfp}/X}$  of  $\operatorname{Chp}_{/X}$  spanned by morphisms locally of finite presentation whose sources are algebraic spaces and by representable morphisms locally of finite presentation,¹¹ respectively. They are ordinary categories and we endow them with the étale topology. The corresponding topoi are equivalent, and we denote them by  $X_{\operatorname{big.\acute{e}t}}$ . The construction of  $X_{\operatorname{big.\acute{e}t}}$ is functorial in X, so that we obtain a functor  $\operatorname{Chp} \times \operatorname{Rind} \to \operatorname{Ringed} \operatorname{PTopos}$ . Composing with **T**, we obtain a functor

$$(\mathrm{N}(\mathrm{Chp})^{op} \times \mathrm{N}(\mathrm{\mathcal{R}ind})^{op})^{\amalg} \to \mathrm{Cat}_{\infty}$$

that is a lax Cartesian structure, sending  $(X, \lambda)$  to  $\mathcal{D}(X_{\text{big.\acute{e}t}}, \lambda)$ . Replacing the latter by the full subcategory  $\mathcal{D}_{\text{cart}}(X_{\text{big.\acute{e}t}}, \lambda)$  consisting of complexes K such that  $f^*(\mathsf{K} \mid Y'_{\acute{e}t}) \to (\mathsf{K} \mid Y'_{\acute{e}t})$  is an equivalence for every morphism  $f: Y \to Y'$  of  $\mathcal{E}_{\text{sp}/X}$ , we obtain a new functor

$$^{\text{big}}_{\text{Chp}} \text{EO}^{\text{I}} \colon (\text{N}(\text{Chp})^{op} \times \text{N}(\text{Rind})^{op})^{\text{II}} \to \text{Cat}_{\infty}$$

that is a lax Cartesian structure. Using similar arguments as in this section, with Lemma 5.3.1 replaced by Proposition 4.1.1, one shows that  ${}_{\rm Chp}^{\rm big}{\rm EO}^{\rm I}$  and  ${}_{\rm Chp}{\rm EO}^{\rm I}$  are equivalent.

5.4. **Higher Artin stacks.** We begin by recalling the definition of higher Artin stacks. We will use the fppf topology instead of the étale topology adopted in [65]. The two definitions are equivalent [66]. Let Sch^{aff}  $\subseteq$  Sch be the full subcategory spanned by affine schemes. Recall that  $S_{\mathcal{W}}$  is the  $\infty$ -category of spaces in  $\mathcal{W} \in \{\mathcal{U}, \mathcal{V}\}$ .¹²

¹¹We impose the "locally of finite presentation" condition here to avoid set-theoretic issues.

 $^{^{12}}$ We refer to §0.7 for conventions on set-theoretical issues.

**Definition 5.4.1** (Prestack and stack). We defined the  $\infty$ -category of  $(\mathcal{V}$ -)prestacks to be  $Chp^{pre} := Fun(N(Sch^{aff})^{op}, S_{\mathcal{V}})$ . We endow  $N(Sch^{aff})$  with the fppf topology. We define the  $\infty$ -category of (small) stacks  $Chp^{fppf}$  to be the essential image of the following inclusion

 $\mathrm{Shv}(\mathrm{N}(\mathrm{Sch}^{\mathrm{aff}})_{\mathrm{fppf}})\cap\mathrm{Fun}(\mathrm{N}(\mathrm{Sch}^{\mathrm{aff}})^{op},\mathbb{S}_{\mathfrak{U}})\subseteq \mathbb{C}\mathrm{hp}^{\mathrm{pre}},$ 

where  $\operatorname{Shv}(N(\operatorname{Sch}^{\operatorname{aff}})_{\operatorname{fppf}}) \subseteq \operatorname{Fun}(N(\operatorname{Sch}^{\operatorname{aff}})^{op}, \mathcal{S}_{\mathcal{V}})$  is the full subcategory spanned by fppf sheaves [52, Definition 6.2.2.6]. A prestack F is k-truncated [52, Definition 5.5.6.1] for an integer  $k \ge -1$ , if  $\pi_i(F(A)) = 0$  for every object A of  $\operatorname{Sch}^{\operatorname{aff}}$  and every integer i > k.

The Yoneda embedding  $N(Sch^{aff}) \to Chp^{pre}$  extends to a fully faithful functor  $N(\mathcal{E}sp) \to Chp^{pre}$  sending X to the discrete Kan complex  $Hom_{\mathcal{E}sp}(Spec A, X)$ . The image of this functor is contained in  $Chp^{fppf}$ . We will generally not distinguish between  $N(\mathcal{E}sp)$  and its essential image in  $Chp^{fppf}$ . A stack X belongs to (the essential image of)  $N(\mathcal{E}sp)$  if and only if it satisfies the following conditions.

- It is 0-truncated.
- The diagonal morphism  $X \to X \times X$  is schematic, that is, for every morphism  $Z \to X \times X$  with Z a scheme, the fiber product  $X \times_{X \times X} Z$  is a scheme.
- There exists a scheme Y and an (automatically schematic) morphism  $f: Y \to X$  that is smooth (resp. étale) and surjective. In other words, for every morphism  $Z \to X$  with Z a scheme, the induced morphism  $Y \times_X Z \to Z$  is smooth (resp. étale) and surjective. The morphism f is called an *atlas* (resp. *étale atlas*) for X.

**Definition 5.4.2** (Higher Artin stack; see [65] and [26]). We define k-Artin stacks inductively for  $k \ge 0$ .

• A stack X is a 0-Artin stack if it belongs to (the essential image of)  $N(\mathcal{E}sp)$ .

For  $k \ge 0$ , assume that we have defined k-Artin stacks. We define:

- A morphism  $F' \to F$  of prestacks is k-Artin if for every morphism  $Z \to F$  where Z is a k-Artin stack, the fiber product  $F' \times_F Z$  is a k-Artin stack.
- A k-Artin morphism  $F' \to F$  is flat (resp. locally of finite type, resp. locally of finite presentation, resp. smooth, resp. surjective) if for every morphism  $Z \to F$  and every atlas  $f: Y \to F' \times_F Z$  where Y and Z are schemes, the composite morphism  $Y \to F' \times_F Z \to Z$  is a flat (resp. locally of finite type, resp. locally of finite presentation, resp. smooth, resp. surjective) morphism of schemes.
- A stack X is a (k+1)-Artin stack if the diagonal morphism  $X \to X \times X$  is k-Artin, and there exists a scheme Y together with an (automatically k-Artin) morphism  $f: Y \to X$  that is smooth and surjective. The morphism f is called an *atlas* for X.

We denote by  $\operatorname{Chp}^{k\operatorname{-Ar}} \subseteq \operatorname{Chp}^{\operatorname{fppf}}$  the full subcategory spanned by k-Artin stacks. We define higher Artin stacks to be objects of  $\operatorname{Chp}^{\operatorname{Ar}} := \bigcup_{k \ge 0} \operatorname{Chp}^{k\operatorname{-Ar}}$ . A morphism  $F' \to F$  of prestacks is higher Artin if for every morphism  $Z \to F$  where Z is a higher Artin stack, the fiber product  $F' \times_F Z$  is a higher Artin stack.

To simplify the notation, we put  $Chp^{(-1)-Ar} := N(Sch^{qs})$  and  $Chp^{(-2)-Ar} := N(Sch^{qc.sep})$ , and we call their objects (-1)-Artin stacks and (-2)-Artin stacks, respectively.

By definition,  $Chp^{0-Ar}$  and  $Chp^{1-Ar}$  are equivalent to N( $\mathcal{E}sp$ ) and N(Chp), respectively. For  $k \ge 0$ , k-Artin stacks are k-truncated prestacks. Higher Artin stacks are hypercomplete sheaves [52, Lemma 6.5.2.9]. Every flat surjective morphism locally of finite presentation of higher Artin stacks is an *effective epimorphism* in the  $\infty$ -topos Shv(N( $Sch^{aff})_{fppf}$ ) in the sense after [52, Corollary 6.2.3.5]. A higher Artin morphism of prestacks is k-Artin for some  $k \ge 0$ .

Definition 5.4.3. We have the following notion of quasi-compactness.

- A higher Artin stack X is quasi-compact if there exists an atlas  $f: Y \to X$  such that Y is a quasi-compact scheme.
- A higher Artin morphism  $F' \to F$  of prestacks is *quasi-compact* if for every morphism  $Z \to F$  where Z is a quasi-compact scheme, the fiber product  $F' \times_F Z$  is a quasi-compact higher Artin stack.

We define quasi-separated higher Artin morphisms of prestacks by induction as follows.

- A 0-Artin morphism of prestacks  $F' \to F$  is quasi-separated if the diagonal morphism  $F' \to F' \times_F F'$ , which is automatically schematic, is quasi-compact.
- For  $k \ge 0$ , a (k + 1)-Artin morphism of prestacks  $F' \to F$  is quasi-separated if the diagonal morphism  $F' \to F' \times_F F'$ , which is automatically k-Artin, is quasi-separated and quasi-compact.

We say that a morphism of higher Artin stacks is *of finite presentation* if it is quasi-compact, quasi-separated, and locally of finite presentation.

We say that a higher Artin stack X is  $\Box$ -coprime if there exists a morphism  $X \to \operatorname{Spec} \mathbb{Z}[\Box^{-1}]$ . This is equivalent to the existence of a  $\Box$ -coprime atlas. We denote by  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}} \subseteq \operatorname{Chp}^{\operatorname{Ar}}$  the full subcategory spanned by  $\Box$ -coprime higher Artin stacks. We put  $\operatorname{Chp}_{\Box}^{k-\operatorname{Ar}} \cong \operatorname{Chp}_{\Box}^{k-\operatorname{Ar}} \cap \operatorname{Chp}_{\Box}^{\operatorname{Ar}}$ .

**Definition 5.4.4** (Relative dimension). We define by induction the class of smooth morphisms of pure relative dimension d of k-Artin stacks for  $d \in \mathbb{Z} \cup \{-\infty\}$  and the upper relative dimension  $\dim^+(f)$  for every morphism f locally of finite type of k-Artin stacks. If in Input 0 of §4.1, we let  $\tilde{\mathcal{F}}$  (resp.  $\tilde{\mathcal{E}}'', \tilde{\mathcal{E}}''_d$ ) be the set of morphisms locally of finite type (resp. smooth morphisms, smooth morphisms of pure relative dimension d) of k-Artin stacks, then such definitions should satisfy Input 0(5-8).

When k = 0, we use the usual definitions for algebraic spaces, with the upper relative dimension given in Definition 4.1.11. For  $k \ge 0$ , assuming that these notions are defined for k-Artin stacks. We first extend these definitions to k-representable morphisms locally of finite type of (k + 1)-Artin stacks. Let  $f: Y \to X$  be such a morphism, and  $X_0 \xrightarrow{u} X$  an atlas of X. Let  $f_0: Y_0 \to X_0$  be the base change of f by u. Then  $f_0$  is a morphism locally of finite type of k-Artin stacks. We define dim⁺ $(f) = \dim^+(f_0)$ . It is easy to see that this is independent of the atlas we choose by Input 0(8d). We say that f is smooth of pure relative dimension d if  $f_0$  is – this is independent of the atlas we choose by Input 0(6). We need to check Input 0(5–8). Input 0(6–8) are easy, and (5) can be argued as follows. Since  $f_0$  is a smooth morphism of k-Artin stacks, there is a decomposition  $f_0: Y_0 \simeq \coprod_{d \in \mathbb{Z}} Y_{0,d} \xrightarrow{(f_{0,d})} X_0$ . Let  $X_{\bullet} \to X$  be a Čech nerve of u, and put  $Y_{\bullet,d} \coloneqq Y_{0,d} \times_{X_0} X_{\bullet}$ . Then  $\coprod_{d \in \mathbb{Z}} Y_{0,d} \to Y$  is a Čech nerve of  $v: Y_0 \to Y$ . Put  $Y_d \coloneqq \varinjlim_{n \in \Delta^{op}} Y_{n,d}$ . Then  $Y \simeq \coprod_{d \in \mathbb{Z}} Y_d$  is the desired decomposition.

Next we extend these definitions to all morphisms locally of finite type of (k+1)-Artin stacks. Let  $f: Y \to X$  be such a morphism, and  $v_0: Y_0 = \coprod_{d \in \mathbb{Z}} Y_{0,d} \xrightarrow{(v_{0,d})} Y$  an atlas of Y such that  $v_{0,d}$  is smooth of pure relative dimension d. We define

$$\dim^+(f) = \sup_{d \in \mathbb{Z}} \{\dim^+(f \circ v_{0,d}) - d\}.$$

We say that f is smooth of pure relative dimension d if for every  $e \in \mathbb{Z}$ , the morphism  $f \circ v_{0,e}$ is smooth of pure relative dimension d + e. We leave it to the reader to check that these definitions are independent of the atlas we choose, and satisfy Input 0(6–8). We sketch the proof for Input 0(5). Since  $f \circ v_{0,e}$  is smooth and k-representable, it can be decomposed as  $Y_{0,e} \simeq \coprod_{e' \in \mathbb{Z}} Y_{0,e,e'} \xrightarrow{(f_{e,e'})} X$  such that  $f_{e,e'}$  is of pure relative dimension e'. We let  $Y_d$  be the colimit of the underlying groupoid object of the Čech nerve of  $\coprod_{e'-e=d} Y_{0,e,e'} \to X$ . Then  $Y \simeq \coprod_{d \in \mathbb{Z}} Y_d \to X$  is the desired decomposition.

Let F be the set of morphisms locally of finite type of higher Artin stacks. For every k, we are going to construct a functor

$${}_{\operatorname{Chp}^{k-\operatorname{Ar}}}\operatorname{EO}^{\operatorname{I}}\colon ((\operatorname{Chp}^{k-\operatorname{Ar}})^{op}\times\operatorname{N}(\operatorname{\mathscr{R}ind})^{op})^{\operatorname{II}}\to\operatorname{Cat}_{\infty}$$

that is a lax Cartesian structure, and a map

$$_{\operatorname{Chp}_{\square}^{k-\operatorname{Ar}}} \operatorname{EO}^{\operatorname{II}} \colon \delta_{2,\{2\}}^{*} (((\operatorname{Chp}_{\square}^{k-\operatorname{Ar}})^{op} \times \operatorname{N}(\operatorname{\mathfrak{Rind}}_{\square-\operatorname{tor}})^{op})^{\operatorname{II},op})_{F,\operatorname{all}}^{\operatorname{cart}} \to \operatorname{Cat}_{\infty},$$

such that their restrictions to (k-1)-Artin stacks coincide with those for the latter.

We construct by induction. When k = -2, -1, 0, 1, they have been constructed in §3.4, §5.1, §5.2, and §5.3, respectively. Assume that they have been extended to k-Artin stacks. We run the version of DESCENT in Variant 4.1.9 with the following input:

- € = Chp^{(k+1)-Ar}. It is geometric.
   C = Chp^{k-Ar}, s" → s' is the identity morphism of Spec Z[□⁻¹]. In particular, C' = C" = Chp^{k-Ar}_□, and €' = €" = Chp^{(k+1)-Ar}_□.
- $\tilde{\mathcal{E}}_s$  is the set of *surjective* morphisms of (k+1)-Artin stacks.
- $\tilde{\mathcal{E}}' = \tilde{\mathcal{E}}''$  is the set of *smooth* morphisms of (k+1)-Artin stacks.
- $\tilde{\mathcal{E}}''_d$  is the set of *smooth* morphisms of (k+1)-Artin stacks of pure relative dimension d.
- $\tilde{\mathcal{E}}_t$  is the set of flat morphisms locally of finite presentation of (k+1)-Artin stacks.
- $\tilde{\mathcal{F}} = F$  is the set of morphisms *locally of finite type* of (k+1)-Artin stacks.
- $\mathcal{L} = \mathcal{N}(\mathcal{R}ind)^{op}$ , and  $\mathcal{L}' = \mathcal{L}'' = \mathcal{N}(\mathcal{R}ind_{\Box-tor})^{op}$ .
- dim⁺ is the upper relative dimension in Definition 5.4.4.
- Input I and II is given by induction hypothesis. In particular, we take

$$_{\mathcal{C}}\mathrm{EO}^{\mathrm{I}} = {}_{\mathrm{Chp}^{k-\mathrm{Ar}}}\mathrm{EO}^{\mathrm{I}}, \quad {}_{\mathcal{C}'}\mathrm{EO}^{\mathrm{II}} = {}_{\mathrm{Chp}^{k-\mathrm{Ar}}_{\sqcap}}\mathrm{EO}^{\mathrm{II}}.$$

Then the output consists of desired two maps  $_{Chp^{k+1-Ar}}EO^{I}$ ,  $_{Chp^{k+1-Ar}_{\square}}EO^{II}$  and Output II, satisfying (P0) – (P7^{bis}). Taking union of all  $k \ge 0$ , we obtain the following two maps: a functor

(5.11) 
$${}_{\mathcal{C}hp^{\operatorname{Ar}}} \mathrm{EO}^{\mathrm{I}} \colon ((\mathcal{C}hp^{\operatorname{Ar}})^{op} \times \mathrm{N}(\mathcal{R}ind)^{op})^{\mathrm{II}} \to \mathcal{C}at_{\infty}$$

that is a lax Cartesian structure, and a map

(5.12) 
$$(5.12) \qquad \qquad \underset{\mathbb{C}hp_{\square}^{\operatorname{Ar}}}{\operatorname{EO}^{\operatorname{II}}} \colon \delta_{2,\{2\}}^{*} (((\mathbb{C}hp_{\square}^{\operatorname{Ar}})^{op} \times \operatorname{N}(\operatorname{\mathfrak{R}ind}_{\square\operatorname{-tor}})^{op})^{\amalg,op})_{F,\operatorname{all}}^{\operatorname{cart}} \to \operatorname{Cat}_{\infty}$$

5.5. Higher Deligne–Mumford stacks. The definition of higher Deligne–Mumford (DM) stacks is similar to that of higher Artin stacks (Definition 5.4.2).

## **Definition 5.5.1** (Higher DM stack).

• A stack X is a 0-DM stack if it belongs to (the essential image of)  $N(\mathcal{E}sp)$ .

For  $k \ge 0$ , assume that we have defined k-DM stacks. We define:

- A morphism  $F' \to F$  of prestacks is k-DM if for every morphism  $Z \to F$  where Z is a k-DM stack, the fiber product  $F' \times_F Z$  is a k-DM stack.
- A k-DM morphism  $F' \to F$  of prestacks is étale (resp. locally quasi-finite) if for every morphism  $Z \to F$  and every étale atlas  $f: Y \to F' \times_F Z$  where Y and Z are schemes, the composite morphism  $Y \to F' \times_F Z \to Z$  is an étale (resp. locally quasi-finite) morphism of schemes.
- A stack X is a (k+1)-DM stack if the diagonal morphism  $X \to X \times X$  is k-DM, and there exists a scheme Y together with an (automatically k-DM) morphism  $f: Y \to X$ that is étale and surjective. The morphism f is called an *étale atlas* for X.

We denote by  $\operatorname{Chp}^{k-\mathrm{DM}} \subseteq \operatorname{Chp}^{\operatorname{fppf}}$  the full subcategory spanned by  $k-\mathrm{DM}$  stacks. We define higher DM stacks to be objects of  $\operatorname{Chp}^{\mathrm{DM}} \coloneqq \bigcup_{k \ge 0} \operatorname{Chp}^{k-\mathrm{DM}}$ . We put  $\operatorname{Chp}_{\Box}^{\mathrm{DM}} \coloneqq \operatorname{Chp}_{\Box}^{\mathrm{Ar}}$ , and  $\mathbb{Chp}_{\Box}^{k-\mathrm{DM}} \coloneqq \mathbb{Chp}^{k-\mathrm{DM}} \cap \mathbb{Chp}_{\Box}^{\mathrm{DM}}$ .

A morphism of higher DM stacks is étale if and only if it is smooth of pure relative dimension 0.

Let F be the set of morphisms locally of finite type of higher DM stacks. For every k, we are going to construct a functor

$$_{\mathcal{C}hp^{k-\mathrm{DM}}}\mathrm{EO}^{\mathrm{I}} \colon ((\mathcal{C}hp^{k-\mathrm{DM}})^{op} \times \mathrm{N}(\mathcal{R}\mathrm{ind})^{op})^{\mathrm{II}} \to \mathrm{Cat}_{\infty}$$

that is a lax Cartesian structure, and a map

$${}_{\operatorname{Chp}^{k-\mathrm{DM}}}\operatorname{EO}^{\mathrm{II}} \colon \delta_{2,\{2\}}^{*}(((\operatorname{Chp}^{k-\mathrm{DM}})^{op} \times \operatorname{N}(\operatorname{\mathcal{R}ind}_{\operatorname{tor}})^{op})^{\mathrm{II},op})_{F,\operatorname{all}}^{\operatorname{cart}} \to \operatorname{Cat}_{\infty},$$

such that their restrictions to (k-1)-DM stacks coincide with those for the latter. Note that the first functor has already been constructed in §5.4, after restriction. However for induction, we construct it again, which in fact coincides with the previous one.

We construct by induction. When k = 0, they have been constructed in §5.2. Assuming that they have been extended to k-DM stacks. We run the program DESCENT with the following input:

- $\tilde{\mathbb{C}} = \mathbb{C}hp^{(k+1)-\mathrm{DM}}$ . It is geometric.
- $\mathcal{C} = \operatorname{Chp}^{k-\mathrm{DM}}, \mathbf{s}'' \to \mathbf{s}'$  is the morphism  $\operatorname{Spec} \mathbb{Z}[\Box^{-1}] \to \operatorname{Spec} \mathbb{Z}$ .
- $\tilde{\mathcal{E}}_{s}$  is the set of *surjective* morphisms of (k+1)-DM stacks.
- $\tilde{\mathcal{E}}'$  is the set of *étale* morphisms of (k+1)-DM stacks.
- $\tilde{\mathcal{E}}''$  is the set of *smooth* morphisms of (k+1)-DM stacks.
- $\tilde{\mathcal{E}}''_{d}$  is the set of *smooth* morphisms of (k+1)-DM stacks of pure relative dimension d.
- $\tilde{\mathcal{E}}_t$  is the set of flat morphisms locally of finite presentation of (k+1)-DM stacks.
- $\tilde{\mathfrak{F}} = F$  is the set of morphisms *locally of finite type* of (k+1)-DM stacks.
- $\mathcal{L} = N(\text{Rind})^{op}$ ,  $\mathcal{L}' = N(\text{Rind}_{tor})^{op}$ , and  $\mathcal{L}'' = N(\text{Rind}_{\Box tor})^{op}$ .
- dim⁺ is the upper relative dimension.
- Input I and II is given by induction hypothesis. In particular, we take

$$_{\mathcal{C}}\mathrm{EO}^{\mathrm{I}} = {}_{\mathrm{Chp}^{k-\mathrm{DM}}}\mathrm{EO}^{\mathrm{I}}, \quad {}_{\mathcal{C}'}\mathrm{EO}^{\mathrm{II}} = {}_{\mathrm{Chp}^{k-\mathrm{DM}}}\mathrm{EO}^{\mathrm{II}}.$$

Then the output consists of desired two maps  $_{Chp^{k+1-DM}}EO^{I}$ ,  $_{Chp^{k+1-DM}}EO^{II}$  and Output II, satisfying (P0) – (P7^{bis}). Taking union of all  $k \ge 0$ , we obtain a functor

(5.13) 
$${}_{\operatorname{Chp}^{\mathrm{DM}}}\mathrm{EO}^{\mathrm{I}}\colon ((\operatorname{Chp}^{\mathrm{DM}})^{op} \times \mathrm{N}(\operatorname{\mathcal{R}ind})^{op})^{\mathrm{II}} \to \operatorname{Cat}_{\infty}$$

that is a lax Cartesian structure, and a map

*Remark* 5.5.2. We have the following compatibility properties:

- The restriction of _{Chp^{DM}}EO^I to ((Chp^{DM})^{op} × N(Rind)^{op})^{II} is equivalent to _{Chp^{DM}}EO[⊗].
  The restrictions of _{Chp^{DM}}EO^{II} and _{Chp^{Ar}_□}EO^{II} to the common domain

$$\delta_{2,\{2\}}^*(((\mathbb{Chp}_{\square}^{\mathrm{DM}})^{op} \times \mathrm{N}(\mathcal{R}\mathrm{ind}_{\square-\mathrm{tor}})^{op})_{F,\mathrm{all}}^{\mathrm{H},op})_{F,\mathrm{all}}^{\mathrm{cart}}$$

are equivalent.

**Variant 5.5.3.** We denote by  $Q \subseteq F$  the set of locally quasi-finite morphisms. Applying DESCENT to the map  $_{\text{Sch}^{qc.sep}} EO^{II}$  constructed in Variant 3.4.6 (and  $_{\text{Sch}^{qc.sep}} EO^{I}$ ), we obtain a map

(5.15) 
$$\underset{\operatorname{Chp}^{\mathrm{DM}}}{\overset{\mathrm{lqf}}{=}} \mathrm{EO}^{\mathrm{II}} \colon \delta_{2,\{2\}}^{*}(((\operatorname{Chp}^{\mathrm{DM}})^{op} \times \mathrm{N}(\operatorname{\mathcal{R}ind})^{op})^{\mathrm{II},op})_{Q,\mathrm{all}}^{\mathrm{cart}} \to \operatorname{Cat}_{\infty}$$

This map and _{ChpDM}EO^{II} are equivalent when restricted to their common domain.

Remark 5.5.4. The  $\infty$ -category  $\operatorname{Chp}^{\mathrm{DM}}$  can be identified with a full subcategory of the  $\infty$ -category  $\operatorname{Sch}(\mathcal{G}_{\mathrm{\acute{e}t}}(\mathbb{Z}))$  of  $\mathcal{G}_{\mathrm{\acute{e}t}}(\mathbb{Z})$ -schemes in the sense of [54, Definition 2.3.9, Remark 2.6.11]. The constructions of this section can be extended to  $\operatorname{Sch}(\mathcal{G}_{\mathrm{\acute{e}t}}(\mathbb{Z}))$  by hyperdescent. We will provide more details in Remark 9.4.2.

*Remark* 5.5.5. Note that in this chapter, we have fixed a non-empty set  $\Box$  of rational primes. In fact, our constructions are compatible for different  $\Box$  in the obvious sense. For example, if we are given  $\Box_1 \subseteq \Box_2$ , then the maps  $_{\operatorname{Chp}_{\Box_1}^{\operatorname{Ar}}} \operatorname{EO}^{\operatorname{II}}$  and  $_{\operatorname{Chp}_{\Box_2}^{\operatorname{Ar}}} \operatorname{EO}^{\operatorname{II}}$  are equivalent when restricted to their common domain, which is

 $\delta_{2,\{2\}}^*(((\mathfrak{Chp}_{\square_2}^{\operatorname{Ar}})^{op}\times \operatorname{N}(\mathfrak{R}\mathrm{ind}_{\square_1\operatorname{-tor}})^{op})^{\amalg,op})_{F,\operatorname{all}}^{\operatorname{cart}}.$ 

We also have obvious compatibility properties for Output II under different  $\Box$ .

# 6. Summary and complements for torsion coefficients

In this chapter we summarize the construction in the previous chapter and presents several complements. In §6.1, we study the relation of our construction with category of correspondences. In §6.2, we write down the resulting six operations for the most general situations and summarize their properties. In §6.3, we prove some additional adjointness properties in the finite-dimensional Noetherian case. In §6.4, we develop a theory of constructible complexes, based on finiteness results of Deligne [16, Th. finitude] and Gabber [41, Exposé XIIIp]. In §6.5, we show that our results for constructible complexes are compatible with those of Laszlo–Olsson [47].

We remark that §6.1 is independent to the later sections, so readers may skip the first section is they are not interested in the relation with category of correspondences.

Once again, we fix a nonempty set  $\Box$  of rational primes.

6.1. Symmetric monoidal category of correspondences. The  $\infty$ -category of correspondences was introduced by Gaitsgory [25]. We start by recalling the construction of the simplicial set of correspondences from Example 1.4.29.

For  $n \ge 0$ , we define  $C(\Delta^n)$  to be the full subcategory of  $\Delta^n \times (\Delta^n)^{op}$  spanned by (i, j) with  $i \le j$ . An edge of  $C(\Delta^n)$  is *vertical* (resp. *horizontal*) if its projection to the second (resp. first) factor is degenerate. A square of  $C(\Delta^n)$  is *exact* if it is both a pushout square and a pullback square. We extend the above construction to a colimit preserving functor  $C: \operatorname{Set}_{\Delta} \to \operatorname{Set}_{\Delta}$ . Then C also preserves finite products. The right adjoint functor is denoted by Corr. In particular, we have  $\operatorname{Corr}(K)_n = \operatorname{Hom}(C(\Delta^n), K)$  for a simplicial set K.

**Definition 6.1.1.** Let  $(\mathcal{C}, \mathcal{E}_1, \mathcal{E}_2)$  be a 2-marked  $\infty$ -category. We define a simplicial subset  $\mathcal{C}_{\text{corr: } \mathcal{E}_1, \mathcal{E}_2}$  of  $\text{Corr}(\mathcal{C})$ , called the *simplicial set of correspondences*, such that its *n*-cells are given by maps  $C(\Delta^n) \to \mathcal{C}$  that send vertical (resp. horizontal) edges into  $\mathcal{E}_1$  (resp.  $\mathcal{E}_2$ ), and exact squares to pullback squares.

By construction, there is an obvious map

 $\delta_{2,\{2\}}^* \mathfrak{C}_{\mathcal{E}_1,\mathcal{E}_2}^{\operatorname{cart}} \to \mathfrak{C}_{\operatorname{corr}: \mathcal{E}_1,\mathcal{E}_2},$ 

which is a categorical equivalence by Example 1.4.29.

The following lemma shows that under certain mild conditions,  $\mathcal{C}_{corr: \mathcal{E}_1, \mathcal{E}_2}$  is an  $\infty$ -category.

**Lemma 6.1.2.** Let  $(\mathfrak{C}, \mathfrak{E}_1, \mathfrak{E}_2)$  be a 2-marked  $\infty$ -category such that

- (1) both  $\mathcal{E}_1$  and  $\mathcal{E}_2$  are stable under composition;
- (2) pullbacks of  $\mathcal{E}_1$  by  $\mathcal{E}_2$  exist and remain in  $\mathcal{E}_1$ ;
- (3) pullbacks of  $\mathcal{E}_2$  by  $\mathcal{E}_1$  exist and remain in  $\mathcal{E}_2$ .

Then  $\mathcal{C}_{\operatorname{corr:} \mathcal{E}_1, \mathcal{E}_2}$  is an  $\infty$ -category.

*Proof.* We check that  $\mathcal{C}_{\text{corr:} \mathcal{E}_1, \mathcal{E}_2} \to *$  has the right lifting property with respect to the collection  $A_2$  in [52, Proposition 2.3.2.1]. Since C preserves colimits and finite products, to give a map

$$f \colon (\Delta^m \times \Lambda_1^2) \coprod_{\partial \Delta^m \times \Lambda_1^2} (\partial \Delta^m \times \Delta^2) \to \operatorname{Corr}(\mathcal{C})$$

is equivalent to give a map

$$f^{\sharp} \colon \left(\mathsf{C}(\Delta^{m}) \times \mathsf{C}(\Lambda_{1}^{2})\right) \coprod_{\mathsf{C}(\partial \Delta^{m}) \times \mathsf{C}(\Lambda_{1}^{2})} \left(\mathsf{C}(\partial \Delta^{m}) \times \mathsf{C}(\Delta^{2})\right) \to \mathfrak{C}.$$

Let  $\mathcal{K}$  and  $\mathcal{K}'$  be defined as in the dual version of [52, Proposition 4.3.2.15] with  $\mathcal{C} = \mathsf{C}(\Delta^2)$ ,  $\mathcal{C}^0 = \mathsf{C}(\Lambda_1^2)$ , and  $\mathcal{D} = \mathcal{C}$  (in our setup). If f factorizes through  $\mathcal{C}_{\operatorname{corr}: \mathcal{E}_1, \mathcal{E}_2}$ , then  $f^{\sharp}$  induces a commutative square



by assumption (2) or (3). Since the restriction map  $\mathcal{K} \to \mathcal{K}'$  is a trivial fibration by the dual of [52, Proposition 4.3.2.15], there exists a dotted arrow  $g^{\sharp} \colon C(\Delta^m) \to \mathcal{K}$  as indicated above. We regard  $g^{\sharp}$  as a map  $C(\Delta^m \times \Delta^2) \simeq C(\Delta^m) \times C(\Delta^2) \to \mathcal{C}$ , thus induces a map  $g \colon \Delta^m \times \Delta^2 \to Corr(\mathcal{C})$ . Since all exact squares of  $C(\Delta^m \times \Delta^2)$  can be obtained by composition from exact squares either contained in the source of  $f^{\sharp}$  or being constant under the projection to  $C(\Delta^m)$ , the three assumptions ensure that if f factorizes through  $\mathcal{C}_{corr: \mathfrak{E}_1, \mathfrak{E}_2}$ , then so does g.

Now we study a certain natural symmetric monoidal structure on the  $\infty$ -category  $\mathcal{C}_{\operatorname{corr:} \mathcal{E}_1, \mathcal{E}_2}$ . Let  $(\mathcal{C}, \mathcal{E})$  be a marked  $\infty$ -category. We construct a 2-marked  $\infty$ -categories  $((\mathcal{C}^{op})^{\amalg, op}, \mathcal{E}^-, \mathcal{E}^+)$  as follows: We write an edge f of  $(\mathcal{C}^{op})^{\amalg, op}$  in the form  $\{Y_j\}_{1 \leq j \leq n} \to \{X_i\}_{1 \leq i \leq m}$  lying over an edge  $\alpha \colon \langle m \rangle \to \langle n \rangle$  of  $N(\mathcal{F}in_*)$ . Then  $\mathcal{E}^+$  consists of f such that the induced edge  $Y_{\alpha(i)} \to X_i$  belongs to  $\mathcal{E}$  for every  $i \in \alpha^{-1} \langle n \rangle^{\circ}$ . Define  $\mathcal{E}^-$  to be the subset of  $\mathcal{E}^+$  such that the edge  $\alpha$  is degenerate.

**Proposition 6.1.3.** Let  $(\mathcal{C}, \mathcal{E}_1, \mathcal{E}_2)$  be a 2-marked  $\infty$ -category satisfying the assumptions in Lemma 6.1.2 and such that  $\mathcal{C}_{\mathcal{E}_2}$  admits finite products. Then

(6.1) 
$$p: \left( \left( \mathcal{C}^{op} \right)^{\amalg, op} \right)_{\operatorname{corr}: \mathcal{E}_{1}^{-}, \mathcal{E}_{2}^{+}} \to \operatorname{N}(\mathcal{F}in_{*})$$

is a symmetric monoidal  $\infty$ -category, whose underlying  $\infty$ -category is  $\mathcal{C}_{\operatorname{corr}: \mathcal{E}_1, \mathcal{E}_2}$ .

Proof. Put  $\mathbb{O}^{\otimes} := ((\mathbb{C}^{op})^{\prod, op})_{\text{corr: } \mathcal{E}_1^-, \mathcal{E}_2^+}$  for simplicity. If  $(\mathbb{C}, \mathcal{E}_1, \mathcal{E}_2)$  satisfies the assumptions in Lemma 6.1.2, then so does  $((\mathbb{C}^{op})^{\prod, op}, \mathcal{E}_1^-, \mathcal{E}_2^+)$ . Therefore, by Lemma 6.1.2,  $\mathbb{O}^{\otimes}$  is an  $\infty$ -category hence (6.1) is an inner fibration by [52, Proposition 2.3.1.5]. By Lemma 6.1.4 below, we know that p is a coCartesian fibration since  $\mathcal{C}_{\mathcal{E}_2}$  admits finite products. Moreover, we have the obvious isomorphism  $\mathbb{O}_{\langle n \rangle}^{\otimes} \simeq \prod_{1 \leq i \leq n} \mathbb{O}_{\langle 1 \rangle}^{\otimes}$  induced by  $\rho_1^i : \mathbb{O}_{\langle n \rangle}^{\otimes} \to \mathbb{O}_{\langle 1 \rangle}^{\otimes}$ . By [53, Definition 2.0.0.7], (6.1) is a symmetric monoidal  $\infty$ -category.

**Lemma 6.1.4.** Suppose that  $(\mathcal{C}, \mathcal{E}_1, \mathcal{E}_2)$  satisfies the assumptions in Lemma 6.1.2. If we write an edge f of  $((\mathcal{C}^{op})^{\Pi,op})_{\text{corr: } \mathcal{E}_1^-, \mathcal{E}_2^+}$  in the form



lying over an edge  $\alpha: \langle m \rangle \to \langle n \rangle$  of N(Fin_{*}) under (6.1), then f is p-coCartesian [52, Definition 2.4.2.1] if and only if

- (1) for every 1 ≤ j ≤ n, the induced morphism Z_j → Y_j is an isomorphism; and
  (2) for every 1 ≤ j ≤ n, the induced morphisms Z_j → X_i with α(i) = j exhibit Z_j as the product of {X_i}_{α(i)=j} in C_{ε₂}.

*Proof.* The only if part: Suppose that f is a p-coCartesian edge.

We first show (1). Without lost of generality, we may assume that  $\alpha$  is the degenerate edge at  $\langle 1 \rangle$ . In particular, the edge f we consider has the form



Assume that f is p-coCartesian. In terms of the dual version of [52, Remark 2.4.1.4], we are going to construct a diagram of the form



in which n = 3 and the bottom map is constant with value  $\langle 1 \rangle$ . We may construct a map g in (6.2) such that its image of  $C(\Delta^{\{0,1,2\}})$ ,  $C(\Delta^{\{0,1,3\}})$ ,  $C(\Delta^{\{0,2,3\}})$  are



respectively, in which

- all squares are Cartesian diagrams;
- all edges  $z \to x$  are same as the one in the presentation of f;
- all vertical edges  $z \to y$  are same as the one in the presentation of f;
- in the second and third diagrams, all 2-cells are degenerate.

Note that the existence of the first diagram is due to the lifting property for n = 2. Now we lift g to a dotted arrow as in (6.2). The image of the unique nondegenerate exact square in  $C(\Delta^{\{1,2,3\}})$  provides a pullback square



Therefore, the edge  $y \to y'$  is an isomorphism, and it is easy to check that the left vertical edge  $y \to z$  is an inverse of the edge  $z \to y$  in the presentation of f.

Next we show (2). Without lost of generality, we may assume that  $\alpha$  is the unique active map from  $\langle m \rangle$  to  $\langle 1 \rangle$  [53, Definition 2.1.2.1]; and the edge f has the form

$$y \longrightarrow \{x_i\}_{1 \leq i \leq m}$$

$$\|$$

$$y$$

We construct a diagram (6.2) as follows. The bottom map  $\Delta^n \to N(\mathcal{F}in_*)$  is given by the sequence of morphisms

$$\langle m \rangle \xrightarrow{\alpha} \langle 1 \rangle \xrightarrow{\mathrm{id}} \cdots \xrightarrow{\mathrm{id}} \langle 1 \rangle$$

Note that we have a projection map  $\pi: \mathsf{C}(\Delta^n) \to (\Delta^n)^{op}$  to the second factor. Denote by  $\mathsf{C}(\Delta^n)_0$  the preimage of  $(\Delta^{\{1,\ldots,n\}})^{op}$  under  $\pi$ , and  $\mathsf{C}(\Delta^n)_{00}$  the preimage of  $(\partial \Delta^{\{1,\ldots,n\}})^{op}$  under  $\pi$ . It is clear that  $\mathsf{C}(\Lambda_0^n) \cap \mathsf{C}(\Delta^n)_0 \subseteq \mathsf{C}(\Delta^n)_{00}$ . Suppose that we are given a map

$$\alpha \colon (\partial \Delta^{\{1,\dots,n\}})^{op} \to (\mathfrak{C}_{\mathcal{E}_2})_{\{x_i\}_{1 \leqslant i \leqslant m}}$$

such that  $\alpha \mid \Delta^{\{0\}}$  is represented by  $y \to \{x_i\}_{1 \leq i \leq m}$  as in the edge f. We regard  $\alpha$  as a map  $\alpha' : (\partial \Delta^{\{1,\dots,n\}})^{op} \star \langle m \rangle^{\circ} \to \mathbb{C}_{\mathcal{E}_2}$ . Note that  $\pi$  induces a projection map

$$\pi' \colon (\mathsf{C}(\Lambda_0^n) \cap \mathsf{C}(\Delta^n)_0) \star \langle m \rangle^{\circ} \to (\partial \Delta^{\{1, \dots, n\}})^{op} \star \langle m \rangle^{\circ}.$$

We then have a map  $g_{\alpha} \coloneqq \alpha' \circ \pi' \colon (\mathsf{C}(\Lambda_0^n) \cap \mathsf{C}(\Delta^n)_0) \star \langle m \rangle^{\circ} \to \mathfrak{C}_{\mathcal{E}_2}$ , which induces a map g as in (6.2). The existence of the dotted arrow in (6.2) will provide a filling of  $\alpha$  to  $(\Delta^{\{1,\ldots,n\}})^{op}$ . This implies that  $y \to \{x_i\}_{1 \leq i \leq m}$  is a final object of  $(\mathfrak{C}_{\mathcal{E}_2})_{/\{x_i\}_{1 \leq i \leq m}}$ .

The *if* part: Let f be an edge satisfying (1) and (2). To show that f is *p*-coCartesian, we again consider the diagram (6.2). Define  $C(\Delta^n)'$  to be the  $\infty$ -category by adding one more object (0,0)' emitting from (0,0) in  $C(\Delta^n)$ , which can be depicted as in the following diagram

$$\begin{array}{cccc} \cdots & (0,2) \longrightarrow (0,1) \longrightarrow (0,0) \longrightarrow (0,0)'. \\ & & & \downarrow \\ \cdots & (1,2) \longrightarrow (1,1) \\ & & \downarrow \\ \cdots & (2,2) \end{array}$$

We have maps  $C(\Delta^n) \xrightarrow{\iota} C(\Delta^n)' \xrightarrow{\gamma} C(\Delta^n)$ , in which  $\iota$  is the obvious inclusion, and  $\gamma$  collapse the edge  $(0,1) \to (0,0)$  to the single object (0,1) and sends (0,0)' to (0,0). Let  $K \subseteq C(\Delta^n)$ 

. . .

be the simplicial subset that is the union of  $C(\Lambda_0^n)$  and the top row of  $C(\Delta^n)$ . Define K' to be the inverse image of K under  $\gamma$ . Then  $\iota$  sends  $C(\Lambda_0^n)$  into K'. We have one more inclusion  $\iota' \colon C(\Delta^n) \to C(\Delta^n)'$  that sends (0,0) to (0,0)' and keeps the other objects.

A map g as in (6.2) gives rise to a map  $g^{\sharp} \colon \mathsf{C}(\Lambda_0^n) \to (\mathbb{C}^{op})^{\mathrm{II},op}$ . By (2) and [53, Remark 2.4.3.4], we may extend  $g^{\sharp}$  to K. Consider the new map  $g^{\sharp} \circ \gamma \circ \iota \colon \mathsf{C}(\Lambda_0^n) \to (\mathbb{C}^{op})^{\mathrm{II},op}$ , which gives rise to a map g' as in (6.2) however with the restriction  $g' \mid \Delta^{\{0,1\}}$  being an equivalence in the  $\infty$ -category  $((\mathbb{C}^{op})^{\mathrm{II},op})_{\mathrm{corr}\colon \mathcal{E}_1^-,\mathcal{E}_2^+}$  by (1). Therefore, we may lift g' to an edge  $\tilde{g}'$  as the dotted arrow in (6.2) by [52, Proposition 2.4.1.5]. Now  $\tilde{g}'$  induces a map  $\tilde{g}'^{\sharp} \colon \mathsf{C}(\Delta^n) \to (\mathbb{C}^{op})^{\mathrm{II},op}$ . To find a lifting of g as the dotted arrow in (6.2), it suffices to extend  $\tilde{g}'^{\sharp}$  to  $\mathsf{C}(\Delta^n)'$  under the inclusion  $\iota$  such that its restriction to  $\mathsf{C}(\Lambda_0^n)$  with respect to the other inclusion  $\iota'$  coincides with  $g^{\sharp}$ . However, this lifting problem only involves the top row of  $\mathsf{C}(\Delta^n)'$ , which can be solved because of (2).

**Definition 6.1.5** (symmetric monoidal  $\infty$ -category of correspondences). Given a 2-marked  $\infty$ -category ( $\mathcal{C}, \mathcal{E}_1, \mathcal{E}_2$ ) satisfying the assumptions in Proposition 6.1.3(3), we call (6.1) the symmetric monoidal  $\infty$ -category of correspondences associated to ( $\mathcal{C}, \mathcal{E}_1, \mathcal{E}_2$ ), denoted by  $p: \mathcal{C}^{\otimes}_{\operatorname{corr}: \mathcal{E}_1, \mathcal{E}_2} \to \operatorname{N}(\operatorname{Fin}_*)$  or simply  $\mathcal{C}^{\otimes}_{\operatorname{corr}: \mathcal{E}_1, \mathcal{E}_2}$ . It is a reasonable abuse of notation since its underlying  $\infty$ -category is  $\mathcal{C}_{\operatorname{corr}: \mathcal{E}_1, \mathcal{E}_2}$ .

We apply the above construction to the source of the map  $_{\operatorname{Chp}_{\square}^{\operatorname{Ar}}} \operatorname{EO}^{\operatorname{II}}$  (5.12). Take  $\mathcal{C} = \operatorname{Chp}_{\square}^{\operatorname{Ar}} \times \operatorname{N}(\operatorname{Rind}_{\square\operatorname{-tor}}), \mathcal{E}_1 \coloneqq \mathcal{E}_F$  to be the set of edges of the form (f,g) where f belongs to F and g is an isomorphism, and  $\mathcal{E}_2 \coloneqq$  all to be the set of all edges. Note that  $(\mathcal{C}, \mathcal{E}_1, \mathcal{E}_2)$  satisfies the assumptions in Proposition 6.1.3(2) hence defines a symmetric monoidal  $\infty$ -category  $\mathcal{C}_{\operatorname{corr}: \mathcal{E}_F, \operatorname{all}}^{\otimes}$ .

By definition, we have the identity

$$\delta_{2,\{2\}}^*(((\operatorname{Chp}_{\Box}^{\operatorname{Ar}})^{op} \times \operatorname{N}(\operatorname{\mathcal{R}ind}_{\Box\operatorname{-tor}})^{op})^{\amalg,op})_{F,\operatorname{all}}^{\operatorname{cart}} = \delta_{2,\{2\}}^*((\operatorname{\mathcal{C}^{op}})^{\amalg,op})_{\mathcal{E}_1^-,\mathcal{E}_2^+}^{\operatorname{cart}}.$$

Since the map

$$\delta^*_{2,\{2\}}((\mathcal{C}^{op})^{\amalg,op})^{\operatorname{cart}}_{\mathcal{E}^-_1,\mathcal{E}^+_2} \to ((\mathcal{C}^{op})^{\amalg,op})_{\operatorname{corr}:\ \mathcal{E}^-_1,\mathcal{E}^+_2} = \mathcal{C}^{\otimes}_{\operatorname{corr}:\ \mathcal{E}_F,\operatorname{all}}$$

is a categorical equivalence, by Proposition 6.1.3(1), the map (5.12) induces a map

(6.3) 
$$\mathcal{C}^{\otimes}_{\operatorname{corr}: \mathcal{E}_{F}, \operatorname{all}} \to \operatorname{Cat}_{\infty}.$$

**Lemma 6.1.6.** The functor (6.3) is a lax Cartesian structure.

*Proof.* It follows from the fact that (5.11) is a lax Cartesian structure, the construction of (5.12), and Lemma 6.1.4.

From the above lemma, we know that (6.3) induces an  $\infty$ -operad map

(6.4) 
$$(\mathfrak{C}hp_{\Box}^{\operatorname{Ar}} \operatorname{EO}_{\operatorname{corr}} \colon (\mathfrak{C}hp_{\Box}^{\operatorname{Ar}} \times \operatorname{N}(\mathfrak{R}ind_{\Box-\operatorname{tor}}))_{\operatorname{corr} \colon \mathcal{E}_{F}, \operatorname{all}}^{\otimes} \to \operatorname{Cat}_{\infty}^{\times}$$

between symmetric monoidal  $\infty$ -categories. Similarly, we have two more  $\infty$ -operad maps

(6.5) 
$$(\mathfrak{Chp}^{\mathrm{DM}}\mathrm{EO}_{\mathrm{corr}} \colon (\mathfrak{Chp}^{\mathrm{DM}} \times \mathrm{N}(\mathfrak{R}\mathrm{ind}_{\mathrm{tor}}))_{\mathrm{corr} \colon \mathcal{E}_{F},\mathrm{all}}^{\otimes} \to \mathfrak{Cat}_{\infty}^{\times},$$

and

(6.6) 
$$\underset{\operatorname{Chp}^{\mathrm{DM}}}{\overset{\mathrm{lqf}}{=} \mathrm{EO}_{\mathrm{corr}} \colon (\operatorname{Chp}^{\mathrm{DM}} \times \mathrm{N}(\operatorname{\mathcal{R}ind}))_{\mathrm{corr} \colon \mathcal{E}_Q, \mathrm{all}}^{\otimes} \to \operatorname{Cat}_{\infty}^{\times},$$

induced from (5.14) and (5.15), respectively.

Remark 6.1.7. By all the constructions and (P2) of DESCENT, we obtain the following square

$$((\operatorname{Chp}_{\Box}^{\operatorname{Ar}} \times \operatorname{N}(\operatorname{\mathfrak{R}ind}_{\Box\operatorname{-tor}}))^{op})^{\amalg} \longrightarrow ((\operatorname{Chp}^{\operatorname{Ar}} \times \operatorname{N}(\operatorname{\mathfrak{R}ind}))^{op})^{\amalg}$$
$$(\operatorname{Chp}_{\Box}^{\operatorname{Ar}} \times \operatorname{N}(\operatorname{\mathfrak{R}ind}_{\Box\operatorname{-tor}}))^{\otimes}_{\operatorname{corr}: \ \mathcal{E}_{F}, \operatorname{all}} \xrightarrow{\operatorname{Chp}_{\Box}^{\operatorname{Ar}} \operatorname{EO}_{\operatorname{corr}}(6.4)} \operatorname{Cat}_{\infty}^{\times}$$

in the  $\infty$ -category of symmetric monoidal  $\infty$ -categories with  $\infty$ -operad maps, where the right vertical map is induced from _{ChpAr}EO^I (5.11).

The new functor  $_{Chp_{\square}^{Ar}}EO_{corr}$  loses no information from the original one  $_{Chp_{\square}^{Ar}}EO^{II}$ . However, the new one has the advantage that its source is an  $\infty$ -category as well.

The above remarks can be applied to the other two cases as well.

6.2. The six operations. Now we can summarize our construction of Grothendieck's six operations. Let  $f: \mathcal{Y} \to \mathcal{X}$  be a morphism of  $Chp^{Ar}$  (resp.  $Chp^{DM}$ , resp.  $Chp^{DM}$ ), and  $\lambda$  an object of  $\mathcal{R}$ ind. From  $_{Chp^{Ar}} EO^{I}$  (5.11) (resp.  $_{Chp^{DM}} EO^{I}$  (5.13), resp.  $_{Chp^{DM}} EO^{I}$ ) and  $_{Chp^{Ar}_{\Box}} EO_{corr}$  (6.4) (resp.  $_{Chp^{DM}} EO_{corr}$  (6.5), resp.  $_{Chp^{DM}} EO_{corr}$  (6.6)), we directly obtain three operations:

**1L:**  $f^* \colon \mathcal{D}(\mathfrak{X}, \lambda) \to \mathcal{D}(\mathfrak{Y}, \lambda)$ , which underlies a monoidal functor

$$f^{*\otimes} \colon \mathcal{D}(\mathfrak{X},\lambda)^{\otimes} \to \mathcal{D}(\mathfrak{Y},\lambda)^{\otimes}$$

**2L:**  $f_!: \mathcal{D}(\mathcal{Y}, \lambda) \to \mathcal{D}(\mathcal{X}, \lambda)$  if f is locally of finite type,  $\lambda$  belongs to  $\operatorname{Rind}_{\Box-\operatorname{tor}}$  and  $\mathfrak{X}$  is  $\Box$ -coprime (resp. f is locally of finite type and  $\lambda$  belongs to  $\operatorname{Rind}_{\operatorname{tor}}$ , resp. f is locally quasi-finite and  $\lambda$  is arbitrary);

**3L:**  $-\otimes - = -\otimes_{\mathfrak{X}} - : \mathfrak{D}(\mathfrak{X}, \lambda) \times \mathfrak{D}(\mathfrak{X}, \lambda) \to \mathfrak{D}(\mathfrak{X}, \lambda).$ 

If  $\mathfrak{X}$  is a 1-Artin stack (resp. 1-DM stack), then  $\mathcal{D}(\mathfrak{X}, \lambda)^{\otimes}$  is equivalent to  $\mathcal{D}_{cart}(\mathfrak{X}_{lis-\acute{e}t}, \lambda)^{\otimes}$  (resp.  $\mathcal{D}(\mathfrak{X}_{\acute{e}t}, \lambda)^{\otimes}$ ) as symmetric monoidal  $\infty$ -categories.

Taking right adjoints for (1L) and (2L), respectively, we obtain:

**1R:**  $f_*: \mathcal{D}(\mathcal{Y}, \lambda) \to \mathcal{D}(\mathcal{X}, \lambda);$ 

**2R:**  $f^!: \mathcal{D}(\mathfrak{X}, \lambda) \to \mathcal{D}(\mathfrak{Y}, \lambda)$  under the same condition as (2L).

For (3L), moving the first factor of the source  $\mathcal{D}(\mathfrak{X}, \lambda) \times \mathcal{D}(\mathfrak{X}, \lambda)$  to the target side, we can write the functor  $-\otimes$  – in the form  $\mathcal{D}(\mathfrak{X}, \lambda) \to \operatorname{Fun}^{\mathrm{L}}(\mathcal{D}(\mathfrak{X}, \lambda), \mathcal{D}(\mathfrak{X}, \lambda))$ , since the tensor product on  $\mathcal{D}(\mathfrak{X}, \lambda)$  is closed. Taking opposites and applying [52, Proposition 5.2.6.2], we obtain a functor  $\mathcal{D}(\mathfrak{X}, \lambda)^{op} \to \operatorname{Fun}^{\mathrm{R}}(\mathcal{D}(\mathfrak{X}, \lambda), \mathcal{D}(\mathfrak{X}, \lambda))$ , which can be written as

**3R:**  $\operatorname{Hom}(-,-) = \operatorname{Hom}_{\mathfrak{X}}(-,-) \colon \mathcal{D}(\mathfrak{X},\lambda)^{op} \times \mathcal{D}(\mathfrak{X},\lambda) \to \mathcal{D}(\mathfrak{X},\lambda).$ 

Besides these six operations, for every morphism  $\pi \colon \lambda' \to \lambda$  of Rind, we have the following functor of *extension of scalars*:

**4L:**  $\pi^* \colon \mathcal{D}(\mathfrak{X}, \lambda) \to \mathcal{D}(\mathfrak{X}, \lambda')$ , which underlies a monoidal functor

$$\pi^{*\otimes} \colon \mathcal{D}(\mathfrak{X},\lambda)^{\otimes} \to \mathcal{D}(\mathfrak{X},\lambda')^{\otimes}$$

The right adjoint of the functor  $\pi^*$  is the functor of *restriction of scalars*:

**4R:**  $\pi_* : \mathcal{D}(\mathfrak{X}, \lambda') \to \mathcal{D}(\mathfrak{X}, \lambda).$ 

**Theorem 6.2.1** (Künneth Formula). Let  $f_i: \mathcal{Y}_i \to \mathcal{X}_i$  (i = 1, ..., n) be finitely many morphisms of  $\operatorname{Chp}_{\square}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}^{\operatorname{DM}}$ , resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ) that are locally of finite type (resp. locally of finite type,

resp. locally quasi-finite). Given a pullbacks square

$$\begin{array}{c} \mathcal{Y} \xrightarrow{(q_1, \dots, q_n)} & \mathcal{Y}_1 \times \dots \times \mathcal{Y}_n \\ f & & & \downarrow f_1 \times \dots \times f_n \\ \chi \xrightarrow{(p_1, \dots, p_n)} & \chi_1 \times \dots \times \chi_n \end{array}$$

of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ), resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ), then for every object  $\lambda$  of  $\operatorname{Rind}_{\Box\text{-tor}}$  (resp.  $\operatorname{Rind}_{\operatorname{tor}}$ , resp.  $\operatorname{Rind}_{}$ ), the following square

$$\begin{array}{c} \mathcal{D}(\mathcal{Y}_{1},\lambda) \times \cdots \times \mathcal{D}(\mathcal{Y}_{n},\lambda) \xrightarrow{q_{1}^{*} - \otimes_{\mathcal{Y}} \cdots \otimes_{\mathcal{Y}} q_{n}^{*} -} \mathcal{D}(\mathcal{Y},\lambda) \\ f_{1!} \times \cdots \times f_{n!} \\ \mathcal{D}(\mathcal{X}_{1},\lambda) \times \cdots \times \mathcal{D}(\mathcal{X}_{n},\lambda) \xrightarrow{p_{1}^{*} - \otimes_{\mathcal{X}} \cdots \otimes_{\mathcal{X}} p_{n}^{*} -} \mathcal{D}(\mathcal{X},\lambda) \end{array}$$

is commutative up to equivalence.

*Proof.* It is a consequence of existence of the map  $_{Chp_{\Box}^{Ar}}EO_{corr}$  (6.4) (resp.  $_{Chp^{DM}}EO_{corr}$  (6.5), resp.  $_{Chp^{DM}}EO_{corr}$  (6.6)).

The previous theorem has the following two corollaries.

Corollary 6.2.2 (Base Change). Let

$$\begin{array}{c} \mathcal{W} \xrightarrow{g} \mathcal{Z} \\ q \\ \downarrow \\ \mathcal{Y} \xrightarrow{f} \mathcal{X} \end{array}$$

be a Cartesian diagram in  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}^{\operatorname{DM}}$ , resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ) where p is locally of finite type (resp. locally of finite type, resp. locally quasi-finite). Then for every object  $\lambda$  of  $\operatorname{Rind}_{\Box-\operatorname{tor}}$  (resp.  $\operatorname{Rind}_{\operatorname{tor}}$ , resp.  $\operatorname{Rind}$ ), the following square

$$\mathcal{D}(\mathcal{W},\lambda) \stackrel{q^*}{\longleftarrow} \mathcal{D}(\mathcal{Z},\lambda)$$

$$\begin{array}{c} q_! \\ q_! \\ \mathcal{D}(\mathcal{Y},\lambda) \stackrel{f^*}{\longleftarrow} \mathcal{D}(\mathcal{X},\lambda) \end{array}$$

is commutative up to equivalence.

**Corollary 6.2.3** (Projection Formula). Let  $f: \mathcal{Y} \to \mathcal{X}$  be a morphism of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ) resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ) that is locally of finite type (resp. locally of finite type, resp. locally quasi-finite). Then the following square

$$\begin{array}{c|c} \mathcal{D}(\mathcal{Y},\lambda) \times \mathcal{D}(\mathcal{X},\lambda) \xrightarrow{-\otimes_{\mathcal{Y}} f^{*}-} \mathcal{D}(\mathcal{Y},\lambda) \\ f_{!} \times \mathrm{id} & & & \downarrow f_{!} \\ \mathcal{D}(\mathcal{X},\lambda) \times \mathcal{D}(\mathcal{X},\lambda) \xrightarrow{-\otimes_{\mathcal{X}}-} \mathcal{D}(\mathcal{X},\lambda) \end{array}$$

is commutative up to equivalence.

**Proposition 6.2.4.** Let  $f: \mathcal{Y} \to \mathcal{X}$  be a morphism of  $\operatorname{Chp}^{\operatorname{Ar}}$ , and  $\lambda$  an object of Rind. Then (1) The functors  $f^*(-\otimes_{\mathcal{X}} -)$  and  $(f^*-)\otimes_{\mathcal{Y}} (f^*-)$  are equivalent.

- (2) The functors Hom_X(-, f_{*}-) and f_{*}Hom_y(f^{*}-, -) are equivalent.
  (3) If f is a morphism of Chp_□^{Ar} (resp. Chp^{DM}, resp. Chp^{DM}) that is locally of finite type (resp. locally of finite type, resp. locally quasi-finite), and  $\lambda$  belongs to Rind_{D-tor} (resp. Rind_{tor}, resp. Rind), then the functors  $f^! \operatorname{Hom}_{\mathfrak{X}}(-,-)$  and  $\operatorname{Hom}_{\mathfrak{Y}}(f^*-,f^!-)$  are equivalent.
- (4) Under the same assumptions as in (3), the functors  $f_* \operatorname{Hom}_{\mathcal{Y}}(-, f^!-)$  and  $\operatorname{Hom}_{\mathfrak{X}}(f_!-, -)$ are equivalent.

*Proof.* For (1), it follows from the fact that  $f^*$  is a symmetric monoidal functor.

For (2), the functor  $\operatorname{Hom}(-, f_*-): \mathcal{D}(\mathfrak{X}, \lambda)^{op} \times \mathcal{D}(\mathfrak{Y}, \lambda) \to \mathcal{D}(\mathfrak{X}, \lambda)$  induces a functor  $\mathcal{D}(\mathfrak{X},\lambda)^{op} \to \operatorname{Fun}^{\mathrm{R}}(\mathcal{D}(\mathfrak{Y},\lambda),\mathcal{D}(\mathfrak{X},\lambda))$ . Taking opposite, we obtain a functor  $\mathcal{D}(\mathfrak{X},\lambda) \to \operatorname{Fun}^{\mathrm{L}}(\mathcal{D}(\mathfrak{X},\lambda),\mathcal{D}(\mathfrak{Y},\lambda)),$  which induces a functor  $\mathcal{D}(\mathfrak{X},\lambda) \times \mathcal{D}(\mathfrak{X},\lambda) \to \mathcal{D}(\mathfrak{Y},\lambda).$  By construction, the latter is equivalent to the functor  $f^*(-\otimes_{\mathfrak{X}} -)$ . Repeating the same process for  $f_* \mathcal{H}om(f^*-, -)$ , we obtain  $(f^*-) \otimes_{\mathcal{Y}} (f^*-)$ . Therefore, by (1), the functors  $\mathcal{H}om(-, f_*-)$ and  $f_* \mathcal{H}om(f^*-, -)$  are equivalent.

For (3), the functor  $f^{!}\mathcal{H}om(-,-): \mathcal{D}(\mathfrak{X},\lambda)^{op} \times \mathcal{D}(\mathfrak{X},\lambda) \to \mathcal{D}(\mathfrak{Y},\lambda)$  induces a functor  $\mathcal{D}(\mathfrak{X},\lambda)^{op} \to \operatorname{Fun}^{\mathrm{R}}(\mathcal{D}(\mathfrak{X},\lambda),\mathcal{D}(\mathfrak{Y},\lambda)).$ Taking opposite, we obtain a functor  $\mathcal{D}(\mathfrak{X},\lambda) \to \operatorname{Fun}^{\mathrm{L}}(\mathcal{D}(\mathfrak{Y},\lambda),\mathcal{D}(\mathfrak{X},\lambda)),$  which induces a functor  $\mathcal{D}(\mathfrak{X},\lambda) \times \mathcal{D}(\mathfrak{Y},\lambda) \to \mathcal{D}(\mathfrak{X},\lambda).$  By construction, the latter is equivalent to the functor  $-\otimes_{\mathcal{X}} (f_!)$ . Repeating the same process for  $\operatorname{Hom}(f^*-, f^!-)$ , we obtain  $f_!((f^*-) \otimes_{\mathfrak{Y}} -)$ . Therefore, by Corollary 6.2.3, the functors  $f^{!}$ Hom(-, -) and Hom $(f^{*}-, f^{!}-)$  are equivalent.

For (4), the functor  $f_* \mathcal{H}om(-, f^!-): \mathcal{D}(\mathcal{Y}, \lambda)^{op} \times \mathcal{D}(\mathcal{X}, \lambda) \to \mathcal{D}(\mathcal{X}, \lambda)$  induces a functor  $\mathcal{D}(\mathcal{Y},\lambda)^{op} \to \operatorname{Fun}^{\mathrm{R}}(\mathcal{D}(\mathcal{X},\lambda),\mathcal{D}(\mathcal{X},\lambda)).$ Taking opposite, we obtain a functor  $\mathcal{D}(\mathcal{Y},\lambda) \to \operatorname{Fun}^{\mathrm{L}}(\mathcal{D}(\mathcal{X},\lambda),\mathcal{D}(\mathcal{X},\lambda)),$  which induces a functor  $\mathcal{D}(\mathcal{Y},\lambda) \times \mathcal{D}(\mathcal{X},\lambda) \to \mathcal{D}(\mathcal{X},\lambda).$ By construction, the latter is equivalent to the functor  $f_1(-\otimes_{\mathcal{H}} (f^*-))$ . Repeating the same process for  $\operatorname{Hom}(f_{!}, -)$ , we obtain  $(f_{!}, -) \otimes_{\mathfrak{X}} -$ . Therefore, by Corollary 6.2.3, the functors  $f_* \mathcal{H}om(-, f^!-)$  and  $\mathcal{H}om(f_!-, -)$  are equivalent.  $\square$ 

**Proposition 6.2.5.** Let  $\mathfrak{X}$  be an object of  $\operatorname{Chp}^{\operatorname{Ar}}$ , and  $\pi: \lambda' \to \lambda$  a morphism of Rind. Then

- (1) The functors  $\pi^*(-\otimes_{\lambda} -)$  and  $(\pi^* -) \otimes_{\lambda'} (\pi^* -)$  are equivalent.
- (2) The functors  $\operatorname{Hom}_{\lambda}(-,\pi_*-)$  and  $\pi_*\operatorname{Hom}_{\lambda'}(\pi^*-,-)$  are equivalent.

*Proof.* The proof is similar to Proposition 6.2.4.

**Proposition 6.2.6.** Let  $f: \mathcal{Y} \to \mathcal{X}$  be a morphism of  $\operatorname{Chp}^{\operatorname{Ar}}$ , and  $\pi: \lambda' \to \lambda$  a perfect morphism of Rind. Then the square

is right adjointable and its transpose is left adjointable.

In particular, if  $\mathfrak{X}$  is an object of  $\mathfrak{Chp}^{\mathrm{Ar}}$  and  $\pi: \lambda' \to \lambda$  is a perfect morphism of  $\mathfrak{R}$  ind, then  $\pi^*$  admits a left adjoint

$$\pi_{!} \colon \mathcal{D}(\mathfrak{X}, \lambda') \to \mathcal{D}(\mathfrak{X}, \lambda).$$

Proof. The first assertion follows from the second one. To show the second assertion, by Lemma 4.3.7, we may assume that f is a morphism of  $Sch^{qc.sep}$ . In this case the proposition reduces to Lemma 3.2.8. 

**Proposition 6.2.7.** Let  $f: \mathcal{Y} \to \mathcal{X}$  be a morphism of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}^{\operatorname{DM}}$ , resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ) that is locally of finite type (resp. locally of finite type, resp. locally quasi-finite), and  $\pi: \mathcal{X}' \to \mathcal{X}$  a perfect morphism of  $\operatorname{Rind}_{\Box-\operatorname{tor}}$  (resp.  $\operatorname{Rind}_{\operatorname{tor}}$ , resp.  $\operatorname{Rind}$ ). Then the square

$$\mathcal{D}(\mathcal{Y}, \lambda') \xrightarrow{f_!} \mathcal{D}(\mathcal{X}, \lambda')$$

$$\pi^* \bigwedge^{\uparrow} \qquad \qquad \uparrow^{\pi^*}$$

$$\mathcal{D}(\mathcal{Y}, \lambda) \xrightarrow{f_!} \mathcal{D}(\mathcal{X}, \lambda)$$

is right adjointable and its transpose is left adjointable.

*Proof.* This follows from Lemma 4.3.7 and Lemma 3.4.9.

**Proposition 6.2.8.** Let  $\mathfrak{X}$  be an object of  $\operatorname{Chp}^{\operatorname{Ar}}$ ,  $\lambda = (\Xi, \Lambda)$  an object of Rind, and  $\xi$  an object of  $\Xi$ . Consider the obvious morphism  $\pi: \lambda' := (\Xi_{/\xi}, \Lambda \mid \Xi_{/\xi}) \to \lambda$ . Then

- (1) The natural transformation  $\pi_!(-\otimes_{\lambda'}\pi^*-) \to (\pi_!-)\otimes_{\lambda} is$  a natural equivalence.
- (2) The natural transformation  $\pi^* \operatorname{Hom}_{\lambda}(-,-) \to \operatorname{Hom}_{\lambda'}(\pi^*-,\pi^*-)$  is a natural equivalence.
- (3) The natural transformation  $\operatorname{Hom}_{\lambda}(\pi_{!}, -) \to \pi_{*}\operatorname{Hom}_{\lambda'}(-, \pi^{*}-)$  is a natural equivalence.

*Proof.* Similarly to the proof of Proposition 6.2.4(3,4), one shows that the three assertions are equivalent (for every given  $\mathfrak{X}$ ). For assertion (1), we may assume that  $\mathfrak{X}$  is an object of  $\mathrm{Sch}^{\mathrm{qc.sep}}$ . In this case, assertion (2) follows from the fact that  $\pi^*$  preserves fibrant objects in  $\mathrm{Ch}(\mathrm{Mod}(-))^{\mathrm{inj}}$ .

Let  $\mathfrak{X}$  be an object of  $\operatorname{Chp}^{\operatorname{Ar}}$ , and  $\lambda = (\Xi, \Lambda)$  and object of  $\mathfrak{R}$ ind. There is a t-structure on  $\mathcal{D}(\mathfrak{X}, \lambda)$ , such that if  $\mathfrak{X}$  is a 1-Artin stack (resp. 1-DM stack), then it induces the usual t-structure on its homotopy category  $\mathcal{D}_{\operatorname{cart}}(\mathfrak{X}^{\Xi}_{\operatorname{lis-\acute{e}t}}, \Lambda)$  (resp.  $\mathcal{D}(\mathfrak{X}^{\Xi}_{\acute{e}t}, \Lambda)$ ). For an object  $s_{\mathfrak{X}} \colon \mathfrak{X} \to \operatorname{Spec} \mathbb{Z}$  of  $\operatorname{Chp}^{\operatorname{Ar}}$ , we put  $\lambda_{\mathfrak{X}} \coloneqq s_{\mathfrak{X}}^* \lambda_{\operatorname{Spec} \mathbb{Z}}$ , which is a monoidal unit of  $\mathcal{D}(\mathfrak{X}, \lambda)^{\otimes}$  and also an object of  $\mathcal{D}^{\heartsuit}(\mathfrak{X}, \lambda)$ .

**Theorem 6.2.9** (Poincaré duality). Let  $f: \mathcal{Y} \to \mathcal{X}$  be a morphism of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ) that is flat (resp. flat and locally quasi-finite) and locally of finite presentation. Let  $\lambda$  be an object of  $\operatorname{Rind}_{\Box-\operatorname{tor}}$  (resp.  $\operatorname{Rind}$ ). Then

(1) There is a trace map

$$\operatorname{Tr}_{f} \colon \tau^{\geq 0} f_{!} \lambda_{\mathfrak{Y}} \langle d \rangle = \tau^{\geq 0} f_{!} (f^{*} \lambda_{\mathfrak{X}}) \langle d \rangle \to \lambda_{\mathfrak{X}}$$

for every integer  $d \ge \dim^+(f)$ , which is functorial in the sense of Remark 4.1.6.

(2) If f is moreover smooth, the induced natural transformation

$$u_f: f_! \circ f^* \langle \dim f \rangle \to \operatorname{id}_{\mathfrak{X}}$$

is a counit transformation, so that the induced map

$$f^* \langle \dim f \rangle \to f^! \colon \mathcal{D}(\mathcal{X}, \lambda) \to \mathcal{D}(\mathcal{Y}, \lambda)$$

is a natural equivalence of functors.

*Proof.* This is simply (P7) of DESCENT.

Corollary 6.2.10 (Smooth (resp. Étale) Base Change). Let



(6.8)

be a Cartesian diagram in  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ) where p is smooth (resp. étale). Then for every object  $\lambda$  of  $\operatorname{Rind}_{\Box-\operatorname{tor}}$  (resp.  $\operatorname{Rind}$ ), the following square

$$\mathcal{D}(\mathcal{W},\lambda) \overset{g^{*}}{\longleftarrow} \mathcal{D}(\mathcal{Z},\lambda)$$

$$\begin{array}{c} q^{*} \\ q^{*} \\ \mathcal{D}(\mathcal{Y},\lambda) \overset{f^{*}}{\longleftarrow} \mathcal{D}(\mathcal{X},\lambda) \end{array}$$

is right adjointable.

*Proof.* This is part (1) of (P5^{bis}). It also follows from Corollary 6.2.2 and Theorem 6.2.9(2) as in Lemma 4.1.13.

**Proposition 6.2.11.** Let  $f: \mathcal{Y} \to \mathcal{X}$  be a morphism of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ), and  $\lambda$  an object of  $\operatorname{Rind}_{\Box\operatorname{-tor}}$  (resp.  $\operatorname{Rind}_{\operatorname{tor}}$ ). Assume that for every morphism  $X \to \mathcal{X}$  from an algebraic space X, the base change  $\mathcal{Y} \times_{\mathcal{X}} X \to X$  is a proper morphism of algebraic spaces; in particular, f is locally of finite type. Then

$$f_*, f_! \colon \mathcal{D}(\mathcal{Y}, \lambda) \to \mathcal{D}(\mathcal{X}, \lambda)$$

are equivalent functors.

*Proof.* We only prove the proposition for  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  and leave the other case to readers. For simplicity, we call such morphism f in the proposition as *proper*. For every integer  $k \ge 0$ , denote by  $\mathcal{C}^k$  the subcategory of  $\operatorname{Fun}(\Delta^1, \operatorname{Chp}_{\Box}^{k-\operatorname{Ar}})$  spanned by objects of the form  $f: \mathcal{Y} \to \mathcal{X}$  that is proper and edges of the form

$$\begin{array}{c} (6.9) \\ & \mathcal{Y}' \xrightarrow{f'} \mathcal{X}' \\ & q \\ & \downarrow \\ & \mathcal{Y} \xrightarrow{f} \mathcal{X} \end{array} \end{array}$$

that is a Cartesian diagram in which p hence q are smooth. In addition, we let  $\mathcal{C}^{-1}$  be the subcategory of  $\mathcal{C}^0$  spanned by  $f: \mathcal{Y} \to \mathcal{X}$  such that  $\mathcal{X}$  hence  $\mathcal{Y}$  are quasi-compact separated algebraic spaces. For  $k \ge -1$ , denote by  $\mathcal{E}^k$  the subset of  $(\mathcal{C}^k)_1$  consists of (6.9) in which p hence q are moreover surjective. We have  $\mathcal{E}^k \cap (\mathcal{C}^{k-1})_1 = \mathcal{E}^{k-1}$  for  $k \ge 0$ .

By Corollary 6.2.10 and the map  $_{\text{Chp}_{\square}^{k-\text{Ar}}} EO_!^*$  (obtained from  $_{\text{Chp}_{\square}^{k-\text{Ar}}} EO^{\text{II}}$  as in (3.14)), for every  $k \ge -1$ , we have two functors

$$F_*^k, F_!^k \colon (\mathfrak{C}^k)^{op} \to \operatorname{Fun}(\Delta^1, \operatorname{Cat}_\infty)$$

in which the first (resp. second) one sends  $f: \mathcal{Y} \to \mathcal{X}$  to  $f_*: \mathcal{D}(\mathcal{Y}, \lambda) \to \mathcal{D}(\mathcal{X}, \lambda)$  (resp.  $f_!: \mathcal{D}(\mathcal{Y}, \lambda) \to \mathcal{D}(\mathcal{X}, \lambda)$ ), and an edge (6.9) to

$$\begin{array}{c} \mathcal{D}(\mathcal{Y}',\lambda) \xrightarrow{f'_{*} (\operatorname{resp.} f'_{!})} \mathcal{D}(\mathcal{X}',\lambda) \\ & \stackrel{q^{*}}{\uparrow} & \stackrel{\uparrow p^{*}}{} \\ \mathcal{D}(\mathcal{Y},\lambda) \xrightarrow{f_{*} (\operatorname{resp.} f_{!})} \mathcal{D}(\mathcal{X},\lambda). \end{array}$$

By Remark 5.2.4,  $F_*^{-1}$  and  $F_!^{-1}$  are equivalence. Applying Proposition 4.1.1 successively to marked  $\infty$ -categories ( $\mathcal{C}^k, \mathcal{E}^k$ ), we conclude that  $F_*^k$  and  $F_!^k$  are equivalence for every  $k \ge 0$ . The proposition follows.

*Remark* 6.2.12. Let  $f: \mathcal{Y} \to \mathcal{X}$  be a morphism of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ) that is locally of finite type and representable by DM stacks, and  $\lambda$  an object of  $\operatorname{Rind}_{\Box\operatorname{-tor}}$  (resp.  $\operatorname{Rind}_{\operatorname{tor}}$ ). We can always construct a natural transformation

$$f_! \to f_* \colon \mathcal{D}(\mathcal{Y}, \lambda) \to \mathcal{D}(\mathcal{X}, \lambda)$$

of functors, which specializes to the equivalence in Proposition 6.2.11 if f satisfies the property there.

**Theorem 6.2.13** ((Co)homological descent). Let  $f: X_0^+ \to X_{-1}^+$  be a smooth surjective morphism of Chp^{Ar} (resp. Chp^{DM}), and  $X_{\bullet}^+$  a Čech nerve of f.

(1) For every object  $\lambda$  of Rind, the functor

$$\mathbb{D}(X_{-1}^+,\lambda) \to \varprojlim_{n \in \mathbf{\Delta}} \mathbb{D}(X_n^+,\lambda)$$

is an equivalence, where the transition maps in the limit are provided by *-pullback.

(2) Suppose that f is a morphism of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ). For every object  $\lambda$  of  $\operatorname{Rind}_{\Box\operatorname{-tor}}$  (resp.  $\operatorname{Rind}_{\operatorname{tor}}$ ), the functor

$$\mathcal{D}(X_{-1}^+,\lambda) \to \varprojlim_{n \in \mathbf{\Delta}} \mathcal{D}(X_n^+,\lambda)$$

is an equivalence, where the transition maps in the limit are provided by !-pullback.

*Proof.* This follows from (P4) of DESCENT.

**Corollary 6.2.14.** Let  $f: Y \to X$  be a morphism of  $\operatorname{Chp}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ) and let  $y: Y_0^+ \to Y$  be a smooth surjective morphism of  $\operatorname{Chp}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ). Denote  $Y_{\bullet}^+$  the Čech nerve of y with the morphism  $y_n: Y_n^+ \to Y_{-1}^+ = Y$ . Put  $f_n := f \circ y_n: Y_n^+ \to X$ .

(1) For every object  $\lambda$  of Rind and every object  $\mathsf{K} \in \mathcal{D}^{\geq 0}(Y, \Lambda)$ , we have a convergent spectral sequence

$$\mathbf{E}_1^{p,q} = \mathbf{H}^q(f_{p*}y_p^*\mathsf{K}) \Rightarrow \mathbf{H}^{p+q}f_*\mathsf{K}.$$

(2) Suppose that f is a morphism of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}^{\operatorname{DM}}$ ). For every object  $\lambda$  of  $\operatorname{Rind}_{\Box\operatorname{-tor}}$  (resp.  $\operatorname{Rind}_{\operatorname{tor}}$ ) and every object  $\mathsf{K} \in \mathcal{D}^{\leq 0}(Y, \Lambda)$ , we have a convergent spectral sequence

$$\tilde{\mathbf{E}}_{1}^{p,q} = \mathbf{H}^{q}(f_{-p!}y_{-p}^{!}\mathsf{K}) \Rightarrow \mathbf{H}^{p+q}f_{!}\mathsf{K}.$$

Proof. This essentially follows from Theorem 6.2.13 and [53, Proposition 1.2.4.5, Variant 1.2.4.9].

For (1), we obtain a cosimplicial object  $N(\Delta) \to \mathcal{D}^{\geq 0}(Y,\Lambda)$  whose value at [n] is  $y_{n*}y_n^*K$ , such that K is its limit by Theorem 6.2.13(1); in other words, we have  $K \xrightarrow{\sim} \lim_{n \in \Delta} y_{n*}y_n^*K$ . Applying the functor  $f_*$ , we obtain another cosimplicial object  $N(\Delta) \to \mathcal{D}^{\geq 0}(X,\Lambda)$  whose value at [n] is  $f_{n*}y_n^*K$ , such that  $f_*K$  is its limit. Put  $\mathcal{C} := \mathcal{D}(X,\Lambda)^{op}$  and let  $\mathcal{C}_{\geq 0} := \mathcal{D}^{\geq 0}(X,\Lambda)^{op}$ ,  $\mathcal{C}_{\leq 0} := \mathcal{D}^{\leq 0}(X,\Lambda)^{op}$  be the induced (homological) t-structure. Then we obtain a simplicial object  $N(\Delta)^{op} \to \mathcal{C}_{\geq 0}$  whose value at [n] is  $f_{n*}y_n^*K$ , with  $f_*K$  its geometric realization. By [53, Proposition 1.2.4.5, Variant 1.2.4.9], we obtain a spectral sequence  $\{E_r^{p,q}\}_{r\geq 1}$  abutting to  $H^{p+q}f_*K$ , with  $E_1^{p,q} = H^q(f_{p*}y_p^*K)$ .

For (2), by Theorem 6.2.13(2), the functor  $\mathcal{D}(Y,\lambda)^{op} \to \varprojlim_{n \in \Delta} \mathcal{D}(Y_n^+,\lambda)^{op}$  is an equivalence, where the transition maps in the limit are provided by !-pullback. Similar to (1), we obtain a cosimplicial object  $N(\Delta) \to \mathcal{D}^{\leq 0}(Y,\Lambda)^{op}$  whose value at [n] is  $y_{n!}y_n^! K$ , such that K is its limit. Applying the functor  $f_!$ , we obtain another cosimplicial object  $N(\Delta) \to \mathcal{D}^{\leq 0}(Y,\Lambda)^{op}$ whose value at [n] is  $f_{n!}y_n^! K$ , such that  $f_! K$  is its limit. Put  $\mathcal{C} := \mathcal{D}(X,\Lambda)$  and let  $\mathcal{C}_{\geq 0} :=$  $\mathcal{D}^{\leq 0}(X,\Lambda), \ \mathcal{C}_{\leq 0} := \mathcal{D}^{\geq 0}(X,\Lambda)$  be the induced (homological) t-structure. Then we obtain a simplicial object  $N(\Delta)^{op} \to \mathcal{C}_{\geq 0}$  whose value at [n] is  $f_{n!}y_n^! K$ , with  $f_! K$  its geometric realization.

By [53, Proposition 1.2.4.5, Variant 1.2.4.9], we obtain a spectral sequence  $\{\tilde{\mathbf{E}}_{r}^{p,q}\}_{r\geq 1}$  abutting to  $\mathbf{H}^{p+q}f_!\mathbf{K}$ , with  $\tilde{\mathbf{E}}_{1}^{p,q} = \mathbf{H}^{q}(f_{-p!}y_{-p}^!\mathbf{K})$ .

The following lemma will be used in  $\S6.4$ .

**Lemma 6.2.15.** Let  $f: Y \to X$  be a morphism locally of finite type of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}_{\Box}^{\operatorname{DM}}$ ), and  $\lambda$  an object of  $\operatorname{Rind}_{\Box\operatorname{-tor}}$  (resp.  $\operatorname{Rind}_{\operatorname{tor}}$ ). Then  $f_!$  restricts to a functor  $\mathcal{D}^{\leq 0}(Y,\lambda) \to \mathcal{D}^{\leq 2d}(X,\lambda)$ , where  $d = \dim^+(f)$ . Moreover, if f is smooth (resp. étale), then  $f_! \circ f^!$  restricts to a functor  $\mathcal{D}^{\leq 0}(X,\lambda) \to \mathcal{D}^{\leq 0}(X,\lambda)$ .

*Proof.* We may assume that X is the spectrum of a separably closed field.

We prove the first assertion by induction on k when Y is a k-Artin stack. Take an object  $\mathsf{K} \in \mathcal{D}^{\leq 0}(Y, \lambda)$ . For k = -2, Y is the coproduct of a family  $(Y_i)_{i \in I}$  of morphisms of schemes separated and of finite type over X, so that

$$f_{!}\mathsf{K} = \bigoplus_{i \in I} f_{i!}(\mathsf{K} \mid Y_{i}) \in \mathcal{D}^{\leqslant 2d}(X, \lambda),$$

where  $f_i$  is the composite morphism  $Y_i \to Y \xrightarrow{f} X$ . Assume the assertion proved for some  $k \ge -2$ , and let Y be a (k+1)-Artin stack. Let  $Y_{\bullet}$  be a Čech nerve of an atlas (resp. étale atlas)  $y_0: Y_0 \to Y$  and form a triangle



Then, by Theorem 6.2.13(2), we have  $f_!\mathsf{K} \simeq \varinjlim_{n \in \Delta^{op}} f_{n!}y_n^!\mathsf{K}$ . Thus, it suffices to show that for every smooth (resp. étale) morphism  $g: Z \to X$  where Z is a k-Artin stack,  $(f \circ g)_!g^!\mathsf{K}$  belongs to  $\mathcal{D}^{\leq 2d}(X,\lambda)$ . For this, we may assume that g is of pure dimension e (resp. 0). The assertion then follows from Theorem 6.2.9 and induction hypothesis.

For the second assertion, we may assume that f is of pure dimension d (resp. 0). It then follows from Theorem 6.2.9(2) and the first assertion.

*Remark* 6.2.16. Let  $f: \mathcal{Y} \to \mathcal{X}$  be a smooth morphism of (1-)Artin stacks, and  $\pi: \Lambda' \to \Lambda$  a ring homomorphism. Standard functors for the lisse-étale topoi induce

$$\begin{split} & \mathrm{L}f^*_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}} \colon \mathrm{D}_{\mathrm{cart}}(\mathfrak{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}},\Lambda) \to \mathrm{D}_{\mathrm{cart}}(\mathfrak{Y}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}},\Lambda), \\ & - \overset{\mathrm{L}}{\otimes}_{\mathfrak{X}} - \colon \mathrm{D}_{\mathrm{cart}}(\mathfrak{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}},\Lambda) \times \mathrm{D}_{\mathrm{cart}}(\mathfrak{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}},\Lambda) \to \mathrm{D}_{\mathrm{cart}}(\mathfrak{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}},\Lambda), \\ & \mathrm{L}\pi^* \colon \mathrm{D}_{\mathrm{cart}}(\mathfrak{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}},\Lambda) \to \mathrm{D}_{\mathrm{cart}}(\mathfrak{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}},\Lambda'). \end{split}$$

By Corollary 5.3.8, we have an equivalence of categories

(6.10) 
$$h\mathcal{D}(\mathfrak{X},\Lambda) \simeq D_{cart}(\mathfrak{X}_{lis-\acute{e}t},\Lambda),$$

and isomorphisms of functors

$$\mathrm{h}f^* \simeq \mathrm{L}f^*_{\mathrm{lis-\acute{e}t}}, \quad \mathrm{h}(-\otimes_{\mathfrak{X}}-) \simeq (-\overset{\mathrm{L}}{\otimes}_{\mathfrak{X}}-), \quad \mathrm{h}\pi^* \simeq \mathrm{L}\pi^*,$$

compatible with (6.10).

Let  $f: \mathcal{Y} \to \mathcal{X}$  be a morphism of Artin stacks. Using the methods of [57, (9.16.2)], one can define a functor

$$L^+f^*: D^+_{cart}(\mathfrak{X}_{lis-\acute{e}t}, \Lambda) \to D^+_{cart}(\mathfrak{Y}_{lis-\acute{e}t}, \Lambda).$$

Similarly to Proposition 6.5.2 in §6.5, there is an isomorphism between  $hf^{*+} \simeq L^+ f^*_{\text{lis-ét}}$ , compatible with (6.10), where  $f^{*+}$  denotes the obvious restriction of  $f^*$ .

Assume that there exists a nonempty set  $\Box$  of rational primes such that  $\Lambda$  is  $\Box$ -torsion and  $\mathfrak{X}$  is  $\Box$ -coprime. Then the functors  $\mathbb{R}^+ f_{\text{lis-\acute{e}t}*}$  and  $\mathbb{R}\mathcal{H}om_{\mathfrak{X}}$  for the lisse-étale topoi induce

$$\begin{aligned} & \mathbf{R}^{+} f_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}*} \colon \mathbf{D}_{\mathrm{cart}}^{+}(\mathcal{Y}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}},\Lambda) \to \mathbf{D}_{\mathrm{cart}}^{+}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}},\Lambda), \\ & \mathbf{R}\mathcal{H}\mathrm{om}_{\mathfrak{X}} \colon \mathbf{D}_{\mathrm{cart}}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}},\Lambda) \overset{op}{\to} \times \mathbf{D}_{\mathrm{cart}}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}},\Lambda) \to \mathbf{D}_{\mathrm{cart}}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}},\Lambda). \end{aligned}$$

Indeed, the statement for  $Rf_{\text{lis-\acute{e}t}*}$ , similar to [57, Proposition 9.9], follows from smooth base change; and the statement for  $R\mathcal{H}\text{om}_{\chi}$ , similar to [47, Corollary 4.2.2], follows from the fact that the map  $g^*R\mathcal{H}\text{om}_X(-,-) \to R\mathcal{H}\text{om}_Y(g^*-,g^*-)$  is an equivalence for every smooth morphism  $g: Y \to X$  of  $\Box$ -coprime schemes, which in turn follows from the Poincaré duality. By adjunction, we obtain isomorphisms of functors  $h\mathcal{H}\text{om}_{\chi} \simeq R\mathcal{H}\text{om}_{\chi}$  and  $hf^+_* \simeq R^+ f_{\text{lis-\acute{e}t}*}$ , compatible with (6.10).

6.3. More adjointness in the finite-dimensional Noetherian case. Recall the following result of Gabber: for every morphism  $f: Y \to X$  of finite type between finite-dimensional Noetherian schemes, and every prime number  $\ell$  invertible on X, the  $\ell$ -cohomological dimension of  $f_*$  is finite [41, Exposé XVIII-A, Corollary 1.4]. This extends easily to morphisms representable by algebraic spaces as follows.

**Lemma 6.3.1.** Let  $f: Y \to X$  be a morphism of finite presentation between  $\Box$ -coprime finitedimensional Noetherian higher Artin stacks. Let  $\lambda$  be a  $\Box$ -torsion ringed diagram. Then  $f^!: \mathcal{D}(X,\lambda) \to \mathcal{D}(Y,\lambda)$  and, if f is 0-Artin,  $f_*: \mathcal{D}(Y,\lambda) \to \mathcal{D}(X,\lambda)$  have bounded cohomological amplitude.

*Proof.* For the first assertion, we reduce by Poincaré duality first to the case of a morphism between affine schemes, and then to the case of a closed immersion. In this case, the assertion follows from Gabber's theorem for the complementary open immersion. For the second assertion, we reduce to the case where X is a scheme. Then Y is an algebraic space. By Noetherian induction, it suffices to show that for every open immersion  $j: V \to Y$  with V a scheme, the  $\ell$ -cohomological dimensions of  $j_*$  and  $(fj)_*$  is finite. Thus we may assume f is representable by schemes. This case follows readily from the case of schemes.

We say that a higher Artin stack X is locally Noetherian (resp. locally finite-dimensional) if X admitting an atlas  $Y \to X$  where Y is a coproduct of Noetherian (resp. finite-dimensional) schemes.

**Proposition 6.3.2.** Let  $f: Y \to X$  be a morphism locally of finite type of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$ , and  $\pi: \lambda' \to \lambda$ an arbitrary morphism of  $\operatorname{Rind}_{\Box-\operatorname{tor}}$ . Assume that X is locally Noetherian and locally finitedimensional. Then  $f^!: \mathcal{D}(X,\lambda) \to \mathcal{D}(Y,\lambda)$  admits a right adjoint; the squares (6.7) and (6.8) are right adjointable. Moreover, if f is 0-Artin, quasi-compact and quasi-separated, then  $f_*: \mathcal{D}(Y,\lambda) \to \mathcal{D}(X,\lambda)$  also admits a right adjoint.

*Proof.* Let  $g: \coprod Z_i = Z \to Y$  be an atlas of Y. By the Poincaré duality,  $g^!$  is conservative, and  $h_i^!$  exhibits  $\mathcal{D}(Z,\lambda)$  as the product of  $\mathcal{D}(Z_i,\lambda)$ , where  $h_i: Z_i \to Z$ . Therefore, to show that  $f^!$  preserves small colimits, it suffices to show that, for every  $i, (f \circ g_i)^!$  preserves small colimits, where  $g_i: Z_i \to Y$ . We may thus assume that X and Y are both affine schemes. Let i be a closed embedding of Y into an affine space over X. It then suffices to show that  $i^!$  preserves small colimits, which follows from the finiteness of cohomological dimension of  $j_*$ , where j is the complementary open immersion.

To show that (6.7) and (6.8) are right adjointable, we reduce by Lemma 4.3.7 to the case of affine schemes. By the factorization above and the Poincaré duality, the assertion for  $f^!$  reduces to the assertion for  $f_*$ . We may further assume that  $\Xi' = \Xi = \{*\}$  where  $\lambda = (\Xi, \Lambda)$  and  $\lambda' = (\Xi', \Lambda')$ . In this case, it suffices to take a resolution of  $\Lambda'$  by free  $\Lambda$ -modules.

For the last assertion, by smooth base change, we may assume that X is an affine Noetherian scheme. In this case, by Lemma 6.3.1,  $f_*: \mathcal{D}(Y,\lambda) \to \mathcal{D}(X,\lambda)$  commutes with small colimits and hence admits a right adjoint.

6.4. Constructible complexes. We study constructible complexes on higher Artin stacks and their behavior under the six operations. Let  $\lambda = (\Xi, \Lambda)$  be a *Noetherian* ringed diagram. For every object  $\xi$  of  $\Xi$ , we denote by  $e_{\xi}$  the morphism  $(\{\xi\}, \Lambda(\xi)) \to (\Xi, \Lambda)$ .

We start from the case of schemes. Let X be a scheme. Recall from [3, Exposé ix, Définition 2.3] that for a Noetherian ring R, a sheaf  $\mathcal{F}$  of R-modules on X is said to be *constructible* if the stalks of  $\mathcal{F}$  are finitely-generated R-modules and every affine open subset of X is the disjoint union of finitely many constructible subschemes  $U_i$  such that the restriction of  $\mathcal{F}$  to each  $U_i$  is locally constant.

**Definition 6.4.1.** We say that an object K of  $\mathcal{D}(X,\lambda)$  is a constructible complex or simply constructible if for every object  $\xi$  of  $\Xi$  and every  $q \in \mathbb{Z}$ , the sheaf  $\mathrm{H}^{q} e_{\xi}^{*} \mathsf{K} \in \mathrm{Mod}(X, \Lambda(\xi))$  is constructible. We say that an object K of  $\mathcal{D}(X,\lambda)$  is locally bounded from below (resp. locally bounded from above) if for every object  $\xi$  of  $\Xi$  and every quasi-compact open subscheme U of X,  $e_{\xi}^{*} \mathsf{K} \mid U$  is bounded from below (resp. bounded from above).

Note that we do not require constructible complexes to be bounded in either direction. Note that  $\mathsf{K} \in \mathcal{D}(X, \lambda)$  is locally bounded from below (resp. from above) if and only if there exists a Zariski open covering  $(U_i)_{i \in I}$  of X such that  $\mathsf{K} \mid U_i$  is bounded from below (resp. from above).

**Lemma 6.4.2.** Let  $f: Y \to X$  be a morphism of schemes. Let K be an object of  $\mathcal{D}(X, \lambda)$ . If K is constructible (resp. locally bounded from below, resp. locally bounded from above), then  $f^*K$  satisfies the same property. The converse holds when f is surjective and locally of finite presentation.

*Proof.* The constructible case follows from [3, Exposé ix, Propositions 2.4(iii), 2.8]. For the locally bounded case we use the characterization by open coverings. The first assertion is then clear. For the second assertion, by [3, Exposé ix, Lemme 2.8.1] we may assume f flat, hence open. In this case the image of an open covering of Y is an open covering of X.

The lemma implies that Definition 6.4.1 is compatible with the following.

**Definition 6.4.3** (Constructible complex). Let X be a higher Artin stack. We say that an object K of  $\mathcal{D}(X,\lambda)$  is a constructible complex or simply constructible (resp. locally bounded from below, resp. locally bounded from above) if there exists an atlas  $f: Y \to X$  with Y a scheme,  $f^*K$  is constructible (resp. locally bounded from below, resp. locally bounded from below, resp. locally bounded from below.

We denote by  $\mathcal{D}_{cons}(X,\lambda)$  (resp.  $\mathcal{D}^{(+)}(X,\lambda)$ ,  $\mathcal{D}^{(-)}(X,\lambda)$  or  $\mathcal{D}^{(b)}(X,\lambda)$ ) the full subcategory of  $\mathcal{D}(X,\lambda)$  spanned by objects that are constructible (resp. locally bounded from below, locally bounded from above, or locally bounded from both sides). Moreover, we put

$$\begin{aligned} \mathcal{D}_{\mathrm{cons}}^{(+)}(X,\lambda) &\coloneqq \mathcal{D}_{\mathrm{cons}}(X,\lambda) \cap \mathcal{D}^{(+)}(X,\lambda), \\ \mathcal{D}_{\mathrm{cons}}^{(-)}(X,\lambda) &\coloneqq \mathcal{D}_{\mathrm{cons}}(X,\lambda) \cap \mathcal{D}^{(-)}(X,\lambda), \\ \mathcal{D}_{\mathrm{cons}}^{\mathrm{(b)}}(X,\lambda) &\coloneqq \mathcal{D}_{\mathrm{cons}}(X,\lambda) \cap \mathcal{D}^{\mathrm{(b)}}(X,\lambda). \end{aligned}$$

**Proposition 6.4.4.** Let  $f: Y \to X$  be a morphism of higher Artin stacks.

(1) Let K be an object of  $\mathcal{D}(X,\lambda)$ . If K is constructible (resp. locally bounded from below, resp. locally bounded from above), then  $f^*K$  satisfies the same property. The converse holds when f is surjective and locally of finite presentation. In particular,  $f^*$  restricts to a functor

**1L':**  $f^*: \mathcal{D}_{cons}(X, \lambda) \to \mathcal{D}_{cons}(Y, \lambda).$ 

- (2) Suppose that X and Y are □-coprime higher Artin stacks (resp. higher DM stacks), and f is of finite presentation (Definition 5.4.3). Let λ be a □-torsion (resp. torsion) Noetherian ringed diagram. Then f₁ restricts to
   21.2. f₁ ⊕⁽⁻⁾ (X )) → ⊕⁽⁻⁾ (X )) and if f is 0 Artin (resp. 0 DM) f₁ ⊕ (X )) →
  - **2L':**  $f_1: \mathcal{D}_{\text{cons}}^{(-)}(Y, \lambda) \to \mathcal{D}_{\text{cons}}^{(-)}(X, \lambda)$ , and if f is 0-Artin (resp. 0-DM),  $f_1: \mathcal{D}_{\text{cons}}(Y, \lambda) \to \mathcal{D}_{\text{cons}}(X, \lambda)$ .
- $\mathcal{D}_{\text{cons}}(X,\lambda).$ (3) The functor  $-\otimes_X \text{restricts to a functor}$  $\mathbf{3L':} - \otimes_X - : \mathcal{D}_{\text{cons}}^{(-)}(X,\lambda) \times \mathcal{D}_{\text{cons}}^{(-)}(X,\lambda) \to \mathcal{D}_{\text{cons}}^{(-)}(X,\lambda).$ In particular,  $\mathcal{D}_{\text{cons}}^{(-)}(X,\lambda)^{\otimes}$  is a symmetric monoidal subcategory.

*Proof.* For (1), we reduce by taking atlases to the case of schemes, which is Lemma 6.4.2. The reduction for the second assertion is clear. The reduction for the first assertion uses the second assertion.

For (2), we may assume  $\Xi = \{*\}$ . We prove by induction on k that the assertion holds when f is a morphism of k-Artin (resp. k-DM) stacks. The case k = -2 is [3, Exposé xvii, Théorème 5.3.6]. Now assume that the assertions hold for some  $k \ge -2$  and let f be a morphism of (k+1)-Artin (resp. (k+1)-DM) stacks. By smooth base change (Corollary 6.2.10), we may assume that X is an affine scheme. Then Y is a (k+1)-Artin (resp. (k+1)-DM) stack, of finite presentation over X. It suffices to show that for every object K of  $\mathcal{D}_{\text{cons}}^{\leq 0}(Y,\lambda)$ ,  $f_1$ K belongs to  $\mathcal{D}_{\text{cons}}^{\leq 2d}(Y,\lambda)$ , where  $d = \dim^+(f)$ . Let  $Y_{\bullet}$  be a Čech nerve of an atlas  $y_0: Y_0 \to Y$ , where  $Y_0$  is an affine scheme, and form a triangle



Then for  $n \ge 0$ ,  $f_n$  is a quasi-compact and quasi-separated morphism of k-Artin (resp. k-DM) stacks. By Theorem 6.2.13 and the dual version of [53, Variant 1.2.4.9], we have a convergent spectral sequence

$$\mathbf{E}_1^{p,q} = \mathbf{H}^q(f_{-p!}y_{-p}^!\mathsf{K}) \Rightarrow \mathbf{H}^{p+q}f_!\mathsf{K}.$$

By induction hypothesis and the Poincaré duality (Theorem 6.2.9(2)),  $E_1^{p,q}$  is constructible for all p and q. Moreover,  $E_1^{p,q}$  vanishes for p > 0 or q > 2d by Lemma 6.2.15. Therefore,  $f_!\mathsf{K}$  belongs to  $\mathcal{D}_{\text{cons}}^{\leq 2d}(X, \lambda)$ .

For (3), we may assume X is an affine scheme. The assertion is then trivial.

To state the results for the other operations, we work in a relative setting. Let S be a  $\Box$ -coprime higher Artin stack. Assume that there exists an atlas  $S \to S$ , where S is a coproduct of Noetherian quasi-excellent schemes¹³ and regular schemes of dimension  $\leq 1$ . We denote by  $\operatorname{Chp}_{\operatorname{lt}/S}^{\operatorname{Ar}} \subseteq \operatorname{Chp}_{/S}^{\operatorname{Ar}}$  the full subcategory spanned by morphisms  $X \to S$  locally of finite type.

**Proposition 6.4.5.** Let  $f: Y \to X$  be a morphism of  $\operatorname{Chp}_{\operatorname{lft}/S}^{\operatorname{Ar}}$ , and  $\lambda$  a  $\Box$ -torsion Noetherian ringed diagram. Then the operations introduced in §6.2 restrict to the following

1R':  $f_*: \mathcal{D}_{\text{cons}}^{(+)}(Y,\lambda) \to \mathcal{D}_{\text{cons}}^{(+)}(X,\lambda)$  if f is quasi-compact and quasi-separated; 2R':  $f^!: \mathcal{D}_{\text{cons}}^{(+)}(X,\lambda) \to \mathcal{D}_{\text{cons}}^{(+)}(Y,\lambda);$ 3R':  $\operatorname{Hom}_X(-,-): \mathcal{D}_{\text{cons}}^{(-)}(X,\lambda)^{op} \times \mathcal{D}_{\text{cons}}^{(+)}(X,\lambda) \to \mathcal{D}_{\text{cons}}^{(+)}(X,\lambda)$  if  $\Xi_{/\xi}$  is finite for all  $\xi \in \Xi$ .

¹³Recall from [41, Exposé I, Définition 2.10] that a ring is *quasi-excellent* if it is Noetherian and satisfies conditions (2), (3) of [31, Définition 7.8.2]. A Noetherian scheme is *quasi-excellent* if it admits a Zariski open cover by spectra of quasi-excellent rings.
Proof. Suppose that  $\lambda = (\Xi, \Lambda)$ . We first reduce to the case  $\Xi = \{*\}$ . The reduction follows from Proposition 6.2.6 and Proposition 6.2.7 for (1R') and (2R'), respectively. For (3R'), by Proposition 6.2.8(2) and the assumption on  $\Xi_{/\xi}$ , we may assume  $\Xi$  finite. In this case, by Proposition 6.2.5(2), it suffices to prove that every  $\mathsf{K} \in \mathcal{D}_{\operatorname{cons}}^{(+)}(X,\lambda)$  is a successive extension of  $e_{\xi*}\mathsf{L}_{\xi}$ , where  $\mathsf{L}_{\xi} \in \mathcal{D}_{\operatorname{cons}}^{(+)}(X_{\xi},\Lambda(\xi))$  for every object  $\xi \in \Xi$ . This being trivial for  $\Xi = \emptyset$ , we proceed by induction on the cardinality of  $\Xi$ . Let  $\Xi' \subseteq \Xi$  be the partially ordered subset spanned by the minimal elements of  $\Xi$ , and let  $\Xi''$  be the complement of  $\Xi'$ . Then we have a fibre sequence  $i_*\mathsf{L} \to \mathsf{K} \to \prod_{\xi \in \Xi'} e_{\xi*} e_{\xi}^*\mathsf{K}$ , where  $i: (\Xi'', \Lambda \mid \Xi'') \to \lambda$  and  $\mathsf{L} \in \mathcal{D}_{\operatorname{cons}}^{(+)}(\Xi'', \Lambda \mid \Xi'')$ . Since  $\Xi'$  is nonempty, it then suffices to apply the induction hypothesis to  $\mathsf{L}$ .

We then prove by induction on k that the assertions for  $\Xi = \{*\}$  hold when f is a morphism of k-Artin stacks. The case k = -2 is due to Deligne [16, Th. Finitude, Corollaires 1.5, 1.6] if S is regular of dimension  $\leq 1$  and to Gabber [41, Exposé XIII] if S is quasi-excellent. In fact, in the latter case, by arguments similar to [16, Th. Finitude, §2.2], we may assume  $\lambda = (*, \mathbb{Z}/n\mathbb{Z})$ . In the finite-dimensional case we also need the finiteness of cohomological dimension recalled at the beginning of §6.3. Now assume that the assertions hold for some  $k \geq -2$  and let f be a morphism of (k+1)-Artin stacks. Then (2R') follows from induction hypothesis, Theorem 6.2.9(2) and (1L'); (3R') follows from induction hypothesis, Proposition 6.2.4(3), Theorem 6.2.9(2) and (1L'), (2R'). The proof of (1R') is similar to the proof of Proposition 6.4.4. Indeed, to show that for every object K of  $\mathcal{D}_{cons}^{\geq 0}(Y, \lambda)$ ,  $f_*K$  belongs to  $\mathcal{D}_{cons}^{\geq 0}(X, \lambda)$ , it suffices to apply the convergent spectral sequence

$$\mathbf{E}_{1}^{p,q} = \mathbf{H}^{q}(f_{p*}y_{p}^{*}\mathsf{K}) \Rightarrow \mathbf{H}^{p+q}f_{*}\mathsf{K}$$

and induction hypothesis.

**Proposition 6.4.6.** Let  $f: Y \to X$  be a morphism of  $\operatorname{Chp}_{\operatorname{lft}/S}^{\operatorname{Ar}}$ , and  $\lambda \in \Box$ -torsion Noetherian ringed diagram. Assume that S is locally finite-dimensional. Then the operations introduced in §6.2 restrict to the following

**1R':**  $f_*: \mathcal{D}_{cons}(Y,\lambda) \to \mathcal{D}_{cons}(X,\lambda)$  if f is quasi-compact, quasi-separated, and 0-Artin; **2R':**  $f^!: \mathcal{D}_{cons}(X,\lambda) \to \mathcal{D}_{cons}(Y,\lambda);$ **3R':**  $\mathcal{H}om_X(-,-): \mathcal{D}_{cons}^{(\mathrm{ft})}(X,\lambda)^{op} \times \mathcal{D}_{cons}(X,\lambda) \to \mathcal{D}_{cons}(X,\lambda)$  if  $\Xi_{/\xi}$  is finite for all  $\xi \in \Xi$ .

Here  $\mathcal{D}_{\text{cons}}^{(\text{ft})}(X,\lambda) \subseteq \mathcal{D}_{\text{cons}}(X,\lambda)$  denotes the full subcategory spanned by objects K such that for every  $\xi \in \Xi$ ,  $e_{\xi}^* \mathsf{K}$  is locally of finite tor-dimension.

*Proof.* This follows from Proposition 6.4.5 and Lemmas 6.3.1 and 6.4.7.

**Lemma 6.4.7.** Let X be a  $\Box$ -coprime finite-dimensional Noetherian higher Artin stack. Let  $\lambda = (\Xi, \Lambda)$  be a  $\Box$ -torsion Noetherian ringed diagram with  $\Xi$  finite and let  $\mathsf{K} \in \mathcal{D}_{cons}(X, \lambda)$  such that for every  $\xi \in \Xi$ ,  $e_{\xi}^*\mathsf{K}$  is locally of finite tor-dimension. Then  $\operatorname{Hom}_X(\mathsf{K}, -)$  has finite cohomological amplitude.

*Proof.* As in the proof of Proposition 6.4.5, any  $\mathsf{L} \in \mathcal{D}^{\leq 0}(X, \lambda)$  is a successive extension of  $e_{\xi*}\mathsf{L}_{\xi}$  with  $\mathsf{L}_{\xi} \in \mathcal{D}^{\leq l}(X, \Lambda(\xi))$ , where l denotes the greatest length of chains in  $\Xi$ . We are thus reduced to the case  $\Xi = \{*\}$ . We then reduce to the case where X is a scheme and  $\mathsf{K} = j_!\mathsf{K}'$ , where  $j: U \to X$  is an immersion and  $\mathsf{K}' \in \mathcal{D}_{cons}(U, \Lambda)$  is a perfect complex. In this case

$$\mathcal{H}om_X(\mathsf{K},\mathsf{L}) \simeq j_*\mathcal{H}om_X(\mathsf{K}',j^*\mathsf{L}) \simeq j_*(j^*\mathsf{L}\otimes\mathcal{H}om_X(\mathsf{K}',\Lambda))$$

and we conclude by the fact that  $j_*$  has finite cohomological amplitude.

6.5. Compatibility with Laszlo–Olsson (torsion coefficients). In this section we establish the compatibility between our theory and the work of Laszlo and Olsson [47], under the (more restrictive) assumptions of the latter.

We fix  $\Box = \{\ell\}$  and a Gorenstein local ring  $\Lambda$  of dimension 0 and residual characteristic  $\ell$ . We will suppress  $\Lambda$  from the notation when no confusion arises. Let  $\mathbb{S}$  be a  $\Box$ -coprime scheme, endowed with a global dimension function, satisfying the following conditions.

- (1)  $\mathbb{S}$  is affine excellent and finite-dimensional;
- (2) For every S-scheme X of finite type, there exists an étale cover  $X' \to X$  such that, for every scheme Y étale and of finite type over X',  $\operatorname{cd}_{\ell}(Y) < \infty$ ;

Remark 6.5.1. In [47], the authors did not explicitly include the existence of a global dimension function in their assumptions. However, their method relies on pinned dualizing complexes (see below), which makes use of the dimension function. Note that assumption (2) above is slightly weaker than the assumption on cohomological dimension in [47]; for example, (2) allows the case  $S = \text{Spec } \mathbb{R}$  and  $\ell = 2$  while the assumption in [47] does not. Nevertheless, assumption (2) implies that the right derived functor of the countable product functor on  $\text{Mod}(X_{\text{ét}}, \Lambda)$  has finite cohomological dimension, which is in fact sufficient for the construction in [47].

Let  $\operatorname{Chp}_{\operatorname{lft}/S}^{\operatorname{LMB}}$  be the full subcategory of  $\operatorname{Chp}_{\operatorname{lft}/S}^{\operatorname{Ar}}$  spanned by (1-)Artin stacks locally of finite type over S, with quasi-compact and separated diagonal. Stacks with such diagonal are called algebraic stacks in [50] and [47]. We adopt the notation  $\operatorname{D}_{\operatorname{cons}}(\mathfrak{X}_{\operatorname{lis-\acute{e}t}}) \subseteq \operatorname{D}_{\operatorname{cart}}(\mathfrak{X}_{\operatorname{lis-\acute{e}t}})$  from §0.1. For a morphism  $f: \mathcal{Y} \to \mathfrak{X}$  of finite type (of  $\operatorname{Chp}_{\operatorname{lft}/S}^{\operatorname{LMB}}$ ), Laszlo–Olsson defined functors

$$\begin{split} \mathbf{R} f_* \colon \mathbf{D}_{\mathrm{cons}}^{(+)}(\mathcal{Y}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}) &\to \mathbf{D}_{\mathrm{cons}}^{(+)}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}), \\ \mathbf{R} f_! \colon \mathbf{D}_{\mathrm{cons}}^{(-)}(\mathcal{Y}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}) &\to \mathbf{D}_{\mathrm{cons}}^{(-)}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}), \\ \mathbf{L} f^* \colon \mathbf{D}_{\mathrm{cons}}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}) &\to \mathbf{D}_{\mathrm{cons}}(\mathcal{Y}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}), \\ \mathbf{R} f^! \colon \mathbf{D}_{\mathrm{cons}}(\mathcal{X}) &\to \mathbf{D}_{\mathrm{cons}}(\mathcal{Y}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}), \\ \mathbf{R} \mathcal{H} \mathrm{om}_{\mathfrak{X}} \colon \mathbf{D}_{\mathrm{cons}}^{(-)}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}})^{op} \times \mathbf{D}_{\mathrm{cons}}^{(+)}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}) \to \mathbf{D}_{\mathrm{cons}}^{(+)}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}), \\ - \overset{\mathrm{L}}{\otimes}_{\mathfrak{X}} - \colon \mathbf{D}_{\mathrm{cons}}^{(-)}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}) \times \mathbf{D}_{\mathrm{cons}}^{(-)}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}) \to \mathbf{D}_{\mathrm{cons}}^{(-)}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}). \end{split}$$

Three of the six functors,  $Rf_*$ ,  $RHom_{\chi}$ , and  $-\bigotimes_{\chi}^{L}$  -, are standard functors for the lisse-étale topoi and can be extended to  $D_{cart}$  (see Remarks 6.2.16 and 5.3.10):

$$\begin{split} & \mathrm{R}f_* \colon \mathrm{D}_{\mathrm{cart}}^{(+)}(\mathcal{Y}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}) \to \mathrm{D}_{\mathrm{cart}}^{(+)}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}), \\ & \mathrm{R}\mathcal{H}\mathrm{om}_{\mathfrak{X}} \colon \mathrm{D}_{\mathrm{cart}}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}})^{op} \times \mathrm{D}_{\mathrm{cart}}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}) \to \mathrm{D}_{\mathrm{cart}}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}), \\ & - \overset{\mathrm{L}}{\otimes}_{\mathfrak{X}} - \colon \mathrm{D}_{\mathrm{cart}}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}) \times \mathrm{D}_{\mathrm{cart}}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}) \to \mathrm{D}_{\mathrm{cart}}(\mathcal{X}_{\mathrm{lis}\text{-}\acute{\mathrm{e}t}}). \end{split}$$

Moreover, the construction of  $Lf^*$  in [47, §4.3] can also be extended to  $D_{cart}$ :

$$Lf^*: D_{cart}(\mathfrak{X}_{lis-\acute{e}t}) \to D_{cart}(\mathfrak{Y}_{lis-\acute{e}t}).$$

In fact, it suffices to apply [47, Theorem 2.2.3] to  $D_{cart}$ . The six operations satisfy all the usual adjointness properties (cf. [47, Propositions 4.3.1, 4.4.2]). On the other hand, restricting our

constructions in the two previous sections, we have

$$\begin{split} f_* \colon \mathcal{D}^{(+)}(\mathcal{Y}) &\to \mathcal{D}^{(+)}(\mathcal{X}), \\ f_1 \colon \mathcal{D}_{\mathrm{cons}}^{(-)}(\mathcal{Y}) &\to \mathcal{D}_{\mathrm{cons}}^{(-)}(\mathcal{X}), \\ f^* \colon \mathcal{D}(\mathcal{X}) &\to \mathcal{D}(\mathcal{Y}), \\ f^! \colon \mathcal{D}_{\mathrm{cons}}(\mathcal{X}) &\to \mathcal{D}_{\mathrm{cons}}(\mathcal{Y}), \\ \mathcal{H}\mathrm{om}_{\mathcal{X}} \colon \mathcal{D}(\mathcal{X})^{op} &\times \mathcal{D}(\mathcal{X}) \to \mathcal{D}(\mathcal{X}), \\ - \otimes_{\mathcal{X}} - \colon \mathcal{D}(\mathcal{X}) \times \mathcal{D}(\mathcal{X}) \to \mathcal{D}(\mathcal{X}). \end{split}$$

The equivalence of categories  $h\mathcal{D}(\mathcal{X}) \simeq D_{cart}(\mathcal{X}_{lis-\acute{e}t})$  (6.10) restricts to an equivalence  $h\mathcal{D}_{cons}(\mathcal{X}) \simeq D_{cons}(\mathcal{X}_{lis-\acute{e}t})$ . The main result of this section is the following.

Proposition 6.5.2. We have equivalences of functors

$$\begin{split} \mathbf{h} f_* &\simeq \mathbf{R} f_*, \quad \mathbf{h} f_! \simeq \mathbf{R} f_!, \quad \mathbf{h} f^* \simeq \mathbf{L} f^*, \quad \mathbf{h} f^! \simeq \mathbf{R} f^!, \\ \mathbf{h} \mathcal{H} \mathbf{om}_{\mathfrak{X}} &\simeq \mathbf{R} \mathcal{H} \mathbf{om}_{\mathfrak{X}}, \quad \mathbf{h} (-\otimes_{\mathfrak{X}} -) \simeq (- \overset{\mathbf{L}}{\otimes}_{\mathfrak{X}} -), \end{split}$$

compatible with (6.10).

*Proof.* The assertions for  $-\otimes_{\mathfrak{X}}$  – and  $\mathcal{H}om_{\mathfrak{X}}$  are special cases of Remark 6.2.16. Moreover, by adjunction, the assertion for  $f_*$  (resp.  $f_!$ ) will follow from the one for  $f^*$  (resp.  $f^!$ ).

Let us first prove that  $hf^* \simeq Lf^* \colon D_{cart}(\mathfrak{X}_{lis-\acute{e}t}) \to D_{cart}(\mathfrak{Y}_{lis-\acute{e}t})$ . We choose a commutative diagram

$$\begin{array}{c} Y \longrightarrow X \\ \downarrow & \downarrow \\ y \longrightarrow \chi \end{array}$$

where the vertical morphisms are atlases. It induces a 2-commutative diagram

$$\begin{array}{c|c} Y_{\bullet} & \stackrel{f_{\bullet}}{\longrightarrow} X_{\bullet} \\ \eta_Y & & & & & \\ y & \stackrel{f}{\longrightarrow} \chi. \end{array}$$

Using arguments similar to §5.4, we get the following diagram



By [47, Theorem 2.2.3],  $\eta_{X,\text{cart}}^*$  and  $\eta_{Y,\text{cart}}^*$  are equivalences. By the construction of  $Lf^*$ ,  $Lf^*$  fits into a homotopy version of the rectangle in the above diagram. Therefore, we have an equivalence  $hf^* \simeq Lf^*$ .

Let  $\Omega_{\mathbb{S}} \in \mathcal{D}(\mathbb{S})$  be a potential dualizing complex (with respect to the fixed dimension function) in the sense of [41, Exposé XVII, Définition 2.1.2], which is unique up to isomorphism by [41, Exposé XVII, Théorème 5.1.1] (see Remark 6.5.3). For every object  $\mathfrak{X}$  of  $Chp_{Iff/\mathbb{S}}^{LMB}$ , with structure morphism  $a: \mathfrak{X} \to \mathbb{S}$ , we put  $\Omega_{\mathfrak{X}} := a^! \Omega_{\mathbb{S}}$ . Let  $u: U \to \mathfrak{X}$  be an object of Lis-ét( $\mathfrak{X}$ ). Then  $u^*\Omega_{\mathfrak{X}} \simeq \Omega_U \langle -d \rangle$  by the Poincaré duality (Theorem 6.2.9(2)), where  $d = \dim u$ . Consider the morphism of topoi  $(\epsilon_*, \epsilon^*): (\mathfrak{X}_{\text{lis-ét}})_{/\widetilde{U}} \to U_{\text{ét}}$ . Applying Lemma 5.3.2, we get an equivalence  $\Omega_{\mathfrak{X}} \mid (\mathfrak{X}_{\text{lis-ét}})_{/\widetilde{U}} \simeq \epsilon^*\Omega_U \langle -d \rangle$ , where we regard  $\Omega_{\mathfrak{X}}$  as an object of  $\mathcal{D}_{\text{cart}}(\mathfrak{X}_{\text{lis-ét}})$  and  $\Omega_U$  as an object of  $\mathcal{D}(U_{\text{ét}})$ . The equivalence is compatible with restriction by morphisms of Lis-ét( $\mathfrak{X}$ ), so that  $\Omega_{\mathfrak{X}}$  is a dualizing complex of  $\mathfrak{X}$  in the sense of [47, Definition 3.4.5], which is unique up to isomorphism by [47, Proposition 3.4.3, Lemma 3.4.4]. Put  $\mathcal{D}_{\mathfrak{X}} := \mathcal{H}om_{\mathfrak{X}}(-,\Omega_{\mathfrak{X}})$  and  $D_{\mathfrak{X}} := R\mathcal{H}om_{\mathfrak{X}}(-,\Omega_{\mathfrak{X}}) \simeq h\mathcal{D}_{\mathfrak{X}}$ . By [47, Corollary 3.5.7], the biduality functor id  $\to D_{\mathfrak{X}} \circ D_{\mathfrak{X}}$  is a natural isomorphism of endofunctors of  $D_{\text{cons}}(\mathfrak{X}_{\text{lis-ét}})$ . Therefore, the natural transformation  $hf^! \to hf^! \circ D_{\mathfrak{X}} \circ D_{\mathfrak{X}}$  is a natural equivalence when restricted to  $D_{\text{cons}}(\mathfrak{X}_{\text{lis-ét}})$ . By Proposition 6.2.4(3), we have

$$f^{!} \circ \mathcal{D}_{\mathfrak{X}} \circ \mathcal{D}_{\mathfrak{X}} \simeq f^{!} \mathcal{H}om_{\mathfrak{X}}(\mathcal{D}_{\mathfrak{X}}, \Omega_{\mathfrak{X}}) \simeq \mathcal{H}om_{\mathfrak{Y}}(f^{*}\mathcal{D}_{\mathfrak{X}}, f^{!}\Omega_{\mathfrak{X}})$$
$$\simeq \mathcal{H}om_{\mathfrak{Y}}(f^{*}\mathcal{D}_{\mathfrak{X}}, \Omega_{\mathfrak{Y}}) = \mathcal{D}_{\mathfrak{Y}} \circ f^{*} \circ \mathcal{D}_{\mathfrak{X}}.$$

Since  $hf^* \simeq Lf^*$ , this shows

$$\mathbf{h}f^! \simeq \mathbf{D}_{\mathcal{Y}} \circ \mathbf{L}f^* \circ \mathbf{D}_{\mathcal{X}} = \mathbf{R}f^!,$$

where the last identity is the definition of  $Rf^{!}$  in [47, Definition 4.4.1].

Remark 6.5.3. As Joël Riou observed (private communication), although the definition, existence and uniqueness of potential dualizing complexes are only stated for the coefficient ring  $R = \mathbb{Z}/n\mathbb{Z}$ in [41, Exposé XVII, Définition 2.1.2, Théorème 5.1.1], they can be extended to any Noetherian ring R' over R. In fact, if  $\delta$  is a dimension function of an excellent  $\mathbb{Z}[1/n]$ -scheme X and  $K_R$  is a potential dualizing complex for  $(X, \delta)$  relative to R, then  $K_{R'} = K_R \bigotimes_R R'$  is a potential dualizing complex for  $(X, \delta)$  relative to R' by the projection formula  $\mathbb{R}\Gamma_x(K_R) \bigotimes_R R' \simeq \mathbb{R}\Gamma_x(K_R \bigotimes_R R')$ , where x is a geometric point of X. The formula follows from the fact that the punctured strict localization of X at x has finite cohomological dimension [41, Exposé XVIII-A, Corollary 1.4]. Moreover, by the theorem of local biduality [41, Exposé XVII, Théorèmes 6.1.1, 7.1.2],  $K_{R'}$  is a dualizing complex for  $\mathbb{D}^{\mathrm{b}}_{\mathrm{cons}}(X_{\mathrm{\acute{e}t}}, R')$  in the sense of [41, Exposé XVII, Définition 7.1.1] as long as R' is Gorenstein of dimension 0.

## 7. Adic formalism

In this chapter, we provide the adic formalism for Grothendieck's six operations. In §7.1, we provide our adic formalism by constructing two enhanced operation maps via the limit construction. In §7.2, we study several properties of the enhanced operation maps we constructed previously. In §7.3, we study the relation between the limit construction and so-called adic complexes. In §7.4 and §7.5, we study constructible adic complexes and construct adic dualizing complexes. In §7.6, we study a special kind of ringed diagrams for which the adic formalism is the most satisfactory. This includes the most common application, namely, the  $\ell$ -adic one. The last section §7.7 is dedicated to proving the compatibility between our theory and Laszlo–Olsson ([48] and [49]) under their restrictions.

7.1. The limit construction. Recall from §5.4 that for higher Artin stacks, we construct the *first enhanced operation map* 

$$_{\operatorname{Chp}^{\operatorname{Ar}}}\operatorname{EO}^{\operatorname{I}} \colon ((\operatorname{Chp}^{\operatorname{Ar}})^{op} \times \operatorname{N}(\operatorname{\mathcal{R}ind})^{op})^{\operatorname{II}} \to \operatorname{Cat}_{\infty},$$

and the second enhanced operation map

$${}_{\operatorname{Chp}_{\square}^{\operatorname{Ar}}} \operatorname{EO}^{\operatorname{II}} \colon \delta_{2,\{2\}}^{*}(((\operatorname{Chp}_{\square}^{\operatorname{Ar}})^{op} \times \operatorname{N}(\operatorname{\mathcal{R}ind}_{\square\operatorname{-tor}})^{op})^{\operatorname{II},op})_{F,\operatorname{all}}^{\operatorname{cart}} \to \operatorname{Cat}_{\infty}.$$

Their restrictions to the common domain  $((Chp_{\Box}^{Ar})^{op} \times N(\mathcal{R}ind_{\Box-tor})^{op})^{II}$  are equivalent. In particular, for every object X of  $Chp^{Ar}$  and every object  $\lambda = (\Xi, \Lambda)$  of  $\mathcal{R}ind$ , we obtain a diagram  $\Xi^{op} \to \mathcal{P}r_{st}^{L}$  given by  $\xi \mapsto \mathcal{D}(X, \Lambda(\xi))$  with the transition map given by extension of scalars.

**Definition 7.1.1.** We define the *adic derived*  $\infty$ -*category* of  $\lambda$ -modules on X to be

$$\mathcal{D}(X,\lambda)_{\mathbf{a}} := \lim_{\mathbf{N}(\Xi)^{op}} \mathcal{D}(X,\Lambda(\xi)).$$

The goal of this section is to make the above definition functorial in a homotopy coherent way. Namely, we will construct the *first enhanced adic operation map* 

(7.1) 
$${}^{a}_{\mathcal{C}hp^{Ar}} \mathrm{EO}^{\mathrm{I}} \colon ((\mathcal{C}hp^{\mathrm{Ar}})^{op} \times \mathrm{N}(\mathcal{R}\mathrm{ind})^{op})^{\mathrm{II}} \to \mathcal{C}\mathrm{at}_{\infty},$$

and the second enhanced adic operation map

(7.2) 
$${}^{a}_{\operatorname{Chp}^{\operatorname{Ir}}_{\Box}} \operatorname{EO}^{\operatorname{II}} \colon \delta^{*}_{2,\{2\}} (((\operatorname{Chp}^{\operatorname{Ar}}_{\Box})^{op} \times \operatorname{N}(\operatorname{\mathcal{R}ind}_{\Box\operatorname{-tor}})^{op})^{\operatorname{II},op})^{\operatorname{cart}}_{F,\operatorname{all}} \to \operatorname{Cat}_{\infty},$$

such that their values on  $(X, \lambda)$  are both (equivalent to)  $\mathcal{D}(X, \lambda)_{a}$ .

By definition, there is a tautological functor  $\Re$ ind  $\rightarrow \Im$   $\operatorname{Cat}_1$  sending  $(\Xi, \Lambda)$  to  $\Xi$ . Applying Grothendieck's construction, we obtain an op-fibration  $\pi: \Re$ ind^{univ}  $\rightarrow \Re$ ind. More precisely,  $\Re$ ind^{univ} is an ordinary category whose objects are pairs  $((\Xi, \Lambda), \xi)$  where  $(\Xi, \Lambda)$  is an object of  $\Re$ ind and  $\xi$  is an object of  $\Xi$ , and a morphism from  $((\Xi, \Lambda), \xi)$  to  $((\Xi', \Lambda'), \xi')$  is a morphism  $(\Gamma, \gamma): (\Xi, \Lambda) \rightarrow (\Xi', \Lambda')$  of  $\Re$ ind such that  $\Gamma(\xi)$  admits an arrow to  $\xi'$ . We have another functor  $\sigma: \Re$ ind^{univ}  $\rightarrow \Re$ ind sending  $((\Xi, \Lambda), \xi)$  to  $(*, \Lambda(\xi))$ . We have two natural inclusion

$$j_0 \colon \mathcal{N}(\mathcal{R}ind)^{op} \to \mathcal{N}(\mathcal{R}ind)^{op} \diamond_{\mathcal{N}(\mathcal{R}ind)^{op}} \mathcal{N}(\mathcal{R}ind^{univ})^{op}, j_1 \colon \mathcal{N}(\mathcal{R}ind^{univ})^{op} \to \mathcal{N}(\mathcal{R}ind)^{op} \diamond_{\mathcal{N}(\mathcal{R}ind)^{op}} \mathcal{N}(\mathcal{R}ind^{univ})^{op}$$

of simplicial sets.

To construct (7.1), we start from the map

$${}_{\mathrm{Chp}^{\mathrm{Ar}}}^{\sigma} \mathrm{EO}^{\mathrm{I}} \colon ((\mathrm{Chp}^{\mathrm{Ar}})^{op} \times \mathrm{N}(\mathrm{\mathcal{R}ind}^{\mathrm{univ}})^{op})^{\mathrm{II}} \to \mathrm{Cat}_{\infty}$$

as the composition of

$$(\mathrm{id}_{(\mathfrak{Chp}^{\mathrm{Ar}})^{op}} \times \mathrm{N}(\sigma)^{op})^{\mathrm{II}} \colon ((\mathfrak{Chp}^{\mathrm{Ar}})^{op} \times \mathrm{N}(\mathfrak{Rind}^{\mathrm{univ}})^{op})^{\mathrm{II}} \to ((\mathfrak{Chp}^{\mathrm{Ar}})^{op} \times \mathrm{N}(\mathfrak{Rind})^{op})^{\mathrm{II}}$$

and  ${}_{\rm Chp^{Ar}}{\rm EO^{I}}.$  Taking the right Kan extension of  ${}_{\rm Chp^{Ar}}{}^{\sigma}{\rm EO^{I}}$  along the inclusion

$$((\mathbb{Chp}^{\mathrm{Ar}})^{op} \times \mathrm{N}(\mathfrak{R}\mathrm{ind}^{\mathrm{univ}})^{op})^{\mathrm{II}} \hookrightarrow ((\mathbb{Chp}^{\mathrm{Ar}})^{op} \times \mathrm{N}(\mathfrak{R}\mathrm{ind})^{op} \wedge_{\mathrm{N}(\mathfrak{R}\mathrm{ind})^{op}} \mathrm{N}(\mathfrak{R}\mathrm{ind}^{\mathrm{univ}})^{op})^{\mathrm{II}}$$

induced by  $j_1$ , and restricting to  $((Chp^{Ar})^{op} \times N(Rind)^{op})^{II}$  via  $j_0$ , we obtain the desired map  $_{Chp^{Ar}}^{a} EO^{I}$  (7.1).

The construction of (7.2) is similar. We have the map

$${}^{\sigma}_{\operatorname{Chp}_{\square}^{\operatorname{Ar}}} \operatorname{EO}^{\operatorname{II}} \colon \delta_{2,\{2\}}^{*} (((\operatorname{Chp}_{\square}^{\operatorname{Ar}})^{op} \times \operatorname{N}(\operatorname{\mathcal{R}ind}_{\square\operatorname{-tor}}^{\operatorname{univ}})^{op})^{\operatorname{II},op})_{F,\operatorname{all}}^{\operatorname{cart}} \to \operatorname{Cat}_{\infty},$$

where  $\operatorname{Rind}_{\Box-\operatorname{tor}}^{\operatorname{univ}} = \operatorname{Rind}^{\operatorname{univ}} \times_{\operatorname{Rind}} \operatorname{Rind}_{\Box-\operatorname{tor}}$  in which the first functor in the fiber product is  $\pi$ . Taking the right Kan extension of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}_{\Gamma} EO^{II}$  along the inclusion

$$\begin{split} \delta^*_{2,\{2\}}(((\mathfrak{Chp}^{\operatorname{Ar}}_{\Box})^{op}\times\operatorname{N}(\mathfrak{R}\mathrm{ind}^{\operatorname{univ}}_{\Box\operatorname{-tor}})^{op})^{\amalg,op})^{\operatorname{cart}}_{F,\mathrm{all}} \\ & \hookrightarrow \delta^*_{2,\{2\}}(((\mathfrak{Chp}^{\operatorname{Ar}}_{\Box})^{op}\times\operatorname{N}(\mathfrak{R}\mathrm{ind}_{\Box\operatorname{-tor}})^{op}\wedge_{\operatorname{N}(\mathfrak{R}\mathrm{ind}_{\Box\operatorname{-tor}})^{op}}\operatorname{N}(\mathfrak{R}\mathrm{ind}^{\operatorname{univ}}_{\Box\operatorname{-tor}})^{op})^{\amalg,op})^{F,\mathrm{all}}_{F,\mathrm{all}} \end{split}$$

induced by  $j_1$ , and restricting to  $\delta_{2,\{2\}}^*(((\mathfrak{Chp}_{\square}^{\operatorname{Ar}})^{op} \times \operatorname{N}(\mathfrak{Rind}_{\square\operatorname{-tor}})^{op})_{F,\operatorname{all}}^{\operatorname{cart}}$  via  $j_0$ , we obtain the desired map  $\operatorname{Chp}_{\square}^{\operatorname{a}} \operatorname{EO}^{\operatorname{II}}$  (7.2).

By the similar process, we obtain enhanced adic operation maps for higher Deligne–Mumford stacks:

$${}_{\operatorname{Chp}^{\mathrm{DM}}}{}^{\mathrm{a}}\mathrm{EO}^{\mathrm{I}}\colon ((\operatorname{Chp}^{\mathrm{DM}})^{op}\times\mathrm{N}(\operatorname{\mathcal{R}ind})^{op})^{\mathrm{II}}\to\operatorname{Cat}_{\infty},$$

and a map

$${}^{a}_{\mathrm{Dp}^{\mathrm{DM}}}\mathrm{EO}^{\mathrm{II}} \colon \delta^{*}_{2,\{2\}}(((\mathfrak{Chp}^{\mathrm{DM}})^{op} \times \mathrm{N}(\mathfrak{R}\mathrm{ind}_{\mathrm{tor}})^{op})^{\mathrm{II},op})_{F,\mathrm{all}}^{\mathrm{cart}} \to \mathfrak{Cat}_{\infty},$$

satisfying the obvious compatibility properties with higher Artin stacks.

7.2. **Properties of enhanced adic operations.** In this section, we study properties of the two enhanced adic operation maps constructed previously, in a way parallel to the non-adic ones in §5.4.

To simplify notation, we will only discuss properties for higher Artin stacks, that is, the two maps (7.1) and (7.2). We will leave the analogous discussion for higher DM stacks to readers.

# Proposition 7.2.1. We have

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- (P0): (Monoidal symmetry) The functor  ${}_{\text{Chp}^{Ar}}^{a}\text{EO}^{I}$  is a lax Cartesian structure (Remark 2.3.6), and the induced functor  $_{\operatorname{Chp}^{A_{r}}}^{\operatorname{EOP}} EO^{\otimes} := (_{\operatorname{Chp}^{A_{r}}}^{\operatorname{a}} EO^{\mathrm{I}})^{\otimes}$  factorizes through  $\operatorname{CAlg}(\operatorname{Cat}_{\infty})_{\operatorname{pr,st,cl}}^{\mathrm{L}}$ . (P1): (Disjointness) The map  $_{\operatorname{Chp}^{A_{r}}}^{\operatorname{a}} EO^{\otimes}$  sends small coproducts to products. (P2): (Compatibility) The restrictions of  $_{\operatorname{Chp}^{A_{r}}}^{\operatorname{a}} EO^{\mathrm{I}}$  and  $_{\operatorname{Chp}^{A_{r}}}^{\operatorname{a}} EO^{\mathrm{II}}$  to the common domain
- $((\mathfrak{Chp}^{\operatorname{Ar}}_{\Box})^{op} \times \mathrm{N}(\mathfrak{R}ind_{\Box-tor})^{op})^{\amalg}$  are equivalent functors.

*Proof.* By construction, the value of  $_{\operatorname{Chp}^{\operatorname{Ar}}} EO^{\operatorname{I}}$  on an object  $((X_1, \lambda_1), \ldots, (X_n, \lambda_n))$  in the target is an  $\infty$ -category equivalent to

$$\prod_{i=1}^{n} \mathcal{D}(X_{i}, \lambda_{i})_{\mathbf{a}} = \prod_{i=1}^{n} \varprojlim_{i}^{op} \mathcal{D}(X_{i}, \Lambda_{i}(\xi))$$

if  $\lambda_i = (\Xi_i, \Lambda_i)$ . We also note that the inclusion functor

$$\operatorname{CAlg}(\operatorname{Cat}_{\infty})^{\operatorname{L}}_{\operatorname{pr,st,cl}} \to \operatorname{CAlg}(\operatorname{Cat}_{\infty})$$

preserves small limits. Therefore, (P0) and (P1) follow immediately. (P2) is clear from the construction. 

Before discussing the other properties, we introduce more notation. Similar to the non-adic case, we have the map

(7.3) 
$${}^{\mathrm{ar}}_{\mathrm{Chp}_{\square}^{\mathrm{ar}}} \mathrm{EO}_{!}^{*} \colon \delta_{2,\{2\}}^{*} \mathrm{N}(\mathrm{Chp}_{\square}^{\mathrm{Ar}})_{F,\mathrm{all}}^{\mathrm{cart}} \times \mathrm{N}(\mathrm{\mathcal{R}ind}_{\square-\mathrm{tor}})^{op} \to \mathcal{P}\mathrm{r}^{\mathrm{L}}_{\mathrm{st}}$$

induced from (7.2).

Evaluating (7.1) at the object  $\langle 1 \rangle \in \mathcal{F}in_*$ , we obtain the map

(7.4) 
$${}^{a}_{Chp^{Ar}} EO^* : N(Chp^{Ar})^{op} \times N(Rind)^{op} \to \mathcal{P}r^{L}_{st}.$$

Note that this is equivalent to the map by restricting (7.3) to the second direction, on  $N(Chp^{Ar})^{op} \times N(Rind_{\Box-tor})^{op}$ . Taking right adjoints, we obtain the map

(7.5) 
$${}^{a}_{\mathcal{Chp}^{Ar}} EO_{*} \colon N(\mathcal{Chp}^{Ar}) \times N(\mathcal{R}ind) \to \mathcal{Pr}^{R}_{st}$$

Restricting (7.3) to the first direction, we obtain the map

(7.6) 
$${}^{\mathrm{ar}}_{\mathrm{Chp}_{\Box}^{\mathrm{Ar}}} \mathrm{EO}_{!} \colon \mathrm{N}(\mathrm{Chp}_{\Box}^{\mathrm{Ar}})_{F} \times \mathrm{N}(\mathrm{\mathcal{R}ind}_{\Box \operatorname{-tor}})^{op} \to \mathrm{Pr}_{\mathrm{st}}^{\mathrm{L}}$$

Again by taking right adjoints, we obtain the map

(7.7) 
$${}^{a}_{\operatorname{Chp}^{\operatorname{Ar}}_{\square}} \operatorname{EO}^{!} \colon \operatorname{N}(\operatorname{Chp}^{\operatorname{Ar}}_{\square})_{F}^{op} \times \operatorname{N}(\operatorname{Rind}_{\square\operatorname{-tor}}) \to \operatorname{Pr}^{\operatorname{R}}_{\operatorname{st}}.$$

More concretely, we have the following enhance adic operations:

- **1L:**  $f^{*a}: \mathcal{D}(X,\lambda)_{a} \to \mathcal{D}(Y,\lambda)_{a}$ , obtained by applying (7.4) to a morphism  $f: Y \to X$  in  $Chp^{Ar}$ and an object  $\lambda = (\Xi, \lambda) \in \mathbb{R}$  ind. It coincides with the limit of functors  $f^{*}_{\xi}: \mathcal{D}(X, \Lambda(\xi)) \to \mathcal{D}(Y, \Lambda(\xi))$  over  $\Xi^{op}$ , and underlies a monoidal functor  $f^{*\otimes a}: \mathcal{D}(X, \lambda)^{\otimes}_{a} \to \mathcal{D}(Y, \lambda)^{\otimes}_{a}$ obtained from  ${}_{Chp}{}^{Ar}EO^{\otimes}$ .
- **1R:**  $f_{*a}: \mathcal{D}(Y,\lambda)_a \to \mathcal{D}(X,\lambda)_a$ , obtained by applying (7.5) to a morphism  $f: Y \to X$  in  $\mathcal{Chp}^{\operatorname{Ar}}$ and an object  $\lambda \in \mathcal{R}$  ind. It is right adjoint to  $f^{*a}$ .
- **2L:**  $f_{!a}: \mathcal{D}(Y,\lambda)_a \to \mathcal{D}(X,\lambda)_a$ , obtained by applying (7.6) to a morphism  $f: Y \to X$  in  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  and an object  $\lambda = (\Xi,\Lambda) \in \operatorname{Rind}_{\Box\operatorname{-tor}}$ . It coincides with the limit of functors  $f_{\xi !}: \mathcal{D}(Y,\Lambda(\xi)) \to \mathcal{D}(X,\Lambda(\xi))$  over  $\Xi^{op}$ .
- **2R:**  $f^{!a}: \mathcal{D}(X,\lambda)_{a} \to \mathcal{D}(Y,\lambda)_{a}$ , obtained by applying (7.7) to a morphism  $f: Y \to X$  in  $\mathbb{C}hp_{\Box}^{\mathrm{Ar}}$ and an object  $\lambda \in \mathbb{R}ind_{\Box-\mathrm{tor}}$ . It is right adjoint to  $f_{!a}$ .
- **3L:**  $-\overset{a}{\otimes}_{X} -: \mathcal{D}(X,\lambda)_{\mathbf{a}} \times \mathcal{D}(X,\lambda)_{\mathbf{a}} \to \mathcal{D}(X,\lambda)_{\mathbf{a}}$ , the symmetric tensor product obtained from Proposition 7.2.1(P0) for every object  $(X,\lambda)$  of  $\operatorname{Chp}^{\operatorname{Ar}} \times \operatorname{N}(\operatorname{Rind})$ .
- **3R:**  $\operatorname{Hom}_X^a: \mathcal{D}(X,\lambda)_a^{op} \times \mathcal{D}(X,\lambda)_a \to \mathcal{D}(X,\lambda)_a$ , induced from  $-\bigotimes_X -$  in the same way as  $\operatorname{Hom}_X$  was induced from  $-\bigotimes_X -$  in §6.2. In particular, for every object  $\mathsf{K} \in \mathcal{D}(X,\lambda)_a$ , we have a pair of adjoint functors  $(-\bigotimes_X^a \mathsf{K}, \operatorname{Hom}_X^a(\mathsf{K}, -))$ .
- **4L:**  $\pi^{*a}: \mathcal{D}(X,\lambda)_a \to \mathcal{D}(X,\lambda')_a$ , obtained by applying (7.4) to an object  $X \in Chp^{Ar}$  and a morphism  $\pi: \lambda' \to \lambda$  of  $\mathcal{R}$ ind. It is symmetric monoidal.
- **4R:**  $\pi_{*a} \colon \mathcal{D}(X, \lambda')_a \to \mathcal{D}(X, \lambda)_a$ , which is a right adjoint of  $\pi^*$ .

**Proposition 7.2.2.** Let  $f: Y \to X$  be a morphism of  $\operatorname{Chp}^{\operatorname{Ar}}$  and  $\lambda$  an object of Rind.

- **(P3):** (Conservativeness) If f is surjective, then  $f^{*a}: \mathcal{D}(X,\lambda)_a \to \mathcal{D}(Y,\lambda)_a$  is conservative.
- (P4): (Descent) Suppose that f is smooth surjective. Then  $(f, \mathrm{id}_{\lambda})$  is of universal  $_{\mathrm{Chp}^{A_{r}}} \mathrm{EO}^{\otimes}$ descent. If X belongs to  $\mathrm{Chp}_{\Box}^{\mathrm{Ar}}$  and and  $\lambda$  belongs to  $\mathrm{Rind}_{\Box-\mathrm{tor}}$ , then  $(f, \mathrm{id}_{\lambda})$  is of universal  $_{\mathrm{Chp}^{A_{r}}} \mathrm{EO}_{!}$ -codescent. See Definition 3.3.1 for the definition of (co)descent.

*Proof.* (P3) follows from the construction and the fact that

$$\lim_{\mathbf{N}(\Xi)^{op}} \mathcal{D}(X, \Lambda(\xi)) \to \lim_{\mathbf{N}(\Xi)^{op}} \mathcal{D}(Y, \Lambda(\xi))$$

is conservative if each functor  $\mathcal{D}(X, \Lambda(\xi)) \to \mathcal{D}(Y, \Lambda(\xi))$  is, where  $\lambda = (\Xi, \Lambda)$ . The latter is true as f is surjective.

Now we consider (P4). The universal descent property for  ${}_{\rm Chp}{}_{\rm Ar}^{\rm Ar} EO^{\otimes}$  follows from the construction, the same property in the non-adic case, and (the dual version of) [52, Proposition 4.3.2.9]. The universal codescent property for  ${}_{\rm Chp}{}_{\rm Ar}^{\rm Ar} EO_!$  follows from the construction, the same property in the non-adic case, and [53, Proposition 4.7.4.19]. Note that condition (c) in [53, Proposition 4.7.4.19] is fulfilled by the Poincaré duality, namely, Theorem 6.2.9.

Proposition 7.2.3 ((P5) Smooth Base Change). Let

$$\begin{array}{c|c} W & \xrightarrow{g} & Z \\ q & & & \downarrow^p \\ q & & & \downarrow^p \\ Y & \xrightarrow{f} & X \end{array}$$

be a Cartesian diagram in  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  where p is smooth. Then for every object  $\lambda$  of  $\operatorname{Rind}_{\Box\operatorname{-tor}}$ , the following square

$$\begin{array}{c|c} \mathcal{D}(W,\lambda)_{\mathbf{a}} & \overset{g^{*a}}{\longleftarrow} \mathcal{D}(Z,\lambda)_{\mathbf{a}} \\ & & \uparrow \\ & & \uparrow \\ & & \uparrow \\ \mathcal{D}(Y,\lambda)_{\mathbf{a}} & \overset{f^{*a}}{\longleftarrow} \mathcal{D}(X,\lambda)_{\mathbf{a}} \end{array}$$

is right adjointable.

*Proof.* This follows from the construction, the same property in the non-adic case, and Lemma 4.3.7.

Now we consider the usual t-structure on  $\mathcal{D}(X,\lambda)$  for an object  $(X,\lambda) \in \mathbb{Chp}^{\mathrm{Ar}} \times \mathrm{N}(\mathfrak{R}\mathrm{ind})$ . Recall from [53, Definition 1.4.4.12] that, for a presentable stable  $\infty$ -category  $\mathcal{D}$ , a t-structure¹⁴ is *accessible* if the full subcategory  $\mathcal{D}^{\leq 0}$  is presentable. For a scheme  $X \in \mathrm{Sch}^{\mathrm{qc.sep}}$ , the usual t-structure on  $\mathcal{D}(X,\lambda)$  is accessible by [53, Proposition 1.3.5.21]. For a higher Artin stack X, the usual t-structure on  $\mathcal{D}(X,\lambda)$  is accessible by construction Lemma 4.3.9(3).

Suppose that  $\lambda = (\Xi, \Lambda)$ . For  $n \in \mathbb{Z}$ , we let  $\mathcal{D}^{\leq n}(X, \lambda)_{a}$  be the full subcategory of  $\mathcal{D}(X, \lambda)_{a}$  spanned by objects  $\mathsf{K} = (\mathsf{K}_{\xi})_{\xi \in \Xi}$  with  $\mathsf{K}_{\xi} \in \mathcal{D}^{\leq n}(X, \Lambda(\xi))$ . Put

$$\mathcal{D}^{\geqslant n}(X,\lambda)_{\mathbf{a}} \coloneqq \mathcal{D}^{\leqslant n-1}(X,\lambda)_{\mathbf{a}}^{\perp}$$

as a full subcategory of  $\mathcal{D}(X,\lambda)_{a}$ . By Lemma 3.3.4, we have an equivalence

$$\mathcal{D}^{\leqslant n}(X,\lambda)_{\mathbf{a}} \simeq \varprojlim_{\mathbf{N}(\Xi)^{op}} \mathcal{D}^{\leqslant n}(Y,\Lambda(\xi)).$$

Here, we have used the fact that transition functors, which are (derived) extension of scalars, are left exact. In particular,  $\mathcal{D}^{\leq n}(X,\lambda)_{\mathbf{a}}$  is presentable; the inclusion  $\mathcal{D}^{\leq n}(X,\lambda)_{\mathbf{a}} \subseteq \mathcal{D}(X,\lambda)$  preserves all small colimits; and  $\mathcal{D}^{\leq n}(X,\lambda)_{\mathbf{a}}$  is closed under extension. By [53, Proposition 1.4.4.11(1)], the pair  $(\mathcal{D}^{\leq n}(X,\lambda)_{\mathbf{a}},\mathcal{D}^{\geq n}(X,\lambda)_{\mathbf{a}})$  define an accessible t-structure, called the *usual* t-structure, on  $\mathcal{D}(X,\lambda)_{\mathbf{a}}$ . We have truncation functors

$$\tau_{\mathbf{a}}^{\leqslant n} \colon \mathcal{D}(X,\lambda)_{\mathbf{a}} \to \mathcal{D}^{\leqslant n}(X,\lambda)_{\mathbf{a}}, \quad \tau_{\mathbf{a}}^{\geqslant n} \colon \mathcal{D}(X,\lambda)_{\mathbf{a}} \to \mathcal{D}^{\geqslant n}(X,\lambda)_{\mathbf{a}}$$

for every  $n \in \mathbb{Z}$ .

Remark 7.2.4 (P6). We have the following remarks concerning the above t-structure.

(1) The constant sheaf  $\lambda_X := (\Lambda(\xi)_X)_{\xi \in \Xi} \in \mathcal{D}(X, \lambda)_a$  belongs to the heart

$$\mathcal{D}^{\heartsuit}(X,\lambda)_{\mathbf{a}} \coloneqq \mathcal{D}^{\leqslant 0}(X,\lambda)_{\mathbf{a}} \cap \mathcal{D}^{\geqslant 0}(X,\lambda)_{\mathbf{a}}$$

by Lemma 7.2.5 below.

- (2) For an object  $\lambda$  of  $\operatorname{Rind}_{\square-\operatorname{tor}}$  and an object X of  $\operatorname{Chp}_{\square}^{\operatorname{Ar}}$ , the auto-equivalence  $-\otimes \lambda_X(1)$  of  $\mathcal{D}(X,\lambda)_a$  is t-exact.
- (3) The usual t-structure on  $\mathcal{D}(X,\lambda)_{\mathbf{a}}$  is accessible. Moreover, the intersection  $\mathcal{D}^{\leqslant -\infty}(X,\lambda)_{\mathbf{a}} = \bigcap_{n} \mathcal{D}^{\leqslant -n}(X,\lambda)_{\mathbf{a}}$  consists of zero objects.¹⁵
- (4) The functors  $f^{*a}$ ,  $-\overset{a}{\otimes}_X -$ ,  $\pi^{*a}$  are all left t-exact (that is, preserve  $\mathcal{D}^{\leq n}$ ). The functors  $f_{*a}$ ,  $\mathcal{H}om_X^a$ ,  $\pi_{*a}$  are all right t-exact (that is, preserve  $\mathcal{D}^{\geq n}$ ).
- (5) It follows from Lemma 6.2.15 that  $f_{!a}[2d]$  is left t-exact, hence  $f^{!a}[-2d]$  is right t-exact. In particular, if f is a smooth morphism in  $Chp_{\Box}^{Ar}$  and  $\lambda$  is in  $\Re ind_{\Box-tor}$ , then  $f^{*a} \simeq f^{!a}[-2d]$  is t-exact.

¹⁵Unlike the non-adic case,  $\mathcal{D}(X, \lambda)_a$  is not right complete in general. See Example 7.3.9 below. See also Corollary 7.6.13 below for a positive result.

 $^{^{14}}$ As before, we use a *cohomological* indexing convention, which is different from [53, Definition 1.2.1.4].

**Lemma 7.2.5.** Let  $n \in \mathbb{Z}$  and let  $\mathcal{D}(X, \lambda)_{a}^{\geq n}$  be the full subcategory of  $\mathcal{D}(X, \lambda)_{a}$  spanned by objects  $\mathsf{K} = (\mathsf{K}_{\xi})_{\xi \in \Xi}$  with  $\mathsf{K}_{\xi} \in \mathcal{D}^{\geq n}(X, \Lambda(\xi))$ . Then  $\mathcal{D}(X, \lambda)_{a}^{\geq n} \subseteq \mathcal{D}^{\geq n}(X, \lambda)_{a}$ .

Proof. Let  $\mathsf{K}' \in \mathcal{D}^{\leq n-1}(X,\lambda)_{\mathrm{a}}$  and  $\mathsf{K} \in \mathcal{D}(X,\lambda)_{\mathrm{a}}^{\geq n}$ . Then  $\mathsf{K} \simeq \varprojlim_{\mathsf{N}(\Xi)^{op}} r_{\xi}\mathsf{K}_{\xi}$ , where  $r_{\xi} \colon \mathcal{D}(X,\Lambda(\xi)) \to \mathcal{D}(X,\lambda)_{\mathrm{a}}$  is a right adjoint to the projection  $\mathcal{D}(X,\lambda)_{\mathrm{a}} \to \mathcal{D}(X,\Lambda(\xi))$ . We have

$$\operatorname{Hom}_{\mathrm{h}\mathcal{D}(X,\lambda)_{\mathrm{a}}}(\mathsf{K}',r_{\xi}\mathsf{K}_{\xi})\simeq\operatorname{Hom}_{\mathrm{h}\mathcal{D}(X,\lambda(\xi))}(\mathsf{K}'_{\xi},\mathsf{K}_{\xi})=0$$

It follows that  $\operatorname{Hom}_{h\mathcal{D}(X,\lambda)_{a}}(\mathsf{K}',\mathsf{K}) = 0.$ 

The functor  $-\langle d \rangle \colon \mathcal{D}(X,\lambda) \to \mathcal{D}(X,\lambda)$  from §4.1 Input II restricts to a functor

$$-\langle d \rangle \colon \mathcal{D}(X,\lambda)_{\mathbf{a}} \to \mathcal{D}(X,\lambda)_{\mathbf{a}}$$

for every integer d. The proof of the theorem below will be given in the next section, after we introduce adic complexes.

**Theorem 7.2.6** ((P7) Poincaré duality). Let  $f: Y \to X$  be a morphism of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  that is flat and locally of finite presentation. Let  $\lambda$  be an object of  $\operatorname{Rind}_{\Box-\operatorname{tor}}$ . Then

(1) There is a functorial (in the sense of Remark 4.1.6) trace map

$$\operatorname{Tr}_f \colon \tau_{\mathbf{a}}^{\geqslant 0} f_{!\mathbf{a}} \lambda_Y \langle d \rangle \to \lambda_X$$

in the heart  $\mathcal{D}^{\heartsuit}(X,\lambda)_{\mathbf{a}}$  for every integer  $d \ge \dim^+(f)$ .

(2) If f is moreover smooth, the induced natural transformation

$$u_f: f_{!a} \circ f^{*a} \langle \dim f \rangle \to \operatorname{id}_X$$

is a counit transformation, so that the induced map

$$f^{*a} \langle \dim f \rangle \to f^{!a} \colon \mathcal{D}(X,\lambda)_{a} \to \mathcal{D}(Y,\lambda)_{a}$$

is a natural equivalence of functors.

We summarize some other properties of enhanced adic operations in the following theorem.

### Theorem 7.2.7. We have

- (1) The Künneth Formula, namely Theorem 6.2.1, holds in the adic case.
- (2) The Base Change, namely Corollary 6.2.2, holds in the adic case.
- (3) The Projection Formula, namely Corollary 6.2.3, holds in the adic case.
- (4) The following statements hold in the adic case as well: Proposition 6.2.4, Proposition 6.2.5, Proposition 6.2.8, Proposition 6.2.11, Theorem 6.2.13, Corollary 6.2.14, and Lemma 6.2.15.

*Proof.* The properties follow by the same proofs in their non-adic counterparts.

7.3. Relation with adic complexes. In this section, we define a natural full subcategory  $\mathcal{D}(X,\lambda)'_{\rm a}$  of  $\mathcal{D}(X,\lambda)$  consisting of *adic complexes* and show that there is a canonical equivalence  $\mathcal{D}(X,\lambda)'_{\rm a} \simeq \mathcal{D}(X,\lambda)_{\rm a}$  of  $\infty$ -categories.

Let X be an object of  $\operatorname{Chp}^{\operatorname{Ar}}$ , and  $\lambda = (\Xi, \Lambda)$  an object of  $\operatorname{Rind}$ . For every morphism  $\varphi \colon \xi \to \xi'$  in  $\Xi$ , there is a commutative diagram in  $\operatorname{Rind}$  of the form

$$\begin{array}{c} (\Xi,\Lambda) \xleftarrow{^{i_{\xi}}} (\Xi_{/\xi},\Lambda_{/\xi}) \xrightarrow{p_{\xi}} (\{\xi\},\Lambda(\xi)) \\ \\ \parallel & \downarrow^{i_{\varphi}} & \downarrow^{\tilde{\varphi}} \\ (\Xi,\Lambda) \xleftarrow{^{i_{\xi'}}} (\Xi_{/\xi'},\Lambda_{/\xi'}) \xrightarrow{p_{\xi'}} (\{\xi'\},\Lambda(\xi')), \end{array}$$

which induces the following diagram in  $\Pr^{L}$ :

(7.8) 
$$\begin{aligned} \mathcal{D}(X,\lambda) & \xrightarrow{i_{\xi}^{*}} \mathcal{D}(X,\lambda_{/\xi}) < \stackrel{p_{\xi}^{*}}{\longrightarrow} \mathcal{D}(X,\Lambda(\xi)) \\ & \parallel & \uparrow_{\varphi}^{i_{\varphi}^{*}} & \uparrow_{\varphi}^{\varphi^{*}} \\ \mathcal{D}(X,\lambda) & \xrightarrow{i_{\xi'}^{*}} \mathcal{D}(X,\lambda_{/\xi'}) < \stackrel{p_{\xi'}^{*}}{\longleftarrow} \mathcal{D}(X,\Lambda(\xi')), \end{aligned}$$

where  $\lambda_{\xi} \coloneqq (\Xi_{\xi}, \Lambda_{\xi})$ . Let  $p_{\xi*}$  (resp.  $p_{\xi'*}$ ) be a right adjoint of  $p_{\xi}^*$  (resp.  $p_{\xi'}^*$ ) and let  $\alpha_{\varphi} \colon \tilde{\varphi}^* p_{\xi'*} \to p_{\xi*} i_{\varphi}^*$  be the natural transformation.

**Definition 7.3.1** (Adic complex). We say that an element  $\mathsf{K} \in \mathcal{D}(X, \lambda)$  is an *adic complex* if the natural morphism

$$\alpha_{\varphi}(i_{\xi'}^*\mathsf{K})\colon \tilde{\varphi}^* p_{\xi'*}i_{\xi'}^*\mathsf{K} \to p_{\xi*}i_{\varphi}^*i_{\xi'}^*\mathsf{K}$$

is an equivalence for every morphism  $\varphi \colon \xi \to \xi'$  in  $\Xi$ . The target of  $\alpha_{\varphi}(i_{\xi}^*,\mathsf{K})$  is equivalent to  $p_{\xi*}i_{\xi}^*\mathsf{K}$ . It is clear that adic complexes are stable under equivalence. Denote by

$$\mathcal{D}(X,\lambda)'_{\mathbf{a}} \subseteq \mathcal{D}(X,\lambda)$$

the full subcategory spanned by adic complexes.

**Lemma 7.3.2.** Let  $f: Y \to X$  be a morphism in  $\operatorname{Chp}^{\operatorname{Ar}}$ . If  $\mathsf{K}$  is an adic complex in  $\mathcal{D}(X, \lambda)$ , then  $f^*\mathsf{K}$  is also an adic complex in  $\mathcal{D}(Y, \lambda)$ . If f is surjective, then the converse holds as well.

Proof. The first statement follows if we can show that the following diagram

(7.9) 
$$\begin{aligned} \mathcal{D}(X,\lambda_{/\xi}) & \stackrel{p_{\xi}}{\longleftarrow} \mathcal{D}(X,\Lambda(\xi)) \\ f^* \bigg| & & \bigg| f^* \\ \mathcal{D}(Y,\lambda_{/\xi}) & \stackrel{p_{\xi}^*}{\longleftarrow} \mathcal{D}(Y,\Lambda(\xi)) \end{aligned}$$

is right adjointable. By the construction of  $_{Chp}{}^{Ar}EO^{I}$  and Lemma 4.3.7, we may assume that f is a morphism in Sch^{qc.sep}. Then the following diagram

$$\begin{array}{c|c} \operatorname{Mod}(X_{\operatorname{\acute{e}t}}^{\Xi_{/\xi}}, \Lambda_{/\xi}) & \stackrel{p_{\xi}^{*}}{\longleftarrow} \operatorname{Mod}(X_{\operatorname{\acute{e}t}}, \Lambda(\xi)) \\ & f^{*} \bigg| & & & & \\ f^{*} \bigg| & & & & \\ \operatorname{Mod}(Y_{\operatorname{\acute{e}t}}^{\Xi_{/\xi}}, \Lambda_{/\xi}) & \stackrel{p_{\xi}^{*}}{\longleftarrow} \operatorname{Mod}(Y_{\operatorname{\acute{e}t}}, \Lambda(\xi)) \end{array}$$

has a right adjoint, which is

$$\begin{array}{c|c} \operatorname{Mod}(X_{\operatorname{\acute{e}t}}^{\Xi/\xi}, \Lambda_{/\xi}) \xrightarrow{s_{\xi}} \operatorname{Mod}(X_{\operatorname{\acute{e}t}}, \Lambda(\xi)) \\ & f^* \bigg| & & \bigg| f^* \\ \operatorname{Mod}(Y_{\operatorname{\acute{e}t}}^{\Xi/\xi}, \Lambda_{/\xi}) \xrightarrow{s_{\xi}^*} \operatorname{Mod}(Y_{\operatorname{\acute{e}t}}, \Lambda(\xi)) \end{array}$$

where  $s_{\xi}: \{\xi\} \to \Xi_{/\xi}$  is the inclusion map. Thus, (7.9) is right adjointable.

The second statement follows from the first one and property (P3) for  $_{Chp^{Ar}}EO^{I}$ .

In general, if  $\lambda = (\Xi, \Lambda)$  is an object of Rind and  $\xi \in \Xi$ , then we have successive inclusions

in

$$e_{\xi} \colon (\{\xi\}, \Lambda(\xi)) \xrightarrow{s_{\xi}} (\Xi_{/\xi}, \Lambda_{/\xi}) \xrightarrow{\iota_{\xi}} (\Xi, \Lambda)$$

which induce the evaluation functor (at  $\xi$ )

$$e_{\varepsilon}^* \colon \mathcal{D}(X,\lambda) \to \mathcal{D}(X,\Lambda(\xi))$$

for a higher Artin stack X. As  $s_{\xi}^*$  is equivalent to  $p_{\xi*}, e_{\xi}^*$  and  $p_{\xi*} \circ i_{\xi}^*$  are equivalent. For brevity, we sometimes also write  $\mathsf{K}_{\xi}$  for  $e_{\xi}^*\mathsf{K}$  for an object  $\mathsf{K} \in \mathcal{D}(X, \lambda)$ .

The functor

$$\prod_{\xi\in\Xi} e^*_\xi \colon \mathcal{D}(X,\lambda) \to \prod_{\xi\in\Xi} \mathcal{D}(X,\Lambda(\xi))$$

is conservative. This is obvious when X is in Sch^{qc.sep}. The general case follows, because simplicial limits of conservative functors are conservative.

**Lemma 7.3.3.** Suppose that  $\Xi$  admits a final object  $\xi$ . Then the functor  $p_{\xi}^* \colon \mathcal{D}(X, \Lambda(\xi)) \to \mathcal{D}(X, \lambda)$  is fully faithful with essential image  $\mathcal{D}(X, \lambda)'_{a}$ .

*Proof.* The fact that the image of the functor  $p_{\xi}^*$  is contained in  $\mathcal{D}(X, \lambda)'_a$  follows from Definition 7.3.1 and the natural isomorphism between  $p_{\xi'*}$  and  $s_{\xi'}^*$  as in (7.8) for an arbitrary object  $\xi'$  of  $\Xi$ .

To conclude, we only need to show that for every adic complex  $\mathsf{K} \in \mathcal{D}(X, \lambda)'_{\mathrm{a}}$ , the adjunction map  $p_{\xi}^* p_{\xi*} \mathsf{K} \to \mathsf{K}$  is an equivalence. Since the functor  $\prod_{\xi' \in \Xi} e_{\xi'}^*$  is conservative, this is equivalent to showing that the map  $\beta \colon e_{\xi'}^* p_{\xi}^* p_{\xi*} \mathsf{K} \to e_{\xi'}^* \mathsf{K}$  is an equivalence for every object  $\xi' \in \Xi$ . Let  $\varphi$ be the map  $\xi' \to \xi$ . Since  $\mathsf{K}$  is an adic complex, the composite

$$\tilde{\varphi}^* p_{\xi*}\mathsf{K} \xrightarrow{\alpha} p_{\xi'*} p_{\xi'}^* \tilde{\varphi}^* p_{\xi*}\mathsf{K} \simeq p_{\xi'*} i_{\varphi}^* p_{\xi}^* p_{\xi} \mathsf{K} \xrightarrow{\beta} p_{\xi'*} i_{\varphi}^* \mathsf{K}$$

is an equivalence, where we adopt the notation in (7.8). Moreover, we have shown that  $\alpha$  is an equivalence as  $p_{\xi'*} \simeq s_{\xi}^*$ . Therefore,  $\beta$  is an equivalence.

**Proposition 7.3.4.** The inclusion  $\mathcal{D}(X,\lambda)'_{a} \to \mathcal{D}(X,\lambda)$  is a morphism in  $\mathfrak{Pr}^{L}$ .

*Proof.* By definition, the inclusion  $\mathcal{D}(X,\lambda)'_{a} \subseteq \mathcal{D}(X,\lambda)$  fits into the following diagram

$$\begin{array}{c} \mathcal{D}(X,\lambda)'_{\mathbf{a}} \longrightarrow \prod_{\xi \in \Xi} \mathcal{D}(X,\lambda_{/\xi})'_{\mathbf{a}} \\ \downarrow \\ \mathcal{D}(X,\lambda) \xrightarrow{\prod_{\xi \in \Xi} i^*_{\xi}} \prod_{\xi \in \Xi} \mathcal{D}(X,\lambda_{/\xi}), \end{array}$$

which is a pullback diagram in  $\operatorname{Cat}_{\infty}$  by Lemma 7.3.5 below. By Lemma 7.3.3, the inclusion  $\mathcal{D}(X, \lambda_{\xi})'_{\mathrm{a}} \to \mathcal{D}(X, \lambda_{\xi})$  is equivalent to  $p_{\xi}^*$ , which is a morphism of  $\operatorname{Pr}^{\mathrm{L}}$ . Therefore, the right vertical arrow is a morphism in  $\operatorname{Pr}^{\mathrm{L}}$  as  $\Xi$  is small. Moreover, the functor  $\prod_{\xi \in \Xi} i_{\xi}^*$  preserves small colimits since each  $i_{\xi}^*$  does and  $\Xi$  is small. Therefore, the inclusion  $\mathcal{D}(X, \lambda)'_{\mathrm{a}} \to \mathcal{D}(X, \lambda)$  is a morphism in  $\operatorname{Pr}^{\mathrm{L}}$ , because the inclusion  $\operatorname{Pr}^{\mathrm{L}} \subseteq \operatorname{Cat}_{\infty}$  preserves small limits.

**Lemma 7.3.5.** Let  $\mathcal{D}$  be a full subcategory of an  $\infty$ -category  $\mathfrak{C}$  and  $f: \mathcal{D} \to \mathfrak{C}$  be the inclusion. Then the pullback of f in the category  $\operatorname{Set}_{\Delta}$  by any functor  $g: \mathfrak{C}' \to \mathfrak{C}$  with source in  $\operatorname{Cat}_{\infty}$  is a pullback in  $\operatorname{Cat}_{\infty}$ .

*Proof.* This follows immediately from Lemma 3.3.4 applied to the pullback of  $id_{\mathcal{C}}$  by g.

Next, we will construct a natural functor

(7.10) 
$$\mathcal{D}(X,\lambda)'_{a} \to \mathcal{D}(X,\lambda)_{a} = \lim_{\substack{X \\ N(\Xi)^{op}}} \mathcal{D}(X,\Lambda(\xi))$$

and show that it is an equivalence. We have a diagram  $(\Xi^{op})^{\triangleleft} \to \Re$  ind sending  $\xi \in \Xi$  to  $\lambda_{/\xi}$  and the left vertex to  $\lambda$ , which gives rise to a functor

$$\mathcal{D}(X,\lambda) \to \varprojlim_{\mathrm{N}(\Xi)^{op}} \mathcal{D}(X,\lambda_{/\xi}).$$

It is clear that for every object  $\xi \in \Xi$ ,  $i_{\xi}^*$  sends  $\mathcal{D}(X, \lambda)'_{a}$  to  $\mathcal{D}(X, \lambda_{\xi})'_{a}$ ; and for every morphism  $\varphi \colon \xi \to \xi'$  in  $\Xi$ ,  $i_{\varphi}^*$  sends  $\mathcal{D}(X, \lambda_{\xi'})'_{a}$  to  $\mathcal{D}(X, \lambda_{\xi})'_{a}$ . Therefore, by restricting to full subcategories, we obtain a functor

$$\mathcal{D}(X,\lambda)'_{\mathbf{a}} \to \varprojlim_{\mathbf{N}(\Xi)^{op}} \mathcal{D}(X,\lambda_{/\xi})'_{\mathbf{a}}.$$

By Lemma 7.3.3, the right-hand side is equivalent to

$$\varprojlim_{\mathbf{N}(\Xi)^{op}} \mathcal{D}(X, \Lambda(\xi)) = \mathcal{D}(X, \lambda)_{\mathbf{a}}.$$

Thus, we obtain the desired functor (7.10).

**Theorem 7.3.6.** For objects X of Chp^{Ar} and  $\lambda = (\Xi, \Lambda)$  of Rind, the functor

$$\mathcal{D}(X,\lambda)'_{\mathbf{a}} \to \mathcal{D}(X,\lambda)_{\mathbf{a}} = \lim_{\substack{X \in \mathbf{D}^{op}}} \mathcal{D}(X,\Lambda(\xi))$$

(7.10) is an equivalence of  $\infty$ -categories.

We need some preparation before the proof. Let X be an object of Sch^{qc.sep}. For simplicity, we will write X for  $X_{\text{\acute{e}t}}$  as well. By definition,  $\mathcal{D}(X,\lambda)'_{\text{a}}$  is a full subcategory of  $\mathcal{D}(X,\lambda) = \mathcal{D}(Mod(X^{\Xi},\Lambda))$ . For every object  $\xi$  of  $\Xi$ , we have an *evaluation functor* 

 $e_{\varepsilon}^* \colon \operatorname{Mod}(X^{\Xi}, \Lambda) \to \operatorname{Mod}(X, \Lambda(\xi))$ 

at  $\xi$  on the level of Abelian categories. It is exact and admits a (right exact) left adjoint functor

(7.11) 
$$e_{\xi!} \colon \operatorname{Mod}(X, \Lambda(\xi)) \to \operatorname{Mod}(X^{\Xi}, \Lambda).$$

Moreover, we define a truncation functor

(7.12) 
$$t_{\leq \xi} \colon \operatorname{Mod}(X^{\Xi}, \Lambda) \to \operatorname{Mod}(X^{\Xi}, \Lambda)$$

such that for a  $\Lambda$ -module  $F_{\bullet} \in \operatorname{Mod}(X^{\Xi}, \Lambda)$ , we have

$$(t_{\leqslant\xi}F_{\bullet})_{\xi'} = \begin{cases} F_{\xi'} & \text{if } \xi' \leqslant \xi, \\ 0 & \text{otherwise.} \end{cases}$$

It is exact and admits a right adjoint.

*Proof.* By Lemma 7.3.2, Lemma 3.3.4, property (P4) for  $_{Chp^{Ar}}EO^{I}$ , and Proposition 7.2.2, we may assume  $X \in Sch^{qc.sep}$ .

We first study the functor

$$\alpha \colon \mathcal{D}(X,\lambda)'_{\mathbf{a}} \to \varprojlim_{\mathbf{N}(\Xi)^{op}} \mathcal{D}(X,\Lambda(\xi))$$

from the point of view of coCartesian fibrations. First, we have a functor  $\Delta^1 \times N(\Xi) \rightarrow Cat_{\infty}$ sending  $\Delta^1 \times (\varphi \colon \xi \to \xi')$  to the square

$$\begin{array}{c|c} \mathcal{D}(X^{\Xi_{/\xi}},\Lambda_{/\xi}) \xrightarrow{p_{\xi*}} \mathcal{D}(X,\Lambda(\xi)) \\ & i_{\varphi*} \\ & \downarrow \\ \mathcal{D}(X^{\Xi_{/\xi'}},\Lambda_{/\xi'}) \xrightarrow{p_{\xi'*}} \mathcal{D}(X,\Lambda(\xi')). \end{array}$$

This corresponds to a projectively fibrant simplicial functor  $\mathcal{F}: \mathfrak{C}[\mathcal{N}(D)] \to \operatorname{Set}_{\Delta}^+$ , where  $D = [1] \times \Xi$ . Let  $\phi_D: \mathfrak{C}[\mathcal{N}(D)] \to D$  be the canonical equivalence of simplicial categories and put

$$\mathcal{F}' = (\mathrm{Fibr}^D \circ St^+_{\phi^{op}_D} \circ \mathrm{Un}^+_{\mathrm{N}(D)^{op}}) \mathcal{F} \colon D \to \mathrm{Set}^+_\Delta$$

We write  $\mathcal{F}'$  in the form  $\mathcal{F}': [1] \to (\operatorname{Set}_{\Delta}^+)^{\Xi}$ . Applying the marked unstraightening functor  $\operatorname{Un}_{\phi}^+$ for the weak equivalence of simplicial categories  $\phi: \mathfrak{C}[\operatorname{N}(\Xi)^{op}] \to \Xi^{op}$ , we obtain a morphism  $\tilde{\alpha}: F_1 \to F_2$  of Cartesian fibrations in the category  $(\operatorname{Set}_{\Delta}^+)_{\operatorname{N}(\Xi)^{op}}$ . Moreover, by [52, Corollary 5.2.2.5], both  $F_1$  and  $F_2$  are *coCartesian* fibrations as well, but  $\tilde{\alpha}$  does *not* send coCartesian edges to coCartesian ones in general. By a similar argument, we have a map

$$\mathcal{D}(X^{\Xi}, \Lambda) \to \operatorname{Map}_{\mathcal{N}(\Xi)^{op}}^{\operatorname{coCart}}(\mathcal{N}(\Xi)^{op}, F_1) \coloneqq \operatorname{Map}_{\mathcal{N}(\Xi)^{op}}^{\flat}((\mathcal{N}(\Xi)^{op})^{\sharp}, (F_1, \mathcal{E})),$$

where  $\mathcal{E}$  is the set of coCartesian edges of  $F_1$ . Composing with the obvious inclusion  $\operatorname{Map}_{\mathcal{N}(\Xi)^{op}}^{\operatorname{coCart}}(\mathcal{N}(\Xi)^{op}, F_1) \subseteq \operatorname{Map}_{\mathcal{N}(\Xi)^{op}}(\mathcal{N}(\Xi)^{op}, \tilde{\alpha})$ , we obtain a map

$$\alpha' \colon \mathcal{D}(X^{\Xi}, \Lambda) \to \operatorname{Map}_{\mathcal{N}(\Xi)^{op}}(\mathcal{N}(\Xi)^{op}, F_2).$$

We have the equivalence

$$\operatorname{Map}_{\mathcal{N}(\Xi)^{op}}^{\operatorname{coCart}}(\mathcal{N}(\Xi)^{op}, F_2) \simeq \varprojlim_{\Xi^{op}} \mathcal{D}(X, \Lambda(\xi))$$

by [52, Corollary 3.3.3.2], and the following pullback diagram

$$\begin{array}{c} \mathcal{D}(X^{\Xi},\Lambda)'_{\mathrm{a}} \xrightarrow{\alpha} \mathrm{Map}^{\mathrm{coCart}}_{\mathrm{N}(\Xi)^{op}}(\mathrm{N}(\Xi)^{op},F_{2}) \\ \downarrow \\ \downarrow \\ \mathcal{D}(X^{\Xi},\Lambda) \xrightarrow{\alpha'} \mathrm{Map}_{\mathrm{N}(\Xi)^{op}}(\mathrm{N}(\Xi)^{op},F_{2}) \end{array}$$

by the definition of adic complexes, where vertical arrows are inclusions. We also note that  $\alpha'$  commutes with small colimits by [52, Proposition 5.1.2.2]. Thus, the goal is to show that  $\alpha$  is an equivalence.

To construct an inverse  $\beta$  of  $\alpha$ , we use  $\Delta_{\Xi}$ : the category of simplices of  $\Xi$ . Then all *n*-cells of  $N(\Delta_{\Xi})$  are degenerate for  $n \ge 2$ . Define a functor

$$\beta' \colon \mathrm{N}(\mathbf{\Delta}_{\Xi}^{op}) \to \mathrm{Fun}(\mathrm{Map}_{\mathrm{N}(\Xi)^{op}}(\mathrm{N}(\Xi)^{op}, F_2), \mathcal{D}(X^{\Xi}, \Lambda))$$

sending a typical subcategory  $\xi \to (\xi \to \xi') \leftarrow \xi'$  of  $\Delta_{/\Xi}$  to

$$\operatorname{Le}_{\xi!} \circ \epsilon_{\xi} \longleftarrow t_{\leqslant \xi} \circ \operatorname{Le}_{\xi'!} \circ \epsilon_{\xi'} \longrightarrow \operatorname{Le}_{\xi'!} \circ \epsilon_{\xi'}$$

where  $\epsilon_{\xi}$ : Map_{N(\Xi)^{op}}(N( $\Xi$ )^{op},  $F_2$ )  $\rightarrow \mathcal{D}(X, \Lambda(\xi))$  is the restriction functor to the fiber at  $\xi$ . The functor Fun( $\alpha', \mathcal{D}(X^{\Xi}, \Lambda)$ )  $\circ \beta'$  extends to a functor N( $\Delta^{op}_{/\Xi}$ )^{$\diamond$}  $\rightarrow$  Fun( $\mathcal{D}(X^{\Xi}, \Lambda), \mathcal{D}(X^{\Xi}, \Lambda)$ ) carrying ( $\xi \rightarrow (\xi \rightarrow \xi') \leftarrow \xi'$ )^{$\triangleleft$} to



which induces a natural transformation

$$(\varinjlim \beta') \circ \alpha' \simeq \varinjlim (\operatorname{Fun}(\alpha', \mathcal{D}(X^{\Xi}, \Lambda)) \circ \beta') \to \operatorname{id}$$

Now we put

$$\beta \coloneqq \lim \beta' \mid \operatorname{Map}_{\mathcal{N}(\Xi)^{op}}^{\operatorname{coCart}}(\mathcal{N}(\Xi)^{op}, F_2).$$

It is easy to check that  $\beta$  takes values in  $\mathcal{D}(X^{\Xi}, \Lambda)_{a}$ .

We show that the induced natural transformation  $\beta \circ \alpha \to \text{id}$  is an equivalence. Pick an object K of  $\mathcal{D}(X^{\Xi}, \Lambda)'_{a}$ . We need to show that the diagram

$$\beta_{\mathsf{K}}^{\triangleright} \colon \mathcal{N}(\mathbf{\Delta}_{\Xi}^{op})^{\triangleright} \to \mathcal{D}(X^{\Xi}, \Lambda),$$

depicted as



is a colimit diagram. We only need to check this after applying  $e_{\xi_0}^*$  for every  $\xi_0 \in \Xi$ , since  $e_{\xi_0}^*$  commutes with colimits. The composite functor  $e_{\xi_0}^* \circ \beta_{\mathsf{K}}^{\triangleright}$  has value (equivalent to)  $\mathsf{K}_{\xi_0}$  (resp. 0) on the cone point, vertices  $\{\xi\}$  and  $(\xi \to \xi')$  of  $\mathbf{\Delta}_{/\Xi}$  for  $\xi \ge \xi_0$  (resp. otherwise), with all morphisms being either identities on  $\mathsf{K}_{\xi_0}$  or 0, or the zero morphism  $0 \to \mathsf{K}_{\xi_0}$ . It is clear that  $e_{\xi_0}^* \circ \beta_{\mathsf{K}}^{\triangleright}$  induces an equivalence  $\varinjlim(e_{\xi_0}^* \circ \beta_{\mathsf{K}}^{\triangleright} | \operatorname{N}(\mathbf{\Delta}_{/\Xi}^{op})) \simeq \mathsf{K}_{\xi_0}$  in  $\mathcal{D}(X, \Lambda(\xi_0))$ .

For the other direction, that is, a natural equivalence  $\alpha \circ \beta \rightarrow id$ , we note that the functor  $\operatorname{Fun}_{\mathcal{N}(\Xi)^{op}}(\mathcal{N}(\Xi)^{op}, F_2), \alpha') \circ \beta'$  also extends to a functor

$$\mathrm{N}(\mathbf{\Delta}_{\Xi}^{op})^{\triangleright} \to \mathrm{Fun}(\mathrm{Map}_{\mathrm{N}(\Xi)^{op}}(\mathrm{N}(\Xi)^{op}, F_2), \mathrm{Map}_{\mathrm{N}(\Xi)^{op}}(\mathrm{N}(\Xi)^{op}, F_2))$$

carrying  $(\xi \to (\xi \to \xi') \leftarrow \xi')^{\triangleleft}$  to



which induces a natural transformation

$$\alpha' \circ (\varinjlim \beta') \simeq \varinjlim (\operatorname{Fun}_{\mathcal{N}(\Xi)^{op}}(\mathcal{N}(\Xi)^{op}, F_2), \alpha') \circ \beta') \to \operatorname{id},$$

where the equivalence of two functors is due to the fact that  $\alpha'$  commutes with colimits. Restricting to  $\operatorname{Map}_{\mathcal{N}(\Xi)^{op}}^{\operatorname{coCart}}(\mathcal{N}(\Xi)^{op}, F_2)$ , one obtains a natural transformation  $\alpha \circ \beta \to \operatorname{id}$  which is an equivalence by an argument similar to the previous one. Therefore,  $\alpha$  is an equivalence and the proposition follows.

By Theorem 7.3.6, in what follows, we will identify  $\mathcal{D}(X,\lambda)'_{a}$  with  $\mathcal{D}(X,\lambda)_{a}$ . In particular, we will regard  $\mathcal{D}(X,\lambda)_{a}$  as a full subcategory of  $\mathcal{D}(X,\lambda)$ .

*Remark* 7.3.7. We have the following remarks.

- (1) When we regard  $\mathcal{D}(X,\lambda)_{a}$  as a full subcategory of  $\mathcal{D}(X,\lambda)$ ,  $\lambda_{X}$  coincides with the constant sheaf in  $\mathcal{D}(X,\lambda)$ .
- (2) By Proposition 7.3.4, the inclusion functor D(X, λ)_a → D(X, λ) admits a right adjoint, which we denote by ℜ_X: D(X, λ) → D(X, λ)_a. It is a colocalization functor [52, §5.2.7].
  (3) Let f: Y → X be a morphism of Chp^{Ar}. The functor f*: D(X, λ) → D(Y, λ) preserves
- (3) Let  $f: Y \to X$  be a morphism of  $\operatorname{Chp}^{\operatorname{Ar}}$ . The functor  $f^*: \mathcal{D}(X,\lambda) \to \mathcal{D}(Y,\lambda)$  preserves adic complexes, and the induced functor  $f^*: \mathcal{D}(X,\lambda)_a \to \mathcal{D}(Y,\lambda)_a$  coincides with  $f^{*a}$ up to equivalence. The functor  $f_{*a}$  is equivalent to the composition of the inclusion  $\mathcal{D}(Y,\lambda)_a \to \mathcal{D}(Y,\lambda), f_*: \mathcal{D}(Y,\lambda) \to \mathcal{D}(X,\lambda)$  and the functor  $\mathfrak{R}_X$ .
- (4) Let  $f: Y \to X$  be a locally of finite type morphism of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$ , and suppose that  $\lambda \in \operatorname{Rind}_{\Box\operatorname{-tor}}$ . The functor  $f_!: \mathcal{D}(Y,\lambda) \to \mathcal{D}(X,\lambda)$  preserves adic complexes, and the induced functor  $f_!: \mathcal{D}(Y,\lambda)_{\operatorname{a}} \to \mathcal{D}(X,\lambda)_{\operatorname{a}}$  coincides with  $f_{!\operatorname{a}}$  up to equivalence. The functor  $f^{!\operatorname{a}}$  is equivalent to the composition of the inclusion  $\mathcal{D}(X,\lambda)_{\operatorname{a}} \to \mathcal{D}(X,\lambda)$ ,  $f^{!}: \mathcal{D}(X,\lambda) \to \mathcal{D}(Y,\lambda)$  and the functor  $\mathfrak{R}_Y$ .

- (5) The functor  $-\otimes_X -: \mathcal{D}(X,\lambda) \times \mathcal{D}(X,\lambda) \to \mathcal{D}(X,\lambda)$  preserves adic complexes, and the induced functor  $-\otimes_X -: \mathcal{D}(X,\lambda)_a \times \mathcal{D}(X,\lambda)_a \to \mathcal{D}(X,\lambda)_a$  coincides with  $-\overset{a}{\otimes}_X up$  to equivalence. The functor  $\mathcal{H}om_X^a$  is equivalent to the composition of the inclusion  $\mathcal{D}(X,\lambda)_a^{op} \times \mathcal{D}(X,\lambda)_a \to \mathcal{D}(X,\lambda)$ ,  $\mathcal{H}om_X$  and  $\mathfrak{R}_X$ .
- (6) We have  $\mathcal{D}^{\leq n}(X,\lambda)_{\mathbf{a}} = \mathcal{D}^{\leq n}(X,\lambda) \cap \mathcal{D}(X,\lambda)_{\mathbf{a}}$  for every  $n \in \mathbb{Z}$ .
- (7) Theorem 7.3.6 also holds if X is a topos with enough points.

Proof of Theorem 7.2.6. For (1), we note that  $f_{!a}\lambda_Y\langle d\rangle = f_!\lambda_Y\langle d\rangle \in \mathcal{D}^{\leq 0}(X,\lambda)$  by part (1) of (P7) in §4.1. Thus, by definition,  $f_{!a}\lambda_Y\langle d\rangle \in \mathcal{D}^{\leq 0}(X,\lambda)_a$ . Note that we have a trace map  $f_!\lambda_Y\langle d\rangle \to \lambda_X$  in the non-adic case. Applying  $\tau_a^{\geq 0}$ , we obtain the desired trace map

$$\operatorname{Tr}_{f} \colon \tau_{\mathbf{a}}^{\geqslant 0} f_{!\mathbf{a}} \lambda_{Y} \langle d \rangle = \tau_{\mathbf{a}}^{\geqslant 0} f_{!} \lambda_{Y} \langle d \rangle \to \tau_{\mathbf{a}}^{\geqslant 0} \lambda_{X} = \lambda_{X}$$

which is a map in  $\mathcal{D}^{\heartsuit}(X,\lambda)_{a}$ . The functoriality is automatic.

For (2), by the Poincaré duality  $f^* \langle \dim f \rangle \simeq f^!$  in the non-adic case,  $f^!$  preserves adic complexes hence  $f^{!a} = f^! | \mathcal{D}(X, \lambda)_a$ . Then it follows from the corresponding argument in the non-adic case.

The following is a variant of Proposition 6.2.8.

**Proposition 7.3.8.** Let X be an object of  $\operatorname{Chp}^{\operatorname{Ar}}$ ,  $\pi: \lambda' \to \lambda$  a perfect morphism of  $\operatorname{Rind}$ , and K an object of  $\mathcal{D}(X, \lambda)_{a}$ . Then

- (1) The natural transformation  $\pi_!(-\otimes_{\lambda'}\pi^*\mathsf{K}) \to (\pi_!-)\otimes_{\lambda}\mathsf{K}$  is a natural equivalence.
- (2) The natural transformation  $\pi^* \operatorname{Hom}_{\lambda}(\mathsf{K},-) \to \operatorname{Hom}_{\lambda'}(\pi^*\mathsf{K},\pi^*-)$  is a natural equivalence.

*Proof.* As in Proposition 6.2.8, the two assertions are equivalent and for (1) we may assume that X is an object of  $\operatorname{Sch}^{\operatorname{qc.sep}}$ . In this case, the proof of (2) is similar to that of Lemma 3.2.8. Write  $\lambda = (\Xi, \Lambda)$  and  $\lambda' = (\Xi', \Lambda')$ . As the family of functors  $(e_{\xi'}^*)_{\xi' \in \Xi'}$  is conservative, it suffices to show that (2) holds with  $\pi$  replaced by  $e_{\xi'}$  and by  $\pi \circ e_{\xi'}$ . In other words, we may assume  $\Xi' = \{*\}$ . We decompose  $\pi$  as

$$(\{*\}, \Lambda') \xrightarrow{t} (\{\xi\}, \Lambda(\xi)) \xrightarrow{s_{\xi}} (\Xi, \Lambda)_{/\xi} \xrightarrow{\imath_{\xi}} (\Xi, \Lambda).$$

We show that (2) holds with  $\pi$  replaced by  $i_{\xi}$ , by  $s_{\xi}$ , and by t. The assertion for  $i_{\xi}$  is Proposition 6.2.8. The assertion for  $s_{\xi}$  is trivial as  $s_{\xi}^* \simeq p_{\xi*}$  and  $p_{\xi}^* p_{\xi*} \mathsf{K}' \simeq \mathsf{K}'$  for every object  $\mathsf{K}'$  of  $\mathcal{D}(\mathfrak{X}, (\Xi, \Lambda)_{/\xi})_{\mathrm{a}}$  by Lemma 7.3.3. It remains to prove (2) with  $\pi$  replaced by t. Changing notation, it suffices to prove (2) under the additional assumption  $\Xi = \Xi' = \{*\}$ . Then  $\pi_*$  applied to (2) is given by

$$\pi_*\pi^*\operatorname{Hom}_{\Lambda'}(\mathsf{K},-)\to\operatorname{Hom}_{\Lambda'}(\mathsf{K},\pi_*\pi^*-)\simeq\pi_*\operatorname{Hom}_{\Lambda'}(\pi^*\mathsf{K},\pi^*-),$$

which is a natural equivalence since  $\pi_*\pi^*-\simeq \mathcal{H}om_{\Lambda}(\Lambda'^{\vee},-)$ . We conclude by the fact that  $\pi_*$  is conservative in this case.

Example 7.3.9. We give an example for which  $\mathcal{D}^{\geq \infty}(X, \lambda)_{\mathbf{a}} = \bigcap_{n} \mathcal{D}^{\geq n}(X, \lambda)_{\mathbf{a}}$  contains nonzero objects. Let k be a ring and let  $\Lambda = k[x_0, x_1, \ldots]$  be the polynomial ring in indeterminates  $x_0, x_1, \ldots$ . Consider the functor  $\Lambda_{\bullet} \colon \mathbb{N}^{\mathrm{op}} \to \mathbb{R}$ ing carrying n to  $k[x_0, \ldots, x_{n-1}] \simeq \Lambda/(x_n, x_{n+1}, \ldots)$ . Consider the homomorphism of  $\Lambda_n$ -modules  $\phi_n \colon \Lambda_n \to \Lambda_n[t]$  sending 1 to  $\sum_{i=0}^{n-1} x_i t^i$ . We define a complex K of  $\Lambda_{\bullet}$ -modules by taking  $\mathsf{K}_n = \mathrm{Kos}^{\bullet}(\phi_n)$  to be the Koszul complex. The transition maps are given by the obvious projections. Note that  $\mathsf{K}_n \in \mathcal{D}^{\geq n}$ . Clearly K is adic. We claim that  $\mathsf{K} \in \mathcal{D}^{\geq \infty}(X, (\mathbb{N}, \Lambda_{\bullet}))_{\mathbf{a}}$ . Let  $\mathsf{K}' \in \mathcal{D}^{\leq n-1}(X, (\mathbb{N}, \Lambda_{\bullet}))_{\mathbf{a}}$  for

some  $n \ge 0$ . Consider the morphism  $j_n: (\mathbb{N}_{\ge n}, \Lambda_{\bullet, \ge n}) \to (\mathbb{N}, \Lambda_{\bullet})$ . Since K' is adic, we have  $j_{n!}j_n^*\mathsf{K}'\simeq\mathsf{K}'$ . Thus

 $\operatorname{Hom}_{h\mathcal{D}(X,(\mathbb{N},\Lambda_{\bullet}))}(\mathsf{K}',\mathsf{K}) \simeq \operatorname{Hom}_{h\mathcal{D}(X,(\mathbb{N},\Lambda_{\bullet}))}(j_{n!}j_{n}^{*}\mathsf{K}',\mathsf{K}) \simeq \operatorname{Hom}_{h\mathcal{D}(X,(\mathbb{N}_{\geq n},\Lambda_{\bullet,\geq n}))}(j_{n}^{*}\mathsf{K}',j_{n}^{*}\mathsf{K}) = 0.$ 

It follows that  $\mathsf{K} \in \bigcap \mathcal{D}^{\geq \infty}(X, (\mathbb{N}, \Lambda_{\bullet}))_{\mathbf{a}}$ . For X nonempty and k nonzero,  $\mathsf{K}$  is nonzero. In particular, the t-structure on  $\mathcal{D}(X, (\mathbb{N}, \Lambda_{\bullet}))_{a}$  is not right complete.

We end this section with more results on the preservation of adic complexes under Noetherian assumptions. Put

$$\mathcal{D}(X,\lambda)_{\mathbf{a}}^{(*)} \coloneqq \mathcal{D}(X,\lambda)_{\mathbf{a}} \cap \mathcal{D}^{(*)}(X,\lambda)$$

for  $* = +, -, b.^{16}$ 

**Proposition 7.3.10.** Let  $\lambda = (\Xi, \Lambda)$  be an object of  $\operatorname{Rind}_{\Box-\operatorname{tor}}$ . Let  $f: Y \to X$  be a morphism of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  that is locally of finite type such that X is locally Noetherian. Then

- (1) f_{*} restricts to f_{*a}: D(Y, λ)_a⁽⁺⁾ → D(X, λ)_a⁽⁺⁾ if f is quasi-finite and quasi-separated and f_{*a}: D(X, λ)_a → D(X, λ)_a if in addition f is 0-Artin and X is locally finite-dimensional.
  (2) f! restricts to f^{!a}: D(X, λ)_a⁽⁺⁾ → D(Y, λ)_a⁽⁺⁾ and, if X is locally finite-dimensional, f^{!a}: D(X, λ)_a → D(Y, λ)_a.
- (3) Assume that  $\Lambda(\xi)$  is Noetherian for every  $\xi \in \Xi$ . Then  $\operatorname{Hom}_X$  restricts to  $\operatorname{Hom}_X^a \colon (\mathcal{D}(X,\lambda)_{\mathrm{a,c}}^{(\mathrm{ft})})^{\mathrm{op}} \times \mathcal{D}(X,\lambda)_{\mathrm{a}}^{(+)} \to \mathcal{D}(X,\lambda)_{\mathrm{a}}^{(+)}$  and, if X is locally finite-dimensional,  $\operatorname{Hom}_X^a \colon (\mathcal{D}(X,\lambda)_{\mathrm{a,c}}^{(\mathrm{ft})})^{\mathrm{op}} \times \mathcal{D}(X,\lambda)_{\mathrm{a}} \to \mathcal{D}(X,\lambda)_{\mathrm{a}}$ . Here,  $\mathcal{D}(X,\lambda)_{\mathrm{a,c}}^{(\mathrm{ft})} = \mathcal{D}(X,\lambda)_{\mathrm{a}} \cap \mathcal{D}_{\mathrm{cons}}^{(\mathrm{ft})}(X,\lambda)$ .

*Proof.* The second assertion of (1) and the second assertion of (2) follow from Proposition 6.3.2.

For the first assertion of (1), we reduce easily to the case of complexes bounded from below and where X is a coherent scheme. By the usual descent spectral sequence, we then reduce to the case where Y is also a scheme. In this case, the assertion is the projection formula in [51, Lemma 1.20(d)].

For the first assertion of (2), we reduce easily to the case of affine schemes, and then to the case of a closed immersion, which follows from (1).

For (3), we may assume that X is a coherent scheme. By Proposition 7.3.8 below, it suffices to show that for all  $\xi' \leq \xi$  in  $\Xi, \mathsf{K} \in \mathcal{D}_{cons}(X, \Lambda(\xi))$  of finite tor-dimension, and  $\mathsf{L} \in \mathcal{D}^+(X, \Lambda(\xi))$ (or  $L \in \mathcal{D}(X, \Lambda(\xi))$ ) in the case where X is finite-dimensional), the canonical morphism

$$\begin{split} & \mathcal{H}om_{(X,\Lambda(\xi))}(\mathsf{K},\mathsf{L})\otimes\Lambda(\xi')\to\mathcal{H}om_{(X,\Lambda(\xi))}(\mathsf{K},\mathsf{L}\otimes\Lambda(\xi')) \\ &\simeq\mathcal{H}om_{(X,\Lambda(\xi'))}(\mathsf{K}\otimes_{\Lambda(\xi)}\Lambda(\xi'),\mathsf{L}\otimes_{\Lambda(\xi)}\Lambda(\xi')) \end{split}$$

is an equivalence. For this, we may assume that  $\mathsf{K} = j_! \mathsf{K}'$  with  $j: U \to X$  an immersion and  $\mathsf{K}' \in \mathcal{D}(U, \Lambda(\xi))$  is a perfect complex. Then

$$\operatorname{Hom}_X(\mathsf{K},-)\simeq \operatorname{Hom}_U(\mathsf{K}',\Lambda(\xi))\otimes j_*-.$$

We conclude by (1).

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¹⁶For * = +, b, this intersection does not coincide in general with  $\mathcal{D}^{(*)}(X, \lambda)_{a}$ , the one given by the usual tstructure on  $\mathcal{D}(X,\lambda)_{a}$  introduced in §7.2, for example if  $\Xi$  has a finite object  $\xi$  and  $\Lambda(\xi')$  is of infinite tor-dimension over  $\Lambda(\xi)$  for some  $\xi' \in \Xi$ . However, see Remark 7.6.12 below.

7.4. Constructible adic complexes. In this section let  $\lambda = (\Xi, \Lambda) \in \mathbb{R}$  ind such that  $\Lambda(\xi)$  is Noetherian for every  $\xi \in \Xi$ . For a higher Artin stack  $X \in \mathbb{C}hp^{\operatorname{Ar}}$ , we put

$$\begin{split} \mathcal{D}(X,\lambda)_{\mathbf{a},\mathbf{c}} &\coloneqq \mathcal{D}(X,\lambda)_{\mathbf{a}} \cap \mathcal{D}_{\mathrm{cons}}(X,\lambda), \\ \mathcal{D}(X,\lambda)_{\mathbf{a},\mathbf{c}}^{(*)} &\coloneqq \mathcal{D}(X,\lambda)_{\mathbf{a}} \cap \mathcal{D}_{\mathrm{cons}}^{(*)}(X,\lambda), \end{split}$$

where * = +, -, b. Note that  $\mathcal{D}(X, \lambda)_{a,c}^{(-)} = \mathcal{D}^{(-)}(X, \lambda)_a \cap \mathcal{D}_{cons}(X, \lambda)$  always holds.

**Proposition 7.4.1.** Let  $f: Y \to X$  be a morphism of higher Artin stacks. Then  $f^*$  and  $-\otimes_X -$  restrict to the following functors:

**1L':** 
$$f^{*a} \colon \mathcal{D}(X,\lambda)_{a,c} \to \mathcal{D}(Y,\lambda)_{a,c}$$

**3L':**  $-\overset{\mathbf{a}}{\otimes}_X - : \mathcal{D}(X,\lambda)^{(-)}_{\mathbf{a},\mathbf{c}} \times \mathcal{D}(X,\lambda)^{(-)}_{\mathbf{a},\mathbf{c}} \to \mathcal{D}(X,\lambda)^{(-)}_{\mathbf{a},\mathbf{c}}.$ 

In particular, we have a symmetric monoidal subcategory  $(\mathcal{D}(X,\lambda)_{a,c}^{(-)})^{\otimes}$  of  $\mathcal{D}(X,\lambda)_{a}^{\otimes}$ . Moreover, if  $\Lambda(\xi)$  is Noetherian and  $\Box$ -torsion for every  $\xi \in \Xi$ , X is  $\Box$ -coprime, f is of finite presentation (Definition 5.4.3), then f₁ restricts to the following functors:

**2L':** 
$$f_{!a}: \mathcal{D}(Y,\lambda)_{a,c}^{(-)} \to \mathcal{D}(X,\lambda)_{a,c}^{(-)}$$
 and, if  $f$  is 0-Artin,  $f_{!a}: \mathcal{D}(Y,\lambda)_{a,c} \to \mathcal{D}(X,\lambda)_{a,c}$ 

*Proof.* This follows immediately from Proposition 6.4.4.

As in §6.4, to state the results for the other operations, we work in a relative setting. Let S be a  $\Box$ -coprime higher Artin stack. Assume that there exists an atlas  $S \to S$ , where S is either a quasi-excellent scheme or a regular scheme of dimension  $\leq 1$ .

**Proposition 7.4.2.** Suppose that  $\lambda \in \operatorname{Rind}_{\Box \operatorname{-tor}}$ . Let  $f: Y \to X$  be a morphism of  $\operatorname{Chp}_{\operatorname{lft}/S}^{\operatorname{Ar}}$ . Then  $f_*$ ,  $f^!$ ,  $\operatorname{Hom}_X$  restrict to the following functors:

- **1R':**  $f_{*a}: \mathcal{D}(Y,\lambda)_{a,c}^{(+)} \to \mathcal{D}(X,\lambda)_{a,c}^{(+)}$  if f is quasi-compact and quasi-separated (Definition 5.4.3) and  $f_{*a}: \mathcal{D}(Y,\lambda)_{a,c} \to \mathcal{D}(X,\lambda)_{a,c}$  if in addition f is 0-Artin and  $\mathbb{S}$  is locally finitedimensional.
- dimensional. **2R':**  $f^{!a}: \mathcal{D}(X,\lambda)^{(+)}_{a,c} \to \mathcal{D}(Y,\lambda)^{(+)}_{a,c}$  and, if  $\mathbb{S}$  is locally finite-dimensional,  $f^{!a}: \mathcal{D}(X,\lambda)_{a,c} \to \mathcal{D}(Y,\lambda)_{a,c}$ .
- **3R':**  $\operatorname{Hom}_{X}^{a}$ :  $(\mathcal{D}(X,\lambda)_{\mathrm{a,c}}^{(\mathrm{ft})})^{op} \times \mathcal{D}(X,\lambda)_{\mathrm{a,c}}^{(+)} \to \mathcal{D}(X,\lambda)_{\mathrm{a,c}}^{(+)}$  and, if  $\mathbb{S}$  is locally finite-dimensional,  $\operatorname{Hom}_{X}^{a}$ :  $(\mathcal{D}(X,\lambda)_{\mathrm{a,c}}^{(\mathrm{ft})})^{op} \times \mathcal{D}(X,\lambda)_{\mathrm{a,c}} \to \mathcal{D}(X,\lambda)_{\mathrm{a,c}}$ .

Note that in 3R' above, we do not need the assumption in Propositions 6.4.5 and 6.4.6 that  $\Xi_{/\xi}$  is finite.

*Proof.* This follows from Propositions 6.4.5, 6.4.6, 7.3.8, and 7.3.10. (For the assertions on  $\mathcal{H}om^a$ , we use Propositions 7.3.8 and 7.3.10 to reduce to the case where  $\Xi = \{*\}$ .)

Note that  $\mathcal{D}(X,\lambda)_{\mathrm{a,c}}^{(\mathrm{ft})} = \mathcal{D}(X,\lambda)_{\mathrm{a,c}}^{(\mathrm{b})}$  if for every  $\xi \in \Xi$ ,  $\Lambda(\xi)$  is a local ring and there exists a morphism  $\xi \to \xi'$  in  $\Xi$  such that  $\Lambda(\xi) \to \Lambda(\xi')$  identifies  $\Lambda(\xi')$  with the residue field of  $\Lambda(\xi)$ . This is the case if  $\mathcal{O}$  is a Noetherian local ring of maximal ideal  $\mathfrak{m}$  and  $\lambda = (\mathbb{N}, \Lambda)$  with  $\Lambda(n) = \mathcal{O}/\mathfrak{m}^{n+1}$ .

7.5. Adic dualizing complexes. In this section, we construct adic dualizing complexes and study the biduality properties in the adic case.

Let X be an object of  $\operatorname{Chp}^{\operatorname{Ar}}$ , and  $\lambda = (\Xi, \Lambda)$  an object of  $\operatorname{Rind}$ . Let  $\Omega$  be an object of  $\mathcal{D}(X, \lambda)$ (resp.  $\mathcal{D}(X, \lambda)_{a}$ ). By adjunction of the pair of functors  $-\otimes \mathsf{K} \coloneqq -\otimes_X \mathsf{K}$  and  $\operatorname{Hom}(\mathsf{K}, -) \coloneqq$  $\operatorname{Hom}_X(\mathsf{K}, -)$  (resp.  $-\overset{a}{\otimes}\mathsf{K} \coloneqq -\overset{a}{\otimes}_X \mathsf{K}$  and  $\operatorname{Hom}^a(\mathsf{K}, -) \coloneqq \operatorname{Hom}^a_X(\mathsf{K}, -)$ ), we have a natural transformation

(7.13) 
$$\delta_{\Omega} : \operatorname{id} \to \operatorname{h}\mathcal{H}om(\operatorname{h}\mathcal{H}om(-,\Omega),\Omega)$$

(7.14) resp.  $\delta^{a}_{\Omega} : id \to h\mathcal{H}om^{a}(h\mathcal{H}om^{a}(-,\Omega),\Omega)$ 

between endofunctors of  $h\mathcal{D}(X,\lambda)$  (resp.  $h\mathcal{D}(X,\lambda)_{a}$ ), which is called the *biduality transforma*tion.¹⁷

In the remaining of this section, we fix a  $\Box$ -coprime base scheme S that is a disjoint union of excellent schemes,¹⁸ endowed with a global dimension function. Let  $\Re$ ind_{$\Box$ -dual} be the full subcategory of  $\Re$ ind_{$\Box$ -tor} spanned by ringed diagrams  $\Lambda: \Xi^{op} \to \Re$ ing such that  $\Lambda(\xi)$  is a ( $\Box$ torsion) Gorenstein ring of dimension 0 for every object  $\xi$  of  $\Xi$ .

**Definition 7.5.1** (Potential dualizing complex). Let  $\lambda = (\Xi, \Lambda)$  be an object of  $\operatorname{Rind}_{\square-\operatorname{dual}}$ . For an object  $f: X \to \mathbb{S}$  of  $\operatorname{Chp}_{\operatorname{lft}/\mathbb{S}}^{\operatorname{Ar}}$  with X in  $\operatorname{Sch}^{\operatorname{qc.sep}}$ , we say that an object  $\Omega \in \mathcal{D}(X, \lambda)$  is a *pinned/potential dualizing complex* (on X) if

- (1)  $\Omega$  is an adic complex, and
- (2) for every object  $\xi$  of  $\Xi$ ,  $\Omega_{\xi} = e_{\xi}^* \Omega \in \mathcal{D}(X, \Lambda(\xi))$  is a pinned/potential dualizing complex.

For a general object  $f: X \to \mathbb{S}$  of  $\operatorname{Chp}_{\operatorname{lft}/\mathbb{S}}^{\operatorname{Ar}}$ , we say that an object  $\Omega \in \mathcal{D}(X, \lambda)$  is a *pinned/potential dualizing complex* if for every atlas  $u: X_0 \to X$  with  $X_0$  in  $\operatorname{Sch}^{\operatorname{qc.sep}}$ ,  $u'\Omega$  is a pinned/potential dualizing complex on  $X_0$ .

**Proposition 7.5.2.** Let  $f: X \to \mathbb{S}$  be an object of  $\operatorname{Chp}_{\operatorname{lft}/\mathbb{S}}^{\operatorname{Ar}}$  and  $\lambda$  an object of  $\operatorname{Rind}_{\square\operatorname{-dual}}$ . The full subcategory of  $\mathcal{D}(X,\lambda)$  spanned by all pinned/potential dualizing complexes is equivalent to the nerve of an ordinary category consisting of only one object  $\Omega$  with

$$\operatorname{Hom}(\Omega,\Omega) = \left( \varprojlim_{\xi \in \Xi} \Lambda(\xi) \right)^{\pi_0(X)}$$

Moreover, pinned/potential dualizing complexes are constructible and compatible under extension of scalars.

In the proof, we will use the following observation which is essentially [52, Proposition A.3.2.27]. Let  $\mathbb{C}: K^{\triangleleft} \to \mathbb{C}at_{\infty}$  be a functor that is a limit diagram. Let X, Y be two objects in the limit  $\infty$ -category  $\mathbb{C}_{-\infty}$  and write  $X_k, Y_k$  the natural images in  $\mathbb{C}_k$  for every vertex k of K. Then  $\operatorname{Map}_{\mathbb{C}_{-\infty}}(X,Y)$  is naturally the homotopy limit (in the  $\infty$ -category  $\mathcal{H}$  of spaces) of a diagram  $K \to \mathcal{H}$  sending k to  $\operatorname{Map}_{\mathbb{C}_k}(X_k, Y_k)$ .

*Proof.* We first consider the case where  $\Xi = *$  is a singleton.

In this case, if X is in Sch^{qc.sep}, then the proposition is proved in [41, Exposé XVIII-A] (see Remark 6.5.3). We also note that if  $\Omega_{\mathbb{S}}$  is a pinned dualizing complex on  $\mathbb{S}$ , then  $f^!\Omega_{\mathbb{S}}$  is a pinned dualizing complex on X. We prove by induction on k that for an object  $f: X \to \mathbb{S}$  of  $\operatorname{Chp}_{\operatorname{lft}/\mathbb{S}}^{\operatorname{Ar}}$ with X in  $\operatorname{Chp}^{k-\operatorname{Ar}}$ ,

- (1) For any two pinned dualizing complexes  $\Omega$  and  $\Omega'$ ,  $\operatorname{Map}_{\mathcal{D}(X,\Lambda)}(\Omega,\Omega')$  is discrete;¹⁹
- (2) There is a unique distinguished equivalence  $o: \Omega \to \Omega'$  such that for every atlas  $u: X_0 \to X$  with  $X_0$  in Sch^{qc.sep}, u'o is the one preserving pinning.

It is clear that once the equivalence o in (2) exists, it is compatible under  $f^!$  for every smooth morphism f. Choose an atlas  $u: Y \to X$  (with Y in  $\operatorname{Chp}^{(k-1)-\operatorname{Ar}}$ ). Since u is of universal  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}} \operatorname{EO}^!$ -descent, both (1) and (2) follow from the induction hypothesis, the above observation,

¹⁷In fact,  $\delta_{\Omega}$  can be enhanced to a natural transformation  $\tilde{\delta}_{\Omega}$ : id  $\rightarrow \mathcal{H}om(\mathcal{H}om(-,\Omega),\Omega)$  between endofunctors of  $\mathcal{D}(X,\lambda)$ , that is,  $h\tilde{\delta}_{\Omega} = \delta_{\Omega}$ ; and similar for the adic case. We omit the details here since we do not need such enhancement in what follows.

 $^{^{18}}$ A scheme is *excellent* if it is quasi-compact and admits a Zariski open cover by spectra of excellent rings [31, Définition 7.8.2].

¹⁹More precisely, it means that  $\operatorname{Map}_{\mathcal{D}(X,\Lambda)}(\Omega,\Omega')$  is equivalent to a discrete set in  $\mathcal{H}$ .

and the fact that limit of k-truncated spaces is k-truncated (which follows from [52, Proposition5.5.6.5]).

Then we show that  $\operatorname{Map}_{\mathcal{D}(X,\Lambda)}(\Omega,\Omega) \simeq \pi_0 \operatorname{Map}_{\mathcal{D}(X,\Lambda)}(\Omega,\Omega)$  is isomorphic to  $\Lambda^{\pi_0(X)}$ . Without loss of generality, we assume that X is connected. Choose an atlas  $u = \coprod_I u_i \colon \coprod_I Y_i \to X$  with  $Y_i$  in Sch^{qc.sep} that is connected. We have the following commutative diagram

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Since  $u^!$  is conservative, we know that the map  $\beta$  is injective. Since the map  $\Lambda \to \pi_0 \operatorname{Map}_{\mathcal{D}(Y_i,\Lambda)}(u_i^!\Omega, u_i^!\Omega)$  is an isomorphism for every  $i \in I$ , we know that  $\alpha$  is injective. If we write elements of  $\bigoplus_I \pi_0 \operatorname{Map}_{\mathcal{D}(Y_i,\Lambda)}(u_i^!\Omega, u_i^!\Omega)$  in the coordinate form  $(\ldots, \lambda_i, \ldots)$ with respect to the basis consisting of distinguished equivalences, then the image of  $u^{!}$  must belong to the diagonal since X is connected. Therefore,  $\alpha$  is an isomorphism. The fact that pinned dualizing complexes are constructible and compatible under extension of scalars follows from the case of schemes.

We then consider the case of general coefficient  $\lambda = (\Xi, \Lambda)$ . We start by constructing a pinned dualizing complex  $\Omega_{\mathbb{S},\lambda}$  on the base scheme  $\mathbb{S}$ . Recall that  $\Delta_{\Xi}$  is the category of simplices of  $\Xi$ , whose *n*-simplices are degenerate for  $n \ge 2$ . For every object  $\xi$  of  $\Xi$ , denote by  $\Omega_{\mathbb{S},\xi}$  the pinned dualizing complex in  $\mathcal{D}(\mathbb{S}, \Lambda(\xi))$ . Recall the functors  $e_{\xi!}$  (7.11) and  $t_{\xi \xi}$  (7.12). Define a functor  $\delta \colon \mathrm{N}(\Delta_{\Xi}) \to \mathcal{D}(\mathbb{S}, \lambda)$  sending a typical subcategory  $\xi \leftarrow (\xi \to \xi') \to \xi'$  of  $\Delta_{\Xi}$  to

$$\operatorname{Le}_{\xi!}\Omega_{\mathbb{S},\xi} \longleftarrow \operatorname{Le}_{\xi!}(\Omega_{\mathbb{S},\xi'} \overset{\operatorname{L}}{\otimes}_{\Lambda(\xi')} \Lambda(\xi)) \simeq t_{\leqslant\xi} \operatorname{Le}_{\xi'!}\Omega_{\mathbb{S},\xi'} \longrightarrow \operatorname{Le}_{\xi'!}\Omega_{\mathbb{S},\xi'}$$

in which the left arrow is given by the distinguished equivalence  $\Omega_{\mathbb{S},\xi'} \overset{L}{\otimes}_{\Lambda(\xi')} \Lambda(\xi) \xrightarrow{\sim} \Omega_{\mathbb{S},\xi}$ . It is easy to see that  $\Omega_{\mathbb{S},\lambda} \coloneqq \underline{\lim} \delta$ , viewed as an element in  $\mathcal{D}(\mathbb{S},\lambda)$ , satisfies the two requirements in Definition 7.5.1, hence is a pinned dualizing complex. For an object  $f: X \to S$  of  $\operatorname{Chp}_{\operatorname{lft}/S}^{\operatorname{Ar}}$ , put  $\Omega_{f,\lambda} = f^! \Omega_{\mathbb{S},\lambda}$ . Then it is a pinned dualizing complex on X. The rest of the proposition follows from the fact that  $\Omega_{f,\lambda}$  is adic, Theorem 7.3.6, the observation before the proof, and the same assertion when  $\Xi$  is a singleton. 

**Definition 7.5.3.** We introduce the following dualizing functors:

$$\mathcal{D} = \mathcal{D}_X \coloneqq \mathcal{H}om_X(-, \Omega_{X,\lambda}) \colon \mathcal{D}(X, \lambda)^{op} \to \mathcal{D}(X, \lambda),$$
$$\mathcal{D}^{\mathbf{a}} = \mathcal{D}_X^{\mathbf{a}} \coloneqq \mathcal{H}om_X^{\mathbf{a}}(-, \Omega_{X,\lambda}) \colon \mathcal{D}(X, \lambda)_{\mathbf{a}}^{op} \to \mathcal{D}(X, \lambda)_{\mathbf{a}}.$$

Put  $D = h\mathcal{D}$  and  $D^a = h\mathcal{D}^a$ .

**Proposition 7.5.4.** Let  $(X, \lambda)$  be an object of  $\operatorname{Chp}^{\operatorname{Ar}} \times \operatorname{N}(\operatorname{Rind})$ . Let  $\mathsf{K} \in \mathcal{D}(X, \lambda)_{\mathrm{a}}$  be an object such that  $\delta_{\Omega_{X,\Lambda(\xi)}}(e_{\xi}^{*}\mathsf{K})$  is an equivalence for every object  $\xi$  of  $\Xi$ , where  $\delta$  is the biduality transformation of  $\Xi$ . mation (7.13). Then  $\delta^{a}_{\Omega_{X,\lambda}}(\mathsf{K})$  is an equivalence as well, where  $\delta^{a}$  is the biduality transformation (7.14).

*Proof.* We need to show that the natural morphism  $K \to D^a D^a K$  is an isomorphism (in the homotopy category  $h\mathcal{D}(X,\lambda)_{a}$ ). By definition, we have

$$\begin{split} \mathrm{D}^{\mathrm{a}}\mathrm{D}^{\mathrm{a}}\mathsf{K} &= \mathrm{h}\mathcal{H}\mathrm{om}^{\mathrm{a}}(\mathsf{K},\mathrm{h}\mathcal{H}\mathrm{om}^{\mathrm{a}}(\mathsf{K},\Omega_{X,\lambda}))\\ &\simeq \mathrm{h}\mathfrak{R}_{X}\mathrm{h}\mathcal{H}\mathrm{om}(\mathsf{K},\mathrm{h}\mathfrak{R}_{X}\mathrm{h}\mathcal{H}\mathrm{om}(\mathsf{K},\Omega_{X,\lambda}))\\ &\simeq \mathrm{h}\mathfrak{R}_{X}\mathrm{h}\mathcal{H}\mathrm{om}(\mathsf{K},\mathrm{h}\mathcal{H}\mathrm{om}(\mathsf{K},\Omega_{X,\lambda})). \end{split}$$

It suffices to show that the map  $\delta_{\Omega_{X,\lambda}}(\mathsf{K}) \colon \mathsf{K} \to h\mathcal{H}om(\mathsf{K}, h\mathcal{H}om(\mathsf{K}, \Omega_{X,\lambda}))$  is an equivalence. In fact, since  $\mathsf{K}$  is adic, we have

$$e_{\xi}^{*}h\mathcal{H}om(\mathsf{K}, h\mathcal{H}om(\mathsf{K}, \Omega_{X,\lambda})) \simeq h\mathcal{H}om(e_{\xi}^{*}\mathsf{K}, h\mathcal{H}om(e_{\xi}^{*}\mathsf{K}, e_{\xi}^{*}\Omega_{X,\lambda}))$$
$$\simeq h\mathcal{H}om(e_{\xi}^{*}\mathsf{K}, h\mathcal{H}om(e_{\xi}^{*}\mathsf{K}, \Omega_{X,\Lambda(\xi)}))$$

for every object  $\xi \in \Xi$  by Lemma 7.5.5 below, which is equivalent to  $e_{\xi}^* \mathsf{K}$  by the assumption.  $\Box$ 

**Lemma 7.5.5.** Let  $\lambda = (\Xi, \Lambda)$  be an object of Rind,  $\xi$  an object of  $\Xi$ , and  $\mathscr{K}$  an object of  $\mathcal{D}(X, \lambda)_{a}$ . Then the following diagram

$$\begin{array}{c|c} \mathcal{D}(X,\lambda) & \longleftarrow & \mathcal{D}(X,\lambda) \\ e_{\xi}^{*} & & \downarrow e_{\xi}^{*} \\ \mathcal{D}(X,\Lambda(\xi)) & \longleftarrow & \mathcal{D}(X,\Lambda(\xi)) \end{array}$$

is right adjointable and its transpose is left adjointable. In other words, the natural maps  $e_{\xi!}(\mathsf{L}\otimes_X e_{\xi}^*\mathsf{K}) \to (e_{\xi!}\mathsf{L}) \otimes_X \mathsf{K}$  and  $e_{\xi}^* \operatorname{Hom}_X(\mathsf{K},\mathsf{L}') \to \operatorname{Hom}(e_{\xi}^*\mathsf{K},e_{\xi}^*\mathsf{L}')$  are equivalences for objects  $\mathsf{L}$  of  $\mathcal{D}(X,\Lambda(\xi))$  and  $\mathsf{L}'$  of  $\mathcal{D}(X,\lambda)$ .

*Proof.* By Proposition 6.2.7, we may assume that  $\xi$  is the final object of  $\Xi$ . In this case,  $e_{\xi}^*$  can be identified with  $\pi_*$ , where  $\pi: (\Xi, \Lambda) \to (\{\xi\}, \Lambda(\xi))$  is the projection. Since K is adic, the morphism  $\pi^* e_{\xi}^* \mathsf{K} \to \mathsf{K}$  is an equivalence. A left adjoint of the transpose of the above diagram is then given by the diagram

$$\begin{array}{c|c} \mathcal{D}(X,\lambda) & \longleftarrow & \pi^* & \mathcal{D}(X,\Lambda(\xi)) \\ \hline & & & & & \downarrow \\ -\otimes_X \mathsf{K} & & & \downarrow \\ & & & \downarrow \\ \mathcal{D}(X,\lambda) & \longleftarrow & \pi^* & \mathcal{D}(X,\Lambda(\xi)). \end{array}$$

The lemma follows by adjunction.

# 7.6. The m-adic formalism.

**Definition 7.6.1.** Define a category  $\mathcal{PR}$ ing as follows. The objects are pairs  $(\Lambda, \mathfrak{m})$ , where  $\Lambda$  is a (small) ring and  $\mathfrak{m} \subseteq \Lambda$  is a principal ideal generated by an element that is not a zero divisor. A morphism from  $(\Lambda', \mathfrak{m}')$  to  $(\Lambda, \mathfrak{m})$  is a ring homomorphism  $\phi \colon \Lambda \to \Lambda'$  satisfying  $\phi(\mathfrak{m}) \subseteq \mathfrak{m}'$ . Let  $\Lambda_n = \Lambda/\mathfrak{m}^n$ . We denote by  $\mathcal{PR}$ ing_{tor} (resp.  $\mathcal{PR}$ ing_{$\Box$ -tor}) the full subcategory of  $\mathcal{PR}$ ing spanned by  $(\Lambda, \mathfrak{m})$  such that  $(\mathbb{N}, \Lambda_{\bullet})$  belongs to  $\mathcal{R}$ ind_{$\Box$ -tor}).

We have a natural functor  $\mathcal{PR}ing \to \operatorname{Fun}([1], \mathcal{R}ind)$  sending  $(\Lambda, \mathfrak{m})$  to  $(\mathbb{N}, \Lambda_{\bullet}) \xrightarrow{\pi} (*, \Lambda)$ . In what follows, we simply write  $\Lambda_{\bullet}$  for the ringed diagram  $(\mathbb{N}, \Lambda_{\bullet})$ .

Let  $(\Lambda, \mathfrak{m})$  be an object of  $\mathcal{PR}$ ing. In this section, we will show that adic complexes for  $\Lambda_{\bullet}$  enjoy very nice properties. In particular, they are preserved by the six operations. We start by stating a new characterization of adic complexes. Let  $X \in Chp^{Ar}$  be a higher Artin stack. Recall that  $\pi$  is perfect in the sense of Lemma 3.2.8 and the functor

$$\pi^* \colon \mathcal{D}(X, \Lambda) \to \mathcal{D}(X, \Lambda_{\bullet})$$

admits a left adjoint  $\pi_1$  by Proposition 6.2.6 and a right adjoint  $\pi_*$ .

**Theorem 7.6.2.** For every  $\mathsf{K} \in \mathcal{D}(X, \Lambda_{\bullet})$ , the following conditions are equivalent:

- (1)  $\mathsf{K} \in \mathcal{D}(X, \Lambda_{\bullet})_{\mathrm{a}};$
- (2) K is in the essential image of  $\pi^*$ ;
- (3) The adjunction map  $\pi^*\pi_*\mathsf{K} \to \mathsf{K}$  is an equivalence;

# (4) The adjunction map $K \to \pi^* \pi_! K$ is an equivalence.

To prove the theorem, we need some preliminaries on  $\pi^*$  and its adjoints. We decompose  $\pi$  into

$$(\mathbb{N}, \Lambda_{\bullet}) \xrightarrow{(\mathrm{id}, \gamma)} (\mathbb{N}, \Lambda_{\mathbb{N}}) \xrightarrow{\rho} (*, \Lambda),$$

where  $\Lambda_{\mathbb{N}} \colon \mathbb{N}^{\mathrm{op}} \to \mathcal{R}$ ing is the constant functor of value  $\Lambda$ . Let  $\varpi$  be a generator of  $\mathfrak{m}$ . The following is a standard fact about derived completion. See [1] for variants.

Proposition 7.6.3. We have fiber sequences

$$\pi_! \pi^* \mathsf{K} \to \mathsf{K} \to \Lambda[1/\varpi] \otimes_{\Lambda} \mathsf{K},$$
$$\mathcal{H}om(\Lambda[1/\varpi], \mathsf{K}) \to \mathsf{K} \to \pi_* \pi^* \mathsf{K},$$

functorial in  $\mathsf{K} \in \mathfrak{D}(X, \Lambda)$ .

*Proof.* The two fiber sequences being adjoint to each other, it suffices to prove the second one. We have a short exact sequence

$$0 \to Z_{\bullet} \to \Lambda_{\mathbb{N}} \to \Lambda_{\bullet} \to 0,$$

where  $Z_{\bullet} = (\dots \to \Lambda \xrightarrow{\times \varpi} \Lambda \xrightarrow{\times \varpi} \Lambda)$ . Applying  $- \otimes_{\Lambda_{\mathbb{N}}} \rho^* \mathsf{K}$ , we obtain a fiber sequence

(7.15) 
$$Z_{\bullet} \otimes_{\Lambda_{\mathbb{N}}} \rho^* \mathsf{K} \to \rho^* \mathsf{K} \to \pi^* \mathsf{K}.$$

Let  $f_0: X_0 \to X$  be a smooth atlas and let  $X_{\bullet}$  be a Čech nerve of  $f_0$ . Then we have  $\mathsf{K} \simeq \varprojlim f_{n*} f_n^* \mathsf{K}$  and  $\pi^* \mathsf{K} \simeq \varprojlim f_{n*} f_n^* \pi^* \mathsf{K}$ , where  $f_n: X_n \to X$  is the induced morphism. Since  $\mathcal{H}om(\Lambda[1/\varpi], -)$  commutes with  $f_{n*}$  up to equivalence, we are reduced to proving the second fiber sequence for each  $X_n$ . By induction, we may assume that X is a scheme. In this case, by Remark 3.2.10,

$$\rho_*(Z_{\bullet} \otimes_{\Lambda_{\mathbb{N}}} \rho^* \mathsf{K}) \simeq \operatorname{Hom}(\underline{\lim}(\Lambda \xrightarrow{\times \varpi} \Lambda \xrightarrow{\times \varpi} \Lambda \to \cdots), \mathsf{K}) \simeq \operatorname{Hom}(\Lambda[1/\varpi], \mathsf{K}).$$

Moreover, we have  $\rho_! \simeq e_0^*$  and  $\rho_! \rho^* \simeq id$ . By adjunction, it follows that  $id \simeq \rho_* \rho^*$ . We conclude by applying  $\rho_*$  to (7.15).

**Corollary 7.6.4.** For  $\mathsf{K} \in \mathcal{D}(X, \Lambda)$ ,  $\pi^*\mathsf{K} = 0$  if and only if multiplication by  $\varpi$  is an equivalence on  $\mathsf{K}$ .

*Proof.* If  $\times \varpi$  is an equivalence on K, then  $e_n^* \pi^* \mathsf{K} = 0$  for all *n*. Conversely, if  $\pi^* \mathsf{K} = 0$ , then, by Proposition 7.6.3,  $\mathsf{K} \simeq \operatorname{Hom}(\Lambda[1/\varpi], \mathsf{K})$  and it suffices to remark that  $\times \varpi$  is an isomorphism on  $\Lambda[1/\varpi]$ .

Corollary 7.6.5. The natural transformations

$$\begin{aligned} \pi^* &\to \pi^* \circ \pi_* \circ \pi^*, \quad \pi^* \circ \pi_* \circ \pi^* \to \pi^*, \\ \pi_* &\to \pi_* \circ \pi^* \circ \pi_*, \quad \pi_* \circ \pi^* \circ \pi_* \to \pi_*, \end{aligned}$$

induced by the unit  $\epsilon$ : id  $\rightarrow \pi_*\pi^*$  and the counit  $\eta: \pi^*\pi_* \rightarrow$  id of the adjunction  $\pi^* \dashv \pi_*$  are natural equivalences.

*Proof.* The composition of the two natural transformations on the first line (resp. second) is equivalent to the identity. Thus it suffices to show that the two natural transformations induced by  $\epsilon$  are natural equivalences. For every  $\mathsf{K} \in \mathcal{D}(X, \Lambda)$ ,  $\pi^* \mathcal{H}om(\Lambda[1/\varpi], \mathsf{K}) = 0$  and  $\pi^* \epsilon_{\mathsf{K}}$  is an equivalence by Proposition 7.6.3. For  $\mathsf{L} \in \mathcal{D}(X, \Lambda_{\bullet})$ ,  $\mathcal{H}om(\Lambda[1/\varpi], \pi_*\mathsf{L}) \simeq \pi_* \mathcal{H}om(\pi^*\Lambda[1/\varpi], \mathsf{L}) = 0$  and  $\epsilon_{\pi_*\mathsf{L}}$  is an equivalence by Proposition 7.6.3.

Remark 7.6.6. Let  $\Lambda \to \Lambda'$  be a ring homomorphism and let  $t: (*, \Lambda') \to (*, \Lambda)$  be the corresponding morphism in Rind. Then  $t^* = \Lambda' \otimes_{\Lambda} -$  and  $t_*$  is restriction of scalars. If t is perfect, then  $t^*$  admits a left adjoint,  $t_!$ . In this case, we have an equivalence  $t^*t_! - \simeq (t^*t_!\Lambda') \otimes_{\Lambda'} -$ . More precisely, the map  $t^*t_! \mathsf{K} \to (t^*t_!\Lambda') \otimes_{\Lambda'} \mathsf{K}$ , adjoint to the map  $\mathsf{K} \to (t^*t_*t^*t_!\Lambda') \otimes_{\Lambda'} \mathsf{K} \simeq t^*t_*((t^*t_!\Lambda') \otimes_{\Lambda'} \mathsf{K})$ is an equivalence. Indeed,  $t^*t_* - \simeq (\Lambda' \otimes_{\Lambda} \Lambda') \otimes_{\Lambda'} -$  and its left adjoint  $t^*t_!$  is equivalent to  $(\Lambda' \otimes_{\Lambda} \Lambda')^{\vee} \otimes_{\Lambda'} -$  (which is also a right adjoint of  $t^*t_*$ ).

Proof of Theorem 7.6.2.  $(4) \Longrightarrow (2)$ . Obvious.

(2)  $\Longrightarrow$  (1). Since  $\mathcal{D}(X, \Lambda) = \mathcal{D}(X, \Lambda)_{a}$ , the image of  $\pi^{*}$  is contained in  $\mathcal{D}(X, \Lambda_{\bullet})_{a}$ .

(1)  $\Longrightarrow$  (4). We denote by  $\epsilon$ : id  $\to \pi^* \pi_!$  the adjunction map. We will show that  $\epsilon_{\mathsf{K}}$  is an equivalence for every  $\mathsf{K} \in \mathcal{D}(X, \Lambda_{\bullet})_{\mathrm{a}}$ . Consider the inclusions

$$(\{n\},\Lambda_n)\xrightarrow{s_n}(\mathbb{N}_{\leqslant n},\Lambda_{\bullet,\leqslant n})\xrightarrow{\imath_n}(\mathbb{N},\Lambda_{\bullet}).$$

We have  $\mathsf{K} \simeq \varinjlim_{n \in \mathbb{N}} i_n! i_n^* \mathsf{K} \simeq \varinjlim_{n \in \mathbb{N}} e_n! \mathsf{K}_n$ . Here in the second equivalence we used the equivalence  $i_n^* \mathsf{K} \simeq s_n! \check{\mathsf{K}}_n$ , which follows from the assumption that  $\mathsf{K}$  is adic. We have a diagram

where the vertical arrows are equivalences. The vertical arrow on the right is given by the fact that the source and target are both adic and the equivalence  $e_n^* \pi^* \pi_! e_{n!} \mathsf{K}_n \simeq t_n^* t_{n!} \Lambda_n \otimes_{\Lambda_n} \mathsf{K}_n$ in Remark 7.6.6, where  $t_n \coloneqq \pi \circ e_n \colon (\{n\}, \Lambda_n) \to (*, \Lambda)$ . Restricting the diagram to  $(\{m\}, \Lambda_m)$ and taking colimit for  $n \in \mathbb{N}_{\geq m}$ , we see that  $e_m^* \epsilon_{\mathsf{K}}$  is equivalent to  $e_m^* (\epsilon_{\Lambda_{\bullet}} \otimes_{\Lambda_{\bullet}} \mathsf{K})$ . Thus, it remains to show that  $\epsilon_{\Lambda_{\bullet}}$  is an equivalence. By Corollary 7.6.5, the adjunction map  $\pi^* \to \pi^* \circ \pi_! \circ \pi^*$  is an equivalence. In particular,  $\epsilon_{\Lambda_{\bullet}} = \epsilon_{\pi^*\Lambda}$  is an equivalence.

 $(3) \Longrightarrow (2)$ . Obvious.

 $(2) \Longrightarrow (3)$ . This follows immediately from Corollary 7.6.5.

**Corollary 7.6.7.** The inclusion functor  $\mathcal{D}(X, \Lambda_{\bullet})_{a} \to \mathcal{D}(X, \Lambda_{\bullet})$  admits a left adjoint given by  $\pi^{*} \circ \pi_{*}$ .

**Corollary 7.6.8.** For any  $K \in \mathcal{D}(X, \Lambda)$ , the following conditions are equivalent:

- (1) K is in the essential image of  $\pi_1$ ;
- (2) The adjunction map  $\pi_!\pi^*\mathsf{K}\to\mathsf{K}$  is an equivalence;
- (3)  $\Lambda[1/\varpi] \otimes_{\Lambda} \mathsf{K} = 0.$

We let  $\mathcal{D}(X,\Lambda)_{tor} \subseteq \mathcal{D}(X,\Lambda)$  denote the full subcategory spanned by K satisfying the above conditions. Then  $\pi^*$  and  $\pi_!$  induce equivalences between  $\mathcal{D}(X,\Lambda)_{tor}$  and  $\mathcal{D}(X,\Lambda_{\bullet})_{a}$ .

Objects of  $\mathcal{D}(X,\Lambda)_{\text{tor}}$  are said to be  $\mathfrak{m}^{\infty}$ -torsion objects. By (3),  $\mathsf{K} \in \mathcal{D}(X,\Lambda)_{\text{tor}}$  if and only if  $\mathrm{H}^{i}\mathsf{K} \in \mathcal{D}(X,\Lambda)_{\text{tor}}$  for all  $i \in \mathbb{Z}$ .

*Proof.* We have  $(1) \iff (2)$  by Corollary 7.6.5 and  $(2) \iff (3)$  by Proposition 7.6.3. The last assertion follows from Theorem 7.6.2.

Remark 7.6.9. Dually, we let  $\mathcal{D}(X, \Lambda)_{\text{compl}} \subseteq \mathcal{D}(X, \Lambda)$  denote the essential image of the localization functor  $\pi_* \circ \pi^* \colon \mathcal{D}(X, \Lambda) \to \mathcal{D}(X, \Lambda)$ , which is also the essential image of  $\pi_*$ . The functors  $\pi^*$  and  $\pi_*$  induce equivalences between  $\mathcal{D}(X, \Lambda)_{\text{compl}}$  and  $\mathcal{D}(X, \Lambda_{\bullet})_{a}$ .

We have seen that  $f^*$ ,  $f_!$ , and  $-\otimes_{\Lambda_{\bullet}}$  – preserve adic complexes in Remark 7.3.7. We can now prove that the other three operations preserve **m**-adic complexes, extending Proposition 7.3.10.

**Proposition 7.6.10.** Let  $f: Y \to X$  be a morphism of higher Artin stacks and let  $(\Lambda, \mathfrak{m})$  be an object of  $\mathfrak{PRing}$ . Then

- (1)  $f_*$  restricts to  $f_{*a}: \mathcal{D}(Y, \Lambda_{\bullet})_a \to \mathcal{D}(X, \Lambda_{\bullet})_a$ .
- (2)  $\operatorname{Hom}_X$  restricts to  $\operatorname{Hom}_X^a : (\mathcal{D}(X, \Lambda_{\bullet})_a)^{\operatorname{op}} \times \mathcal{D}(X, \Lambda_{\bullet})_a \to \mathcal{D}(X, \Lambda_{\bullet})_a.$
- (3)  $f^!$  restricts to  $f^{!a}: \mathcal{D}(X, \Lambda_{\bullet})_a \to \mathcal{D}(Y, \Lambda_{\bullet})_a$  if f is morphism of  $Chp_{\Box}^{Ar}$  that is locally of finite type and  $(\Lambda, \mathfrak{m})$  is an object of  $\mathcal{P}Ring_{\Box-tor}$ .

*Proof.* The assertions for  $f_*$  and  $\mathcal{H}om_X$  follow from the commutation of these two functors with  $\pi^*$  (Propositions 6.2.6 and 7.3.8). By Poincaré duality, the assertion for  $f^!$  reduces to the case where f is a closed immersion of schemes. This case follows again from the commutation of  $f^!$  with  $\pi^*$  (Proposition 6.2.7).

Next we discuss a new t-structure on  $\mathcal{D}(X, \Lambda_{\bullet})_{a}$ . Recall that we already have the usual t-structure  $(\mathcal{D}^{\leq n}(X, \Lambda_{\bullet})_{a}, \mathcal{D}^{\geq n}(X, \Lambda_{\bullet})_{a})$  on  $\mathcal{D}(X, \Lambda_{\bullet})_{a}$  from §7.2.

Put  $\mathcal{D}^{\leq n}(X,\Lambda)_{\text{tor}} \coloneqq \mathcal{D}(X,\Lambda)_{\text{tor}} \cap \mathcal{D}^{\leq n}(X,\Lambda)$  and  $\mathcal{D}^{\geq n}(X,\Lambda)_{\text{tor}} \coloneqq \mathcal{D}(X,\Lambda)_{\text{tor}} \cap \mathcal{D}^{\geq n}(X,\Lambda)$ . Since truncation functors on  $\mathcal{D}(X,\Lambda)$  preserve  $\mathcal{D}(X,\Lambda)_{\text{tor}}$ ,  $(\mathcal{D}^{\leq 1}(X,\Lambda)_{\text{tor}},\mathcal{D}^{\geq 1}(X,\Lambda)_{\text{tor}})$  is a t-structure on  $\mathcal{D}(X,\Lambda)_{\text{tor}}$ . Via the equivalence of  $\infty$ -categories in Corollary 7.6.8, we obtain a t-structure  $(\pi^*\mathcal{D}^{\leq 1}(X,\Lambda)_{\text{tor}},\pi^*\mathcal{D}^{\geq 1}(X,\Lambda)_{\text{tor}})$  on  $\mathcal{D}(X,\Lambda_{\bullet})_{\text{a}}$ , with truncation functors given by  $\pi^*\tau^{\leq 1}\pi_!$  and  $\pi^*\tau^{\geq 1}\pi_!$ . We denote the above t-structure by  $(\mathcal{D}^{\leq 0}_!(X,\Lambda_{\bullet})_{\text{a}},\mathcal{D}^{\geq 0}_!(X,\Lambda_{\bullet})_{\text{a}})$ .

**Proposition 7.6.11.** Let X be a higher Artin stacks and let  $(\Lambda, \mathfrak{m})$  be an object of  $\mathbb{PR}$ ing.

- (1) The t-structure  $(\mathcal{D}_{!}^{\leq 0}(X, \Lambda_{\bullet})_{a}, \mathcal{D}_{!}^{\geq 0}(X, \Lambda_{\bullet})_{a})$  is right complete.
- (2) We have

$$\mathcal{D}^{\leqslant 0}(X,\Lambda_{\bullet})_{\mathbf{a}} \subseteq \mathcal{D}_{!}^{\leqslant 0}(X,\Lambda_{\bullet})_{\mathbf{a}} \subseteq \mathcal{D}^{\leqslant 1}(X,\Lambda_{\bullet})_{\mathbf{a}},$$
$$\mathcal{D}^{\geqslant 1}(X,\Lambda_{\bullet})_{\mathbf{a}} \subseteq \mathcal{D}_{!}^{\geqslant 0}(X,\Lambda_{\bullet})_{\mathbf{a}} \subseteq \mathcal{D}(X,\Lambda_{\bullet})_{\mathbf{a}}^{\geqslant 0} \subseteq \mathcal{D}^{\geqslant 0}(X,\Lambda_{\bullet})_{\mathbf{a}}.$$

Here,  $\mathcal{D}(X, \Lambda_{\bullet})_{a}^{\geq *}$  is introduced in Lemma 7.2.5.

*Proof.* (1) The right completeness follows from the criterion [53, Proposition 1.2.1.19]:  $\pi^* \mathcal{D}^{\geq 1}(X, \Lambda)_{\text{tor}}$  is stable under countable coproducts and  $\bigcap_n \pi^* \mathcal{D}^{\geq n}(X, \Lambda)_{\text{tor}}$  consists of zero objects.

(2) Since  $\pi^* \colon \mathcal{D}(X, \Lambda) \to \mathcal{D}(X, \Lambda_{\bullet})$  has t-amplitude contained in [-1, 0] for the usual tstructures, we have  $\mathcal{D}_!^{\leqslant 0}(X, \Lambda_{\bullet})_a \subseteq \mathcal{D}^{\leqslant 1}(X, \Lambda_{\bullet})_a$  and  $\mathcal{D}_!^{\geqslant 0}(X, \Lambda_{\bullet})_a \subseteq \mathcal{D}(X, \Lambda_{\bullet})_a^{\geqslant 0}$ . The inclusion  $\mathcal{D}(X, \Lambda_{\bullet})_a^{\geqslant 0} \subseteq \mathcal{D}^{\geqslant 0}(X, \Lambda_{\bullet})_a$  is Lemma 7.2.5. The other inclusions follow by orthogonality.  $\Box$ 

Remark 7.6.12. It follows from Proposition 7.6.11(2) that  $\mathcal{D}^{(+)}(X, \Lambda_{\bullet})_{\mathrm{a}} = \mathcal{D}(X, \Lambda_{\bullet})_{\mathrm{a}}^{(+)}$ .

**Corollary 7.6.13.** The usual t-structure on  $\mathcal{D}(X, \Lambda_{\bullet})_{a}$  is right complete.

*Proof.* This follows immediately from Proposition 7.6.11.

The t-structure on  $\mathcal{D}(X,\Lambda)_{\text{tor}}$  corresponding to the usual t-structure on  $\mathcal{D}(X,\Lambda)_{\text{a}}$  can be described as follows. We say that an object  $\mathcal{F}$  of  $\mathcal{D}^{\heartsuit}(X,\Lambda)_{\text{tor}}$  is  $\mathfrak{m}$ -divisible if  $\mathcal{F} \xrightarrow{\times \varpi} \mathcal{F}$  is a surjection or, equivalently, if  $\mathrm{H}^0\pi^*\mathcal{F}=0$ .

Corollary 7.6.14. Let  $K \in \mathcal{D}(X, \Lambda)_{tor}$ .

- (1)  $\pi^* \mathsf{K} \in \mathcal{D}^{\leq 0}(X, \Lambda_{\bullet})_{\mathrm{a}}$  if and only if  $\mathsf{K} \in \mathcal{D}^{\leq 1}(X, \Lambda)_{\mathrm{tor}}$  and  $\mathrm{H}^1 \mathsf{K}$  is  $\mathfrak{m}$ -divisible.
- (2)  $\pi^* \mathsf{K} \in \mathcal{D}^{\geq 0}(X, \Lambda_{\bullet})_{\mathfrak{a}}$  if and only if  $\mathsf{K} \in \mathcal{D}^{\geq 0}(X, \Lambda)_{\mathrm{tor}}$  and  $\mathrm{H}^0 \mathsf{K}$  contains no nonzero  $\mathfrak{m}$ -divisible sub-object.

In other words, the usual t-structure on  $\mathcal{D}(X, \Lambda)_{a}$  corresponds to the tilt (in the sense of [36], [59]) of the usual t-structure on  $\mathcal{D}(X, \Lambda)_{tor}$  with respect to the torsion pair  $(\mathfrak{T}, \mathfrak{T}^{\perp})$ , where  $\mathfrak{T}$  is the class of  $\mathfrak{m}$ -divisible objects in  $\mathcal{D}^{\heartsuit}(X, \Lambda)_{tor}$ . See also [6, §3.3].

*Proof.* (1) Under the condition  $\mathsf{K} \in \mathcal{D}^{\leq 1}(X, \Lambda)_{tor}$ ,  $\mathrm{H}^1\pi^*\mathsf{K} \simeq \mathrm{H}^0\pi^*\mathrm{H}^1\mathsf{K}$  is zero if and only if  $\pi^*\mathsf{K} \in \mathcal{D}^{\leqslant 0}(X,\Lambda_{\bullet})_{\mathrm{a}}$ . Thus it suffices to show that  $\mathcal{D}^{\leqslant 0}(X,\Lambda_{\bullet})_{\mathrm{a}} = \mathcal{D}_{\mathsf{L}}^{\leqslant 0}(X,\Lambda_{\bullet})_{\mathrm{a}} \cap \mathcal{D}^{\leqslant 0}(X,\Lambda_{\bullet})_{\mathrm{a}}$ , which follows from Proposition 7.6.11(2).

(2) By Proposition 7.6.11(2),  $\pi^* \mathsf{K} \in \mathcal{D}^{\geq 0}(X, \Lambda_{\bullet})_a$  implies  $\mathsf{K} \in \mathcal{D}^{\geq 0}(X, \Lambda)_{tor}$ . Thus we may assume  $\mathsf{K} \in \mathcal{D}^{\geq 0}(X, \Lambda)_{\mathrm{tor}}$ . Then  $\pi^*\mathsf{K} \in \mathcal{D}^{\geq 0}(X, \Lambda_{\bullet})_{\mathrm{a}}$  if and only if for every  $\mathsf{L} \in \mathcal{D}(X, \Lambda_{\bullet})_{\mathrm{a}}$ satisfying  $\pi^*\mathsf{L} \in \mathcal{D}^{\leq -1}(X, \Lambda_{\bullet})_{\mathrm{a}}$ , we have  $\operatorname{Hom}_{\mathrm{h}\mathcal{D}(X, \Lambda)_{\mathrm{tor}}}(\mathsf{L}, \mathsf{K}) \simeq \operatorname{Hom}_{\mathrm{h}\mathcal{D}(X, \Lambda_{\bullet})_{\mathrm{a}}}(\pi^*\mathsf{K}, \pi^*\mathsf{L}) = 0$ . By (1),  $\pi^* \mathsf{L} \in \mathcal{D}^{\leq -1}(X, \Lambda_{\bullet})_{\mathfrak{a}}$  if and only if  $\mathsf{L} \in \mathcal{D}^{\leq 0}(X, \Lambda)_{tor}$  and  $\mathrm{H}^0 \mathsf{L}$  is  $\mathfrak{m}$ -divisible. In this case,  $\operatorname{Hom}_{h\mathcal{D}(X,\Lambda)_{\operatorname{tor}}}(\mathsf{L},\mathsf{K}) \simeq \operatorname{Hom}_{h\mathcal{D}^{\heartsuit}(X,\Lambda)_{\operatorname{tor}}}(\mathrm{H}^{0}\mathsf{L},\mathrm{H}^{0}\mathsf{K}).$  Assertion (2) follows.

The following result is obvious.

**Proposition 7.6.15.** For every morphism  $f: Y \to X$  of higher Artin stacks,  $f^{*a}: \mathcal{D}(X, \Lambda_{\bullet})_a \to \mathcal{D}(X, \Lambda_{\bullet})_a$  $\mathcal{D}(Y, \Lambda_{\bullet})_{\mathbf{a}}$  is t-exact for the t-structures  $(\mathcal{D}_{\mathsf{I}}^{\leq 0}, \mathcal{D}_{\mathsf{I}}^{\geq 0}).$ 

**Proposition 7.6.16.** Let  $f: Y \to X$  be a morphism higher Artin stacks. Assume that one of the following conditions hold:

(1) f = i is a closed immersion; or

(2) f is locally of finite type and f is in  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  and  $(\Lambda, \mathfrak{m})$  is in  $\operatorname{PRing}_{\Box-\operatorname{tor}}$ .

Then  $f^{*a} \colon \mathcal{D}(X, \Lambda_{\bullet})_{a} \to \mathcal{D}(Y, \Lambda_{\bullet})_{a}$  is t-exact for the usual t-structures.

*Proof.* (1) By Corollary 7.6.14, it suffices to show that for  $\mathcal{F} \in \mathcal{D}^{\heartsuit}(X, \Lambda)_{tor}$  that contains no nonzero  $\mathfrak{m}$ -divisible sub-object,  $i^*\mathcal{F}$  satisfies the same property. If  $\mathcal{G}$  is an  $\mathfrak{m}$ -divisible sub-object of  $i^*\mathcal{F}$ , then we have monomorphisms  $i_*\mathcal{G} \to i_*i^*\mathcal{G} \to \mathcal{F}$ , which implies that  $\mathcal{G} = 0$ . 

(2) The case f smooth being already known, we reduce easily to (1).

*Example* 7.6.17. Without the assumption that  $f: Y \to X$  is locally of finite type,  $f^{*a}: \mathcal{D}(X, \Lambda_{\bullet})_{a} \to \mathcal{D}(Y, \Lambda_{\bullet})_{a}$  is in general not t-exact for the usual t-structures. Take X to be the spectrum of an absolutely integrally closed valuation ring with valuation group  $\bigoplus_{n=-\infty}^{0} \mathbb{Q}$ , ordered lexicographically. Take f to be the inclusion of the generic point Y of X. The open subsets of X form a chain  $X = U_0 \supseteq U_{-1} \supseteq U_{-2} \supseteq \cdots \supseteq \emptyset$ . Assume that  $\mathfrak{m} \subseteq \Lambda$ . Consider the  $\mathfrak{m}^{\infty}$ -torsion sheaf  $\mathcal{F} \in \operatorname{Mod}(X_{\operatorname{\acute{e}t}}, \Lambda)$  given by  $\mathcal{F}(U_n) = \varpi^n \Lambda / \Lambda$  for all  $n \in \mathbb{N}_{\leq 0}$ , with restriction maps given by the inclusions. The only  $\mathfrak{m}$ -divisible submodule of  $\mathcal{F}$  is zero. However,  $f^*\mathcal{F} = \Lambda[\varpi^{-1}]/\Lambda$  is **m**-divisible. Thus, by Corollary 7.6.14,  $\pi^*\mathcal{F} \in \mathcal{D}^{\heartsuit}(X, \Lambda_{\bullet})_a$  and  $f^{*a}\pi^*\mathcal{F} \simeq \pi^*f^*\mathcal{F} \in \mathcal{D}^{\heartsuit}(Y, \Lambda_{\bullet})_{\mathbf{a}}[1].$ 

The results of this section also hold for X a topos with enough points.

Remark 7.6.18. Let X be a replete topos [9]. Since  $\mathsf{K} \in \mathcal{D}(X, \Lambda)$  is derived complete if and only if each  $\mathrm{H}^{q}\mathsf{K}$  is,  $(\mathcal{D}^{\leq 0}(X,\Lambda)_{\mathrm{compl}}, \mathcal{D}^{\geq 0}(X,\Lambda)_{\mathrm{compl}})$  is a t-structure on  $\mathcal{D}(X,\Lambda)_{\mathrm{compl}}$ where  $\mathcal{D}^{\leq 0}(X,\Lambda)_{\text{compl}} = \mathcal{D}(X,\Lambda)_{\text{compl}} \cap \mathcal{D}^{\leq 0}(X,\Lambda) \text{ and } \mathcal{D}^{\geq 0}(X,\Lambda)_{\text{compl}} = \mathcal{D}(X,\Lambda)_{\text{compl}} \cap \mathcal{D}^{\leq 0}(X,\Lambda)_{\text{compl}} \cap \mathcal{D}^{< 0}(X,\Lambda)_{\text{compl$  $\mathcal{D}^{\geq 0}(X,\Lambda)$ . Moreover, for every  $\mathsf{L} \in \mathcal{D}^{\leq 0}(X,\Lambda_{\bullet})_{\mathrm{a}}$ ,  $\mathrm{H}^{0}\mathsf{L}$  is a surjective system. It follows that  $\pi_* \colon \mathcal{D}(X, \Lambda_{\bullet})_{\mathbf{a}} \to \mathcal{D}(X, \Lambda)_{\text{compl}}$  is t-exact. Thus  $\mathcal{D}^{\leq 0}(X, \Lambda)_{\mathbf{a}} = \pi^* \mathcal{D}^{\leq 0}(X, \Lambda)_{\text{compl}}$  and  $\mathcal{D}^{\geq 0}(X,\Lambda)_{\mathbf{a}} = \pi^* \mathcal{D}^{\geq 0}(X,\Lambda)_{\text{compl.}}$ 

7.7. Compatibility with Laszlo–Olsson (adic coefficients). We prove the compatibility between our adic formalism and Laszlo–Olsson's [48], under their assumptions.

- Put  $\Box = \{\ell\}$  where  $\ell$  is a rational prime. Let S be a  $\Box$ -coprime scheme satisfying that
- (1) it is affine excellent and finite-dimensional;
- (2) for every scheme X of finite type over S, there exists an étale cover  $X' \to X$  such that  $\operatorname{cd}_{\ell}(Y) < \infty$  for every scheme Y étale and of finite type over X';²⁰
- (3) it admits a global dimension function and we fix such a function (see Remark 6.5.1).

²⁰According to our notation,  $cd_{\ell}$  is nothing but  $cd_{\mathbb{F}_{\ell}}$ .

Recall from §6.5 that we denote  $\operatorname{Chp}_{\operatorname{lft}/S}^{\operatorname{LMB}}$  the full subcategory of  $\operatorname{Chp}_{\operatorname{lft}/S}^{\operatorname{Ar}}$  spanned by (1-)Artin stacks locally of finite type over S, with quasi-compact and separated diagonal.

For the coefficient, we fix a complete discrete valuation ring  $\Lambda$  with the maximal ideal  $\mathfrak{m}$  and residue characteristic  $\ell$  such that  $\Lambda = \lim_{n \to \infty} \Lambda_n$ , where  $\Lambda_n = \Lambda/\mathfrak{m}^{n+1}$ , as in [48]. In particular,  $(\Lambda, \mathfrak{m})$  is an object of  $\mathcal{PRing}_{\Box-\text{tor}}$  in our notation.

From the definition of  $\mathcal{D}(\mathfrak{X}, \Lambda_{\bullet})_{a,c}$ , which is the full subcategory of  $\mathcal{D}(\mathfrak{X}, \Lambda_{\bullet})$  spanned by constructible adic complexes, [48, Proposition 3.0.10, Theorem 3.0.14, Proposition 3.0.18], and Proposition 5.3.5, we have a canonical equivalence between categories

(7.16) 
$$h\mathcal{D}(\mathfrak{X}, \Lambda_{\bullet})_{\mathbf{a}, \mathbf{c}} \simeq \mathbf{D}_{c}(\mathfrak{X}, \Lambda),$$

where the latter one is defined in [48, Definition 3.0.6].

**Proposition 7.7.1.** For a morphism  $f: \mathcal{Y} \to \mathcal{X}$  of finite type in  $\operatorname{Chp}_{\operatorname{lft}/S}^{\operatorname{LMB}}$ , there are natural isomorphisms of functors:

$$\begin{split} \mathbf{h} f^{*\mathbf{a}} &\simeq \mathbf{L} f^* \colon \mathbf{D}_c(\mathfrak{X}, \Lambda) \to \mathbf{D}_c(\mathfrak{Y}, \Lambda), \\ \mathbf{h} f_{*\mathbf{a}} &\simeq \mathbf{R} f_* \colon \mathbf{D}_c^{(+)}(\mathfrak{Y}, \Lambda) \to \mathbf{D}_c^{(+)}(\mathfrak{X}, \Lambda), \\ \mathbf{h} f_{!\mathbf{a}} &\simeq \mathbf{R} f_! \colon \mathbf{D}_c^{(-)}(\mathfrak{Y}, \Lambda) \to \mathbf{D}_c^{(-)}(\mathfrak{X}, \Lambda), \\ \mathbf{h} f^{!\mathbf{a}} &\simeq \mathbf{R} f_! \colon \mathbf{D}_c(\mathfrak{X}, \Lambda) \to \mathbf{D}_c(\mathfrak{Y}, \Lambda), \\ \mathbf{h} f^{!\mathbf{a}} &\simeq \mathbf{R} f^! \colon \mathbf{D}_c(\mathfrak{X}, \Lambda) \to \mathbf{D}_c(\mathfrak{Y}, \Lambda), \\ \mathbf{h} (-\overset{\mathbf{a}}{\otimes}_{\mathfrak{X}} -) &\simeq (-) \overset{\mathbf{L}}{\otimes} (-) \colon \mathbf{D}_c^{(-)}(\mathfrak{X}, \Lambda) \times \mathbf{D}_c^{(-)}(\mathfrak{X}, \Lambda) \to \mathbf{D}_c^{(-)}(\mathfrak{X}, \Lambda), \\ \mathbf{h} \mathcal{H} \mathbf{om}_{\mathfrak{X}}^{\mathbf{a}} &\simeq \mathscr{R} \mathbf{hom}_{\Lambda} \colon \mathbf{D}_c^{(-)}(\mathfrak{X}, \Lambda)^{\mathrm{opp}} \times \mathbf{D}_c^{(+)}(\mathfrak{X}, \Lambda) \to \mathbf{D}_c^{(+)}(\mathfrak{X}, \Lambda) \end{split}$$

that are compatible with (7.16). Here, on the right side of the equivalences, we adopt notation from [48, §1].

By Lemma 7.4.1 and Proposition 7.4.2, the six operations on the left side in the above proposition do have the correct range.

*Proof.* The isomorphisms for tensor product, internal Hom and  $f^*$  simply follow from the same definitions here and in [48, §4, §6]. The isomorphism for  $f_*$  follows from the adjunction and that for  $f^*$  (Proposition 6.5.2). The isomorphism for  $f_!$  will follows from the adjunction and that for  $f^!$  which will be proved below.

By the compatibility of dualizing complexes and the isomorphisms for internal Hom, we have natural isomorphisms  $D_{\chi}^a \simeq D_{\chi}$  and  $D_{y}^a \simeq D_{y}$  (Definition 7.5.3). Therefore, by [48, Definition 9.1], to show the isomorphism for  $f^!$ , we only need to show that our functors satisfy

$$hf^{!a} \simeq D^a_{\mathcal{Y}} \circ hf^{*a} \circ D^a_{\mathcal{X}}$$

Note that for every  $\mathsf{K} \in \mathbf{D}_{c}(\mathfrak{X}, \Lambda)$ , the biduality map  $\delta^{\mathrm{a}}_{\Omega_{\mathfrak{X}}}(\mathsf{K}) \colon \mathsf{K} \to \mathrm{D}^{\mathrm{a}}_{\mathfrak{X}}(\mathrm{D}^{\mathrm{a}}_{\mathfrak{X}}(\mathsf{K}))$  is an isomorphism by [48, Theorem 7.3.1]. Thus, we have

$$\begin{split} \mathbf{h} f^{!\mathbf{a}} \mathsf{K} &\simeq \mathbf{h} f^{!\mathbf{a}} (\mathbf{D}_{\mathcal{X}}^{\mathbf{a}}(\mathbf{D}_{\mathcal{X}}^{\mathbf{a}}(\mathsf{K}))) \\ &= \mathbf{h} f^{!\mathbf{a}} (\mathbf{h} \mathcal{H} \mathbf{om}_{\mathcal{X}}^{\mathbf{a}}(\mathbf{h} \mathcal{H} \mathbf{om}_{\mathcal{X}}^{\mathbf{a}}(\mathsf{K},\Omega_{\mathcal{X}}),\Omega_{\mathcal{X}})) \\ &\simeq \mathbf{h} \mathfrak{R}_{\mathcal{Y}} (\mathbf{h} f^{!} (\mathbf{h} \mathcal{H} \mathbf{om}_{\mathcal{X}}(\mathbf{h} \mathcal{H} \mathbf{om}_{\mathcal{X}}^{\mathbf{a}}(\mathsf{K},\Omega_{\mathcal{X}}),\Omega_{\mathcal{X}}))) \\ &\simeq \mathbf{h} \mathfrak{R}_{\mathcal{Y}} (\mathbf{h} \mathcal{H} \mathbf{om}_{\mathcal{Y}}(\mathbf{h} f^{*} (\mathbf{h} \mathcal{H} \mathbf{om}_{\mathcal{X}}^{\mathbf{a}}(\mathsf{K},\Omega_{\mathcal{X}}),f^{!}\Omega_{\mathcal{X}}))) \\ &\simeq \mathbf{h} \mathcal{H} \mathbf{om}_{\mathcal{Y}}^{\mathbf{a}} (\mathbf{h} f^{*\mathbf{a}} (\mathbf{h} \mathcal{H} \mathbf{om}_{\mathcal{X}}^{\mathbf{a}}(\mathsf{K},\Omega_{\mathcal{X}}),\Omega_{\mathcal{Y}})) \\ &= \mathbf{D}_{\mathcal{Y}}^{\mathbf{a}} (\mathbf{h} f^{*\mathbf{a}} (\mathbf{D}_{\mathcal{X}}^{\mathbf{a}}(\mathsf{K}))). \end{split}$$

The proposition is proved.

*Remark* 7.7.2. In view of the above compatibility, we have proven all the expected properties of the six operations, in particular the Base Change Theorem, in the adic case of Laszlo–Olsson [48].

#### 8. Perverse t-structures

In this chapters, we study perverse t-structures for stacks. In §8.1, we define the notion of perversity evaluations on stacks, to which we will associate t-structures. In §8.2, we construct the perverse t-structure with respect to a perverse evaluation. In §8.3, we construct perverse t-structures in the adic case.

8.1. **Perversity evaluations.** We first recall various notion of perversity functions on schemes, introduced by Gabber.

**Definition 8.1.1.** Let X be a scheme in  $Sch^{qc.sep}$ . Denote by |X| the underlying topological space of X.

(1) Following [23,  $\S1$ ], a weak perversity function on X is a function

$$p\colon |X|\to\mathbb{Z}\cup\{+\infty\}$$

such that for every  $n \in \mathbb{Z}$ , the set  $\{x \in |X| \mid p(x) \ge n\}$  is ind-constructible.

- (2) An admissible perversity function on X is a weak perversity function p such that for every  $x \in |X|$ , there is an open dense subset  $U \subseteq \overline{\{x\}}$  satisfying the condition that for every  $x' \in U$ ,  $p(x') \leq p(x) + 2 \operatorname{codim}(x', x)$ .
- (3) A codimension perversity function on X is a function  $p: |X| \to \mathbb{Z} \cup \{+\infty\}$  such that for every immediate étale specialization x' of x, p(x') = p(x) + 1.

Remark 8.1.2. We have the following remarks concerning perversity functions.

- (1) A weak perversity function on a locally Noetherian scheme is locally bounded from below.
- (2) An admissible perversity function on a scheme that is locally Noetherian and of finite dimension is locally bounded from above.
- (3) A codimension perversity function on a scheme is *not* necessarily a weak perversity function.
- (4) A codimension perversity function that is also a weak perversity function is an admissible perversity function. If X is locally Noetherian, then a codimension perversity function is a weak perversity function and hence an admissible perversity function.
- (5) A codimension perversity function is the opposite of a dimension function in the sense of [41, Exposé XIV, Définition 2.1.8]. If X is locally Noetherian and admits a dimension function, then X is universally catenary by [41, Exposé XIV, Proposition 2.2.6]. In this case, immediate étale specializations coincide with immediate Zariski specializations [41, Exposé XIV, Proposition 2.1.4].
- (6) If p is a weak (resp. admissible, resp. codimension) perversity function on X and  $d: |X| \to \mathbb{Z} \cup \{+\infty\}$  is a locally constant function, then p + d is a weak (resp. admissible, resp. codimension) perversity function on X.

**Definition 8.1.3.** A function  $q: \mathbb{N} \to \mathbb{Z}$  or  $q: \mathbb{Z} \to \mathbb{Z}$  is called *moderate* if q and 2 - q are both increasing. Here, **2** is the function 2(x) = 2x and similarly for **0** and **1**, which will be used below.

**Notation 8.1.4.** Let  $f: Y \to X$  be a morphism of schemes in  $Sch^{qc.sep}$ . For a function  $p: |X| \to \mathbb{Z} \cup \{+\infty\}$ , we define the pullback  $f_0^*p: |Y| \to \mathbb{Z} \cup \{+\infty\}$  by  $f_0^*p = p \circ f$ . If f is locally of finite type and  $q: \mathbb{N} \to \mathbb{Z}$  is a function, we define more generally the q-weighted pullback  $f_q^*p: |Y| \to \mathbb{Z} \cup \{+\infty\}$  by

 $(f_q^*p)(y) = p(f(y)) - q(\text{tr.deg}[k(y):k(f(y))])$ 

for every point  $y \in |Y|$ .

In the following two lemmas we list some stability properties of weighted pullbacks of perversity functions.

**Lemma 8.1.5.** Let  $f: Y \to X$  be a morphism (resp. étale morphism, resp. étale morphism) of schemes in Sch^{qc.sep}. If p is a weak (resp. admissible, resp. codimension) perversity function on X, then  $f_{\mathbf{0}}^{\mathsf{e}p}$  is a weak (resp. admissible, resp. codimension) perversity function on Y.

*Proof.* We have  $f_0^* p = p \circ f$ . If p is a weak perversity function, then

$$\{y \in |Y| \mid f_{\mathbf{0}}^* p(y) \ge n\} = f^{-1}(\{x \in |X| \mid p(x) \ge n\})$$

is ind-constructible by [31, Proposition 1.9.5(vi)]. The other two cases follow from the trivial fact that  $\operatorname{codim}(y', y) = \operatorname{codim}(f(y'), f(y))$  for every specialization y' of y on Y.

**Lemma 8.1.6.** Let  $f: Y \to X$  be a morphism of locally Noetherian schemes in Sch^{qc.sep}, locally of finite type.

- (1) Let p be a weak perversity function on X and  $q: \mathbb{N} \to \mathbb{Z}$  an increasing function. Then  $f_a^* p$  is a weak perversity function on Y.
- (2) Let p be an admissible perversity function on X an  $q: \mathbb{N} \to \mathbb{Z}$  a moderate function (Definition 8.1.3). Then  $f_a^* p$  is an admissible perversity function on Y.
- (3) Let p be a codimension perversity function on X. Then  $f_1^*p$  is a codimension perversity function on Y.

*Proof.* For a locally closed subset Z of a scheme X, we endow it with the reduced induced subscheme structure. For every point  $y \in |Y|$ , let  $U_y \subset \overline{\{y\}}$  be a nonempty open subset such that the induced morphism  $f_y \colon \overline{\{y\}} \to \overline{\{f(y)\}}$  is flat. Such an open subset exists by [31, Théorème 6.9.1]. For  $y' \in U_y$ , we have

$$\delta(y', y) \coloneqq \operatorname{tr.deg}[k(y) : k(f(y))] - \operatorname{tr.deg}[k(y') : k(f(y'))]$$
$$= \operatorname{codim}(y', U_y \times_{\overline{f(y)}} \{f(y')\}) \ge 0$$

by [31, Proposition 14.3.13] since  $f_y$  is universally open [31, Théorème 2.4.6].

For (1), we know that for every  $n \in \mathbb{Z}$ ,

$$\{y \in |Y| \mid f_q^* p(y) \ge n\} = \bigcup_{y \in |Y|} f^{-1} \{x \in |X| \mid p(x) \ge n + q(\text{tr.deg}[k(y) : k(f(y))])\} \cap U_y$$

is a union of ind-constructible subsets, and hence is itself ind-constructible. In other words,  $f_q^*p$  is a weak perversity function.

For (2), let  $y \in |Y|$  be a point; put x = f(y); and let  $U_x \subset \overline{\{x\}}$  be a dense open subset such that  $p(x') \leq p(x) + 2 \operatorname{codim}(x', x)$  for every  $x' \in U_x$ . We prove that for  $y' \in U_y \cap f^{-1}(U_x)$ ,

$$f_q^* p(y') \leqslant f_q^* p(y) + 2 \operatorname{codim}(y', y)$$

holds. We may assume  $p(x) \in \mathbb{Z}$ . Put x' = f(y'). We have

$$f_a^* p(y) = p(x) - q(\operatorname{tr.deg}[k(y) : k(x)])$$

and

 $f_q^* p(y') = p(x') - q(\text{tr.deg}[k(y') : k(x')]).$ 

Moreover, by [31, Corollaire 6.1.2], we have

$$\delta(y', y) = \operatorname{codim}(y', y) - \operatorname{codim}(x', x).$$

Therefore, we have

$$\begin{aligned} f_q^* p(y') - f_q^* p(y) &= p(x') - p(x) + q(\operatorname{tr.deg}[k(y) : k(x)]) - q(\operatorname{tr.deg}[k(y') : k(x')]) \\ &\leqslant 2 \operatorname{codim}(x', x) + 2\delta(y', y) = 2 \operatorname{codim}(y', y) \end{aligned}$$

since q is moderate. In other words,  $f_q^* p$  is an admissible perversity function on Y.

For (3), it is essentially proved in [41, Exposé XIV, Corollaire 2.5.2].

Now we generalize the notion of perversity functions from schemes to stacks, by starting from the following definition.

**Definition 8.1.7** (Pointed schematic neighborhood). Let X be a higher Artin (resp. Deligne– Mumford) stack. A pointed smooth (resp. étale) schematic neighborhood of X is a triple  $(X_0, u_0, x_0)$  where  $u_0: X_0 \to X$  is a smooth (resp. an étale) morphism with  $X_0 \in \text{Sch}^{\text{qc.sep}}$  and  $x_0 \in |X_0|$  a scheme-theoretical point. A morphism  $v: (X_1, u_1, x_1) \to (X_0, u_0, x_0)$  of pointed smooth (resp. étale) schematic neighborhoods is a smooth (resp. an étale) morphism  $v: X_1 \to X_0$  such that there is a triangle



with  $v(x_1) = x_0$ . We say that  $(X_1, u_1, x_1)$  dominates  $(X_0, u_0, x_0)$  if there is such a morphism. The category of pointed smooth (resp. étale) schematic neighborhoods of X is denoted by  $\operatorname{Vo}^{\operatorname{sm}}(X)$  (resp.  $\operatorname{Vo}^{\operatorname{\acute{e}t}}(X)$ ).

**Lemma 8.1.8.** Let X be a higher Artin stack, and let  $v: (X_1, u_1, x_1) \to (X_0, u_0, x_0)$  be a morphism of pointed smooth schematic neighborhoods of X. Then the codimension of  $x_1$  in the base change scheme  $X_{1,x_0} = X_1 \times_{X_0} \{x_0\}$  depends only on the source and the target of v.

*Proof.* Note that  $\operatorname{codim}(x_1, X_{1,x_0}) = \dim_{x_1}(v) - \operatorname{tr.deg}[k(x_1) : k(x_0)]$ . It is clear that the term  $\dim_{x_1}(v) = \dim_{x_1}(u_1) - \dim_{x_0}(u_0)$  does not depend on v. We will show that the other term  $\operatorname{tr.deg}[k(x_1) : k(x_0)]$  does not depend on v either.

Let  $f: Y \to X$  be an atlas of X with Y a scheme in Sch^{qc.sep}. Let



be the base change of (8.1), and  $f_0: Y_0 \to X_0, f_1: Y_1 \to X_1$  the induced morphisms. Let  $w_0: Y'_0 \to Y_0$  be an atlas with  $Y'_0$  a scheme in Sch^{qc.sep}, and let



be the base change. Then v'' is a smooth morphism of schemes in  $\operatorname{Sch}^{\operatorname{qc.sep}}$ . Since  $f_0 \circ w_0 \colon Y'_0 \to X_0$  is smooth and surjective, the base change scheme  $Y'_{0,x_0} = Y'_0 \times_{X_0} \{x_0\}$  is nonempty and smooth over the residue field  $k(x_0)$  of  $x_0$ . Similarly, we have a nonempty scheme  $Y'_{1,x_1}$ , smooth

over  $k(x_1)$ . Choose a generic point  $y'_1$  of  $Y'_{1,x_1}$ . Then its image  $y'_0$  in  $Y'_{0,x_0}$  is a generic point. Let y be the image of  $y'_0$  in Y. Then we have

$$tr.deg[k(x_1):k(x_0)] = tr.deg[k(y'_1):k(y)] - tr.deg[k(y'_0):k(y)]$$

which does not depend on v. The lemma follows.

**Notation 8.1.9.** Let X be a higher Artin stack, and let  $v: (X_1, u_1, x_1) \to (X_0, u_0, x_0)$  be a morphism of pointed smooth schematic neighborhoods of X. We will denote by  $\delta^{(X_1, u_1, x_1)}_{(X_0, u_0, x_0)}$  the codimension appeared in Lemma 8.1.8. It is clear that

$$\delta_{(X_0,u_0,x_0)}^{(X_2,u_2,x_2)} = \delta_{(X_1,u_1,x_1)}^{(X_2,u_2,x_2)} + \delta_{(X_0,u_0,x_0)}^{(X_1,u_1,x_1)}$$

if  $(X_2, u_2, x_2)$  dominates  $(X_1, u_1, x_1)$ . Moreover, if v is étale, then we have  $\delta_{(X_0, u_0, x_0)}^{(X_1, u_1, x_1)} = 0$ .

**Notation 8.1.10.** For a higher Artin (resp. Deligne–Mumford) stack X and a function  $p: Ob(Vo^{sm}(X)) \to \mathbb{Z} \cup \{+\infty\}$  (resp.  $p: Ob(Vo^{\acute{et}}(X)) \to \mathbb{Z} \cup \{+\infty\}$ ), we have, by restriction, the function  $p_{u_0}: |X_0| \to \mathbb{Z} \cup \{+\infty\}$  for every smooth (resp. étale) morphism  $u_0: X_0 \to X$  with  $X_0$  in Sch^{qc.sep}.

If  $f: Y \to X$  is a smooth (resp. an étale) morphism of higher Artin (resp. Deligne–Mumford) stacks, then composition with f induces a functor  $f: \operatorname{Vo}^{\operatorname{sm}}(Y) \to \operatorname{Vo}^{\operatorname{sm}}(X)$  (resp.  $f: \operatorname{Vo}^{\operatorname{\acute{e}t}}(Y) \to \operatorname{Vo}^{\operatorname{\acute{e}t}}(X)$ ), and we put  $f^* \mathfrak{p} = \mathfrak{p} \circ f$ .

**Definition 8.1.11** ((admissible/codimension) perversity evaluations). Let X be a higher Artin stack. A *smooth evaluation* on X is a function

$$p: \operatorname{Ob}(\operatorname{Vo}^{\operatorname{sm}}(X)) \to \mathbb{Z} \cup \{+\infty\}$$

such that for  $(X_1, u_1, x_1)$  dominating  $(X_0, u_0, x_0)$ , we have

$$\mathsf{p}(X_0, u_0, x_0) \leqslant \mathsf{p}(X_1, u_1, x_1) \leqslant \mathsf{p}(X_0, u_0, x_0) + 2\delta_{(X_0, u_0, x_0)}^{(X_1, u_1, x_1)}.$$

A perversity smooth evaluation (resp. admissible perversity smooth evaluation, codimension perversity smooth evaluation) on X is a smooth evaluation  $\mathbf{p}$  such that for every  $(X_0, u_0, x_0) \in$ Ob(Vosm(X)),  $\mathbf{p}_{u_0}$  is a weak perversity function (resp. admissible perversity function, codimension perversity function) on  $X_0$ .

Similarly, we define étale evaluations and (admissible/codimension) perversity étale evaluations on a higher Deligne–Mumford stack X using  $Vo^{\text{ét}}(X)$ .

We say that a smooth (resp. étale) evaluation p is *locally bounded* if for every smooth (resp. étale) morphism  $u_0: X_0 \to X$  with  $X_0$  a quasi-compact separated scheme,  $p_{u_0}$  is bounded.

*Remark* 8.1.12. If X is a scheme in Sch^{qc.sep}, then the map from the set of étale evaluations on X to the set of functions  $|X| \to \mathbb{Z} \cup \{+\infty\}$ , carrying p to  $\mathbf{p}_{id_X}$ , is bijective. Under this bijection, the notions of (weak) perversity, admissible perversity, and codimension perversity coincide.

*Example* 8.1.13. We have the following examples of perversity smooth/étale evaluations.

- (1) Let X be a higher Artin (resp. Deligne–Mumford) stack. Then every constant smooth (resp. étale) evaluation is an admissible perversity smooth (resp. étale) evaluation.
- (2) Let  $f: Y \to X$  be a morphism of higher Deligne–Mumford stacks. Let p be an étale evaluation on X. We define an étale evaluation  $f_0^* p$  on Y as follows. For any object  $(Y_0, v_0, y_0)$  of Vo^{ét}(Y), there exists a morphism  $(Y_1, v_1, y_1) \to (Y_0, v_0, y_0)$  in Vo^{ét}(Y) such

that there exists a diagram

$$\begin{array}{c|c} Y_1 & \stackrel{v_1}{\longrightarrow} Y \\ f_0 \\ \downarrow \\ X_0 & \stackrel{u_0}{\longrightarrow} X, \end{array}$$

where  $X_0$  is in Sch^{qc.sep} and  $u_0$  is étale. We put

$$f_0^* \mathsf{p}(Y_0, v_0, y_0) = \mathsf{p}(X_0, u_0, f_0(y_1)).$$

This clearly does not depend on choices. If p is a perversity étale evaluation, then so is  $f_0^* p$  by Lemma 8.1.5. If f is étale, then  $f_0^* p = f^* p$ .

If f is locally of finite type and  $q: \mathbb{N} \to \mathbb{Z}$  is a function, we define more generally an étale evaluation  $f_q^* \mathbf{p}$  on Y by

$$f_a^* \mathsf{p}(Y_0, v_0, y_0) = \mathsf{p}(X_0, u_0, f_0(y_1)) - q(\operatorname{tr.deg}[k(y_1) : k(f_0(y_1))]).$$

In the case where X and Y are schemes, the above notation is compatible with Notation 8.1.4 via the bijection in Remark 8.1.12.

(3) Let  $f: Y \to X$  be a morphism of higher Artin stacks with X being a higher Deligne– Mumford stack. Let  $\mathbf{p}$  be an étale evaluation on X, and  $q: \mathbb{Z} \to \mathbb{Z}$  a moderate function (Definition 8.1.3). Assume that f is locally of finite type in the case  $q \neq \mathbf{0}$ . We define a smooth evaluation  $f_q^* \mathbf{p}$  on Y by the formula

$$(f_{q}^{*}\mathbf{p})(Y_{0}, v_{0}, y_{0}) = ((v_{0} \circ f)_{q'}^{*}\mathbf{p})_{\mathrm{id}_{Y_{0}}}(y_{0})$$

for every object  $(Y_0, v_0, y_0)$  of  $\operatorname{Vo}^{\operatorname{sm}}(Y)$ , where  $q' \colon \mathbb{N} \to \mathbb{Z}$  is the function  $q'(n) = q(n - \dim_{y_0}(v_0))$ . If **p** is a perversity étale evaluation, then  $f_0^* \mathbf{p}$  is a perversity smooth evaluation. If X is locally Noetherian, f is locally of finite type, and **p** is a perversity (resp. admissible perversity, resp. codimension perversity) étale evaluation, then  $f_q^* \mathbf{p}$  (resp.  $f_q^* \mathbf{p}$ , resp.  $f_1^* \mathbf{p}$ ) is a perversity (resp. admissible perversity, resp. codimension perversit

8.2. **Perverse t-structures.** In this section, we define t-structures associated to perversity evaluations.

**Definition 8.2.1.** Let  $\mathcal{C}$  be a stable  $\infty$ -category equipped with a t-structure. We say that  $\mathcal{C}$  is weakly left complete (resp. weakly right complete) if  $\mathcal{C}^{\leq -\infty} \coloneqq \bigcap_n \mathcal{C}^{\leq -n}$  (resp.  $\mathcal{C}^{\geq \infty} \coloneqq \bigcap_n \mathcal{C}^{\geq n}$ ) consists of zero objects.

The family  $(\mathrm{H}^{i})_{i \in \mathbb{Z}}$  is conservative if and only if  $\mathcal{C}$  is both weakly left complete and weakly right complete (cf. [6, Proposition 1.3.7]). The following lemma slightly extends [53, Proposition 1.2.1.19].

**Lemma 8.2.2.** Let C be a stable  $\infty$ -category equipped with a t-structure. Consider the following conditions

- (1) The  $\infty$ -category  $\mathfrak{C}$  is left complete.
- (2) The  $\infty$ -category  $\mathfrak{C}$  is weakly left complete.

Then (1) implies (2). Moreover, if  $\mathbb{C}$  admits countable products and there exists an integer a such that countable products of objects of  $\mathbb{C}^{\leq 0}$  belong to  $\mathbb{C}^{\leq a}$ , then (2) implies (1).

*Proof.* The first assertion is obvious since the image of  $\mathbb{C}^{\leq -\infty}$  under the functor  $\mathbb{C} \to \widehat{\mathbb{C}}$  consists of zero objects, where  $\widehat{\mathbb{C}}$  is defined prior to [53, Proposition 1.2.1.17].

To show the second assertion, it suffices to replace f(n-1) by f(n-a-1) in the proof of [53, Proposition 1.2.1.19].

Let X be a scheme in Sch^{qc.sep}, let  $p: |X| \to \mathbb{Z} \cup \{+\infty\}$  be a function, and let  $\lambda = (\Xi, \Lambda)$  be an object of Rind. Following Gabber [23, §2], we define full subcategories  ${}^{p}\mathcal{D}^{\leq 0}(X, \lambda), {}^{p}\mathcal{D}^{\geq 0}(X, \lambda) \subseteq \mathcal{D}(X, \lambda)$  as follows: For  $\mathsf{K} \in \mathcal{D}(X, \lambda)$ ,

• K belongs to  ${}^{p}\mathcal{D}^{\leq 0}(X,\lambda)$  if and only if

$$i_{\overline{x}}^* j_{\overline{x}}^* \mathsf{K} \in \mathcal{D}^{\leqslant p(x)}(\overline{x}, \lambda)$$

for every  $x \in |X|$ .

• K belongs to  $p \mathcal{D}^{\geq 0}(X, \lambda)$  if and only if  $\mathsf{K} \in \mathcal{D}^{(+)}(X, \lambda)$  and

$$i^{!}_{\overline{x}}j^{*}_{\overline{x}}\mathsf{K} \in \mathcal{D}^{\geqslant p(x)}(\overline{x},\lambda)$$

for every  $x \in |X|$ .

Here  $\overline{x}$  is a geometric point above x, and we have natural morphisms

$$i_{\overline{x}} \colon \overline{x} \to X_{(\overline{x})}, \quad j_{\overline{x}} \colon X_{(\overline{x})} \to X.$$

We will omit  $j_{\overline{x}}^*$  from the notation when no confusion arises.

**Lemma 8.2.3.** If p is a weak perversity function, then  $({}^{p}\mathcal{D}^{\leq 0}(X,\lambda), {}^{p}\mathcal{D}^{\geq 0}(X,\lambda))$  is a t-structure on  $\mathcal{D}(X,\lambda)$ . Moreover,

- (1) this t-structure is accessible;
- (2) this t-structure is weakly left complete if p takes values in  $\mathbb{Z}$ ;
- (3) this t-structure is right complete;
- (4) this t-structure is left complete if p is locally bounded and every quasi-compact closed open subscheme of X is  $\lambda$ -cohomologically finite. Here, we say that a scheme Y is  $\lambda$ -cohomologically finite if there exists an integer n such that, for every  $\xi \in \Xi$ , the  $\Lambda(\xi)$ cohomological dimension of the étale topos of Y is at most n.

Proof. The fact that  $({}^{p}\mathcal{D}^{\leq 0}(X,\lambda), {}^{p}\mathcal{D}^{\geq 0}(X,\lambda))$  is a t-structure is a theorem of Gabber [23] when  $\Xi$  is a singleton. This generalizes easily to the case of general  $\Xi$  as follows. By [53, Proposition 1.4.4.11], there exists a t-structure  $({}^{p}\mathcal{D}^{\leq 0}(X,\lambda), \mathcal{D}')$  on  $\mathcal{D}(X,\lambda)$ . For  $\mathsf{K} \in$  ${}^{p}\mathcal{D}^{\leq 0}(X,\lambda)$  and  $\mathsf{L} \in {}^{p}\mathcal{D}^{\geq 0}(X,\lambda)$ , we have  $a_*\mathcal{H}\mathsf{om}(\mathsf{K},\mathsf{L}[1]) \in \mathcal{D}^{\geq 1}(*,\lambda)$ , hence  $\mathsf{Hom}(\mathsf{K},\mathsf{L}[1]) =$  $\mathrm{H}^{0}(\Xi, a_*\mathcal{H}\mathsf{om}(\mathsf{K},\mathsf{L}[1])) = 0$ , where  $a: X_{\mathrm{\acute{e}t}} \to *$  is the morphism of topoi. Thus, we have  ${}^{p}\mathcal{D}^{\geq 0}(X,\lambda) \subseteq \mathcal{D}'$ . For every  $\xi \in \Xi$ , the functor  $\mathrm{Le}_{\xi!} : \mathcal{D}(X,\Lambda(\xi)) \to \mathcal{D}(X,\lambda)$  is left t-exact for the t-structures  $({}^{p}\mathcal{D}^{\leq 0}(X,\Lambda(\xi)), {}^{p}\mathcal{D}^{\geq 0}(X,\Lambda(\xi)))$  and  $({}^{p}\mathcal{D}^{\leq 0}(X,\lambda),\mathcal{D}')$ . It follows that  $e_{\xi}^{*}$  is right t-exact for the same t-structures. Thus, we have  $\mathcal{D}' \subseteq {}^{p}\mathcal{D}^{\geq 0}(X,\lambda)$  as well.

For the properties, (1) and (2) follow from the definition directly; (3) follows from [23, Lemma 3.1]; and (4) follows from Lemma 8.2.2.  $\Box$ 

Now we define t-structures for stacks associated to perversity evaluations. Let X be a  $\Box$ -coprime higher Artin (resp. a higher Deligne–Mumford) stack equipped with a perversity smooth (resp. étale) evaluation p (Definition 8.1.11), and let  $\lambda$  be an object of  $\Re \operatorname{ind}_{\Box\text{-tor}}$  (resp.  $\Re \operatorname{ind}$ ). For an atlas (resp. étale atlas)  $u: X_0 \to X$  with  $X_0$  a scheme in  $\operatorname{Sch}^{\operatorname{qc.sep}}$ , we denote by  ${}^{p}\mathcal{D}_{u}^{\leq 0}(X,\lambda) \subseteq \mathcal{D}(X,\lambda)$  (resp.  ${}^{p}\mathcal{D}_{u}^{\geq 0}(X,\lambda) \subseteq \mathcal{D}(X,\lambda)$ ) the full subcategory spanned by complexes K such that  $u^*\mathsf{K}$  is in  ${}^{p_u}\mathcal{D}^{\leq 0}(X_0,\lambda)$  (resp.  ${}^{p_u}\mathcal{D}^{\geq 0}(X_0,\lambda)$ ).

**Proposition 8.2.4.** Let X be a  $\Box$ -coprime higher Artin (resp. a higher Deligne-Mumford) stack equipped with a perversity smooth (resp. étale) evaluation  $\mathbf{p}$ , and let  $\lambda$  be an object of  $\operatorname{Rind}_{\Box$ -tor (resp.  $\operatorname{Rind}$ ). Then

(1) The pair of subcategories  $({}^{p}\mathcal{D}_{u}^{\leq 0}(X,\lambda), {}^{p}\mathcal{D}_{u}^{\geq 0}(X,\lambda))$  do not depend on the choice of u. We will denote them by  $({}^{p}\mathcal{D}^{\leq 0}(X,\lambda), {}^{p}\mathcal{D}^{\geq 0}(X,\lambda))$ .

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- (2) The pair of subcategories (^pD^{≤0}(X, λ), ^pD^{≥0}(X, λ)) determine a right complete accessible t-structure on D(X, λ), which is weakly left complete if p takes values in Z. This tstructure is left complete if p is locally bounded and if for every smooth (resp. étale) morphism X₀ → X with X₀ a quasi-compact separated scheme, X₀ is λ-cohomologically finite.
- (3) If  $f: Y \to X$  is a smooth (resp. étale) morphism, then  $f^*: \mathcal{D}(X, \lambda) \to \mathcal{D}(Y, \lambda)$  is t-exact with respect to the t-structures associated to p and  $f^*p$ .

*Proof.* There exists  $k \ge -2$  such that X and Y are in  $\operatorname{Chp}^{k-\operatorname{Ar}}$  (resp.  $\operatorname{Chp}^{k-\operatorname{DM}}$ ). We proceed by induction on k. The case k = -2 follows from Lemma 8.2.3 and Lemma 8.2.5 below. The induction step follows the same proof as in Lemma 4.3.8 and Lemma 4.3.9.

**Lemma 8.2.5.** Let  $f: Y \to X$  be a smooth morphism of schemes in  $\operatorname{Sch}_{\Box}^{\operatorname{qc.sep}}$ , let  $\lambda$  be an object of  $\operatorname{Rind}_{\Box\operatorname{-tor}}$ , and let  $p: |X| \to \mathbb{Z} \cup \{+\infty\}$  be a function. Then  $f^!$  carries  ${}^p \mathbb{D}^{\geq 0}(X, \lambda)$  to  ${}^{f_2}{}^p \mathbb{D}^{\geq 0}(Y, \lambda)$ . Moreover, if p is a weak perversity function on X and q is a weak perversity function on Y satisfying  $f_0^* p \leq q \leq f_2^* p + 2 \dim f$ , then  $f^*: \mathbb{D}(X, \lambda) \to \mathbb{D}(Y, \lambda)$  is t-exact with respect to the t-structures associated to p and q.

*Proof.* The first assertion follows from Lemma 8.2.6 below. The second assertion follows from the first assertion and the Poincaré duality  $f^! \simeq f^* \langle \dim f \rangle$ .

**Lemma 8.2.6.** Let  $f: Y \to X$  be a smooth morphism in  $\operatorname{Sch}_{\Box}^{\operatorname{qc.sep}}$ , and  $\lambda$  an object of  $\operatorname{Rind}_{\Box\operatorname{-tor}}$ . Let  $\overline{y}$  be a geometric point of Y above y; put  $\overline{x} = f(\overline{y})$  and x = f(y). Then there is an equivalence of functors

$$i^{!}_{\overline{y}} \circ f^{!} \simeq g^{*} \circ i^{!}_{\overline{x}} \langle d \rangle \colon \mathcal{D}^{(+)}(X,\lambda) \to \mathcal{D}^{+}(\overline{y},\lambda),$$

where  $g: \overline{y} \to \overline{x}$  is the induced morphism and d = tr.deg[k(y): k(x)].

*Proof.* Consider the diagram with Cartesian squares

$$\begin{array}{c|c} \overline{y} & \stackrel{i_{\overline{y}}}{\longrightarrow} V \stackrel{j}{\longrightarrow} Y_{\overline{x}} \stackrel{i'_{\overline{x}}}{\longrightarrow} Y_{\overline{\{x\}}} \stackrel{i'}{\longrightarrow} Y \\ \hline g & & & \downarrow f_{\overline{\{x\}}} \\ g & & & \downarrow f_{\overline{\{x\}}} \\ \hline g & &$$

where V is a regular integral subscheme of  $Y_{\overline{x}}$  such that the image of  $\overline{y}$  in V is a generic point. We have a sequence of equivalences of functors

$$i^!_{\overline{y}} \circ f^! \simeq i^*_{\overline{y}} \circ j^! \circ i^{\prime *}_{\overline{x}} \circ i^{\prime !} \circ f^! \simeq i^*_{\overline{y}} \circ j^! \circ i^{\prime *}_{\overline{x}} \circ f^!_{\overline{\{x\}}} \circ i^! \simeq i^*_{\overline{y}} \circ j^! \circ f^!_{\overline{x}} \circ i^*_{\overline{x}} \circ i^!$$

which, by the Poincaré duality, is equivalent to

$$i_{\overline{y}}^* \circ (f_{\overline{x}} \circ j)^! \circ i_{\overline{x}}^! \simeq i_{\overline{y}}^* \circ (f_{\overline{x}} \circ j)^* \circ i_{\overline{x}}^! \langle d \rangle \simeq g^* \circ i_{\overline{x}}^! \langle d \rangle.$$

The lemma follows.

*Remark* 8.2.7. We call the t-structure in Proposition 8.2.4 the *perverse t-structure* with respect to **p** and denote by  ${}^{\mathbf{p}}\tau^{\leq 0}$  and  ${}^{\mathbf{p}}\tau^{\geq 0}$  the corresponding truncation functors, respectively.

- (1) For every (étale) atlas  $u: X_0 \to X$  with  $X_0$  a scheme in  $\operatorname{Sch}^{\operatorname{qc.sep}}$ , we have  $u^* \circ {}^{\mathsf{p}}\tau^{\leqslant 0} \simeq {}^{\mathsf{p}_u}\tau^{\leqslant 0} \circ u$  and  $u^* \circ {}^{\mathsf{p}}\tau^{\geqslant 0} \simeq {}^{\mathsf{p}_u}\tau^{\geqslant 0} \circ u$ .
- (2) If  $\mathbf{p} = 0$ , then we recover the usual t-structure. If X is a higher Deligne-Mumford stack and  $\mathbf{p}$  is a perversity smooth evaluation, then the t-structure associated to  $\mathbf{p}$  coincides with the t-structure associated to  $\mathbf{p} | \operatorname{Vo}^{\operatorname{\acute{e}t}}(X)$ . If X is in Sch^{qc.sep}, then the t-structure associated to  $\mathbf{p}$  coincides with the t-structure defined by Gabber (as in Lemma 8.2.3) associated to the function  $\mathbf{p}_{\operatorname{id} x}$ .

- (3) Let K be a complex in  $\mathcal{D}(X,\lambda)$ . Then by definition,
  - K belongs to  ${}^{\mathsf{p}}\mathcal{D}^{\leq n}(X,\lambda)$  if and only if for every pointed smooth (resp. étale) schematic neighborhood  $(X_0, u_0, x_0)$  of X and a geometric point  $\overline{x_0}$  lying over  $x_0$ , we have  $i_{\overline{x_0}}^* u_0^* \mathsf{K} \in \mathcal{D}^{\leq \mathsf{p}(X_0, u_0, x_0) + n}(\overline{x_0}, \lambda)$ .
  - K belongs to  ${}^{p}\mathcal{D}^{\geqslant n}(X,\lambda)$  if and only if  $\mathsf{K} \in \mathcal{D}^{(+)}(X,\lambda)$ , and for every pointed smooth (resp. étale) schematic neighborhood  $(X_0, u_0, x_0)$  of X and a geometric point  $\overline{x_0}$  lying over  $x_0$ , we have  $i_{\overline{x_0}}^! u_0^*\mathsf{K} \in \mathcal{D}^{\geqslant \mathsf{p}(X_0, u_0, x_0) + n}(\overline{x_0}, \lambda)$ .

At the end of the section, we study the restriction of perverse t-structures constructed above to various subcategories of constructible complexes. We fix a  $\Box$ -coprime base scheme S that is a disjoint union of excellent schemes, endowed with a global dimension function.

**Proposition 8.2.8.** Let  $\lambda = (\Xi, \Lambda)$  be an object of  $\operatorname{Rind}_{\square\operatorname{-dual}}$ . Let  $f: X \to \mathbb{S}$  be an object of  $\operatorname{Chp}_{\operatorname{lft}/\mathbb{S}}^{\operatorname{Ar}}$  equipped with an admissible perversity smooth evaluation p (Definition 8.1.11). Then the truncation functors  ${}^{p}\tau^{\leq 0}$ ,  ${}^{p}\tau^{\geq 0}$  preserve the full subcategory  $\mathcal{D}_{\operatorname{cons}}^{(b)}(X,\lambda)$ . Moreover, if p is locally bounded, then  ${}^{p}\tau^{\leq 0}$ ,  ${}^{p}\tau^{\geq 0}$  preserve  $\mathcal{D}_{\operatorname{cons}}^{?}(X,\lambda)$  for ? = (+), (-) or empty.

*Proof.* We reduce easily to the case of a scheme. In this case, the result is essentially [23, Theorem 8.2].  $\Box$ 

8.3. Adic perverse t-structures. For perverse t-structures in the adic formalism, we define

$${}^{\mathbf{p}}\mathcal{D}^{\leqslant n}(X,\lambda)_{\mathbf{a}} = {}^{\mathbf{p}}\mathcal{D}^{\leqslant n}(X,\lambda) \cap \mathcal{D}(X,\lambda)_{\mathbf{a}}, \quad {}^{\mathbf{p}}\mathcal{D}^{\geqslant n}(X,\lambda)_{\mathbf{a}} = {}^{\mathbf{p}}\mathcal{D}^{\leqslant n-1}(X,\lambda)_{\mathbf{a}}$$

both as full subcategories of  $\mathcal{D}(X,\lambda)_{a}$ . Then the pair  $({}^{p}\mathcal{D}^{\leq 0}(X,\lambda)_{a}, {}^{p}\mathcal{D}^{\geq 0}(X,\lambda)_{a})$  define a tstructure, called the *adic perverse t-structure* with respect to  $\mathbf{p}$ , on  $\mathcal{D}(X,\lambda)_{a}$ . Denote  ${}^{p}\tau_{a}^{\leq 0}$  and  ${}^{p}\tau_{a}^{\geq 0}$  the corresponding truncation functors respectively. We have the following results.

**Lemma 8.3.1.** Let X be a  $\Box$ -coprime higher Artin stack (resp. a higher Deligne–Mumford stack) equipped with a perversity smooth (resp. étale) evaluation p, and  $\lambda$  an object of  $\operatorname{Rind}_{\Box\text{-tor}}$  (resp.  $\operatorname{Rind}$ ). Let  $\mathsf{K} \in \mathcal{D}(X, \lambda)_{\mathsf{a}}$  be an (adic) complex. Let  $u: X_0 \to X$  be an atlas (resp. étale atlas) with  $X_0$  a scheme in  $\operatorname{Sch}^{\operatorname{qc.sep}}$ . Then  $\mathsf{K}$  belongs to  ${}^{\mathsf{P}}\mathcal{D}^{\leq n}(X, \lambda)_{\mathsf{a}}$  (resp.  ${}^{\mathsf{P}}\mathcal{D}^{\geq n}(X, \lambda)_{\mathsf{a}}$ ) if and only if  $u^{*\mathsf{a}}\mathsf{K}$  belongs to  ${}^{\mathsf{P}}\mathcal{D}^{\leq n}(X_0, \lambda)_{\mathsf{a}}$  (resp.  ${}^{\mathsf{P}}\mathcal{D}^{\geq n}(X_0, \lambda)_{\mathsf{a}}$ ).

*Proof.* We only need to show that  $u^{*a}$  is t-exact. By definition, we obviously have  $u^{*a} {}^{p} \mathcal{D}^{\leq n}(X, \lambda)_{a} \subseteq {}^{p_{u}} \mathcal{D}^{\leq n}(X_{0}, \lambda)_{a}$ . For the other direction, assume  $\mathsf{K} \in {}^{p} \mathcal{D}^{>n}(X, \lambda)_{a}$ , that is, Hom(L, K) = 0 for every  $\mathsf{L} \in \mathcal{D}(X, \lambda)_{a} \cap {}^{p} \mathcal{D}^{\leq n}(X, \lambda)$ . By the Poincaré duality, it suffices to show that for every  $\mathsf{L}' \in \mathcal{D}(X_{0}, \lambda)_{a} \cap {}^{p_{u}} \mathcal{D}^{\leq n-2\dim u}(X_{0}, \lambda)$ , we have Hom( $\mathsf{L}', u^{!a}\mathsf{K}$ ) = 0, or equivalently, Hom( $u_{!a}\mathsf{L}', \mathsf{K}$ ) = 0. This follows from the fact that  $u_{!}$  preserves adic complexes and we have  $u_{!}\mathsf{L}' \in {}^{p} \mathcal{D}^{\leq n}(X, \lambda)$ .

**Proposition 8.3.2.** Let X be a  $\Box$ -coprime higher Artin stack (resp. a higher Deligne–Mumford stack) equipped with a perversity smooth (resp. étale) evaluation  $\mathbf{p}$ , and  $\lambda$  an object of  $\operatorname{Rind}_{\Box$ -tor (resp. Rind). Let  $\mathsf{K} \in \mathcal{D}(X, \lambda)_a$  be an (adic) complex.

- (1) Then K belongs to  ${}^{\mathsf{p}}\mathcal{D}^{\leq n}(X,\lambda)_{\mathrm{a}}$  if and only if for every pointed smooth (resp. étale) schematic neighborhood  $(X_0, u_0, x_0)$  of X and a geometric point  $\overline{x_0}$  lying over  $x_0$ , we have  $i\frac{*\pi}{x_0}u_0^{**}\mathsf{K} \in \mathcal{D}^{\leq \mathsf{p}(X_0, u_0, x_0)+n}(\overline{x_0}, \lambda)_{\mathrm{a}}$ .
- (2) Assume that  $\mathbf{p}$  is locally bounded. Then  $\mathsf{K}$  belongs to  ${}^{\mathsf{p}}\mathbb{D}^{\geq n}(X,\lambda)_{\mathsf{a}}$  if and only if  $\mathsf{K} \in \mathbb{D}^{(+)}(X,\lambda)_{\mathsf{a}}$ , and for every pointed smooth (resp. étale) schematic neighborhood  $(X_0, u_0, x_0)$  of X and a geometric point  $\overline{x_0}$  lying over  $x_0$ , we have  $i_{\overline{x_0}}^{!\mathsf{a}} u_0^{*\mathsf{a}} \mathsf{K} \in \mathbb{D}^{\geq \mathsf{p}(X_0, u_0, x_0) + n}(\overline{x_0}, \lambda)_{\mathsf{a}}$ .

*Proof.* Part (1) is a consequence of the definition and Remark 8.2.7(3).

For (2), by Lemma 8.3.1, we may assume that  $X \in Sch^{qc.sep}$  is quasi-compact and p = pis a bounded weak perversity function. Then  $\mathsf{K} \in {}^p \mathcal{D}^{\geq n}(X, \lambda)_{\mathrm{a}}$  is equivalent to that for every  $\mathsf{L} \in {}^{p} \mathcal{D}^{< n}(X, \lambda)_{\mathrm{a}}, \, \mathcal{H}\mathrm{om}(\mathsf{L}, \mathsf{K}) \in \mathcal{D}^{>0}(X, \lambda), \, \text{which is then equivalent to } \mathcal{H}\mathrm{om}(\mathsf{L}, \mathsf{K}) \in \mathcal{D}^{+}(X, \lambda)$ and  $i_{\overline{x}}^{!} \mathcal{H}om(\mathsf{L},\mathsf{K}) \in \mathcal{D}^{>0}(\overline{x},\lambda)$  for every geometric point  $\overline{x}$  of X. By Proposition 7.2.7(4), we have isomorphisms

$$i_{\overline{x}}^{!} \mathcal{H}om(\mathsf{L},\mathsf{K}) \simeq \mathcal{H}om(i_{\overline{x}}^{*}\mathsf{L}, i_{\overline{x}}^{!}\mathsf{K}) \simeq \mathcal{H}om(i_{\overline{x}}^{*}\mathsf{L}, i_{\overline{x}}^{!}\mathsf{K}).$$

Now we may assume  $\alpha for some <math>\alpha, \beta \in \mathbb{Z}$  since p is bounded. Then  ${}^{p}\mathcal{D}^{<n}(X,\lambda)_{a}$ 

contains  $\mathcal{D}^{<\alpha+n}(X,\lambda)_{\mathbf{a}}$ . Now for  $\mathsf{K} \in {}^{p}\mathcal{D}^{\geq n}(X,\lambda)_{\mathbf{a}}$ , we have  $\mathsf{K} \in \mathcal{D}^{\geq \alpha+n}(X,\lambda)_{\mathbf{a}} \subseteq \mathcal{D}^{+}(X,\lambda)_{\mathbf{a}}$  and  $i_{\overline{x}}^{\mathrm{i}\mathbf{a}}\mathsf{K} \in \mathcal{D}^{\geq p(x)+n}(\overline{x},\lambda)_{\mathbf{a}}$  for every geometric point  $\overline{x}$  of X lying over x.

Conversely, assume  $\mathsf{K} \in \mathcal{D}^+(X,\lambda)_{\mathrm{a}}$ , say in  $\mathcal{D}^{\geq \gamma}(X,\lambda)_{\mathrm{a}}$ , and  $i_{\overline{x}}^{\mathrm{la}}\mathsf{K} \in \mathcal{D}^{\geq p(x)+n}(\overline{x},\lambda)_{\mathrm{a}}$  for every geometric point  $\overline{x}$  of X lying over x. We have  $\mathcal{H}om(\mathsf{L},\mathsf{K}) \in \mathcal{D}^{\geq \gamma-\beta-n}(X,\lambda) \subseteq \mathcal{D}^+(X,\lambda)$  and  $\mathcal{H}om(i_{\overline{x}}^{*a}\mathsf{L}, i_{\overline{x}}^{!a}\mathsf{K}) \in \mathcal{D}^{>0}(\overline{x}, \lambda).$  Thus, we have  $\mathsf{K} \in {}^{p}\mathcal{D}^{\geq n}(X, \lambda)_{a}.$  $\square$ 

Remark 8.3.3. Let p, q be two perversity smooth (resp. étale) evaluations on a  $\Box$ -coprime higher Artin stack (resp. a higher Deligne–Mumford stack) X. Let  $\lambda$  be an object of  $\mathcal{R}ind_{\Box-tor}$  (resp. Rind). Let the subscript ? be either "a" or empty.

- (1) The intersection of the pair of subcategories  $({}^{\mathsf{p}}\mathcal{D}^{\leq 0}(X,\lambda)_{?}, {}^{\mathsf{p}}\mathcal{D}^{\geq 0}(X,\lambda)_{?})$  with  $\mathcal{D}^{(+)}(X,\lambda)_{?}$  induces a t-structure on the latter stable  $\infty$ -category.
- (2) If  $p \leq q$ , then

  - (a)  ${}^{\mathsf{p}}\tau_{?}^{\leqslant 0}$  preserves  ${}^{\mathsf{q}}\mathcal{D}^{\leqslant 0}(X,\lambda)_{?};$ (b)  ${}^{\mathsf{q}}\tau_{?}^{\ast 0}$  preserves  ${}^{\mathsf{p}}\mathcal{D}^{\geqslant 0}(X,\lambda)_{?};$
  - (c)  ${}^{\mathsf{p}}\tau_?^{\geq 0}$  is equivalent to the identity functor when restricted to  ${}^{\mathsf{q}}\mathcal{D}^{\geq 0}(X,\lambda)_?$ ;
  - (d)  ${}^{q}\tau_{2}^{\leq 0}$  is equivalent to the identity functor when restricted to  ${}^{p}\mathcal{D}^{\leq 0}(X,\lambda)_{7}$ ;
  - (e)  ${}^{\mathsf{p}}\tau_2^{<0}$  is equivalent to the null functor when restricted to  ${}^{\mathsf{q}}\mathcal{D}^{\geq 0}(X,\lambda)_2$ ;
  - (f)  ${}^{\mathsf{q}}\tau_2^{>0}$  is equivalent to the null functor when restricted to  ${}^{\mathsf{p}}\mathcal{D}^{\leq 0}(X,\lambda)_2$ .
- (3) By (2a), if **p** is locally bounded, then the intersection of the pair of subcategories  $({}^{\mathsf{p}}\mathcal{D}^{\leq 0}(X,\lambda)_{?}, {}^{\mathsf{p}}\mathcal{D}^{\geq 0}(X,\lambda)_{?})$  with  $\mathcal{D}^{(-)}(X,\lambda)_{?}$  or  $\mathcal{D}^{(b)}(X,\lambda)_{?}$  induces a t-structure on the latter stable  $\infty$ -category.
- (4) By (2e) and (2f), if X is quasi-compact and  $\mathbf{p}$  is bounded, then there exist constant integers  $\alpha < \beta$  such that  ${}^{\mathsf{p}}\mathrm{H}_{2}^{0} = {}^{\mathsf{p}}\mathrm{H}_{2}^{0} \circ \tau_{2}^{[\alpha,\beta]}$ , where  ${}^{\mathsf{p}}\mathrm{H}_{2}^{0} = {}^{\mathsf{p}}\tau_{2}^{\geq 0} \circ {}^{\mathsf{p}}\tau_{2}^{\leq 0}$  is the cohomology functor.

### 9. Hyperdescent properties

In this chapter, we study hyperdescent properties for certain operations on stacks. In §9.1, we study some general facts for hyperdescent. In §9.2, §9.3 and §9.4, we study smooth, proper and flat hyperdescent, respectively.

9.1. Hyperdescent. In this section, we study hyperdescent properties in the general setup.

**Definition 9.1.1.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be  $\infty$ -categories, let  $F: \mathcal{C}^{op} \to \mathcal{D}$  be a functor, and let  $X^+_{\bullet}: \mathbb{N}(\mathbf{\Delta}_+)^{op} \to \mathcal{C}$  be an augmented simplicial object of  $\mathcal{C}$ .

- (1) We say that  $X_{\bullet}^+$  is an *augmentation of* F-descent if  $F \circ (X_{\bullet}^+)^{op}$  is a limit diagram in  $\mathcal{D}$ .
- (2) Assume that C admits pullbacks. We say that  $X_{\bullet}^+$  is a hypercovering for universal Fdescent if  $X_q^+ \to (\operatorname{cosk}_{q-1}(X_{\bullet}^+/X_{-1}^+))_q$  is a morphism of universal F-descent for all  $q \ge 0.$

By definition, a morphism of C is of *F*-descent (Definition 3.3.1) if and only if its Čech nerve is an augmentation of *F*-descent. We now give several criteria for  $(2) \Rightarrow (1)$ .

**Proposition 9.1.2.** Let  $\mathcal{C}$  be an  $\infty$ -category admitting pullbacks, let  $\mathcal{D}$  be an n-category admitting finite limits for an integer  $n \ge 0$ , and let  $F \colon \mathcal{C}^{op} \to \mathcal{D}$  be a functor. Then every hypercovering  $X^+_{\bullet}$  for universal F-descent is an augmentation of F-descent.

To prove Proposition 9.1.2, we need a few lemmas.

**Lemma 9.1.3.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be  $\infty$ -categories such that  $\mathcal{C}$  admits finite limits, let  $F \colon \mathcal{C}^{op} \to \mathcal{D}$  be a functor, and let e be a final object of  $\mathcal{C}$ . Let  $f_{\bullet} \colon U_{\bullet} \to V_{\bullet}$  be a morphism of simplicial objects of  $\mathcal{C}$  such that  $V_{\bullet} \to e$  is an augmentation of F-descent and  $f_q$  is a morphism of F-descent for all q. Assume that there exists an integer  $n \ge 0$  such that  $U_{\bullet}$  is n-coskeletal,  $V_{\bullet}$  is (n-1)-coskeletal, and  $f_q$  is an equivalence for q < n. Then  $U_{\bullet} \to e$  is an augmentation of F-descent.

Proof. With out lost of generality, we may assume that F(e) is an initial object of  $\mathcal{D}$ . Let  $W_+: \mathrm{N}(\Delta_+ \times \Delta)^{op} \to \mathrm{Fun}(\Delta^1, \mathbb{C})$  be a Čech nerve of  $f_{\bullet}$ , and put  $W := W_+ | \mathrm{N}(\Delta \times \Delta)^{op}$ . For every  $q \ge 0$ ,  $W_+ | \mathrm{N}(\Delta_+ \times \{[q]\})^{op}$  is a Čech nerve of  $f_q$ , which is a morphism of F-descent by assumption. It follows that  $F \circ W_+^{op} | \mathrm{N}(\Delta_+ \times \{[q]\})$  is a limit diagram. Thus, we may identify the limit of  $F \circ W^{op}$  with the limit  $F \circ W_+^{op} | \mathrm{N}(\{[-1]\} \times \Delta_s)$ . Since  $W_+ | \mathrm{N}(\{[-1]\} \times \Delta)^{op}$  can be identified with  $V_{\bullet}$ , the limit of  $F \circ W^{op}$  can be identified with F(e). Put  $D_{\bullet} := W \circ \delta$ , where  $\delta: \mathrm{N}(\Delta)^{op} \to \mathrm{N}(\Delta \times \Delta)^{op}$  is the diagonal map. Since  $\mathrm{N}(\Delta)^{op}$  is sifted [52, Lemma 5.5.8.4], the limit of  $F \circ D_{\bullet}^{op}$  can be identified with F(e). The proof of [52, Lemma 6.5.3.9] exhibits  $U_{\bullet} | \mathrm{N}(\Delta_s)^{op}$  as a retract of  $D_{\bullet} | \mathrm{N}(\Delta_s)^{op}$ . It follows that the limit of  $F \circ U_{\bullet}^{op}$  is a retract of F(e), hence is F(e). The lemma follows.

**Lemma 9.1.4.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be  $\infty$ -categories such that  $\mathcal{C}$  admits pullbacks, let  $F: \mathcal{C}^{op} \to \mathcal{D}$  be a functor, and let  $X^+_{\bullet}$  be an n-coskeletal hypercovering for universal F-descent for an integer  $n \ge -1$ . Then  $X^+_{\bullet}$  is an augmentation of F-descent.

*Proof.* Since morphisms of universal *F*-descent are stable under pullbacks and compositions, the morphism  $\operatorname{cosk}_m(X^+_{\bullet}/X^+_{-1}) \to \operatorname{cosk}_{m-1}(X^+_{\bullet}/X^+_{-1})$  satisfies the assumptions of Lemma 9.1.3. It follows by induction that  $\operatorname{cosk}_n(X^+_{\bullet}/X^+_{-1})$  is an augmentation of *F*-descent.

**Lemma 9.1.5.** Let  $n \ge -1$  be an integer, let  $\mathcal{D}$  be an n-category admitting finite colimits, and let  $f_{\bullet}: Y_{\bullet} \to X_{\bullet}$  be a morphism of semisimplicial (resp. simplicial) objects of  $\mathcal{D}$  such that  $Y_q \to X_q$  is an equivalence for  $q \le n$ . Then the induced morphism between geometric realizations  $|f_{\bullet}|: |Y_{\bullet}| \to |X_{\bullet}|$  is an equivalence in  $\mathcal{D}$ .

*Proof.* The existence of the geometric realizations is guaranteed by [53, Lemma 1.3.3.10]. The semisimplicial case follows from the simplicial case by taking left Kan extensions. The simplicial case follows from the proof of [53, Lemma 1.3.3.10].  $\Box$ 

Proof of Proposition 9.1.2. It suffices to apply the dual version of Lemma 9.1.5 to the morphism  $h: X_{\bullet}^+ \to \operatorname{cosk}_n(X_{\bullet}^+/X_{-1}^+)$  and Lemma 9.1.4.

The following proposition can be used to deduce Gabber's hyper base change theorem [41, Exposé XIII, Théorème 2.2.5] (see [41, Exposé XII, Remark 2.3]).

**Proposition 9.1.6.** Let  $\mathcal{C}$  be an  $\infty$ -category admitting pullbacks, let  $\mathcal{D}$  be a stable  $\infty$ -category endowed with a weakly right complete t-structure that either admits countable limits or is right complete, let  $F: \mathcal{C}^{op} \to \mathcal{D}$  be a functor, and let  $X^+_{\bullet}: \mathrm{N}(\Delta_+)^{op} \to \mathcal{C}$  be a hypercovering for universal F-descent such that  $F \circ (X^+_{\bullet})^{op}$  factorizes through  $\mathcal{D}^{\geq 0}$ . Then  $X^+_{\bullet}$  is an augmentation of F-descent. *Proof.* Let  $n \ge 0$ . By Lemma 9.1.4,  $Y_{\bullet}^+ = \operatorname{cosk}_n(X_{\bullet}^+/X_{-1}^+)$  is an augmentation of *F*-descent, so that it suffices to show that the morphism

$$c \colon K \coloneqq \varprojlim_{p \in \mathbf{\Delta}} F(X_p) \to L \coloneqq \varprojlim_{p \in \mathbf{\Delta}} F(Y_p)$$

induced by  $h_{\bullet}: X_{\bullet}^+ \to Y_{\bullet}^+$  is an isomorphism. By [53, Remark 1.2.4.4, Proposition 1.2.4.5], we have a morphism of converging spectral sequences

$$\begin{split} \mathbf{E}_{1}^{p,q} &= \mathbf{H}^{q}F(X_{p}) \Longrightarrow \mathbf{H}^{p+q}K \\ c_{1}^{p,q} & \bigvee \\ \mathbf{F}_{1}^{p,q} & \bigvee \\ \mathbf{F}_{1}^{p,q} &= \mathbf{H}^{q}F(Y_{p}) \Longrightarrow \mathbf{H}^{p+q}L, \end{split}$$

concentrated in the first quadrant. For  $p \leq n$ , since  $h_p$  is an equivalence,  $c_1^{p,q}$  is an isomorphism for all q. It follows that  $c_r^{p,q}$  is an isomorphism for  $p + q \leq n - 1$ , and  $\tau^{\leq n-1}c$  is an equivalence. Since n is arbitrary and  $\mathcal{D}$  is weakly right complete, c is an equivalence.

We denote by  $\Pr_{st,t}^{L}$  (resp.  $\Pr_{st,t}^{R}$ ) the  $\infty$ -category defined as follows:

- Objects of  $\Pr_{st,t}^{L}$  (resp.  $\Pr_{st,t}^{R}$ ) are presentable stable  $\infty$ -categories equipped with a t-structure.
- Morphisms of  $\Pr_{st,t}^{L}$  (resp.  $\Pr_{st,t}^{R}$ ) are t-exact functors admitting right (resp. left) adjoints.

The  $\infty$ -categories  $\Pr_{\mathrm{st},\mathrm{t}}^{\mathrm{L}}$  (resp.  $\Pr_{\mathrm{st},\mathrm{t}}^{\mathrm{R}}$ ) admit small limits, and those limits are preserved by the forgetful functor  $\Pr_{\mathrm{st},\mathrm{t}}^{\mathrm{L}} \to \Pr_{\mathrm{st}}^{\mathrm{L}}$  (resp.  $\Pr_{\mathrm{st},\mathrm{t}}^{\mathrm{R}} \to \Pr_{\mathrm{st}}^{\mathrm{R}}$ ). For a diagram  $K \to \Pr_{\mathrm{st},\mathrm{t}}^{\mathrm{L}}$  or  $K \to \Pr_{\mathrm{st},\mathrm{t}}^{\mathrm{R}}$ ,  $(\varprojlim \mathbb{C}_k)^{\leq 0}$  (resp.  $(\varprojlim \mathbb{C}_k)^{\geq 0}$ ) is the full subcategory of  $\varprojlim \mathbb{C}_k$  spanned by objects whose image in  $\mathbb{C}_k$  is in  $\mathbb{C}_k^{\leq 0}$  (resp.  $\mathbb{C}_k^{\geq 0}$ ). For an interval  $I \subseteq \mathbb{Z}$ , we have an equivalence  $(\varprojlim \mathbb{C}_k)^{\in I} \to \varprojlim \mathbb{C}_k^{\in I}$ .

We denote by  $\mathfrak{Pr}_{st,t,wrc}^{L}$  (resp.  $\mathfrak{Pr}_{st,t,rc,wlc}^{R}$ ) the full subcategory of  $\mathfrak{Pr}_{st,t}^{L}$  (resp.  $\mathfrak{Pr}_{st,t}^{R}$ ) spanned by those  $\mathcal{C}$  that are weakly right complete (resp. right complete and weakly left complete). This full subcategory is stable under small limits in  $\mathfrak{Pr}_{st,t}^{L}$  (resp.  $\mathfrak{Pr}_{st,t}^{R}$ ).

Proposition 9.1.7. Consider a diagram

$$\begin{array}{c|c} \mathcal{D}'^{op} & \xrightarrow{F} \mathcal{P}r_{\mathrm{st,t,rc,wlc}}^{\mathrm{R}} \\ j^{op} & & & \downarrow P \\ \mathcal{D}^{op} & \xrightarrow{G} \mathcal{C}at_{\infty} \end{array}$$

of  $\infty$ -categories, in which  $\mathbb{D}$  admits pullbacks, j is an inclusion satisfying the right lifting property with respect to  $\partial \Delta^n \subseteq \Delta^n$  for  $n \ge 2$ , and P is the forgetful functor. Assume that the arrows in  $\mathbb{D}'$ are stable under pullbacks in  $\mathbb{D}$  by arrows in  $\mathbb{D}'$ . Let  $X_{\bullet}^+: \mathbb{N}(\mathbf{\Delta}_+)^{op} \to \mathbb{D}$  be a hypercovering for universal G-descent such that  $X_{\bullet}^+ | \mathbb{N}(\mathbf{\Delta}_{s+})^{op}$  factorizes through j. Then  $X_{\bullet}^+$  is an augmentation of G-descent.

Proof. By the right completeness of  $F(X_p^+)$  for  $p \ge -1$ , it suffices to show that  $(F \circ (X_{\bullet}^+)^{op} | \mathbf{N}(\Delta_{s+}))^{\le 0}$  is a limit diagram. Put  $\mathcal{C} = \varprojlim (F \circ (X_{\bullet}^+)^{op} | \mathbf{N}(\Delta_s))$  for simplicity. We then have the induced t-exact functor  $f^* \colon F(X_{-1}^+) \to \mathbb{C}$ . Let  $f_! \colon \mathcal{C} \to F(X_{-1}^+)$  be a left adjoint of  $f^*$ . The restrictions of these provide adjoint functors

$$(f_!)^{\leqslant 0} \colon \mathcal{C}^{\leqslant 0} \to F(X_{-1}^+)^{\leqslant 0}, \quad (f^*)^{\leqslant 0} \colon F(X_{-1}^+)^{\leqslant 0} \to \mathcal{C}^{\leqslant 0}.$$

Let us first show that  $a: f_!f^*K \to K$  is an equivalence for all  $K \in F(X_{-1}^+)^{\leq 0}$ , namely, that  $(f^*)^{\leq 0}$  is fully faithful. This is similar to Proposition 9.1.6. Take  $n \geq 0$ . The morphism
$h_{\bullet}\colon X_{\bullet}^+\to \mathrm{cosk}_n(X_{\bullet}^+/X_{-1}^+)=Y_{\bullet}^+$  induces a diagram



where  $g_!$  is a left adjoint of the t-exact functor  $g^* \colon F(X_{-1}^+) \to \varprojlim F \circ (Y_{+}^+)^{op} \mid \mathcal{N}(\Delta_s)$ . By Lemma 9.1.4,  $Y_{\bullet}^+$  is an augmentation of *G*-descent, so that *b* is an equivalence. Moreover, we have  $c = \varinjlim(f_p!f_p^*K \to g_p!g_p^*K)$ , where  $f_{p!}$  is a left adjoint of  $f_p^* \colon F(X_{-1}^+) \to F(X_p^+)$ ,  $g_{p!}$  is a left adjoint of  $g_p^* \colon F(Y_{-1}^+) \to F(Y_p^+)$ , and  $f_p!f_p^* \to g_p!g_p^*$  is induced by  $h_p$ . By [53, Remark 1.2.4.4, Proposition 1.2.4.5], we have a morphism of converging spectral sequences

concentrated in the third quadrant. For  $p \ge -n$ , since  $h_p$  is an equivalence,  $c_1^{p,q}$  is an isomorphism for all q. It follows that  $c_r^{p,q}$  is an isomorphism for  $p+q \ge 1-n$ , and  $\tau^{\ge 1-n}c$  is an equivalence. Therefore,  $\tau^{\ge 1-n}a$  is an equivalence. Since n is arbitrary and  $F(X_{-1}^+)$  is weakly left complete, a is an equivalence.

It remains to show that  $d: L \to f^* f_! L$  is an equivalence for every  $L \in \mathbb{C}^{\leq 0}$ . Since  $\mathbb{C}$  is weakly left complete, it suffices to show that  $\tau^{\geq 1-n}d$  is an equivalence for every  $n \geq 1$ . For this, we may assume  $L \in \mathbb{C}^{[1-n,0]}$ . We will show that L is in the essential image of  $(f^*)^{\leq 0}$ . Since  $(f^*)^{\leq 0}$ is fully faithful, this proves that d is an equivalence. Let  $H: \mathfrak{Pr}^{\mathrm{R}}_{\mathrm{st,t,rc,wlc}} \to \mathrm{Cat}_n$  be the functor sending  $\mathcal{F}$  to  $\mathcal{F}^{[1-n,0]}$ , where  $\mathrm{Cat}_n$  is the  $\infty$ -category of n-categories. It suffices to show that  $H \circ F \circ (X^+_{\bullet})^{op} \mid \mathrm{N}(\Delta_{s+})$  is a limit diagram. Since  $\mathrm{Cat}_n$  is an (n+1)-category, we may assume that  $X^+_{\bullet}/X^+_{-1}$  is (n+1)-coskeletal by Lemma 9.1.5 applied to  $X^+_{\bullet} \to \mathrm{cosk}_{n+1}(X^+_{\bullet}/X^+_{-1})$ . In this case,  $F \circ (X^+_{\bullet})^{op} \mid \mathrm{N}(\Delta_{s+})$  is a limit diagram by Lemma 9.1.4.

The following variant of Proposition 9.1.7 will be used to establish proper hyperdescent. To state it conveniently, we introduce a bit of terminology. Let  $\mathcal{C}$  be an  $\infty$ -category admitting pullbacks, and  $F: \mathcal{C}^{op} \to \operatorname{Cat}_{\infty}$  a functor. We say that a morphism f of  $\mathcal{C}$  is F-conservative if F(f) is conservative. We say that f is universally F-conservative if every pullback of f in  $\mathcal{C}$  is F-conservative. We say that an augmented simplicial object  $X^+_{\bullet}$  of  $\mathcal{C}$  is a hypercovering for universal F-conservativeness if  $X^+_n \to (\operatorname{cosk}_{n-1}(X^+_{\bullet}/X^+_{-1}))_n$  is universally F-conservative for every  $n \ge 0$ .

**Proposition 9.1.8.** Let  $\mathcal{C}$  be an  $\infty$ -category admitting pullbacks, let  $F \colon \mathcal{C}^{op} \to \mathcal{P}r^{L}_{st,t,wrc}$  be a functor, and let a be an integer.

- (1) Let  $G: \operatorname{Pr}_{\mathrm{st,t,wrc}}^{\mathrm{L}} \to \operatorname{Cat}_{\infty}$  be the functor sending  $\mathfrak{C}$  to  $\mathfrak{C}^{\geq a}$ . If  $X_{\bullet}^{+}$  is a hypercovering for universal  $(G \circ F)$ -descent, then it is an augmentation of  $(G \circ F)$ -descent.
- (2) Let  $G: \operatorname{Pr}_{\mathrm{st,t,wrc}}^{\mathbf{L}} \to \operatorname{Cat}_{\infty}$  be the functor sending  $\mathbb{C}$  to  $\mathbb{C}^+ := \bigcup_n \mathbb{C}^{\geq n}$ . If  $X_{\bullet}^+$  is a hypercovering for universal  $(G \circ F)$ -descent and for universal  $(P \circ F)$ -conservativeness, where  $P: \operatorname{Pr}_{\mathrm{st,t,wrc}}^{\mathbf{L}} \to \operatorname{Cat}_{\infty}$  is the forgetful functor, then it is an augmentation of  $(G \circ F)$ descent.

*Proof.* The proof for (1) is similar to the proof of Proposition 9.1.7. For (2), the conservativeness implies that  $G(\varprojlim F \circ (X^+_{\bullet})^{op}) \to \varprojlim G \circ F \circ (X^+_{\bullet})^{op}$  is an equivalence. The rest of the proof is similar.

9.2. Smooth hyperdescent. The étale  $\infty$ -topos of an affine scheme is not hypercomplete (see [52, §6.5.2] for the definition) in general. By contrast, the stable  $\infty$ -categories we constructed satisfy smooth hyperdescent.

We regard the map

$${}_{\operatorname{Chp}^{\operatorname{Ar}}} \operatorname{EO}^{\otimes} \coloneqq ({}_{\operatorname{Chp}^{\operatorname{Ar}}} \operatorname{EO}^{\operatorname{I}})^{\otimes} \colon \operatorname{N}(\operatorname{Chp}^{\operatorname{Ar}})^{op} \times \operatorname{N}(\operatorname{\operatorname{Rind}})^{op} \to \operatorname{CAlg}(\operatorname{Cat}_{\infty})^{\operatorname{L}}_{\operatorname{pr,st,cl}}$$

and the map

$${}_{\operatorname{Chp}^{\operatorname{Ar}}_{\Box}}\operatorname{EO}_{!}\colon \operatorname{N}(\operatorname{Chp}^{\operatorname{Ar}}_{\Box})_{F} \times \operatorname{N}(\operatorname{\mathfrak{R}ind}_{\Box\operatorname{-tor}})^{op} \to \operatorname{Pr}^{\operatorname{L}}_{\operatorname{st}}$$

from §5.4 as functors

$$\begin{split} \underset{\operatorname{Chp}^{\operatorname{Ar}} \operatorname{EO}^{\otimes} \colon \operatorname{N}(\operatorname{Chp}^{\operatorname{Ar}})^{op} \to \operatorname{Fun}(\operatorname{N}(\operatorname{\operatorname{\mathcal{R}ind}})^{op}, \operatorname{CAlg}(\operatorname{\operatorname{Cat}}_{\infty})^{\operatorname{L}}_{\operatorname{pr,st,cl}}), \\ \underset{\operatorname{Chp}^{\operatorname{Ar}}_{\Box} \operatorname{EO}_{!} \colon \operatorname{N}(\operatorname{Chp}^{\operatorname{Ar}}_{\Box})_{F} \to \operatorname{Fun}(\operatorname{N}(\operatorname{\operatorname{\mathcal{R}ind}}_{\Box\operatorname{-tor}})^{op}, \operatorname{Pr}^{\operatorname{L}}_{\operatorname{st}}). \end{split}$$

In the adic case, we have similar functors

$${}^{\mathbf{a}}_{\operatorname{Chp}^{\operatorname{Ar}}} \operatorname{EO}^{\otimes} \colon \operatorname{N}(\operatorname{Chp}^{\operatorname{Ar}})^{op} \to \operatorname{Fun}(\operatorname{N}(\operatorname{\mathcal{R}ind})^{op}, \operatorname{CAlg}(\operatorname{Cat}_{\infty})^{\operatorname{L}}_{\operatorname{pr,st,cl}}),$$
$${}^{\mathbf{a}}_{\operatorname{Chp}^{\operatorname{Ar}}_{\Box}} \operatorname{EO}_{!} \colon \operatorname{N}(\operatorname{Chp}^{\operatorname{Ar}}_{\Box})_{F} \to \operatorname{Fun}(\operatorname{N}(\operatorname{\mathcal{R}ind}_{\Box\operatorname{-tor}})^{op}, \operatorname{Pr}^{\operatorname{L}}_{\operatorname{st}}).$$

from Proposition 7.2.1 and (7.6), respectively.

**Definition 9.2.1.** We say that an augmented simplicial object  $X_{\bullet}^+$  in Chp^{Ar} (or similar  $\infty$ categories) is a (P) hypercovering for a property (P) on morphisms if  $X_q^+ \to (\operatorname{cosk}_{q-1}(X_{\bullet}^+/X_{-1}^+))_q$ is surjective and satisfies (P) for every  $q \ge 0$ .

**Proposition 9.2.2.** Every smooth hypercovering in  $\operatorname{Chp}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$ ) is an augmentation of both  $_{\operatorname{Chp}^{\operatorname{Ar}}}\operatorname{EO}^{\otimes}$ -descent (resp.  $_{\operatorname{Chp}_{\Box}^{\operatorname{Ar}}}\operatorname{EO}_{!}^{op}$ -descent) and  $_{\operatorname{Chp}^{\operatorname{Ar}}}\operatorname{EO}^{\otimes}$ -descent (resp.  $_{\operatorname{Chp}_{\Box}^{\operatorname{Ar}}}\operatorname{EO}_{!}^{op}$ -descent).

Proof. Let  $X_{\bullet}^+$  be an augmented simplicial object of  $\operatorname{Chp}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$ ). It suffices to apply Proposition 9.1.7 to the full subcategory  $\operatorname{Chp}_{\operatorname{Sm}/X_{-1}}^{\operatorname{Ar}} \subseteq \operatorname{Chp}_{X_{-1}}^{\operatorname{Ar}}$  spanned by higher Artin stacks smooth over  $X_{-1}$ . In the notation of Proposition 9.1.7, F associates the usual t-structure (resp. the usual t-structure shifted by twice the relative dimension over  $X_{-1}$ ). This proof applies to both the non-adic case and the adic case. The adic case can also be deduced from the non-adic case by taking limits.

9.3. **Proper hyperdescent.** In this section, we study hyperdescent properties for proper morphisms. We start from some lemmas for preparation.

**Lemma 9.3.1.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be stable  $\infty$ -categories equipped with left complete t-structures. Let  $F: \mathcal{C} \to \mathcal{D}$  be a t-exact functor. Then  $\mathcal{C}^{\leq 0}$  admits geometric realizations, and geometric realizations are preserved by F.

*Proof.* By [53, Proposition 1.2.4.5], for any simplicial object  $X_{\bullet}$  of  $\mathcal{C}$ , there exist a geometric realization  $X = |X_{\bullet}|$  in  $\mathcal{C}$  and a geometric realization  $Y = |FX_{\bullet}|$  in  $\mathcal{D}$ , and  $\mathrm{H}^{n}(f)$  is an isomorphism for all n, where f is the morphism  $Y \to FX$ . It follows that f is an equivalence.  $\Box$ 

**Lemma 9.3.2.** Let  $\mathbb{C}$ ,  $\mathbb{D}$ ,  $\mathcal{E}$  be stable  $\infty$ -categories equipped with t-structures such that  $\mathbb{C}$  and  $\mathbb{D}$  are both left and right complete. Let  $F: \mathbb{C} \to \mathbb{D}$  and  $G: \mathbb{C} \to \mathcal{E}$  be t-exact functors. Assume G conservative. Then  $\mathbb{C}$  admits G-split [53, Definition 4.7.2.2] geometric realizations, and those geometric realizations are preserved by F.

*Proof.* Let  $X_{\bullet}$  be a *G*-split simplicial object of  $\mathcal{C}$ , and  $Y_{\bullet} \colon \mathcal{N}(\Delta_{+})^{op} \to \mathcal{D}$  a split augmentation of  $G \circ X_{\bullet}$ . Then the unnormalized cochain complex

$$\cdot \to \mathrm{H}^{q}Y_{2} \to \mathrm{H}^{q}Y_{1} \to \mathrm{H}^{q}Y_{0} \to \mathrm{H}^{q}Y_{-1} \to 0$$

is acyclic. Since G is conservative, it follows that the unnormalized cochain complex

$$\cdots \to \mathrm{H}^q X_2 \to \mathrm{H}^q X_1 \xrightarrow{\theta^q} \mathrm{H}^q X$$

is an acyclic resolution of the object  $A^q = \operatorname{coker}(\theta^q)$  in the heart of  $\mathbb{C}$  and the same holds after applying the functor F. By [53, Corollary 1.2.4.12],  $X_{\bullet}$  admits a geometric realization  $X, FX_{\bullet}$ admits a geometric realization Z, and  $\operatorname{H}^n(f)$  is an isomorphism for all n, where f is the morphism  $Z \to FX$ . It follows that f is an equivalence.

The functor  $_{Chp}^{Ar}EO^{\otimes}$  restricts to a functor

$$\overset{\geq 0}{\operatorname{Chp}_{\square}^{\operatorname{Ar}}} \operatorname{EO}^* \colon \operatorname{N}(\operatorname{Chp}_{\square}^{\operatorname{Ar}})^{op} \to \operatorname{Fun}(\operatorname{N}(\operatorname{\mathcal{R}ind}_{\square\operatorname{-tor}})^{op}, \operatorname{Cat}_{\infty})$$

sending X to the assignment  $\lambda \mapsto \mathcal{D}^{\geq 0}(X, \lambda)$ .

**Proposition 9.3.3.** Let S be a  $\Box$ -coprime (resp.  $\Box$ -coprime locally Noetherian, that is, there exists an atlas  $S \to S$  where S is a locally Noetherian scheme) higher Artin stack.

(1) For every object  $\lambda$  of  $\operatorname{Rind}_{\Box-\operatorname{tor}}$  and every Cartesian square

$$\begin{array}{c|c} W & \xrightarrow{g} & Z \\ q & & & \downarrow p \\ \gamma & & & \downarrow p \\ Y & \xrightarrow{f} & X \end{array}$$

in  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}_{\operatorname{lft}/S}^{\operatorname{Ar}}$ ) with p proper of finite diagonal (resp. proper and 1-Artin), the induced square

is right adjointable.

(2) Every proper finite-diagonal hypercovering in  $\operatorname{Chp}_{\square}^{\operatorname{Ar}}$  (resp. proper and 1-Artin hypercovering in  $\operatorname{Chp}_{\operatorname{Ift}/\mathbb{S}}^{\operatorname{Ar}}$ ) is an augmentation of  $\underset{\operatorname{Chp}_{\square}^{\operatorname{Ar}}}{\stackrel{\geq 0}{\cong} \operatorname{EO}^*}$ -descent.

*Proof.* Let us first show that (1) implies (2). By Proposition 9.1.8, to show (2), it suffices to show that every surjective morphism proper of finite diagonal (resp. proper and 1-Artin) is of  $\stackrel{\geq 0}{\operatorname{Chp}}$ EO*-descent. For this, we apply [53, Corollary 4.7.5.3]: Assumption (1) follows from the dual of Lemma 9.3.1; Assumption (2) is simply part (1); and the conservativeness is clear.

To show (1), applying Proposition 4.3.6 and the smooth base change, we are reduced to the case where X and Y are in Sch^{qc.sep}. In this case, there exists a finite [63, Theorem B] (resp. proper [56, Theorem 1.1]) surjective morphism  $r_0: Z_0 \to Z$  with  $Z_0$  a scheme. Since (1) is known in the case where p is proper and 0-Artin,  $r_0$  is  $\overset{\geq 0}{\operatorname{Chp}}\operatorname{EO}^*$ -descent by the above proof of (2). Thus, every object of  $\mathcal{D}^{\geq 0}(Z,\lambda)$  has the form  $\lim_{n \in \Delta} r_{n*}r_n^*\mathsf{K}$ , where  $r_{\bullet}$  is a Čech nerve of  $r_0$ . By Lemma 9.3.1, the functors  $f^*$  and  $g^*$  preserve limits indexed by  $\Delta$ . Thus, it suffices to check that the natural transformation  $f^* \circ p_* \circ r_{n*} \to q_* \circ g^* \circ r_{n*}$  is a natural equivalence. This follows from the known cases of (1) with p replaced by the proper 0-Artin morphisms  $r_n$  and  $p \circ r_n$ .

The above result can be extended to  $\mathcal{D}(X,\lambda)^{\otimes}$  under cohomological finiteness conditions. We fix an object  $\lambda$  of  $\mathcal{R}ind_{\Box-tor}$ . The functors  $_{Chp}{}^{Ar}EO^{\otimes}$  and  $_{Chp}{}^{a}EO^{\otimes}$  restrict to functors

$$\begin{array}{c} {}_{\operatorname{Chp}_{\square}^{\operatorname{Ar}}} \operatorname{EO}_{\lambda}^{\otimes} \colon \operatorname{N}(\operatorname{Chp}_{\square}^{\operatorname{Ar}})^{op} \to \operatorname{CAlg}(\operatorname{Cat}_{\infty})_{\operatorname{pr,st,cl}}^{\operatorname{L}}, \\ {}_{\operatorname{Chp}_{\square}^{\operatorname{Ar}}} \operatorname{EO}_{\lambda}^{\otimes} \colon \operatorname{N}(\operatorname{Chp}_{\square}^{\operatorname{Ar}})^{op} \to \operatorname{CAlg}(\operatorname{Cat}_{\infty})_{\operatorname{pr,st,cl}}^{\operatorname{L}}. \end{array}$$

sending X to  $\mathcal{D}(X,\lambda)^{\otimes}$  and  $\mathcal{D}(X,\lambda)^{\otimes}_{\mathbf{a}}$ , respectively.

**Proposition 9.3.4.** Let S be a  $\Box$ -coprime (resp.  $\Box$ -coprime locally Noetherian) higher Artin stack. Let  $\lambda$  be an object of  $\operatorname{Rind}_{\Box-\operatorname{tor}}$ .

(1) Consider a Cartesian square

$$\begin{array}{c|c} W & \stackrel{g}{\longrightarrow} Z \\ q & & & \downarrow p \\ q & & & \downarrow p \\ Y & \stackrel{f}{\longrightarrow} X \end{array}$$

in  $\operatorname{Chp}_{\square}^{\operatorname{Ar}}$  (resp.  $\operatorname{Chp}_{\operatorname{lft}/\mathbb{S}}^{\operatorname{Ar}}$ ) with p proper of finite diagonal (resp. proper and 1-Artin). Assume that for every morphism  $U \to X$  locally of finite type with U an affine scheme,  $X_0$  is  $\lambda$ -cohomologically finite. Then the induced square

$$\begin{aligned} \mathcal{D}(Z,\lambda) & \stackrel{p^*}{\longleftarrow} \mathcal{D}(X,\lambda) \\ g^* \middle| & & \downarrow f^* \\ \mathcal{D}(W,\lambda) & \stackrel{q^*}{\longleftarrow} \mathcal{D}(Y,\lambda) \end{aligned}$$

is right adjointable.

(2) Let  $X^+_{\bullet}$  be a proper finite-diagonal hypercovering in  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  (resp. proper and 1-Artin hypercovering in  $\operatorname{Chp}_{\operatorname{ltt}/\mathbb{S}}^{\operatorname{Ar}}$ ). Assume that for every morphism  $U \to X^+_{-1}$  locally of finite type with U an affine scheme,  $X_0$  is  $\lambda$ -cohomologically finite. Then  $X^+_{\bullet}$  is an augmentation of both  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}} \operatorname{EO}_{\lambda}^{\otimes}$ -descent and  $\operatorname{Chp}_{\Delta r}^{\operatorname{a}} \operatorname{EO}_{\lambda}^{\otimes}$ -descent.

*Proof.* We first show that (1) implies (2) for  $_{\operatorname{Chp}_{\square}^{\operatorname{Ar}}} \operatorname{EO}_{\lambda}^{\otimes}$ -descent. One only needs to repeat the proof of Proposition 9.3.3 with Proposition 9.1.8 replaced by Proposition 9.1.7 and Lemma 9.3.1 replaced by Lemma 9.3.2. Note that the case for  $_{\operatorname{Chp}_{\square}^{\operatorname{Ar}}} \operatorname{EO}_{\lambda}^{\otimes}$ -descent implies the case for  $_{\operatorname{Chp}_{\square}^{\operatorname{Ar}}} \operatorname{EO}_{\lambda}^{\otimes}$ -descent by Lemma 3.3.4.

The proof for (1) is similar to Proposition 9.3.3 since  $r_0$  is of  $_{\text{Chp}_{\text{ch}}^{\text{Ar}}} \text{EO}^{\otimes}$ -descent as well.

9.4. Flat hyperdescent. The following proposition is an analogue of flat cohomological descent [3, Exposé vbis, Proposition 4.3.3(c)].

**Proposition 9.4.1.** Every flat and locally finitely presented hypercovering of higher Artin stacks is an augmentation of  $\overset{\geq 0}{\operatorname{Chp}_{\operatorname{Ar}}^{\operatorname{Ar}}} \operatorname{EO}^*$ -descent.

*Proof.* By Proposition 9.1.8, we are reduced to show that every surjective flat and locally finitely presented morphism  $f: Y \to X$  in  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}}$  is of  $\operatorname{Chp}_{\Box}^{\operatorname{Ar}} \operatorname{EO}^*$ -descent. By Lemma 3.3.2 and the smooth descent, we are reduced to the case of schemes. Let X' be a disjoint union of strict localizations of X, such that the morphism is surjective. By [31, Corollaire 17.16.2, Théorème 18.5.11], there exists a surjective étale morphism of schemes  $g: X' \to X$  and a finite surjective morphism of schemes  $g': Z \to X'$  in  $\operatorname{Sch}^{\operatorname{qc.sep}}$  such that the composite morphism  $Z \to X$  factorizes through f. By Lemma 3.3.2 and étale descent, it suffices to show that g' is of universal

 $\gtrsim_{\text{sch}^{qc.sep}}^{0}$ EO*-descent. For this, we apply [53, Corollary 4.7.5.3]: Assumption (1) follows from the dual of Lemma 9.3.1; Assumption (2) follows from finite base change; and the conservativeness is clear.

The above proposition can be extended to  $\mathcal{D}(X,\lambda)^{\otimes}$  under cohomological finiteness conditions, similar to the case of proper hyperdescent. We leave details to the reader.

Remark 9.4.2. We define the  $\infty$ -category of  $\infty$ -DM stacks  $\operatorname{Chp}^{\infty-\mathrm{DM}}$  to be the  $\infty$ -category  $\operatorname{Sch}(\mathcal{G}_{\operatorname{\acute{e}t}}(\mathbb{Z}))$  of  $\mathcal{G}_{\operatorname{\acute{e}t}}(\mathbb{Z})$ -schemes in the sense of [54, Definition 2.3.9, Remark 2.6.11]. Using Proposition 9.1.7, we can adapt the DESCENT program in Chapter 4 to define the first and the second enhanced operation maps for  $\infty$ -DM stacks, namely, a functor

$$_{\operatorname{Chp}^{\infty-\mathrm{DM}}}\mathrm{EO}^{\mathrm{I}} \colon ((\operatorname{Chp}^{\infty-\mathrm{DM}})^{op} \times \mathrm{N}(\operatorname{\mathcal{R}ind})^{op})^{\mathrm{II}} \to \operatorname{Cat}_{\infty}$$

that is a lax Cartesian structure, and a map

$${}_{\operatorname{Chp}^{\infty-\mathrm{DM}}}\operatorname{EO}^{\mathrm{II}} \colon \delta^*_{2,\{2\}}(((\operatorname{Chp}^{\infty-\mathrm{DM}})^{op} \times \operatorname{N}(\operatorname{Rind}_{\operatorname{tor}})^{op})^{\operatorname{II},op})_{F,\operatorname{all}}^{\operatorname{cart}} \to \operatorname{Cat}_{\infty}.$$

Applying the construction in §7.1, we obtain the first and the second enhanced adic operation maps for  $\infty$ -DM stacks, namely, a functor

$${}_{\operatorname{Chp}^{\infty-\mathrm{DM}}}^{\mathrm{a}}\mathrm{EO}^{\mathrm{I}} \colon ((\operatorname{Chp}^{\infty-\mathrm{DM}})^{op} \times \mathrm{N}(\operatorname{\mathcal{R}ind})^{op})^{\mathrm{II}} \to \operatorname{Cat}_{\infty}$$

that is a lax Cartesian structure, and a map

$${}_{\mathcal{C}hp^{\infty-\mathrm{DM}}}^{\mathrm{a}}\mathrm{EO}^{\mathrm{II}} \colon \delta^*_{2,\{2\}} (((\mathcal{C}hp^{\infty-\mathrm{DM}})^{op} \times \mathrm{N}(\mathcal{R}\mathrm{ind}_{\mathrm{tor}})^{op})^{\mathrm{II},op})_{F,\mathrm{all}}^{\mathrm{cart}} \to \mathcal{C}\mathrm{at}_{\infty}.$$

By restriction, we have similar functors  $_{Chp^{\infty}-DM}EO_!$  and  $_{Chp^{\infty}-DM}^{a}EO_!$ . Parallel to Proposition 9.2.2, we have that every smooth hypercovering in  $Chp^{\infty}-DM$  is an augmentation of both  $_{Chp^{\infty}-DM}EO^{\otimes}_!$ -descent (resp.  $_{Chp^{\infty}-DM}EO^{op}_!$ -descent) and  $_{Chp^{\infty}-DM}^{a}EO^{\otimes}_!$ -descent (resp.  $_{Chp^{\infty}-DM}^{a}EO^{op}_!$ -descent). We have similar results for proper and flat hyperdescent.

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INSTITUTE FOR ADVANCED STUDY IN MATHEMATICS, ZHEJIANG UNIVERSITY, HANGZHOU 310058, CHINA *Email address*: liuyf0719@zju.edu.cn

Morningside Center of Mathematics, Academy of Mathematics and Systems Science, Chinese Academy of Sciences, Beijing 100190, China; University of the Chinese Academy of Sciences, Beijing 100049, China

Email address: wzheng@math.ac.cn